



SEN. ANN CUMMINGS, CHAIR
REP. MARTHA HEATH, VICE CHAIR
REP. CAROLYN BRANAGAN, CLERK
REP. JANET ANCEL
SEN. JOHN CAMPBELL

REP. MITZI JOHNSON
SEN. M. JANE KITCHEL
SEN. RICHARD SEARS
REP. DAVID SHARPE
SEN. DIANE SNELLING

STATE OF VERMONT
GENERAL ASSEMBLY
JOINT FISCAL COMMITTEE

Agenda

Room 10, State House

Wednesday, September 19, 2012

- 9:30 a.m. Call to order and approve minutes of July 20, 2012
- 9:35 a.m. A. Joint Fiscal Office Updates/Issues
1. Fiscal Officer's Report – Stephen Klein, Chief Fiscal Officer *[doc]*
 2. Education Fund - Mark Perrault *[doc]*
 3. FY2014 Budget Development Update – Stephanie Barrett/Stephen Klein *[doc]*
- 10:00 a.m. B. Grant Approval - #2581: 23 limited service positions in DVHA **{APPROVED}**
Robin Lunge, Director of Health Care Reform, Agency of Administration *[doc]*
David Yacovone, Commissioner, Department for Children & Families
- 10:15 a.m. C. Administration's Updates/Issues
1. Irene Recovery Updates:
 - a. FEMA [Sec. E.100 of Act 162 of 2012] *[doc]*
Jeb Spaulding, Secretary, Michael Clasen, Deputy Secretary, and Sue Minter,
Irene Recovery Officer, Agency of Administration
 - b. Waterbury Complex
Jeb Spaulding, Michael Clasen, and
Michael Obuchowski, Commissioner, Dept. of Buildings & General Service
 - c. Mental Health System Update *[doc]*
Patrick Flood, Commissioner, Department of Mental Health
- 10:45 a.m. 2. Web Portal Board – Fee Request – Surplus Property **{APPROVED}** *[doc]*
Edward Von Turkovich, Director, Government Business Services, Department
of Buildings & General Services;
Harry Bell, Director of Web Services, Department of Information and
Innovation;
Jamie Gage, General Manager, Vermont Information Consortium
- 11:10 a.m. 3. Fiscal Updates and Rescissions *[doc]*
James Reardon, Commissioner, Department of Finance & Management
- a. Funds Status Closeout Report for FY2012
(A) Education; (B) Transportation; (C) General
 - b. General Fund and Transportation Fund Balance Reserves
[32 V.S.A. § 308c(d) as amended by Act 162 Sec. D.102 of 2012]
Human Service Caseload Reserve [32 V.S.A. § 308b(b)]

- c. Report on FY2013 Budget Adjustment Pressures
- d. Veteran's Home Update
- e. Report on FY 2014 Budget Development System & Process *[doc]*
- f. Mosquito Spraying Funding *[doc]*
- g. FY2013 Transportation Rescission Plan [32 V.S.A. § 704(b)(1) and (c)]
Brian Searles, Secretary, and Lenny LeBlanc, Assistant Director of Finance & Administration Agency of Transportation **{APPROVED}** *[doc]*

- 11:50 a.m. 4. LIHEAP and Utility Discount Program:
- a. Utility Discount Program and Related Positions **{APPROVED}**
Dave Yacovone, Commissioner, and Richard Moffi, Fuel Assistance Program Chief, Department for Children & Families *[doc]*
 - b. LIHEAP – Federal Funding Update, and Status of List of Cost Savings [request 7/20/12-Heath] Commissioner Yacovone and Richard Moffi *[doc]*
- 12:15 p.m. D. Burlington Tax Incremental Financing (TIF) *[doc]*
Miro Weinberger, Mayor, City of Burlington
Peter Owens, Director, Burlington Community & Economic Dev. Office (CEDO)
Eileen M. Blackwood, Esq., City Attorney, Burlington
- 12:56 p.m. Adjourn [Next Meeting: Thursday, November 15, 2012]

Other Reports:

- I. Joint annual report on Vermont Economic Growth Incentives (VEGI) [32 V.S.A. § 5930b(e) as amended by Act 143, Sec. 19 of 2012] [Department of Taxes and VT Economic Progress Council] [Received]
- II. Health IT Fund Annual Report [32 V.S.A. § 10301(g)] [Department of Vermont Health Access] [Received]
- III. Global Commitment Fund Estimated Fiscal Yearend Report of Managed Care Investments. [33 V.S.A. § 1901e(c)] [Agency of Human Services] [Received]
- IV. Global Commitment Appropriations; Transfer; Yearend Report [Act 75, § 70 of 2012] [Agency of Human Services] [Received]



STATE OF VERMONT
LEGISLATIVE JOINT FISCAL COMMITTEE

Wednesday, September 19, 2012

Minutes

Members present: Representatives Ancel, Heath, and Sharpe, and Senators Campbell, Cummings, Kitchel, Sears, and Snelling.

Other Attendees: Senator Hartwell, Administration, Joint Fiscal Office, and Legislative Council staff, and various media, lobbyists, advocacy groups, and members of the public.

The Chair, Senator Ann Cummings, called the meeting to order at 9:34 a.m. Representative Heath moved to adopt the minutes of July 20, 2012 as written, and Senator Kitchel seconded the motion. The Committee approved the motion and adopted the minutes.

A. Joint Fiscal Office Updates/Issues – 1. Fiscal Officer's Report:

Stephen Klein, Chief Fiscal Officer, Joint Fiscal Office (JFO), summarized his report and invited questions but none was raised.

2. Education Fund:

Mark Perrault, Fiscal Analyst, JFO, distributed a revised education fund outlook for FY2013. He informed the committee that it now appeared FY2013 will end with a full stabilization reserve, and a surplus of roughly \$12 million for carryforward into FY2014. This is an \$11 million improvement over what we anticipated when the legislature adjourned last May. In addition, he stated that the property tax adjustment for FY2013 was overestimated by as much as \$10 million. This is not yet reflected on the revised education outlook, but would increase the FY2013 surplus to roughly \$22 million.

Mr. Perrault also told the committee that legislative and administrative staffers were working on a consensus education fund outlook for FY2014. This outlook will be the basis of the Department of Taxes commissioner's December 1 recommendation to the legislature for FY2014 education tax rates. Although the outlook for FY2014 has improved significantly, an increase of 2 to 3 cents will be necessary, assuming that school spending grows at the rate of inflation.

3. FY2014 Budget Development Update:

Mr. Klein and Stephanie Barrett, Associate Fiscal Officer, JFO, distributed information on the FY2014 Budget Gap (non-consensus), and summarized that there was modest growth in the FY2014 Medicaid budget. However, there were pressures in federal funding areas, such as the Federal Medical Assistance Percentages (FMAP) of 1% or an estimated \$22 million reduction, further reductions in the Low Income Heating and Energy Assistance Program (LIHEAP), and possibly in other programs.

Ms. Barrett stated that state retirement continued to be a budget pressure for FY2014, with the largest pressure in the teachers' retirement fund. Senator Snelling asked what resources are needed to break even on the retirement funds. Mr. Klein offered that the Treasurer's Office will be producing an actuarial report in October, and the JFO would then use the retirement data within the report to produce information on the issue. Mr. Klein agreed with Senator Kitchel there were three problem areas within the state retirement system. These include: the level of retirement funding itself; the payment of teachers retiree health care from the retirement fund rather than separately; and the general problem that the state has not addressed actuarial funding for retiree health care obligations.

Ms. Barrett finished summarizing the FY2014 budget gap issues. Mr. Klein mentioned that at the Vermont Debt Affordability Committee meeting, the Treasurer noted that Vermont received praise from the bond rating agencies, Moody's S&P and Fitch. The agencies noted that creating a Rainy Day Fund Reserve in addition to its Stabilization Fund Reserve contributed to improvements in Vermont's rating outlook.

B. Grant Approval - #2581: 23 limited service positions in DVHA

Robin Lunge, Director of Health Care Reform, Agency of Administration, and Dave Yacovone, Commissioner, Department for Children and Families (DCF), gave a brief summary of the position request proposal. The Agency of Human Services has a 30-year-old computer system to be replaced by an integrated system, known as the Health Services Enterprise System, funded through 90/10 federal funding. The agency's plan is to retrain its current staff on how best to utilize the new system. The agency was requesting 23 new limited service positions with the majority of those positions hired within DCF as information technology support to develop the new system.

Senator Sears asked whether the agency was clear that the requested positions were temporary. Senator Campbell echoed additional comments from Senator Sears that in the past limited service positions have been converted to permanent and then funded through all state funds. Representative Heath added that in order to be successful with a new system of this capacity, she expected up-front work to map out the agency's needs. Senator Campbell agreed the positions were a good investment but requested information on the total financial impacts over the next few years while the positions were in place. Senator Kitchel added that the agency's old Access computer system was a huge risk because of a lack of software support and the age of the system. She opined that the state had postponed as long as was possible and was in danger of the system failing. Senator Sears stated that he supported the positions as long as it was clear that the positions were temporary.

Senator Cummings asked whether the positions would be specialized. Ms. Lunge responded that some of the positions were specific to information technology and others were testers for the system in DCF. Commissioner Yacovone clarified that 14 of the positions were testers and 5 would be specialized in information technology. The remainder of the positions would be distributed between the Department of Vermont Health Access (DVHA) and the Department of Health to assist them with the implementation of the new system. Senator Snelling inquired as to the total number of positions to the agency, including the positions approved for the health care exchange system in the 2012 session, along with a summary of their job descriptions. Ms. Lunge and Commissioner Yacovone promised to send a summary of all the approved positions in 2012 to the committee. Representative Sharpe suggested there were issues with maintenance overtime from in-

house computer systems and that open source systems were more viable because any vendor could service them. Representative Ancel requested that the position summary information include how long the positions would be utilized. Senator Sears asked if the administration were in contact with other states that were involved in a similar change. Ms. Lunge responded they were very engaged with the states of Oregon and Massachusetts on their discovered learning curves and also with Centers for Medicare and Medicaid Services (CMS). Representative Heath moved to approve the 23 limited service positions in DVHA and Representative Ancel seconded the motion. The Committee approved the motion. Senator Kitchel requested that the information on the position details be sent to the JFO for distribution to the committee.

C. Administration's Updates/Issues – 1. Irene Recovery Updates – a. FEMA and b. Waterbury Complex

Jeb Spaulding, Secretary, Michael Clasen, Deputy Secretary, and Sue Minter, Irene Recovery Officer, Agency of Administration, explained that there had been recent FEMA staff changes but the agency was developing new relationships with the current FEMA staff to encourage a better understanding of Vermont's issues in the recovery of Irene. Secretary Spaulding advised that it could be months before actual funding amounts are known; within that context, the Governor made the decision to move ahead with the reconstruction of the Waterbury state building and the Vermont State Hospital.

Senator Sears raised the issue that individuals waiting for funds for an approved home buy-out had to wait for approval from FEMA before demolishing the house, which had been slower than anticipated. Ms. Minter responded that she knew of such situations, and the state recovery office was working diligently to move the paperwork faster. She confirmed Representative Sharpe's understanding that land within the buyout program had to remain undeveloped as a mandate of the program.

