



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee members
From: Sorsha Anderson, Senior Staff Associate
Date: May 2, 2024
Subject: Grant– JFO #3200

Enclosed please find one (1) item, which the Joint Fiscal Office has received from the Administration.

JFO #3200: \$1,105,839.00 to the Department of Public Safety, VT Emergency Management from the Federal Emergency Management Agency. Funds for the repair and replacement of facilities affected during the severe storm and flooding event in Addison County from August 3-5, 2023. Funds cover 100% of the management cost and 75% of the damage estimate. The 25% State match will utilize \$151,714.00 of state Emergency Relief and Assistance Funds (ERAF) with the remainder supplied by the local applicants. *[Received April 29, 2024]*

Please review the enclosed materials and notify the Joint Fiscal Office (Sorsha Anderson: sanderson@leg.state.vt.us) if you have questions or would like this item held for legislative review. Unless we hear from you to the contrary by **May 17, 2024**, we will assume that you agree to consider as final the Governor's acceptance of this request.



State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:		Federal disaster assistance for DR-4744 emergency work and the repair/replacement of disaster damaged facilities during the severe storm and flooding event for the period August 3-5, 2023 in Addison county.			
Date:		4/7/2024			
Department:		Public Safety - Vermont Emergency Management			
Legal Title of Grant:		Disaster Grants- Public Assistance (Presidentially Declared Disasters)			
Federal Catalog #:		97.036			
Grant/Donor Name and Address:		Federal Emergency Management Agency			
Grant Period:	From:	10/6/2023	To:	10/6/2027	
Grant/Donation		\$1,105,830			
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$663,498	\$331,749	\$110,583	\$1,105,830	
Position Information:		# Positions	Explanation/Comments		
		0			
Additional Comments:		75% Fed share of \$1,348,573 damage estimate (\$1,011,430) plus 100% of management cost of \$94,400 = \$1,105,830.			
Department of Finance & Management		Adam Greshin Digitally signed by Adam Greshin 16:50:52 -0400		(Initial)	
Secretary of Administration		Sarah Clark 04AB832CD55C438...		(Initial)	
Sent To Joint Fiscal Office				Date	





State of Vermont
Department of Public Safety
45 State Drive
Waterbury, Vermont 05671-1300
(802) 241-5000
TTY/TDD (888) 545-7598
www.dps.vermont.gov

December 27, 2023

To: Jason Aronowitz, Senior Budget & Management Analyst

From: Kristine Seipel, Financial Manager

Re: Request for Grant Acceptance

Attached you will find our request for Grant Acceptance (Form AA-1) for the FEMA Public Assistance grant program for FEMA-DR-4744-VT.

If you have any questions, please contact me at Krisine.Seipel@vermont.gov.

Respectfully,

Kristine

Cc: Melissa Austin, Financial Manger

Cc: Richard Hallenbeck, Director of Administration and Finance

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:				
2. Department:		Public Safety		
3. Program:		Vermont Emergency Management		
4. Legal Title of Grant:		Disaster Grants- Public Assistance (Presidentially Declared Disasters)		
5. Federal Catalog #:		97.036		
6. Grant/Donor Name and Address: Federal Emergency Management Agency				
7. Grant Period:		From:	10/6/2023	To: 10/6/2027
8. Purpose of Grant: To provide Federal disaster assistance for emergency work and the repair/replacement of disaster damaged facilities during the severe storm and flooding event for the period August 3-5, 2023 in Addison county.				
9. Impact on existing program if grant is not Accepted: Eligible State, Local, and Non-Profit agencies may have to bear the full cost of the disaster related expenses. Budget information below is based on the Preliminary Damage Assessment, SF-424 submission, and allowable State Management Cost.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2024	FY 2025	FY 2026	
Personal Services	\$56,640	\$28,320	\$9,440	CAT Z MC
Operating Expenses	\$	\$	\$	
Grants	\$778,801	\$434,915	\$134,857	Subgrants
Total	\$835,441	\$463,235	\$144,297	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$60,686	\$75,857	\$15,171	ERAF
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$663,498	\$331,749	\$110,583	MC & Subgrants
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source Local Match)	\$111,257	\$55,629	\$18,543	Subgrant Match
Total	\$835,441	\$463,235	\$144,297	
Appropriation No:	2140030000 Fund 22005	Amount:	\$663,498	
	2140030000 Fund 21555		\$60,686	
			\$	
			\$	
			\$	
			\$	
			\$	

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

	Total \$724,184
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PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

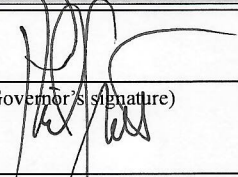
13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <small>DocuSigned by:</small> <i>Daniel Batsie</i>	Date: 12/27/2023
	Title: Daniel Batsie, Deputy Commissioner	
	Signature:	Date:
	Title:	

14. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/> Approved:	(Secretary or designee signature) <i>Sarah Clark</i>	<small>DocuSigned by:</small> <i>Sarah Clark</i> <small>04AB832CD55C438</small>	Date: 1/17/2024 9
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15. ACTION BY GOVERNOR

<input checked="" type="checkbox"/> Accepted	(Governor's signature) 	Date: 4/24/24
<input type="checkbox"/> Rejected		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
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End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

DECLARED OCTOBER 6, 2023

SUMMARY

STATE: Vermont
NUMBER: FEMA-4744-DR
INCIDENT: Severe Storms and Flooding
INCIDENT PERIOD: August 3-5, 2023
DATE REQUESTED BY GOVERNOR: September 27, 2023
FEDERAL COORDINATING OFFICER: William F. Roy
National FCO Program

DESIGNATIONS AND TYPES OF ASSISTANCE:

INDIVIDUAL ASSISTANCE (Assistance to individuals and households):

Not Requested.

PUBLIC ASSISTANCE (Assistance for emergency work and the repair or replacement of disaster-damaged facilities):

Addison County.

HAZARD MITIGATION GRANT PROGRAM (Assistance for actions taken to prevent or reduce long term risk to life and property from natural hazards):

All areas in the State of Vermont are eligible for assistance under the Hazard Mitigation Grant Program.

OTHER: Additional designations may be made at a later date if requested by the state and warranted by the results of further damage assessments.

PHILIP B. SCOTT
GOVERNOR



State of Vermont
OFFICE OF THE GOVERNOR

**REQUEST FOR MAJOR DISASTER DECLARATION
GOVERNOR'S REQUEST COVER LETTER**

September 27, 2023

The Honorable Joseph Robinette Biden, Jr.
President of the United States
The White House
Washington, DC

Through: Ms. Lori Ehrlich
Regional Administrator
FEMA Region I
220 Binney Street
Cambridge, MA 02142

Dear Mr. President:

Under the provisions of Section 401 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. §§ 5121-5207 (Stafford Act), and implemented by 44 C.F.R. § 206.36, I request you declare a Major Disaster for the State of Vermont for heavy rainfall and severe flooding that occurred in Addison and Rutland Counties August 3-5, 2023. I'm requesting Public Assistance for Addison and Rutland Counties and Hazard Mitigation Assistance statewide.

This flooding occurred as a direct extension and aftermath of the catastrophic flooding of July 7-21, 2023, which has been declared as DR4720-VT. The Vermont State Emergency Operations Center remained in full activation July 7, 2023 through August 5, 2023.

National Weather Service:

Refer below to Preliminary Weather Summary, Enclosure C: **PRELIMINARY* Weather Summary for the August 2023 Heavy Rainfall Events*

The Honorable Joseph R. Biden, Jr.
September 27, 2023
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State Emergency Operations Center Activation:

Partners participating in the ongoing SEOC activation included: Agency of Agriculture, Agency of Human Services, Agency of Transportation, Agency of Digital Services, Public Service Department, Radio Technology Services, Department of Health, Vermont State Police, Division of Fire Safety, Department of Labor, Buildings and General Services, Agency of Education, Urban Search and Rescue, Department of Environmental Conservation, Department Forest Parks & Recreation, Vermont National Guard, American Red Cross, SerVermont and Vermont-211.

Impact Summary

Fortunately, there were no casualties, injuries, or missing persons reported as a result of this storm. Critical infrastructure damage occurred at the Middlebury Wastewater Treatment Facility. There was severe damage to the municipal road network in Hancock, Vermont.

Addison County:

On August 3, 2023, the Town of Middlebury received over six inches of rain within a three-hour window. According to NOAA Atlas 14 (https://hdsc.nws.noaa.gov/pfds/pfds_map_cont.html?bkmrk=vt), **this equates to a 1000+ year storm (0.1% chance of occurrence in the region). It is important to note that event came on the heels of excessive precipitation which began on July 7, 2023 which was declared as VT-4720-DR, and has a FEMA-determined incident period through July 21, 2023. The July storms resulted in heavy rainfall amounts of over five inches of rain in a 12-hour period** (this type of rainfall is classified as a 100-year storm). Due to the track of the polar jet-stream in the days following the storms of July, Vermont soils did not have a chance to dry out, Vermont received successive rains, and soils remained saturated. The Middlebury Wastewater Treatment Facility recorded 23 days of rain recorded during the month of July, totaling 10.92 inches. East Middlebury and Ripton received even higher amounts.

Because soils were so saturated and it rained so much so fast in Middlebury, sheet run-off caused extensive flooding. More than 250 Middlebury households called Vermont-211 to report flood damage, mostly to basements including many with damage to furnaces and electrical systems.

Bridport reported two washed out roads and major flooding issues. Cornwall reported major flooding issues and three washed out roads. Other notable damage locations included New Haven and Ripton. Several buildings flooded in Starksboro. Weybridge reported major flooding with three roads washed out, flooded basements and lightning strikes at a campground which caused a fire requiring emergency response.

Hardest hit of all the rural Addison County communities was Hancock, situated in the mountains, where major damages to multiple roads far exceeded local resources.