Minter stated that currently 3,200 project worksheets had made it through the FEMA approval process. Issues have arisen where FEMA has denied some municipal reconstruction costs based on state permitting standards. Minter explained that the state was taking the advocate role for municipalities by filing appeals to FEMA decisions.

Spaulding distributed and summarized a report on Federal Emergency Management Agency (FEMA) funding for Tropical Storm Irene recovery. He made it clear that the funding amounts in the report were just projections for FEMA public assistance, and were not final funding amounts. Spaulding responded to Senator Campbell's question regarding consultants by stating that the claims experts, Witt Associates, had been hired by the state and were part of the state team. He added that the Attorney General's Office and the Witt Associates were working to create a strongest case possible to the regional administrator of FEMA for a full replacement of the State Hospital and that it should be ready in October 2012.

Spaulding stated that the administration was confident that by moving ahead with the State Hospital, it would not jeopardize possible FEMA funding. He then provided a scaled master plan map of the Waterbury Complex. The architects estimated \$3 million savings in operating costs because of the energy efficiency of the new facility. There were opportunities for public – private relationships within the complex. The total price tag of the complex is projected at \$125 million. It

would reduce overall state lease costs from current temporary offices. It was possible to scale back the project by reducing the amount of staff to return to the complex (950). Other possible contingencies for funding the new complex were to sell the state building in Essex (#617), reprioritize the funds in the capital bill over the next couple of years, and transfer some unobligated funds at the end of the fiscal year toward the project.

Representative Sharpe requested information on other savings from the construction of the Waterbury Complex, including the savings from permanent leases. Representative Heath further requested that employees in leased spaces that were formerly at owned spaces should be included in the savings information. Senator Campbell suggested that the administration list the FEMA requirements prior to construction of the Waterbury Complex and State Hospital, and deliver this information to the 2013 legislature so members will better understand possible delays to the projects.

Representative Heath inquired what the range was for the insurance claim on the Waterbury Complex. Spaulding explained that the total insurance reimbursement from past and future amounts was estimated at \$50 to \$60 million, with \$25 to \$30 million for stabilization and abatement, and \$15 to \$25 million for costs going forward. Commissioner Obuchowski agreed with the secretary, and added that the amounts could potentially increase once FEMA tours the complex buildings and reassesses the damage. Representative Heath asked for the costs of the state hospital. Spaulding responded that the entire package of costs was estimated at \$45 million, which included the \$28.5 million for the new Berlin facility and all the other facilities being utilized and remodeled to accommodate additional beds throughout the state. Obuchowski added that the numbers were reflected on the administration's July 20, 2012 JFC meeting handout.

c. Mental Health System Update:

Patrick Flood, Commissioner, Department of Mental Health, distributed and summarized an update on the Act 79 implementation. He stated that the new state hospital facility in Berlin was moving on schedule, and that the emergency Certificate of Need (CON) would be prepared and submitted on time to allow for a possible ground-breaking by late November and opening the facility in January 1, 2014. Brattleboro Retreat was anticipated to be done with its renovations in February 2013 and Rutland by March 1 or February 28 of 2013. Another provision in Act 79 called for developing a 7-bed acute care secure residential facility, which has had the most delays due to a lack of a confirmed site location. Currently, the department is waiting on the permitting process of the site but anticipates completion of the permitting by Thanksgiving. Flood responded to Senator Snelling's inquiry on additional assistance to hospitals for emergency mental health care that his department would brainstorm ways to take the pressure off of the facilities until all the permanent facilities were ready.

2. Web Portal Board – Fee Request – Surplus Property

Ed Von Turkovich, Director, Government Business Services, Department of Buildings & General Services; Harry Bell, Director of Web Services, Department of Information & Innovation; and Jaime Gage, General Manager, Vermont Information Consortium, referred to information sent earlier to members and summarized the fee request. Senator Cummings inquired if the state had other similar payment card fees. Von Turkovich stated the Department of Motor Vehicles and the court system both had the same card payment fee structure. Senator Campbell asked the amount of

revenue anticipated from the state's portion of the fee. Von Turkovich stated the estimated reflected a minimal amount. Representative Sharpe mentioned the new federal banking laws on credit cards, charge backs, and retail transactions. Von Turkovich stated the department would post the fee prominently so that buyers understand the additional charge if using a debit card.

Senator Campbell moved to approve the fee request from the Web Portal Board, and Senator Kitchel seconded the motion. The Committee approved the motion and request with Senator Sears and Representative Sharpe opposing the motion.

3. Fiscal Updates and Rescissions – a. Funds Status Closeout Report for FY2012 – Education, Transportation, and General Funds.

James Reardon, Commissioner, Department of Finance & Management, distributed information on the final closeout results of the FY2012 state budget. He highlighted areas, such as \$11.33 million in available funds for the Waterbury Complex reconstruction as directed by Sec. C.205 of Act 162 of 2012. Representative Sharpe brought to light a typo in the handout in the Transportation Fund Revenue that should be written as -3.046.

b. General Fund and Transportation Fund Balance Reserves and Human Service Caseload Reserve

Commissioner Reardon continued into his summary of the FY2012 General and Transportation Fund Balance Reserves and the FY2012 Human Services Caseload Reserve found on page 2 of the previous handout.

c. Report on FY2013 Budget Adjustment Pressures

Commissioner Reardon summarized a list of possible FY2013 budget adjustment items compiled on his previous handout. Other possible areas of BAA could include additional revenue from Direct Applications/Reversions; additional funds to the Sarcoidosis Fund; possible loss of revenue from the Vermont Yankee generating tax because Entergy has filed litigation opposing the tax; additional funds to Federal Surplus Property—past amounts have been \$250,000; and additional funds to the Liability Insurance Fund for the data mining lawsuit that came in about \$1 million higher than anticipated. The administration was actively working with JFO on a consensus federal funding reductions analysis to place in the BAA item list. Reardon added to the list, possible corrections caseload issues, federal funding reductions to LIHEAP, and possible additional child care (STARS) program reimbursements. A positive BAA item is FY2012 showing an additional \$7 million surplus of General Fund in the Medicaid program than originally estimated.

d. Veterans' Home Update

Commissioner Reardon explained there were issues around the Vermont Veterans' Home federal funding because of a complication from compliance issue. Due to the compliance issue, the Veterans' Home could lose Medicare and Medicaid certification, putting it at risk of losing its federal funding (\$10-\$12 million). The administration was working closely with the Veterans' Home to bring it into compliance before the September 28 deadline imposed by the federal government. Senator Kitchel asked what the remedies or key elements were to correct the compliance problem. Reardon stated issues to be addressed included: a kitchen problem, the proper way to apply infection control bandages, and timely reporting of incidents. He suggested the facility do a better job of scheduling its staff, but the amount of staff was not necessarily the issue. Recently, an incident of a staff striking a patient occurred, which further exacerbated an already difficult situation at the

facility. Senator Sears commented that there was also friction between the Vermont State Employees' Association and the administration on staffing issues.

e. Report on FY2014 Budget Development System & Process

Reardon and Matt Riven, Director, Budget and Management Division, Department of Finance and Management, distributed and summarized information on the FY2014 budget development system (Vantage) and process. Reardon explained that the FY2014 budget instructions may be distributed to agencies and departments the first week of October.

Reardon explained that the budget development system was only open to the Department of Finance and Management staff at this time but the field staff would be completing their training over the next few weeks. There were many new features with the Vantage system that the department would delay using until it was more comfortable using them. Representative Sharpe shared his frustration of the inability to show trends of state programs with the current budget system and queried when the department would be ready to show that data using the new system. Riven responded that the data entry for programs had not been completed to allow for a FY2014 analysis but historic expense data should be more readable, and query abilities will allow for a faster response to requests for information. The Administration will also have public meetings on current service levels, and distribution of a schedule is planned for October 1, with meetings starting after November 5.

f. Mosquito Spraying Funding

Reardon distributed information and summarized the issue. For FY2013, \$164,000 was appropriated for mosquito spraying, but the department anticipates the need for an additional \$228,000. Part of the additional funds was from a misallocation for a federal audit in the Agency of Agriculture, Food and Markets. There was also a reduction in the federal funding for the West Nile Virus Program. After deducting excess revenue in agricultural programs from the deficit amount, the deficit was reduced to \$80,000. Representative Sharpe asked if there were funding available for combatting the Triple E West Nile Virus that claimed the lives of two Vermonters in 2012. Reardon explained that there were resources set aside to combat this issue as well. Reardon finalized his testimony by adding that the Emergency Relief Assistance Fund (ERAF) had a current balance of \$15 million, \$12 million of which was unobligated at this time.

g. FY2013 Transportation Rescission Plan

Brian Searles, Secretary, and Lenny LeBlanc, Assistant Director of Finance and Administration, Agency of Transportation, distributed a memo and spreadsheet dated September 11, 2012 from Secretary Spaulding. Searles explained the rescission plan was in response to a revenue downturn of \$4.9 million. He summarized the itemized list of estimated adjustments for FY2013. Representative Heath moved to adopt the FY2013 Interim Budget and Appropriation Adjustment Plan, and Representative Ancel seconded the motion. The committee approved the motion.

4. LIHEAP and Utility Discount Program – a. Utility Discount Program and Related Positions

Dave Yacovone, Commissioner, and Richard Moffi, Fuel Assistance Program Chief, Department for Children and Families, distributed and then summarized information on the utility discount program, and the 8 limited service positions requested to run the program. Commissioner Yacovone stated that the expectation was for the program to start December 15, 2012. He added

that the program allowed for the forgiveness of their electric bill upon entering the program, but cost estimates were not yet available for that portion of the program. Moffi explained the eligibility structure that Green Mountain Power and the Public Service Board agree upon for the program.

Senator Kitchel stated that advocacy groups were anxious to have the program up and running as another tool to counter the continual reductions in federal funding to the Low Income Heating and Energy Assistance Program (LIHEAP). She clarified that the program was a public-private partnership that was paid for through utility rates and that there would be expansion to gas through Vermont Gas. The requested positions were state staff but paid for by the utility-based program. Representative Heath moved to approve the request for 8 limited service positions for the Utility Discount Program, and Senator Kitchel seconded the motion. The committee approved the motion.

LIHEAP – Federal Funding Update, and Status of List of Cost Savings

Representative Sharpe asked if the Fuel Assistance Program was paying a higher price to fuel dealers than others in the state. Moffi responded that the statewide average for fuel was \$3.61 a gallon but program participants were able to shop around for a better price. Moffi referred to a report distributed to the committee earlier, “Report to the Administration and the Legislature -- Recommended Fuel Program Changes for Long-Term Sustainability per VT Emergency Board Resolution,” dated January 3, 2012, and highlighted the section that listed ways to reduce or make consistent fuel dealer pricing. Representative Sharpe then inquired if program participants were able to use Fuel Assistance Program funds to buy alternative fuels, such as pellets. Moffi replied that under the current rules, the client decides on the primary type of single fuel they will use that heating season, and then the funds they are eligible for would be sent to a fuel dealer of their choice.

Representative Ancel suggested that a broader and more expansive discussion occur in the future on how the Fuel Assistance Program could better supplement Vermonters’ needs. Representative Heath commented that it was her hope that the administration and the legislature would ambitiously heed the recommendations of the department from the March 2012 report since there were other states receiving better fuel rates than Vermont. It was obvious that Vermont should be tougher in negotiations with fuel dealers because of the inequities.

D. Burlington Tax Incremental Financing (TIF)

Miro Weinberger, Mayor, and Peter Owens, Director, Burlington Community and Economic Development Office (CEDO), and Eileen M. Blackwood, Esq., Attorney, City of Burlington, explained the current status of the Burlington TIF district. Representative Ancel referred to a briefing memo from Sara Teachout of the Joint Fiscal Office for the history of the Burlington TIF. Mayor Weinberger stated the city planned to initiate a competitive request for proposal process for the TIF site, along with other initiatives that would soon follow.

Senator Campbell expressed concern regarding the State Auditor’s recent report that found fault with the City of Burlington’s TIF administration, and the possible impact to the statewide Education Fund. Mayor Weinberger responded that the City of Burlington’s attorneys submitted a detailed response to the Auditor that explained why they did not agree with his office’s position and findings. He suggested that it would be helpful for the city to have some ambiguities clarified within

the TIF process, and his team would be happy to participate in such a process. Ms. Blackwood referred to some visual charts the city brought of the TIF district.

The Committee decided to meet next on Thursday, November 15, 2012 at 1:00 p.m., and then adjourned at 12:56 p.m.

Respectfully Submitted,



Theresa L. Utton-Jerman
Legislative Joint Fiscal Office

Joint Fiscal Office

One Baldwin Street • Montpelier, VT 05633-5701 • (802) 828-2295 • Fax: (802) 828-2483

MEMORANDUM

To: Senator Ann Cummings, Chair, Representative Martha Heath, Vice Chair, and Members of the Joint Fiscal Committee

From: Stephen Klein, Chief Fiscal Officer

Date: September 5, 2012

Subject: September 2012 – Fiscal Officers’ Report

What follows is an update on post-session developments, some of which will be on the agenda for the September Joint Fiscal Committee meeting.

1. FY2013 September Fiscal Update:

- a. Closeout – The General Fund closeout is close to being finalized. At this point, the year ended with an \$11.3 million surplus which, according to the language of the budget, has been appropriated to the Secretary of Administration for transfer, upon approval of the Emergency Board, to the Department of Buildings and General Services for pending state building projects in Central Vermont that are a direct result of the impact of damage sustained to state properties at the Waterbury Complex from Tropical Storm Irene. As this was an appropriation, it raises the reserve requirement for FY2013, which is based on 5% of prior year appropriations.
- b. Revenues – Through the first two months of the fiscal year, General Fund revenues are just on target. Achieving the monthly target is largely due to a \$3-million estate windfall in August. The key areas of shortfall are in personal income withholding and corporate tax – especially refunds. September is an important month and will offer a clearer picture of the revenue trends. The Transportation Fund is \$200,000 under target, and the Education Fund is \$200,000 over, both close to projections.
- c. Budget Developments – FY2013 started out with a revenue shortfall due to the July forecast revision. At present, that starting shortfall is estimated at \$8 million. As of yet, there are no major budget adjustment needs identified, although there may be needs in Corrections, the Department for Children and Families, and costs incurred in certain litigation, such as the prescription drug monitoring case.
- d. LIHEAP – continues to be a known risk. We have assumed federal government’s level-funding for our projections. Without program changes, for Vermont to equal the benefit expenditure level of last year, we would need to add about \$8.5 million of state funds after application of the available carryforward in the program. The level funding assumption may be optimistic. We are hopeful that we will know more after Congress completes the continuing resolution in the coming weeks. A discussion of

LIHEAP will occur at the upcoming JFC meeting. As noted in July, over the past three years, oil fuel benefits averaged:

| | Benefit | | |
|------|-------------|----------|-----|
| i. | 2011 – 2012 | \$ 866 | 34% |
| ii. | 2010 – 2011 | \$ 1,064 | 52% |
| iii. | 2009 – 2010 | \$ 1,718 | 86% |

This change in benefit levels is due to a planned expansion of eligible beneficiaries. In a related issue, the Green Mountain Power/CVPS 25% discount program for those under 150% of poverty is moving forward. The LIHEAP program has been asked to help administrat the program in order for a December 1 start date. Green Mountain Power will be paying the LILHEAP program for its work. As this relationship is somewhat unusual, we have asked that it be brought to the JFC meeting for its consideration. Materials are included in the package. A related requirement for a natural gas discount up to 200% of poverty has not yet been implemented, but the same type of relationship is being contemplated.

- e. FY2014 Budget Gap – In May, the FY2014 budget gap was projected to be \$5 to \$44 million. Updated information indicates the gap will very likely exceed these numbers. The July forecast revision reduced the revenue estimate for FY2014 by \$13.3 million. We are also expecting to see a potentially stronger impact of federal fund reductions in FY2014. Some of the shortfall may be offset by a two-year increase in FMAP for Vermont, due to provisions in the Federal ACA act. Provisions were added for states like Vermont, which had higher coverage already in place. The JFO staff has been meeting with the Department of Finance and Management to develop a consensus budget gap projection for FY2014.
- f. FY2014 Budget Process – The FY2013 budget called for the development of a “current services budget for FY2014” and a larger public process in building the FY2014 budget. The Commissioner of the Department of Finance and Management is working on developing this process.

2. FEMA Update:

An update on the status of FEMA funding will be made available at the September 19, 2012 JFC meeting. The State and FEMA are engaged in discussions. The State is working with consultants to assist with the FEMA process. Vermont has not received any range of estimated receipts from FEMA.

3. The Mental Health System Transition:

Commissioner Flood is planning on providing the JFC with a briefing on the mental health system transition. Plans for the new state hospital are close to being completed.

4. **Results First Initiative:**

The work with the Pew Foundation on the Results First model continues to develop. We have begun to use the analytical model on the corrections program and we will be sharing our results with the Department of Corrections to check the information and results that we are gathering. We are also working to identify how and to what extent the data can be used by the Legislature. We hope to have preliminary outputs to share with the committee and the Administration by the November JFC meeting. The process has been slow as we need to take the time to check the quality of the results. We are also moving to apply the modeling technique to the juvenile justice area.

5. **Education Finance:**

A revised education fund outlook sheet will be available at the September 19, 2012 JFC meeting. One of the issues we are trying to understand is why the estimate for property tax adjustments in FY2012 was too high. This will have an impact on the current education fund outlook and to a greater extent, if it continues, in future years.

6. **Health Care Reform:**

- a. The administration is moving forward in its work on Health Care Reform. The state received a third exchange grant for \$104 million, which should carry us through 2014. Over the coming month, we will be working on a summary of issues related to financing which the legislature will likely address in the upcoming session.
- b. One area where additional spending may arise is provider reimbursement increases which are coupled with participation in the states' payment reform initiative. Providers are being asked to participate in this initiative and, as part of that participation, the Administration is considering a reduction in the cost shift by increasing reimbursements.
- c. The Fiscal Office will have a UVM/MPA program intern working with us in health care through the upcoming session. Her name is Erin Flynn.

7. **Medicaid:**

- a. JFO and administration have discussions underway to develop base Medicaid estimates for use in the budget development. Baseline caseload projections are nearly complete, and utilization estimates are underway as well as discussions on the changes that implementation of the exchange will have on the FY2014 budget. We expect some modest growth overall and intend to have a baseline consensus reached three months earlier than usual so assumptions and models can be vetted throughout the process.
- b. One issue has arisen within the Global Commitment (GC) waiver. Although we are well within the overall spending limits, we may be reaching the limit on the amount of room for MCO investments we can make based on the actuarial analysis of base medical spending trends. In FY2012, the MCO investment amount increased significantly because of the mental health expenses that were covered through MCO investments.

This could impact the funding plans for the Vermont State Hospital and for other expansions not covered by Medicaid. The current GC waiver expires Jan. 1, 2014. The proposal for renewal and combining the GC and Choices for Care (CFC) waivers may mitigate this somewhat.

8. Burlington TIF:

There appear to be significant changes in the proposed improvements to be paid for with funds from the Burlington Waterfront TIF district, since the business plan submitted to the Joint Fiscal Committee on August 31, 2009. Therefore, the City of Burlington has been asked to make a presentation to the JFC with an update of the most recent plan for the district. There is no action expected from the committee as the original JFC motion approved the payment schedule and not the content of the TIF business plan. There is a memorandum attached with additional explanation in the package.

9. All Legislator Briefing/New Member Orientation:

- a. We are in the final development stages of the November all Member briefing and the new member orientation which is scheduled for November 28 – 30.
- b. Wednesday, November 28, is scheduled to be an all-legislator briefing. Last year's briefing ran from 10:15 a.m. to 3:30 p.m. The specific issues to be discussed have yet to be finalized. The issues which are likely to be covered are related to health care reform, the budget and federal fund developments, the FEMA/Irene funding status, and possibly an update related to administration initiatives being contemplated.
- c. This would be followed by the start of the new member orientation which would run Wednesday evening through 5:00 p.m. Friday. Friday would be the day of fiscal issues and Friday morning would include a panel of money chairs.

10. Joint Fiscal Office Updates:

- a. The Federal budget presentation by Marcia Howard of the Federal Funds Information service went well. A copy of her presentation is on our website at http://www.leg.state.vt.us/jfo/reports/Legislative%20Briefings/2012_Federal%20Funding%20Update%20-%20Howard.pdf
- b. Kavet Contract – The Department of Public Service (DPS) will be contracting with Tom Kavet to update some economic analysis and serve as an expert witness in Vermont Yankee litigation. This grew out of work Tom Kavet did under contract with the Vermont utilities several years ago. The prior work is accessible from our website at the following links: (1)<http://www.leg.state.vt.us/jfo/envy/Economic%20Analysis%20-%20Executive%20Summary10.pdf> and (2)<http://www.leg.state.vt.us/jfo/envy/Vermont%20Yankee%20Economic%20and%20Fiscal%20Impact%20Study%20Project%20020510.pdf>

Because the initial work was done under a separate contract with the utilities, after consideration and discussion with the money chairs and others, we did not identify that the proposed DPS contract was of sufficient conflict with his revenue work at JFO to warrant a formal objection to this work.

- c. JFO Computer systems – Jeremy Fonte remains out on medical leave but we expect him back in late September. In the interim, we are evaluating our JFO budget system to chart its future. With the changes to the Department of Finance and Management system, the continuing changing technology and software environment, and the relative complexity of our current system to maintain it, it made sense to conduct this review.
- d. Kavet and Picus evaluations – We are in the process of carrying out evaluation surveys of the Kavet and Picus work. This performance evaluation is called for as part of our contracts with these vendors. We have not received the number of responses we would like from survey recipients and are concerned that we may be experiencing some survey fatigue.
- e. We will have two temporary staff positions through the session. First, as we mentioned above, Erin Flynn will be working as a UVM intern in the health care finance area under the direction of Nolan Langweil. Second, Nancy Greenwalt will continue working with us on the implementation of the Results First model. Both of these staff positions are within existing budgeted amounts.
- f. At the suggestion of the Legislative Council, we are developing an MOU between the two offices to formalize some of the offices' interactions. One of the values of this is a clearer expectation of confidentiality when the offices assist each other with projects.