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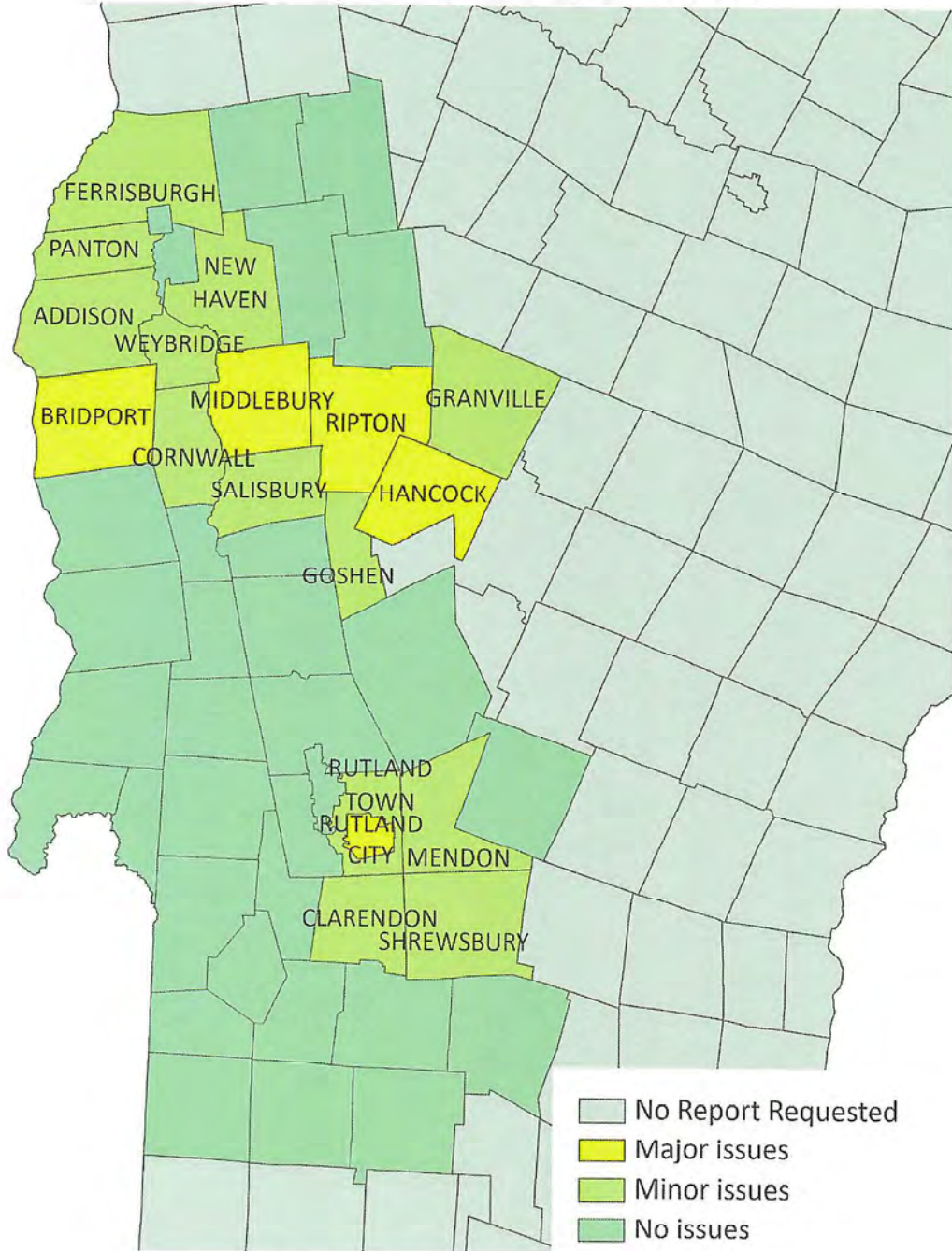
Rutland County:

The City of Rutland experienced major flooding issues. Vermont swift-water rescue teams deployed to evacuate approximately fifty individuals to shelters. Damage included 5 flooded roads and flooded basements in several homes.

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The local liaisons were activated on August 4-5, 2023, to report damages. Results of local liaison reporting are summarized in this map:

Local Liaison Report August 5, 2023



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On August 11, 2023, VEM Interim Director Eric Forand submitted a PDA request for August 3-8, 2023, in the counties of Addison and Rutland, for Public Assistance for the flooding which began on August 3, 2023. It was subsequently confirmed during Preliminary Damage Assessments that all the damages reported occurred during August 3-5, 2023, and so the requested incident period has been narrowed to those dates.

Demographics of Impacted Counties

Addison and Rutland counties are rural and sparsely populated. Both counties have median household incomes which are below the statewide average. Rutland's poverty rate is 10.7%, above Vermont's average of 10.3%.

Additional Factors for Consideration

The storms of the summer of 2023 have had very detrimental impacts on Vermont. The impacts of the repeated flooding include reduced tourist traffic, exhaustion of State and town road crews and emergency responders, and debris so extensive that I announced a special campaign to clean up debris piles in time for the economically vital fall foliage season.

While towns in Vermont obtain insurance through the Vermont League of Cities and Towns' Property and Casualty Intermunicipal Fund (PACIF), roads, water crossings and drainage structures are not covered, and insurance coverage is not commercially available in most cases.

State/ Local Resources for Assistance

Vermont's resource referral hotline, Vermont-211, took damage reports from impacted residents. As noted above, more than 250 Middlebury households called Vermont-211 to report flood damages incurred on August 3-5, 2023.

Vermont's Emergency Relief and Assistance Fund (ERAF) provides the non-federal share of declared disasters for eligible municipal Public Assistance applicants and is funded through the Vermont General Fund. This event will add to the need for additional ERAF appropriations to be allocated from the General Fund through Budget Adjustment when the legislature reconvenes.

Vermont Disaster History

The impacts of this storm clearly exceed the resources of the State of Vermont. Since 2013, Vermont has experienced 13 federally declared and numerous non-declared disasters: DR4120 (May 2013 flooding), DR4140 (June-July 2013 flooding), DR4163 (December 2013 ice storm), DR4178 (April 2014 flooding), DR4207 (December 2014 severe winter storm), DR4232 (June 9, 2015 flooding), DR4330 (June-July 2017 flooding), DR4356 (October 29-30, 2018 severe storm and flooding), DR4380 (May 4-5, 2018 severe storm), DR4445 (April 15, 2019 severe storm and flooding), DR4474 (Severe storm and flooding, October 31- November 1, 2019), DR4621 (Severe storms and flooding, July 29, 2021-July 30, 2021), DR4532 (COVID-19), DR4695 (Severe storm and flooding, December 22-24, 2022) and DR4720 (Severe storms and flooding, landslides and mudslides, July 7-21, 2023).