Preliminary Education Fund Outlook

| (millions of dollars) | FY2012 Final | FY2013 Current |
|--|-----------------|-------------------|
| a Base Homestead Property Tax Rate | \$0.87 | \$0.89 |
| Average spending-adjusted tax rate | \$1.27 | \$1.32 |
| b Uniform Non-Homestead Property Tax Rate | \$1.36 | \$1.38 |
| c Base Tax Rate on Household Income | 1.80% | 1.80% |
| Average spending-adjusted tax rate | 2.63% | 2.71% |
| d Base Education Amount Per Pupil | \$8,544 | \$8,723 |
| e Total Equalized Pupil Count | 91,567 | 90,606 |
| f Statewide Education Grand List Growth Rate | -1.6% | -1.5% |
| g Statewide Education Spending Growth Rate | -0.5% | 3.0% |

Sources

| | | |
|---|---------|---------|
| 1 Homestead Education Tax | 528.6 | 540.7 |
| 1a Homestead Property Tax Adjustment | (150.1) | (151.1) |
| 2 Non-Homestead Education Tax | 535.2 | 532.8 |
| 3 Sales & Use Tax (EF allocation to 35% in FY14) | 113.9 | 117.4 |
| 4 Purchase & Use Tax | 27.3 | 28.7 |
| 5 General Fund Transfer | 276.2 | 282.3 |
| Additional one-time transfer (Act 162 sec, D.108) | - | 2.1 |
| 6 Lottery Transfer | 22.3 | 22.4 |
| 7 Medicaid Transfer | 7.9 | 7.6 |
| 8 Vermont Yankee Education Tax | 2.1 | - |
| 9 Other | 0.3 | 0.1 |
| 10 Total Sources | 1,363.8 | 1,383.0 |

Uses

| | | |
|--|---------|---------|
| 11 Education Payment | 1,126.6 | 1,160.5 |
| 12 Special Education | 148.8 | 154.9 |
| 13 State-Placed Students | 15.0 | 15.5 |
| 14 Transportation | 16.3 | 16.4 |
| 15 Technical Education | 12.9 | 13.0 |
| 16 Small Schools | 7.1 | 7.6 |
| 17 EEE Block Grant | 5.8 | 6.0 |
| 18 Adult Education & Literacy | 5.8 | 5.8 |
| 19 Community High School of Vermont | 4.3 | 4.3 |
| 20 Renter Rebate (EF share only) | 5.8 | 6.7 |
| 21 Reappraisal & Listing Payment | 3.2 | 3.2 |
| 22 Other (capital debt aid; VISION & audit fees) | 1.2 | 0.9 |
| 23 Total Uses | 1,352.8 | 1,394.8 |

Allocation of Revenue Surplus/(Deficit)

| | | |
|--|-------|--------|
| 24 Revenue Surplus/(Deficit) | 11.0 | (11.9) |
| 25 Prior-Year Reversions | (2.4) | (5.4) |
| 26 Transfer to/(from) Stabilization Reserve | (0.4) | (1.3) |
| 27 Transfer to/(from) Unreserved/Unallocated | 13.8 | (5.2) |

Stabilization Reserve

| | | |
|---|------|------|
| 28 Prior-Year Stabilization Reserve | 30.3 | 29.8 |
| 29 Stabilization Reserve | 29.8 | 28.5 |
| 30 Percent of Prior-Year Net Appropriations | 5.0% | 5.0% |
| 31 Maximum Reserve Target @ 5.0% | 29.8 | 28.5 |
| 32 Minimum Reserve Target @ 3.5% | 20.9 | 19.9 |

Available Funds

| | | |
|--|------|------|
| 33 Prior-Year Unreserved/Unallocated | 3.4 | 17.2 |
| 34 Current-Year Unreserved/Unallocated | 17.2 | 12.0 |

VERMONT DEPARTMENT OF TAXES
Summary of Individual Income Tax Returns Processed to Date

PLEASE NOTE: This is a summary of returns, profiled by tax year, processed year-to-date. It is not a revenue accounting. It does not reconcile with figures reported by the Department of Finance and Management and may differ from previous years due to changes in workload processing.

This report covers returns processed through September 14, 2012

| Transaction Type | Number of Returns | | \$ Amount of Returns Tax Year | | Average Return \$ | |
|-----------------------------------|-------------------|---------|----------------------------------|-------------|-------------------|----------|
| | 2010 | 2011 | 2010 | 2011 | 2010 | 2011 |
| A. INCOME TAX | | | | | | |
| Overpayments | 237,160 | 240,718 | 104,717,149 | 108,698,728 | 441.55 | 451.56 |
| LESS Applied to Estimated Tax | 10,906 | 10,700 | 9,760,360 | 10,195,474 | 894.95 | 952.85 |
| Total Income Tax Refunds | 230,304 | 233,858 | 94,303,121 | 97,829,473 | 409.47 | 418.33 |
| All Returns | 341,743 | 345,001 | | | | |
| B. EARNED INCOME CREDIT | | | | | | |
| | 43,925 | 44,242 | 25,137,640 | 25,550,132 | 572.29 | 577.51 |
| C. CONTRIBUTIONS | | | | | | |
| Nongame Wildlife | 5,127 | 4,856 | 85,275 | 84,439 | 16.63 | 17.39 |
| Children's Trust Fund | 3,811 | 3,625 | 66,155 | 63,258 | 17.36 | 17.45 |
| Veteran's Fund | 3,071 | 3,040 | 45,856 | 47,534 | 14.93 | 15.64 |
| Total Contributions | 12,009 | 11,521 | 197,286 | 195,231 | 16.43 | 16.95 |
| D. Property Tax Adjustment | | | | | | |
| Total Property Tax Adjustments | 111,942 | 106,711 | 160,042,857 | 151,353,792 | 1,429.69 | 1,418.35 |
| E. RENTER REBATE | | | | | | |
| | 14,171 | 13,890 | 9,094,541 | 9,142,855 | 641.77 | 658.23 |

Notes:

Tax Year refers to the income tax return year in which the claim is filed. Property Tax Adjustments are credited against Fiscal Year 2013

Steve Klein
Stephanie Barrett JFO
9/19/12

FY14 Budget Gap 9/9/2012- Non consensus JFO draft

In May we projected a rough FY14 Gap estimate of up to \$44m. Since then FY14 revenue was downgraded in July by \$16.5M (after incorporating the net impact of enacted changes etc).

We are working with the administration to develop an update to the gap analysis. We anticipate this analysis will indicate a GF operating gap in the \$50 to \$70 million range. Key areas and issues for FY14 and beyond are:

Current Service Level Issues

1. Currently we anticipate continued modest Medicaid growth in FY14.
2. Federal funding changes will continue to exert significant pressure on the budget. There is continued reductions in the FMAP percentage (Medicaid match rate) ; LIHEAP funding will continue to be a pressure as will reductions in other federal funding streams. Some of this may be offset in the short run by ACA (Federal Health Care) provisions.
3. Retirement funding requirements continue to be significantly greater than revenue growth, the majority of which is in the teachers system. We are waiting actuarial numbers for FY 2014.
4. Continued reliance on what could be one-time carry forward balances and the need to replace tobacco funds which are no longer as available.

Structural Issues

1. Retired teacher's healthcare – this expense subset within the retirement appropriation is growing at a very high rate, currently we are funding this in a pay as you go manner with some of the funding being picked up in the teacher's retirement contribution. A multi-year transitional funding plan should be considered.
2. IT infrastructure does not have a stable funding mechanism, the need for replacement and maintenance is consistent and critical to state operations.
3. Retiree health care costs generally remain a major issue. This is known as the OPEB (Other Post-Employment Benefits) liability.

Other Non-GF Issues

1. Irene recovery costs – unknown FEMA participation for replacement of state complex and state hospital
2. Projected transportation infrastructure needs are significantly higher than current state and federal revenues. There is a study group working on this issue



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: September 14, 2012
Subject: Grant Request

Enclosed please find four (4) items that the Joint Fiscal Office has received from the administration.

JFO #2578 – Request to establish **one limited service position** in the Department of Children and Families. This position will lead the rollout of a new commodity food ordering system that will allow recipients to better manage school food programs, menus, and budgets.
[JFO received 9/11/12]

JFO #2579 – In-kind donation of \$25,000 worth of services from State Smart Transportation Initiative (SSTI) to the Vermont Agency of Transportation. SSTI will support the preparation of a comprehensive transportation funding study required under Section 40 of Act 153. The amount of this donation is an estimate of the value of SSTI's work. No funding will be provided directly to, or flow through, the State of Vermont.
[JFO received 9/14/12]

JFO #2580 – \$77,800 worth of land donated by Sarah Scharfenaker and Tom Koehne to the Vermont Department of Fish & Wildlife. This amount represents the value of 37 acres of land to be added to the Calendar Brook Wildlife Management Area.
[JFO received 9/14/12]

JFO #2581 – Request to establish **twenty-three (23) limited service positions** in the Department of Vermont Health Access. These positions will work to design and implement the Health Services Enterprise System, with the intention of modernizing and replacing existing systems with an interoperable, digital, real-time health IT network.
[JFO received 8/20/12]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by September 28 we will assume that you agree to consider as final the Governor's acceptance of these requests.

**STATE OF VERMONT
Joint Fiscal Committee Review
Limited Service - Grant Funded
Position Request Form**

JFO 2581

This form is to be used by agencies and departments when additional grant funded positions are being requested. Review and approval by the Department of Human Resources must be obtained prior to review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report must be attached to this form. Please attach additional pages as necessary to provide enough detail.

Agency/Department: AHS - DVHA Date: 8/17/12

Name and Phone (of the person completing this request): Jill Gould 802-879-8240

Request is for:

- Positions funded and attached to a new grant.
 Positions funded and attached to an existing grant approved by JFO # N/A - Medicaid

1. Name of Granting Agency, Title of Grant, Grant Funding Detail (attach grant documents):

Department of Health and Human Services - Centers for Medicare and Medicaid Services (CMS) 0 Implementation Advanced Planning Document (IAPD) - Vermont Health Enterprise. Award letter attached.

2. List below titles, number of positions in each title, program area, and limited service end date (information should be based on grant award and should match information provided on the RFR) position(s) will be established only after JFC final approval:

| <u>Title* of Position(s) Requested</u> | <u># of Positions</u> | <u>Division/Program</u> | <u>Grant Funding Period/Anticipated End Date</u> |
|---|-----------------------|-------------------------|--|
| List Attached <i>highlighted in yellow</i> | 23 | Various | 9/30/15 |

*Final determination of title and pay grade to be made by the Department of Human Resources Classification Division upon submission and review of Request for Classification Review.

3. Justification for this request as an essential grant program need:

The Vermont Health Enterprise will modernize and replace our Eligibility and Enrollment (E&E) and Medicaid Management Information System (MMIS) while taking advantage of a short-term window of enhanced funding that will build an IT platform that will eventually benefit all of the Human Services Programs. It is imperative that we have these positions to help design, develop and implement the system(s) within the aggressive deadlines.

I certify that this information is correct and that necessary funding, space and equipment for the above position(s) are available (required by 32 VSA Sec. 5(b)).

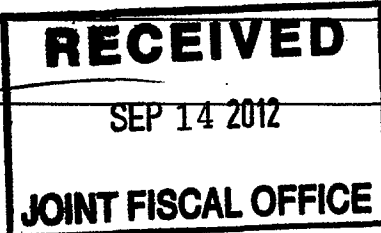
Signature of Agency or Department Head [Signature] Date 8.17.12

Approved/Denied by Department of Human Resources [Signature] Date 8/23/12

Approved/Denied by Finance and Management [Signature] Date 9/11/12

Approved/Denied by Secretary of Administration [Signature] Date 8/11/12

Comments:



AUG 24 2012



State of Vermont
 Department of Vermont Health Access
 312 Hurricane Lane, Suite 201
 Williston VT 05495-2807
 dvha.vermont.gov

[Phone] 802-879-5900
 [Fax] 802-879-5651

Agency of Human Services

TO: Emily Byrne, Budget Analyst
 Department of Finance & Management
 Molly Ordway-Paulger, Director of Classification, Compensation & HRIS
 Department of Personnel

THRU: Doug Racine, Secretary *Douglas A. Racine*
 Agency of Human Services

FROM: Mark Larson, Commissioner
 Department of Vermont Health Access *M*

DATE: August 17, 2012

SUBJECT: JFC – Limited Service Position Request related to Health Enterprise Services IAPD
 (Jumbo IAPD)

Please accept this request to create twenty-three (23) Limited Service Positions related to the Vermont Health Services Enterprise Advanced Planning Document which was approved by CMS May 4, 2012.

These positions are needed for the Design, Development and Implementation of the Health Services Enterprise Systems including the Eligibility and Enrollment System (E&E), Medicaid Management Information Services (MMIS), and the State Medicaid Health Plan (SMHP-HIT).

DVHA has received approved federal funding to support the Health Services Enterprise Project which combines various enhanced funding opportunities into one application “jumbo” IAPD and one award letter so we may more easily leverage core component IT systems that benefit multiple federal programs. This “jumbo” IAPD has increased funding for these projects as well as combined and supersedes four (4) already approved individual IAPD (VIEWS, MMIS, Infrastructure and SHMP) funding and positions. DVHA and CMS have agreed to eventually fold in the funding for the Health Benefits Exchange (HBE) into this award for cost allocation purposes. Although the HBE funding will be awarded through individual grants, that final approved funding will be represented in this “jumbo” documentation for approved cost allocation and tracking purposes. Currently the “Jumbo” IAPD and Level 1 Exchange grant have approved funding for sixty-nine (69) positions; these are listed in the attached document.

In addition, we have applied for and are waiting for the Notice of Grant Award for the Health Benefit Exchange Level 2 funding. When received, we will submit an AA-1 and request for an additional twenty-one (21) limited service positions. The Level 2 Grant Award will support sixty-six (66) positions through implementation. Twenty-nine (29) positions have already been created through the Planning grant, Level 1 or statutory approval, five positions are partially funded base positions, and eleven (11) other positions overlap with the jumbo IAPD E&E project, and have been requested in this submission.

All required and relevant grant documentation has been attached. If you have any questions or are in need of further information, please feel free to give me a call. Thank you for your attention in this matter.

AUG 24 2012



| Job Type | Position Count | Created | New Request | Position Number | FTE | Position Title | Department | Original Program Funding | Funding Source | Comments |
|----------|----------------|---------|-------------|-----------------|------|---|------------|--------------------------|-------------------|----------------------------|
| IT | 1 | 1 | 0 | 720148 | 1 | Project Manager | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 1 |
| IT | 1 | 1 | 0 | 720154 | 1 | Business Analyst | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 2 |
| IT | 1 | 1 | 0 | 720151 | 1 | SOA QA Integrator - Senior Sys Dev | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 3 |
| IT | 1 | 1 | 0 | 720153 | 1 | SOA Developer 1 | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 4 |
| IT | 1 | 1 | 0 | 720152 | 1 | SOA Developer 2 | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 5 |
| IT | 1 | 1 | 0 | 720155 | 1 | Database Administrator | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 6 |
| IT | 1 | 1 | 0 | 720149 | 1 | Admin. Asst. for AHS IT | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 7 |
| IT | 1 | 1 | 0 | 720150 | 1 | Infrastructure / Architecture Security Specialist | AHS IT | HIX | HIX Level 1 Grant | Approved JFO 2550 - 8 |
| Program | 1 | 1 | 0 | 730187 | 1 | Financial Admin I | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 9 |
| Program | 1 | 1 | 0 | 730188 | 1 | Financial Specialist II | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 10 |
| Program | 1 | 1 | 0 | 730189 | 1 | Executive Office Manager | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 11 |
| Program | 1 | 1 | 0 | 010015 | 1 | Admin. Asst. for AoA | AoA | HIX | HIX Level 1 Grant | Approved JFO 2536 |
| Program | 1 | 1 | 0 | 730179 | 1 | Health Care Policy Analyst | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 12 |
| Program | 1 | 1 | 0 | 730180 | 1 | Health Access Policy & Planning Chief | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 13 |
| Program | 1 | 1 | 0 | 010014 | 1 | Attorney/Policy Analyst | AoA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 14 |
| Program | 1 | 1 | 0 | 730182 | 1 | Change Management Director | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 15 |
| Program | 1 | 1 | 0 | 730186 | 1 | Contract & Grants Administrator | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 16 |
| Program | 1 | 1 | 0 | 737010 | 1 | Deputy Commissioner | DVHA | HIX | HIX Level 1 Grant | Approved by Statute |
| Program | 1 | 1 | 0 | 730181 | 1 | Exchange Project Director | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 17 |
| Program | 1 | 1 | 0 | 730190 | 1 | Outreach Program Manager | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 18 |
| Program | 1 | 1 | 0 | 730183 | 1 | Exchange Project Director | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 19 |
| Program | 1 | 1 | 0 | 730184 | 1 | Exchange Project Director | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 20 |
| Program | 1 | 1 | 0 | 730185 | 1 | Exchange Project Director | DVHA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 21 |
| Program | 1 | 1 | 0 | 290130 | 1 | Project Coordinator | BISHCA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 22 |
| Program | 1 | 1 | 0 | 290129 | 1 | Director, QHP Certification | BISHCA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 23 |
| Program | 1 | 1 | 0 | 290131 | 1 | Data Analyst | BISHCA | HIX | HIX Level 1 Grant | Approved JFO 2550 - 24 |
| Program | 1 | 1 | 0 | 270011 | 1 | Data Analyst | GMCB | HIX | HIX Level 1 Grant | Approved JFO 2550 - 25 |
| Program | 1 | 1 | 0 | 751100 | 1 | Business Analyst | DCF | HIX | HIX Level 1 Grant | Approved JFO 2550 - 26 |
| Program | 1 | 1 | 0 | 751101 | 1 | Business Analyst | DCF | HIX | HIX Level 1 Grant | Approved JFO 2550 - 27 |
| IT | 1 | 1 | 0 | 720157 | 1 | Data Base Administrator - Health Enterprise | AHS IT | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -1 |
| IT | 1 | 1 | 0 | 720158 | 1 | Enterprise Business Analyst - Provider Directory | AHS IT | MMIS/Portfolio | MMIS PAPD-U | Approved JFO #2562 -2 |
| Program | 1 | 1 | 0 | 730213 | 1 | Health Reform Enterprise Director | DVHA | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -3 |
| Program | 1 | 1 | 0 | 730209 | 1 | Business Analyst | DVHA | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -4 |
| Program | 1 | 1 | 0 | 730211 | 1 | Health Reform Portfolio Director | DVHA | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -5 |
| Program | 1 | 1 | 0 | 730212 | 1 | Health Reform Portfolio Director | DVHA | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -6 |
| Program | 1 | 1 | 0 | 730214 | 1 | Health Reform Portfolio Privacy Policy Specialist | DVHA | MMIS/Portfollo | MMIS PAPD-U | Approved JFO #2562 -7 |
| Program | 1 | 1 | 0 | 730177 | 1 | EHRIP Medicaid Operations Administrator | DVHA | EHRIP | SMHP IAPD | Approved JFO 2543 - 1 |
| Program | 1 | 1 | 0 | 730175 | 1 | EHRIP Medicaid Operations Administrator | DVHA | EHRIP | SMHP IAPD | Approved JFO 2543 - 2 |
| Program | 1 | 1 | 0 | 730174 | 1 | EHRIP Program Operations Auditor | DVHA | EHRIP | SMHP IAPD | Approved JFO 2543 - 3 |
| Program | 1 | 1 | 0 | 730178 | 1 | EHRIP Administrative Support Coord. | DVHA | EHRIP | SMHP IAPD | Approved JFO 2543 - 4 |
| Program | 1 | 0 | 0 | NONE | 0 | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | Not requested at this time |
| IT | 1 | 1 | 0 | 740835 | 1 | Public Health Informatics Specialist | VDH | Iz Registry | SMHP IAPD | Existing Position |
| IT | 1 | 0 | 0 | | | Public Health Analyst III | VDH | Iz Registry | Combined IAPD | New JFO Request |
| IT | 1 | 1 | 0 | 740063 | 1 | Senior Systems Developer | VDH | Iz Registry | SMHP IAPD | Existing Position |
| Program | 1 | 1 | 0 | 740512 | 0.25 | Epidemiologist | VDH | Iz Registry | SMHP IAPD | Existing Position |
| Program | 1 | 0 | 0 | NONE | 0 | Senior Business Analyst | DVHA | Provider Directory | Combined IAPD | Not requested at this time |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | Provider Directory | Combined IAPD | New JFO Request |
| Program | 1 | 1 | 0 | 730176 | 1 | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 1 | 0 | 730178 | 1 | Fiscal Analyst | DVHA | EHRIP | SMHP IAPD | Approved JFO 2543 - 5 |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 0 | | | Senior Business Analyst | DVHA | EHRIP | Combined IAPD | New JFO Request |

| Job Type | Position Count | Created | New Request | Position Number | FTE | Position Title | Department | Original Program Funding | Funding Source | Comments |
|--------------|----------------|--------------|--------------|-----------------|--------------|--|------------|--------------------------|----------------|-----------------|
| Program | 1 | 0 | 1 | | | Eligibility Specialist | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Eligibility Specialist | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Eligibility Specialist | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Eligibility Specialist | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Benefits Program Assistant Administrator | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Benefits Program Assistant Administrator | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Economic Services Supervisor | DCF | E&E | Combined IAPD | New JFO Request |
| Program | 1 | 0 | 1 | | | Health Care Program Specialist | DCF | E&E | Combined IAPD | New JFO Request |
| IT | 1 | 0 | 1 | | | Enterprise Business Analyst | AHS.IT | E&E | Combined IAPD | New JFO Request |
| IT | 1 | 0 | 1 | | | Enterprise Business Analyst | AHS.IT | E&E | Combined IAPD | New JFO Request |
| IT | 1 | 0 | 1 | | | Enterprise Business Analyst | AHS.IT | E&E | Combined IAPD | New JFO Request |
| IT | 1 | 0 | 1 | | | Enterprise Business Analyst | AHS.IT | Enterprise | Combined IAPD | New JFO Request |
| IT | 1 | 0 | 1 | | | Info Systems Developer | AHS.IT | Enterprise | Combined IAPD | New JFO Request |
| TOTAL | 69.00 | 44.00 | 23.00 | Total | 66.25 | | | | | |