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Hazard Mitigation Damage Avoidance

Vermont and FEMA have been successful in recent years in making use of available funding via Section 404 (42 U.S. § 5170c) and Section 406 (42 U.S. § 5172).

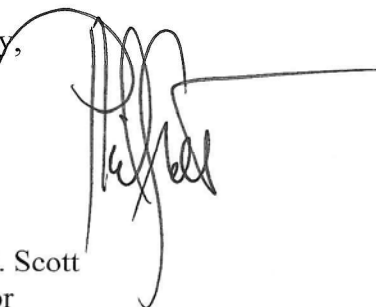
The impacts of this flooding would have been even more devastating if not for mitigation work previously accomplished, particularly more than 140 acquisitions and removals of flood-prone structures accomplished through Hazard Mitigation Assistance since Tropical Storm Irene. The Town of Brandon in Rutland County, has been frequently cited as a hazard mitigation success story thanks to culvert upgrades in the downtown business district and buy-outs of residences in Forest Dale, a flood prone area of the community. We are committed to continuing our ongoing efforts to build resiliency and reduce flood vulnerability using Section 406 and Section 404 Hazard Mitigation.

Conclusion

For Addison and Rutland Counties of Vermont, the August 3-5, 2023 severe storms and flooding event compounded the impacts of earlier flooding and overwhelmed state and local resources. Accordingly, I request a Major Disaster Declaration under the Stafford Act for Public Assistance and Hazard Mitigation Assistance statewide.

Thank you for your continued support of the State of Vermont and its residents.

Sincerely,



Philip B. Scott
Governor

PBS/kp

Enclosures:

OMB No. 1660-0009/FEMA Form 010-0-13

A: Public Assistance

B: Requirements for Other Federal Agency Programs

C: Preliminary Weather Summary for the August 2023 Heavy Rainfall Events

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ENCLOSURE B
TO MAJOR DISASTER REQUEST

Estimated Assistance from Other Federal Agency Programs

County	SBA Home Loans	SBA Business Loans	FSA Loans	NRCS	FHWA	USACE	OTHER
All Counties	TBD	TBD	TBD	TBD	TBD	TBD	TBD
Totals							

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ENCLOSURE C

***Preliminary* Weather Summary for the August 2023 Heavy Rainfall Events**

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National Oceanic and Atmospheric Administration
National Weather Service
Weather Forecast Office Burlington,
VT 1200 Airport Dr
South Burlington, VT 05403
www.weather.gov/btv



23 August 2023

FROM: Scott Whittier, Warning Coordination Meteorologist
NOAA/National Weather Service Burlington, VT
SUBJECT: PRELIMINARY* Weather Summary for the August 2023
Heavy Rainfall Events

August 3rd

Thunderstorms developed across the Adirondacks of New York during the afternoon hours of August 3rd and moved into the Middlebury, VT vicinity around 6 pm, but then back-built across the same region before exiting around 9 pm. Rainfall rates as high as 3 inches per hour were estimated by radar and event rainfall totals of 3 to 6 inches were estimated by radar (Figure 1) and supported by ground truth observations (Figure 2).

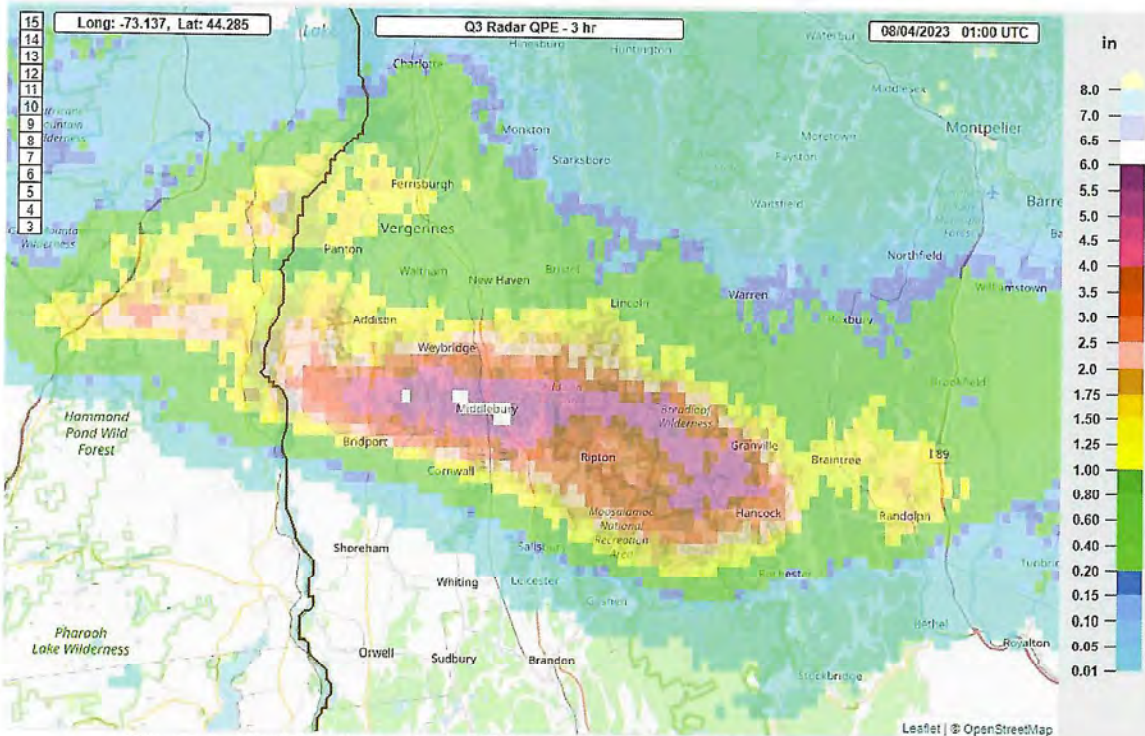


Figure 1 – Radar estimated rainfall for the Middlebury vicinity on August 3rd, 2023.