*all RFRs received for new positions - yellow highlighted
MOP*

Department of Health & Human Services
Centers for Medicare & Medicaid Services
Consortium for Medicaid and Children's
Health Operations
233 North Michigan Avenue, Suite 600
Chicago, Illinois 60601



May 4, 2012

Mark Larson
Commissioner
State of Vermont, Department of Vermont Health Access
312 Hurricane Lane, Suite 201
Williston, VT 05495

Dear Mr. Larson:

Thank you for the submission of your Implementation Advance Planning Document (IAPD) entitled Vermont Health Enterprise, initially submitted to the Centers for Medicare & Medicaid Services (CMS) on March 13, 2012 with a revised submission on April 04, 2012. The IAPD provides a comprehensive plan to support Health Information Technology (HIT) and Health Reform Information Technology (IT), referred to as the portfolio. The portfolio includes underlying common IT shared services and tools to support the Health Insurance Exchange (HIX), the Eligibility and Enrollment (E&E) system, Financial Management systems, public health information, health data, health surveillance technologies and the full Medicaid Management Information System (MMIS) or Medicaid Enterprise Solution (MES) architecture. CMS has completed its review of your IAPD and approves your project, as follows:

Health Information Technology (HIT)

The IAPD was submitted to CMS for review and approval to proceed with certain activities authorized under Section 4201 of the American Recovery and Reinvestment Act of 2009 (the Recovery Act), Pub L. 111-5, and our regulations at 42 CFR Part 495, Subpart D. The Social Security Act, as amended under Section 4201 of the Recovery Act, as well as our final regulation, allows the payment of incentives to eligible professionals and eligible hospitals for the adoption and meaningful use of certified electronic health record (EHR) technology.

CMS approves Vermont's IAPD effective on the date of this letter. Our approval of the State's IAPD is subject to provisions in regulations at 42 CFR Part 495, Subpart D.

CMS is approving funding for health information exchange activities described in Vermont's IAPD in an amount not to exceed \$7,159,688 (Federal share \$6,443,720). This funding breaks out as follows: \$1,618,885 (Federal share \$1,456,996) for Federal Fiscal Year (FFY) 2012, and \$5,540,804 (Federal share \$4,986,723) for FFY 2013. Please note that these amounts reflect a

reduction due to funding provided by private payers in the amount of \$4,507,358 as well as a grant from the Office of the National Coordinator (ONC) in the amount of \$2,600,000. Also note that CMS has determined that the telemedicine development and e-prescribing items are not appropriate for HIT funding at this time.

Vermont is also revising the funding amounts from its previously approved IAPD, approved by CMS on September 23, 2011. CMS is approving \$2,188,599 (Federal share \$1,969,739) for FFY 2012, and \$2,080,581 (Federal share \$1,872,523) for FFY 2013.

In total, CMS is approving funding for HIT activities described in Vermont's IAPD in an amount not to exceed \$11,428,869 (Federal share \$10,285,982). The total approved funding for HIT activities in this IAPD breaks out as follows: \$3,807,484 (Federal share \$3,426,735) for FFY 2012, and \$7,621,385 (Federal share \$6,859,247) for FFY 2013. Approval of funding for all HIT activities will expire on September 30, 2013. Please refer to Enclosure A for a breakout by expense of approved HIT implementation funds.

This approval letter replaces the September 23, 2011 approval letter and represents all approved funding for the State's Medicaid Electronic Health Record Incentive Program going forward.

As described in our regulations at 42 CFR Part 495, Subpart D, Requests for Proposals (RFPs) or contracts that the State may utilize to complete incentive program activities must be approved by CMS prior to release of the RFP or prior to execution of the contract. Also, the State must fund its share consistent with the requirements of section 1903(w) of the Social Security Act, implementing regulations, CMS guidance and other applicable laws, rules, and regulations.

Annual HIE-related benchmarks and performance measures included in Enclosure B must be addressed each year. Also, please refer to Enclosure C for additional information about the State's responsibilities concerning activities described in the IAPD.

If there are any questions concerning this information, please contact Jessica Kahn at (410) 786-9361, or via email at Jessica.Kahn@cms.hhs.gov.

Eligibility & Enrollment System (E&E)

CMS approves your IAPD for the design, development and implementation (DDI) for an Eligibility and Enrollment system effective on the date of this approval letter for Federal fiscal year 2012 through FFY 2014 (September 30, 2015) for a total project cost \$21,470,560. This total project cost represents the Medicaid and CHIP program share of your core components which is the sum of the allocated costs for the shared functionality of the HIX and the costs of the new replacement E&E system. CMS is approving total Medicaid Federal Financial Participation (FFP) title XIX development costs of \$19,323,504 at an enhanced match rate (refer to the State Medicaid Manual Part 11 for specific FFP rates for the variety of activities supporting proper matching rates; specifically noting that COTs license(s) are considered at a 75 percent match and training at a 50 percent match) which results in a State share amount of \$2,147,056.

To provide guidance to you on State share, States are required to fund the non-Federal share consistent with Federal rules and regulations at section 1903(w)(6)(A) and 42 CFR 433.51. CMS may review the non-Federal share funding sources on an individual basis using information provided by the State and gathered by CMS staff. Please be mindful that all sources of the non-Federal share and any fees, taxes, or donations must meet the requirements of section 1903(w) of the Social Security Act, implementing regulations, CMS guidance, and other applicable laws, rules, and regulations.

In support of approving Vermont's overall project to develop a replacement E&E system comprised of core components and shared services including Master Data Management (MDM), Identity Management, Enterprise Service Bus (ESB), Workflow solution, Rules Engine, Enterprise Master Persons Index (EMPI), Portal, Provider Directory, Imaging / Electronic Records Management expansion, Data Warehouse, an automated Call Center, related staffing costs, and additional E&E development, CMS approves for FFY 2012 a budget amount of \$2,615,430 for DDI project costs. Specifically, CMS is approving Medicaid FFP title XIX development costs of \$2,353,887 for FFY 2012. Please see the following table for the 2012 funding approval amounts with corresponding FFP rates.

| Federal / State Program FFY 2012 | Program Share of Cost | Amount (\$) | FFP Rate* | Federal Share (\$) | State Share (\$) |
|--|--------------------------|-------------|-----------|--------------------|------------------|
| Phase I DDI Title XIX | | \$2,615,430 | 90% | \$2,353,887 | \$261,543 |
| Phase I DDI Title XXI** | | 0 | | 0 | 0 |
| Phase I DDI | | \$2,615,430 | | \$2,353,887 | \$261,543 |
| TOTAL PROJECT COSTS, FFY 2012 | | \$2,615,430 | | \$2,353,887 | \$261,543 |

**The State of Vermont CHIP population is minimal (approximately .06% of total population) and per CMS EHR guidance, CHIP allocation is included in the Medicaid allocation (page 47 of IAPD).

The CMS approves the State of Vermont's IAPD in accordance with Federal regulations at 42 CFR part 433, subpart C regarding Mechanized Claims Processing and Information Retrieval Systems, and 45 CFR part 95, subpart F regarding Automatic Data Processing Equipment and Services - Conditions for FFP. We are approving Vermont's IAPD for the development of an integrated eligibility system at the applicable enhanced FFP match rate as the State has provided assurances that it will comply with the seven standards and conditions specified in 42 CFR part 433, subpart C, as modified by the final rule, "Federal Funding for Medicaid Eligibility Determination and Enrollment Activities," published in the *Federal Register* on April 19, 2011. In addition, we remind you that we will be monitoring the progress of Vermont's project using a Systems Development Life Cycle (SDLC) model that parallels the Exchange Life Cycle Gate Review process that the Center for Consumer Information and Insurance Oversight (CCIIO) is utilizing. This approach supports the high degree of interaction that will be required between Medicaid, the Children's Health Insurance Program (CHIP), and the Exchanges and the use of a shared eligibility service among the programs.

The information that you submit to CCIIO for the purposes of any Exchange grant application may also be used to satisfy the necessary documentation related to this IAPD document. We will be closely collaborating with our Federal partners in CCIIO on the oversight of your project. In addition and as part of the monitoring and review process, please provide:

- Monthly status reports, to report on the status of your project including updates to your project management plan and risk register in accordance with your risk plan.
- IT artifacts, made available in the Collaborative Application Lifecycle Management tool (CALT) as required through the SDLC gate review process that supports your project.
- Independent Verification and Validation (IV&V) reports. Since this IAPD includes estimated costs for an IV&V vendor, we request to review IV&V reports throughout the SDLC gate review process.
- Alternatives Analysis and Cost Benefit Analysis which supports your solution decisions during your Initiation and Planning phase of your gate review process.
- A more detailed Project Management Plan including key milestones demonstrating your iterative approach to project development including your test period. In addition, when does Vermont plan to complete their MITA State Self Assessment and Roadmap and share that information using CALT? Also, within Section 8 of your IAPD entitled Proposed Activity and Milestone Schedule, you provide a high level schedule of your VIEWS development. From this diagram, it is hard to determine Vermont's planned test period and if adequate time is built into the plan to ensure complete end to end testing and interface testing to support your implementation plan.
- As stated in your IAPD, Vermont is planning an infrastructure to support other non-Medicaid IT projects such as the health care portal, the Data Warehouse solution, and potentially some other facets of core components (see pages 17 through 22 of the IAPD). However, it does not appear that Vermont has elected to take advantage of the opportunity to invoke the OMB A-87 Cost Allocation Exception. We request that you review the latest Tri-Agency letter to States dated January 23, 2012 to confirm your position on the exception. In addition, we request that you include all other applicable human service programs, both from a business as well as technical standpoint, in your design and development of your total project that will ultimately support these programs.

At your request, CMS' prior approval of Vermont's Agency of Human Services and Office of Vermont Health Access IAPD to replace the existing eligibility system with the Vermont Integrated Eligibility Workflow System (VIEWS) dated April 15, 2009 for total project cost of \$34,664,034 is being closed out in support of this new IAPD documenting the change in scope, schedule, and cost allocation methodology. Total expenditures under this previous IAPD were \$127,416.96 and represent planning implementation efforts.

Medicaid Enterprise System (MES)

The submitted IAPD requests additional FFP of \$100,912,810 to incrementally replace the legacy Medicaid Management Information System (MMIS) with the Vermont Medicaid Enterprise Solution (MES). The legacy MMIS will be transformed over time by replacing components of the legacy system with components that comport with the seven standards and conditions. The cost allocated Total Computable for the MES project is \$112,180,900. State costs are \$11,218,090.