The Honorable Joseph R. Biden, Jr.

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*** Please note that this document is PRELIMINARY and UNOFFICIAL.**

All weather summaries to support FEMA PDA's must come via NWS Regional Operational Centers per request of respective FEMA Region or FEMA HQ.

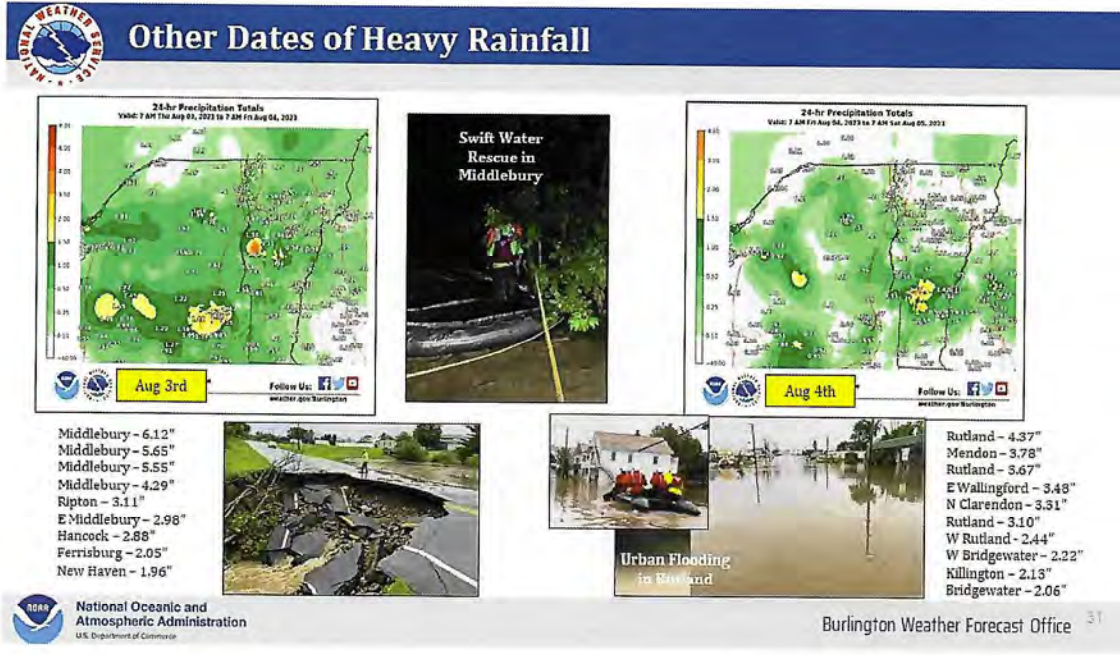


Figure 2 – 24 hour Rainfall Maps and specific observed rainfall vales for August 3rd and August 4th, 2023

August 4th

Thunderstorms developed in the Rutland vicinity around 330 pm and remained quasi-stationary as other heavy rain showers and thunderstorms from New York and Addison County moved into the same area before exiting by 530 pm. Rainfall rates up to 2 inches per hour fell across the urbanized areas resulting in rapid urban flooding. Radar estimates (Figure 3) and ground truth reports (Figure 2) of 2 to 4 inches occurred in a very short period of time.

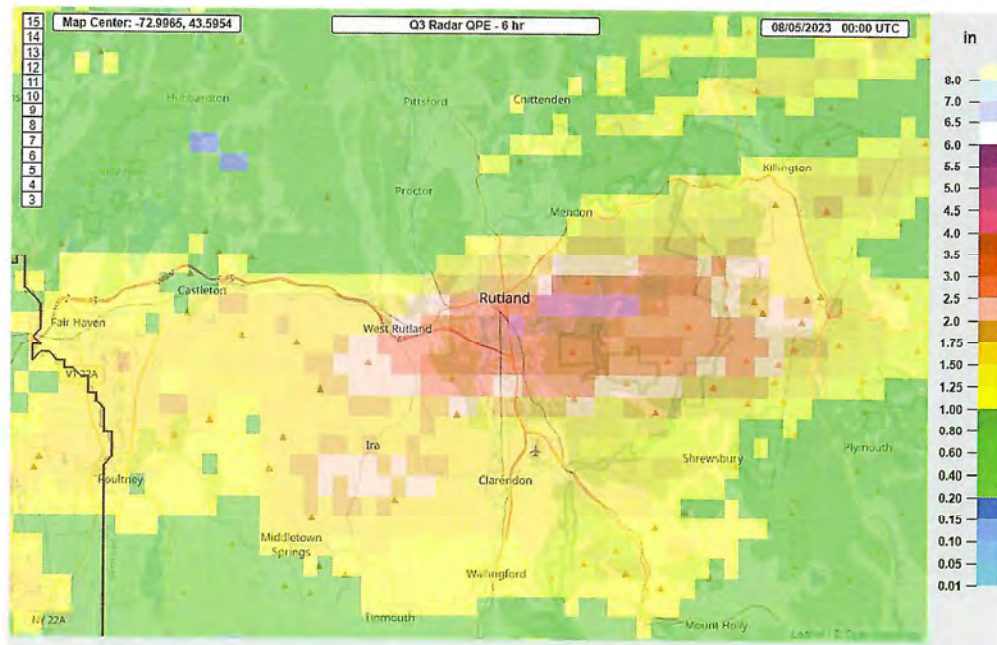


Figure 3 – Radar estimated rainfall for the Rutland vicinity on August 3rd, 2023.