CMS approves the submitted IAPD in accordance with the provisions of 45 CFR 95 Subpart F and Part 11 of the State Medicaid Manual (SMM). This approval authorizes the Department to claim FFP as follows:

- 90 percent FFP in an amount not to exceed \$4,500,000 for State in-house personnel costs
- 90 percent FFP in an amount not to exceed \$19,444,000 for Change Management State in-house personnel costs
- 90 percent FFP in an amount not to exceed \$2,241,810 DDI costs for State in-house personnel costs
- 90 percent FFP in an amount not to exceed \$64,980,000 for DDI costs
- 90 percent FFP in an amount not to exceed \$3,249,000 for IV&V costs
- 90 percent FFP in an amount not to exceed \$6,498,000 for Quality Assurance vendor costs.

Any change in the approved IAPD scope of work, duration or cost requires CMS prior approval of an IAPD amendment in accordance with the provisions of SMM Section 11238 and 45 CFR 95 Subpart F. Should the project deviate from the CMS approved IAPD, FFP for the Vermont MMIS re-procurement project may be suspended or disallowed as provided for in Federal regulations at CFR 95.611(c) (3) and 95.612. In addition, continued Federal funding of the Vermont MES project is contingent upon the following:

1. The Department's ability to demonstrate progress in meeting project milestone commitments as noted in the E&E section and specific to MES funding; and
2. The Department's timely submission of monthly status reports for the MES portion of the project. The monthly status reports should be submitted to this office by the last day of each calendar month beginning with May 2012. Monthly status reports are to be signed by you and include, at a minimum, the following information.
 - **Major Project Accomplishments**
A description of major project accomplishments since the last report;
 - **Project Status**
The department's assessment of the current project status as compared to the approved IAPD project schedule including specific reference to all project milestones start and end dates;
 - **Project Problems**
A description of problems that have or will have an impact on the project schedule or content;
 - **Corrective Action**
A plan of action to correct any problems identified above;
 - **Funding Summary**
A cumulative summary of project costs claimed for FFP by rate of FFP.

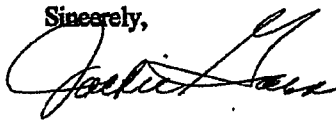
Upon successful implementation of the Vermont MES project, please provide CMS with written notification that includes the following:

1. The date the project was completed and officially accepted by the Department;
2. The final cost to complete the Vermont MES project; and
3. Assurances/documentation that the project, as completed, meets the objectives and performs the functions described in the approved IAPD.

If you have any questions or concerns regarding the MES and E&E sections of this approval, please feel free to contact Ellen Ambrosini at (410)786-6918, Ellen.Ambrosini@CMS.hhs.gov, or David Guiney at (617)565-1298, David.Guiney@CMS.hhs.gov.

CMS appreciates and supports Vermont's vision to develop a health enterprise, commonly known as Vermont's Health Enterprise Portfolio, which is interoperable, digital, real-time, and a learning health network for its citizens.

Sincerely,



Jackie Garner
Consortium Administrator

Sincerely,



Charles Lehman
Director, Division of State Systems

Sincerely,



Richard McGreal
ARA, Boston



C.1.a.

State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

TO: Members of the Joint Fiscal Committee
FROM: Jeb Spaulding, Agency of Administration
RE: Federal Emergency Management Reporting and Oversight
DATE: September 11, 2012

Attached are the reports required in Sec. E. 100(a) of Act 162 of 2012 Legislative Session. Please find below the narrative from Act 162 followed by an explanation of the report attached.

FEDERAL EMERGENCY MANAGEMENT AGENCY REPORTING AND OVERSIGHT

(a) The secretary of administration shall report to the joint fiscal committee at each of its scheduled meetings in fiscal year 2013 on funding received from the Federal Emergency Management Agency (FEMA) Public Assistance Program and associated emergency relief and assistance funds match for the damages due to Tropical Storm Irene.

(1) a projection of the total funding needs for the FEMA Public Assistance Program and to the extent possible, details about the projected funding by state agency or municipality;

FEMA has projected, to date, approximately \$203 million and has obligated \$128 million. Approximately 98% of the project worksheets have been completed by FEMA.

(2) spending authority (appropriated and excess receipts) granted to date for the FEMA Public Assistance Program and the associated emergency relief and assistance funds match;

| | |
|--|------------------|
| FEMA Public Assistance program | \$126,271,153.39 |
| Total to date the associated emergency relief and assistance funds match | \$ 4,871,577.53 |
| Total to date spending authority | \$131,142,730.92 |

(3) actual expenditures to date made from the spending authority granted and to the extent possible, details about the expended funds by state agency or municipality.

The expenditures through August 31, 2012 from the spending authority by state agency or municipality detail are attached starting on page two of the report. A summary is below:

| | |
|--|-----------------|
| FEMA Public Assistance program expenditure | \$77,066,091.24 |
| Total to date the associated emergency relief and assistance funds match | \$ 1,918,483.37 |
| Total to date the spending authority is | \$78,984,574.61 |

cc: Brian Searles, Secretary, Agency of Transportation



Sec E.100 of Act 162 of the 2012 Session

Reporting and Oversight through 8/31/2012

Spending Authority (appropriated and excess receipts) granted through 8/31/2012 for FEMA Public Assistance Program and the associated emergency relief and assistance funds match.

Projected FEMA Funds ¹ \$203,000,000.00

| Description | Fund # | Fund Name | Total |
|------------------------------|-----------|--|----------------------|
| Total Appropriated | 21555 | ERAF - Emergency Relief and Assistance | \$4,871,577.53 |
| Total Expended for Irene | 21555 | ERAF - Emergency Relief and Assistance | \$1,918,483.37 |
| Total Appropriated | 20150 | FEMA funds | \$126,271,153.39 |
| Total Expended for Irene | 20150 | FEMA funds | \$77,066,091.24 |
| Total Spending Authority | | FEMA and ERAF | \$131,142,730.92 |
| Total Expended for Irene | | FEMA and ERAF | \$78,984,574.61 |

NOTES:

¹ FEMA's August 20, 2012 Applicant Summary for 4022

Report - Sec E. 100 of Act 162 of the 2012 Session
 FEMA and ERAF expenditure and appropriation
 through August 31, 2012

| Applicant | Program | FEMA PA | ERAF | Total |
|--|---------|---------------|------------|---------------|
| ADDISON TOWN TREASURER | 59700 | 16,146.41 | 897.02 | 17,043.43 |
| AGENCY OF COMMERCE & COMMUNITY DEV. | 59700 | 14,268.89 | 0.00 | 14,268.89 |
| AGENCY OF TRANSPORTATION | 59700 | 14,211,738.53 | 0.00 | 14,211,738.53 |
| ALBANY TOWN TREASURER | 59700 | 193,896.46 | 301.84 | 194,198.30 |
| ANDOVER TOWN TREASURER | 59700 | 238,010.69 | 11,975.49 | 249,986.18 |
| ARLINGTON TOWN TREASURER | 59700 | 162,733.53 | 1,266.63 | 164,000.16 |
| ATHENS TOWN TREASURER | 59700 | 131,297.82 | 7,294.33 | 138,592.15 |
| BALTIMORE TOWN TREASURER | 59700 | 15,335.02 | 0.00 | 15,335.02 |
| BARNARD TOWN TREASURER | 59700 | 384,874.29 | 19,164.21 | 404,038.50 |
| BARNET TOWN TREASURER | 59700 | 78,769.61 | 3,078.71 | 81,848.32 |
| BARRE CITY TREASURER | 59700 | 76,769.48 | 573.81 | 77,343.29 |
| BARRE TOWN TREASURER | 59700 | 52,229.82 | 2,901.65 | 55,131.47 |
| BARTON TOWN TREASURER | 59700 | 6,300.00 | 350.00 | 6,650.00 |
| BARTON VILLAGE TREASURER | 59700 | 16,015.24 | 192.07 | 16,207.31 |
| BELLOWS FALLS VILLAGE TREASURER | 59700 | 7,293.60 | 405.20 | 7,698.80 |
| BENNINGTON TOWN TREASURER | 59700 | 890,094.39 | 16,806.48 | 906,900.87 |
| BENSON TOWN TREASURER | 59700 | 145,415.92 | 1,567.82 | 146,983.74 |
| BERLIN TOWN TREASURER | 59700 | 447,722.85 | 24,873.49 | 472,596.34 |
| BETHEL TOWN SCHOOL DISTRICT | 59700 | 87,347.41 | 0.00 | 87,347.41 |
| BETHEL TOWN TREASURER | 59700 | 1,464,371.53 | 35,624.40 | 1,499,995.93 |
| BOLTON TOWN TREASURER | 59700 | 105,950.38 | 2,037.70 | 107,988.08 |
| BRADFORD ID SCHOOL DISTRICT | 59700 | 3,420.00 | 0.00 | 3,420.00 |
| BRAINTREE TOWN TREASURER | 59700 | 1,387,532.42 | 31,465.94 | 1,418,998.36 |
| BRANDON FIRE DIST # 1 | 59700 | 23,066.66 | 0.00 | 23,066.66 |
| BRANDON TOWN TREASURER | 59700 | 136,499.50 | 4,906.79 | 141,406.29 |
| BRATTLEBORO HOUSING AUTHORITY | 59700 | 29,007.94 | 0.00 | 29,007.94 |
| BRATTLEBORO TOWN TREASURER | 59700 | 737,986.82 | 32,457.72 | 770,444.54 |
| BRIDGEWATER TOWN TREASURER | 59700 | 1,186,480.22 | 17,582.63 | 1,204,062.85 |
| BRIDGEWATER VOLUNTEER FIRE DEPARTMENT | 59700 | 11,496.86 | 0.00 | 11,496.86 |
| BRIDPORT TOWN TREASURER | 59700 | 288,667.24 | 686.06 | 289,353.30 |
| BRIGHTON TOWN TREASURER | 59700 | 27,850.36 | 1,547.24 | 29,397.60 |
| BRISTOL TOWN TREASURER | 59700 | 39,908.65 | 2,217.15 | 42,125.80 |
| BROOKFIELD TOWN TREASURER | 59700 | 62,556.43 | 185.86 | 62,742.29 |
| BROOKLINE TOWN TREASURER | 59700 | 38,592.80 | 2,144.06 | 40,736.86 |
| BROWNINGTON TOWN TREASURER | 59700 | 205,694.57 | 172.28 | 205,866.85 |
| BUILDINGS & GENERAL SERVICES-FLEET MGT | 59700 | 940.07 | 0.00 | 940.07 |
| BUILDINGS & GENERAL SVCS-PURCHASING CARD | 59700 | 527.59 | 0.00 | 527.59 |
| BURLINGTON CITY TREASURER | 59700 | 14,850.86 | 825.05 | 15,675.91 |
| CAMBRIDGE TOWN TREASURER | 59700 | 22,450.69 | 1,247.27 | 23,697.96 |
| CASTLETON TOWN TREASURER | 59700 | 115,640.25 | 5,604.97 | 121,245.22 |
| CAVENDISH FIRE DISTRICT # 1 | 59700 | 8,532.00 | 0.00 | 8,532.00 |
| CAVENDISH FIRE DISTRICT #2 | 59700 | 2,835.34 | 0.00 | 2,835.34 |
| CAVENDISH TOWN TREASURER | 59700 | 2,544,533.91 | 171,422.36 | 2,715,956.27 |
| CHAMPION FIRE COMPANY # 5 | 59700 | 11,163.79 | 0.00 | 11,163.79 |
| CHARLESTON TOWN TREASURER | 59700 | 168,654.97 | 6,559.74 | 175,214.71 |
| CHELSEA TOWN TREASURER | 59700 | 5,886.15 | 327.02 | 6,213.17 |
| CHESTER TOWN TREASURER | 59700 | 1,040,123.34 | 53,542.27 | 1,093,665.61 |
| CHESTER-ANDOVER ELEM U S D #29 | 59700 | 9,083.21 | 0.00 | 9,083.21 |
| CHITTENDEN COUNTY TRANSPORTATION AUTH | 59700 | 529,243.08 | 0.00 | 529,243.08 |
| CHITTENDEN TOWN TREASURER | 59700 | 123,671.27 | 70.41 | 123,741.68 |
| CHITTENDEN VOLUNTEER FIRE DEPARTMENT | 59700 | 1,979.79 | 0.00 | 1,979.79 |
| CLARENDON TOWN TREASURER | 59700 | 3,136.68 | 174.26 | 3,310.94 |
| COLD BROOK FIRE DISTRICT # 1 | 59700 | 9,365.81 | 0.00 | 9,365.81 |