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency
REQUEST FOR PRESIDENTIAL DISASTER DECLARATION
MAJOR DISASTER OR EMERGENCY

OMB Control Number 1660-0009
Expires 06/30/2023

1. Request Date Sep 27, 2023

Burden Disclosure Notice

Public reporting burden for this form is estimated to average 9 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain a benefit. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW, Washington, DC 20472, Paperwork Reduction Project (1660-0009). **NOTE: Do not send your completed form to this address.**

Completion of this form including applicable attachments satisfies legal requirements for emergency and major disaster declaration requests under 42 U.S.C. §§ 5170 and 5191, respectively, as implemented at 44 C.F.R. §§ 206.35 and 206.36. Failure to use this form may result in a failure to meet these requirements and/or a delay in processing the request.

2a. Name of State (as defined in Stafford Act 102, 42 U.S.C. § 5122) or Indian tribal government requesting declaration. State of Vermont	2b. Population (as reported by 2010 Census) or estimated population of Indian tribal government's damaged area(s). <u>643,077 (2020)</u>
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3. Governor's or Tribal Chief Executive's Name Philip B. Scott	4. Designation of State or Tribal Coordinating Officer upon declaration (if available) and phone number Eric Forand (802) 585-0633
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5. Designation of Governor's Authorized Representative or Tribal Chief Executive Representative upon declaration (if available) and phone number
Kristin L. Clouser (802) 828-3322

6. Declaration Request For: Major Disaster (Stafford Act Sec. 401) Emergency (Stafford Act Sec. 501 (a))

7. Incident Period: Beginning Date Aug 3, 2023 End Date Aug 5, 2023 or Continuing

If requesting a "continuing" incident period, enclose an official statement from a qualified Federal Government agency acknowledged as a national authority in a specific incident field (e.g., United States Geological Survey for seismic incidents, the National Weather Service for flooding).

7b. Type of Incident (Check all that apply)

Drought Earthquake Explosion Fire Flood Hurricane Landslide Mudslide
 Severe Storm Snowstorm
 (rain, high water, wind-driven, rain, hail, lightning) (Must include Enclosure D: Historic and Current Snowfall Data) Straight-Line Winds
 Tidal Wave Tornado Tropical Depression Tropical Storm Tsunami Volcanic Eruption Winter Storm
 Other (please specify) _____

8. Description of damages (Short description of impacts of disaster on affected area and population). Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.
Heavy rain started late on 8/03/23 in southern Vermont and continued into 08/05/23, causing severe damage in select locations, including the City of Rutland in Rutland County, and nine towns in Addison County. This flooding occurred as a direct extension and aftermath of the catastrophic flooding of July 7-21, 2023 which was declared as DR4720VT. Several roads were closed due to inundation and several residents of Rutland City and Middlebury were evacuated from their homes to shelters. Downtowns were flooded in Middlebury in Addison County and Rutland City in Rutland County. Damage assessments were performed from August 17-25, 2023, then extended through September 11, 2023 to capture the damage that occurred in the smaller towns. The validated PDA Results for Addison County totaled \$1,246,088 & Rutland County totaled \$102,485 which exceeded the State threshold (\$1,138,246).

9. Description of the nature and amount of State and local or Indian tribal government resources which have been or will be committed. Include additional details in enclosed Governor's or Tribal Chief Executive's cover letter.

A new state of emergency was not declared for this incident, but the State Emergency Operations Center (SEOC) remained in full activation from the catastrophic flooding of July 7-21, 2023 throughout this incident. Two of Vermont's Regional Planning Commissions were activated as Local Liaisons on August 4, 2023, with initial reports for each community due on August 5, 2023. The VTtrans Districts (3 -Rutland and 4- White River Junction) performed their initial damage assessments during that time as well. Vermont Swiftwater assets were deployed to both affected counties to evacuate residents from their homes to shelters.

10. Joint Preliminary Damage Assessment*

Individual Assistance Dates Performed **Requested** _____ **Start** _____ **End** _____

Individual Assistance Accessibility Problems (Areas that could not be accessed, and why)

Public Assistance Dates Performed **Requested** Aug 12, 2023 **Start** Aug 17, 2023 **End** Sep 13, 2023

Public Assistance Accessibility Problems (Areas that could not be accessed, and why)

Although floodwaters receded, heavy rainfall and saturated soils from the July 7-21, 2023 severe storms, flooding, landslides and mudslides exacerbated the damage to select areas in Addison County. FEMA personnel assigned to conduct Preliminary Damage Assessments needed to be re-assigned due to multiple ongoing incidents in Region 1.

11. Programs and Areas Requested

Individual Assistance N/A Individuals and Households Program Crisis Counseling Program Disaster Unemployment Assistance
 All Disaster Case Management Disaster Legal Services Small Business Administration (SBA) Disaster Assistance

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities; for Indian tribal government, list tribe(s) and/or tribal area(s)) If additional space is needed, please enclose additional documentation).

For States, identify Federally-recognized Tribes in the requested counties (if applicable).

Please see **Enclosure A: Supplemental Information for Individual Assistance** for additional information in support of this request*.

*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Public Assistance N/A Debris Removal (Category A) Emergency Protective Measures (Category B) Permanent Work (Categories C-G)* (not available for Emergency Declaration Requests)

For the following jurisdictions, specify programs and areas (counties, parishes, independent cities; for Indian tribal government, list tribe(s) and/or tribal area(s)). If additional space is needed or your request includes different categories of work for different jurisdictions; please enclose additional documentation.

Public Assistance is requested in Addison and Rutland Counties, subject to validation of eligible damages for August 3-5, 2023 which was completed on September 13, 2023.

For States, identify Federally-recognized Tribes included in the requested counties (if applicable).

Please see **Enclosure B: Supplemental Information for Public Assistance** for additional information in support of this request*.

Indemnification for Debris Removal Activity

I do not anticipate the need for debris removal.

I anticipate the need for debris removal, which poses an immediate threat to lives, public health and safety. Pursuant to Sections 403 and 407 of the Stafford Act, 42 U.S.C. §§ 5170b & 5173, the State or Indian tribal government agrees to indemnify and hold harmless the United States of America for any claims arising from the removal of debris or wreckage for this disaster. The State or Indian tribal government agrees that debris removal from public and private property will not occur until the landowner signs an unconditional authorization for the removal of debris.

Request for Direct Federal Assistance

I do not request direct Federal assistance at this time.

I request direct Federal assistance for work and services to save lives and protect property, and:

a. I request the following type(s) of assistance:

b. List of reasons why State and local or Indian tribal government cannot perform, or contract for, required work and services.

c. In accordance with 44 C.F.R. § 206.208, the State or Indian tribal government agrees that it will, with respect to direct Federal assistance: (1) Provide without cost to the United States all lands, easements, and rights-of-ways necessary to accomplish the approved work; (2) Hold and save the United States free from damages due to the requested work, and shall indemnify the Federal Government against any claims arising from such work; (3) Provide reimbursement to FEMA for the non-Federal share of the cost of such work in accordance with the provisions of the FEMA-State or FEMA-Tribe Agreement ; and (4) Assist the performing Federal agency in all support and local jurisdictional matters.

Request for Snow Assistance

N/A I request snow assistance.

Snow assistance for the following jurisdictions (Specify counties, independent cities or tribes and/or tribal areas).

Please see **Enclosure D: Historic and Current Snowfall Data** for additional information in support of this request*.

*Not Required for Emergency Declaration Request

11. Programs and Areas Requested (Continued)

Hazard Mitigation* Statewide **OR**

For the following specific counties, parishes, independent cities or tribes and/or tribal areas.

12. Mitigation Plan Information*

a. Mitigation Plan Expiration Date November 16, 2023 b. Type of Plan Enhanced Standard

13. Other Federal Agency Programs

I do not anticipate requirements from Other Federal Agencies I do anticipate requirements from Other Federal Agencies

Please see **Enclosure C**: Requirements for Other Federal Agency Programs for additional information in support of this request*

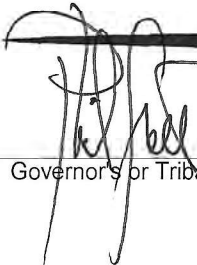
14. Findings and Certifications

I certify the following:

- a. I have determined that this incident is of such severity and magnitude that effective response is beyond the capabilities of the State and the affected local government or Indian tribal government and that supplementary federal assistance is necessary.
- b. In response to this incident, I have taken appropriate action under State or tribal law and have directed the execution of the State or Tribal Emergency Plan on 07/09/23 (expires 12/31/23) in accordance with the Stafford Act.
- c. The State and local governments, or Indian tribal government will assume all applicable non-Federal share of costs required by the Stafford Act.

15. List of Enclosures and Supporting Documentation

Cover Letter Enclosure A (Individual Assistance)* Enclosure B (Public Assistance)*
 Enclosure C (Requirements for Other Federal Agency Programs) Enclosure D (Historic and Current Snowfall Data)
 Additional Supporting Documentation _____



Governor's or Tribal Chief Executive's Signature

9/27/23

Date

If anyone except the Governor or Tribal Chief Executive signs this document, please provide the documentation that establishes that this individual has the legal authority to act on behalf of the Governor or Tribal Chief Executive.

*Not Required for Emergency Declaration Request

Event: Severe Storm and Flooding

Start Date	End Date	Description	FHWA Declaration	Status
8/3/2023	8/5/2023	Severe Storm and Flooding		Active

County	Debris Removal (Cat. A)	Protective Measures (Cat. B)	Roads and Bridges (Cat. C)	Water Control Facilities (Cat. D)	Buildings and Equipment (Cat. E)	Utilities (Cat. F)	Parks, Recreation (Cat. G)	Total	POP	\$/CAP	\$4.44/ CAP	Threshold Met
Addison	-	46,779.00	1,159,585.00	-	-	39,724.00	-	1,246,088.00	37,363	33.35	165,891.72	Yes
Bennington	-	-	-	-	-	-	-	-	37,347	-	165,820.68	No
Caledonia	-	-	-	-	-	-	-	-	30,233	-	134,234.52	No
Chittenden	-	-	-	-	-	-	-	-	168,323	-	747,354.12	No
Essex	-	-	-	-	-	-	-	-	5,920	-	26,284.80	No
Franklin	-	-	-	-	-	-	-	-	49,946	-	221,760.24	No
Grand Isle	-	-	-	-	-	-	-	-	7,293	-	32,380.92	No
Lamoille	-	-	-	-	-	-	-	-	25,945	-	115,195.80	No
Orange	-	-	-	-	-	-	-	-	29,277	-	129,989.88	No
Orleans	-	-	-	-	-	-	-	-	27,393	-	121,624.92	No
Rutland	-	-	102,485.00	-	-	-	-	102,485.00	60,572	1.69	268,939.68	No
Washington	-	-	-	-	-	-	-	-	59,807	-	265,543.08	No
Windham	-	-	-	-	-	-	-	-	45,905	-	203,818.20	No
Windsor	-	-	-	-	-	-	-	-	57,753	-	256,423.32	No
State Agencies	-	-	-	-	-	-	-	-	State POP	\$/CAP	\$1.77/CAP	Short
Totals:	-	46,779.00	1,262,070.00	-	-	39,724.00	-	1,348,573.00	643,077	2.10	2,855,262.00	

Application for Federal Assistance SF-424

1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Applicant	2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	If Revision, select Appropriate letter(s): N/A Other (Specify): N/A
--	--	--

3. Date Received 11/02/2023	4. Applicant Identifier 000-U6HEW-00
--------------------------------	---

5a. Federal Entity Identifier 000-U6HEW-00	5b. Federal Award Identifier: PA-I-VT-4744
---	---

State Use Only:

6. Date Received by State: N/A	7. State Applicant Identifier:
--------------------------------	--------------------------------

8. Applicant Information:

a. Legal Name: Vermont Department of Public Safety (000-U6HEW-00)	
b. Employer/Taxpayer Identification Number (EIN/TIN) 03-6000264	c. Unique Entity Identifier (UEI): LALMDNWSYKT1

d. Address:

Street1: 45 State Drive	State: Vermont
Street2: --	Province: --
City: Waterbury	Country: USA
County/Parish: Washington County	Zip: 05671

e. Organizational Unit:

Department Name: Department of Public Safety	Division Name: Vermont Emergency Management
---	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: --
First Name: Kim
Middle Name: --
Last Name: Canarecci
Suffix: --

Title: Public Assistance Officer

Organization Affiliation: Vermont Department of Public Safety (000-U6HEW-00)

Telephone Number: (802) 585-4209	Fax Number: --
----------------------------------	----------------

Email: kim.canarecci@vermont.gov

Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:

State Government

Type of Applicant 2: Select Applicant Type:

N/A

Type of Applicant 3: Select Applicant Type:

N/A

Other (specify)

N/A

10. Name of Federal Agency:

Federal Emergency Management Agency

11. Catalog of Federal Domestic Assistance Number:

97.036

CFDA Title:

PUBLIC ASSISTANCE

12. Funding Opportunity Number:

N/A

Title:

N/A

13. Competition Identification Number:

N/A

Title:

N/A

14. Areas Affected by Project (Cities, Counties, States, etc.)

Addison County

15. Descriptive Title of Applicant's Project:

DR-4744 Vermont Severe Storms and Flooding, August 3-5, 2023.

Application for Federal Assistance SF-424

16. Congressional Districts of:

- a. Applicant VT-000
- b. Program/Project VT-000

17. Proposed Project:

- a. Start Date: 8/03/2023
- b. End Date: 10/06/2027

18. Estimated Funding (\$):

a. Federal:	\$1,021,792.00
b. Applicant:	\$0.00
c. State:	\$144,255.00
d. Local:	\$167,267.00
e. Other:	\$0.00
f. Program Income:	\$0.00
g. TOTAL:	\$1,333,314.00

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

- Yes No

If "Yes", provide explanation and attach

21. By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

- ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Application for Federal Assistance SF-424

Authorized Representative:

Prefix: --
First Name: Douglas
Middle Name: R.
Last Name: Farnham
Suffix: --

Title: Deputy Secretary, VT Agency of Administration - Alternate GAR

Telephone Number: (802) 585-8119

Fax Number: --

Email: Douglas.Farnham@vermont.gov

Signature of Authorized Representative:

DocuSigned by:
Douglas Farnham
41948B1C0A36415...

Date Signed:
11/3/2023

Assurances - Non-Construction Programs SF-424B

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681- 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

Assurances - Non-Construction Programs SF-424B

8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally-assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system. Will assist the awarding agency in assuring compliance with

Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

Signature of Authorized Certifying Official:

DocuSigned by:

Douglas Farnham

Title: Deputy Secretary, VT Agency of Administration - Alternate GAR

Applicant Organization:

Vermont Department of Public Safety (000-U6HEW-00)

Date Submitted:

Assurances - Construction Programs SF-424D

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

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Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and

Assurances - Construction Programs SF-424D

290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.

14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990;

(d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).


18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

DocuSigned by:

Signature of Authorized Certifying Official:



41948B1C0A36415...

Title: Deputy Secretary, VT Agency of
Administration - Alternate GAR

Applicant Organization:

Vermont Department of Public Safety (000-U6HEW-00)

Date Submitted:

Certification Regarding Lobbying

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Applicant Organization:

Vermont Department of Public Safety (000-U6HEW-00)

Printed Name and Title of Authorized Representative:

Prefix: --
First Name: Douglas
Middle Name: R.
Last Name: Farnham
Suffix: --

Title:

Deputy Secretary, VT Agency of Administration - Alternate GAR

Signature:

DocuSigned by:
Douglas Farnham
41948B1C0A36415...

Date:

11/3/2023