Report - Sec E. 100 of Act 162 of the 2012 Session
 FEMA and ERAF expenditure and appropriation
 through August 31, 2012

| Applicant | Program | FEMA PA | ERAF | Total |
|------------------------------------|---------|--------------|------------|--------------|
| CORINTH TOWN TREASURER | 59700 | 53,455.94 | 1,151.35 | 54,607.29 |
| COVENTRY TOWN TREASURER | 59700 | 17,828.33 | 334.60 | 18,162.93 |
| CRAFTSBURY TOWN TREASURER | 59700 | 16,264.71 | 0.00 | 16,264.71 |
| DANBY TOWN TREASURER | 59700 | 122,400.59 | 2,625.79 | 125,026.38 |
| DANBY-MT TABOR FIRE COMPANY | 59700 | 20,972.13 | 0.00 | 20,972.13 |
| DANBY-MT TABOR FIRE DISTRICT #1 | 59700 | 4,790.75 | 0.00 | 4,790.75 |
| DANVILLE TOWN TREASURER | 59700 | 60,788.32 | 3,377.13 | 64,165.45 |
| DEPARTMENT OF PUBLIC SAFETY | 59700 | 709,027.63 | 0.00 | 709,027.63 |
| DORSET TOWN TREASURER | 59700 | 13,240.75 | 735.60 | 13,976.35 |
| DOVER TOWN SCHOOL DISTRICT | 59700 | 3,973.99 | 0.00 | 3,973.99 |
| DOVER TOWN TREASURER | 59700 | 433,155.15 | 23,339.89 | 456,495.04 |
| DUMMERSTON TOWN TREASURER | 59700 | 52,266.11 | 2,891.67 | 55,157.78 |
| DUXBURY TOWN TREASURER | 59700 | 613,375.88 | 34,076.43 | 647,452.31 |
| EAST MONTPELIER TOWN TREASURER | 59700 | 32,134.75 | 1,785.26 | 33,920.01 |
| ELMORE TOWN TREASURER | 59700 | 46,317.87 | 2,573.21 | 48,891.08 |
| ENOSBURG TOWN TREASURER | 59700 | 3,141.38 | 174.52 | 3,315.90 |
| FAIR HAVEN TOWN TREASURER | 59700 | 1,857.41 | 103.19 | 1,960.60 |
| FAYSTON TOWN TREASURER | 59700 | 11,264.10 | 625.78 | 11,889.88 |
| FISH & WILDLIFE, DEPARTMENT OF | 59700 | 74,551.04 | 0.00 | 74,551.04 |
| GOSHEN TOWN TREASURER | 59700 | 38,522.14 | 1,349.15 | 39,871.29 |
| GRAFTON TOWN TREASURER | 59700 | 2,445,027.60 | 202,857.19 | 2,647,884.79 |
| GRANVILLE TOWN TREASURER | 59700 | 442,562.97 | 2,501.33 | 445,064.30 |
| GRANVILLE VOLUNTEER FIRE DEPT. INC | 59700 | 3,336.76 | 0.00 | 3,336.76 |
| GREENSBORO TOWN TREASURER | 59700 | 35,141.73 | 71.76 | 35,213.49 |
| GROTON TOWN TREASURER | 59700 | 97,670.83 | 4,276.14 | 101,946.97 |
| GUILFORD TOWN TREASURER | 59700 | 193,269.93 | 816.60 | 194,086.53 |
| HALIFAX TOWN TREASURER | 59700 | 2,507,334.48 | 14,328.28 | 2,521,662.76 |
| HANCOCK TOWN TREASURER | 59700 | 711,184.51 | 24,294.83 | 735,479.34 |
| HARDWICK ELECTRIC DEPT | 59700 | 14,421.21 | 0.00 | 14,421.21 |
| HARDWICK TOWN TREASURER | 59700 | 9,051.30 | 502.85 | 9,554.15 |
| HARTFORD TOWN TREASURER | 59700 | 990,448.50 | 35,286.93 | 1,025,735.43 |
| HARTLAND TOWN TREASURER | 59700 | 114,086.78 | 2,782.99 | 116,869.77 |
| HOLLAND TOWN TREASURER | 59700 | 13,459.51 | 323.14 | 13,782.65 |
| HOUSING FOUNDATION INC | 59700 | 125,054.37 | 0.00 | 125,054.37 |
| HUBBARDTON TOWN TREASURER | 59700 | 87,884.78 | 2,743.70 | 90,628.48 |
| HUNTINGTON TOWN TREASURER | 59700 | 117,431.80 | 1,020.14 | 118,451.94 |
| IRA TOWN TREASURER | 59700 | 49,840.54 | 1,084.42 | 50,924.96 |
| IRASBURG TOWN TREASURER | 59700 | 45,878.64 | 894.25 | 46,772.89 |
| JACKSONVILLE VILLAGE ELECTRIC CO | 59700 | 29,904.22 | 0.00 | 29,904.22 |
| JAMAICA TOWN TREASURER | 59700 | 2,148,893.80 | 20,476.86 | 2,169,370.66 |
| JAY TOWN TREASURER | 59700 | 79,460.70 | 1,810.79 | 81,271.49 |
| JERICO FIRE DISTRICT #1 | 59700 | 10,284.28 | 0.00 | 10,284.28 |
| JOHNSON TOWN TREASURER | 59700 | 6,471.59 | 359.53 | 6,831.12 |
| JOHNSON VILLAGE TREASURER | 59700 | 13,150.82 | 730.60 | 13,881.42 |
| KILLINGTON TOWN TREASURER | 59700 | 1,540,894.76 | 15,315.18 | 1,556,209.94 |
| KIRBY TOWN TREASURER | 59700 | 5,863.50 | 0.00 | 5,863.50 |
| LANDGROVE TOWN TREASURER | 59700 | 4,093.20 | 227.41 | 4,320.61 |
| LANDMARK COLLEGE | 59700 | 148,219.94 | 0.00 | 148,219.94 |
| LEICESTER TOWN TREASURER | 59700 | 5,435.13 | 301.95 | 5,737.08 |
| LINCOLN TOWN TREASURER | 59700 | 164,124.06 | 5,508.97 | 169,633.03 |
| LONDONDERRY TOWN TREASURER | 59700 | 113,391.83 | 6,299.55 | 119,691.38 |
| LOWELL TOWN TREASURER | 59700 | 28,414.59 | 149.99 | 28,564.58 |
| LUDLOW TOWN TREASURER | 59700 | 1,349,423.62 | 67,477.91 | 1,416,901.53 |
| LUDLOW VILLAGE TREASURER | 59700 | 61,101.05 | 3,394.51 | 64,495.56 |

Report - Sec E. 100 of Act 162 of the 2012 Session
 FEMA and ERAF expenditure and appropriation
 through August 31, 2012

| Applicant | Program | FEMAPA | ERAF | Total |
|------------------------------------|---------|--------------|-----------|--------------|
| LUNENBURG TOWN TREASURER | 59700 | 24,346.84 | 1,352.60 | 25,699.44 |
| LYNDON TOWN TREASURER | 59700 | 33,962.82 | 1,886.83 | 35,849.65 |
| MAD RIVER SOLID WASTE ALLIANCE | 59700 | 25,983.00 | 0.00 | 25,983.00 |
| MANCHESTER TOWN TREASURER | 59700 | 179,158.13 | 9,953.23 | 189,111.36 |
| MARLBORO TOWN TREASURER | 59700 | 708,848.71 | 8,448.90 | 717,297.61 |
| MARSHFIELD TOWN TREASURER | 59700 | 57,489.12 | 252.62 | 57,741.74 |
| MENDON TOWN TREASURER | 59700 | 486,165.56 | 13,921.25 | 500,086.81 |
| MIDDLEBURY TOWN TREASURER | 59700 | 64,822.69 | 3,024.21 | 67,846.90 |
| MIDDLESEX TOWN TREASURER | 59700 | 28,632.00 | 1,590.66 | 30,222.66 |
| MIDDLETOWN SPRINGS TOWN TREASURER | 59700 | 68,970.38 | 1,956.14 | 70,926.52 |
| MILTON TOWN TREASURER | 59700 | 46,440.00 | 2,580.00 | 49,020.00 |
| MONTGOMERY TOWN TREASURER | 59700 | 68,840.44 | 3,824.48 | 72,664.92 |
| MONTPELIER CITY SCHOOL DIST | 59700 | 10,469.71 | 0.00 | 10,469.71 |
| MONTPELIER CITY TREASURER | 59700 | 9,275.36 | 515.30 | 9,790.66 |
| MORETOWN TOWN TREASURER | 59700 | 793,581.59 | 26,228.78 | 819,810.37 |
| MORGAN TOWN TREASURER | 59700 | 1,569.60 | 87.20 | 1,656.80 |
| MORRISTOWN TOWN TREASURER | 59700 | 17,927.99 | 996.01 | 18,924.00 |
| MOUNT HOLLY TOWN TREASURER | 59700 | 273,552.29 | 11,267.81 | 284,820.10 |
| MOUNT TABOR TOWN TREASURER | 59700 | 7,588.44 | 0.00 | 7,588.44 |
| NEW ENGLAND KURN HATTIN HOMES | 59700 | 14,163.75 | 0.00 | 14,163.75 |
| NEWBURY TOWN TREASURER | 59700 | 49,074.88 | 2,726.38 | 51,801.26 |
| NEWFANE TOWN TREASURER | 59700 | 984,528.39 | 48,901.99 | 1,033,430.38 |
| NEWPORT TOWN TREASURER | 59700 | 16,391.73 | 910.66 | 17,302.39 |
| NORTH BENNINGTON VILLAGE TREASURER | 59700 | 24,804.91 | 1,378.05 | 26,182.96 |
| NORTH TROY VILLAGE TREASURER | 59700 | 1,459.53 | 0.00 | 1,459.53 |
| NORTHFIELD TOWN TREASURER | 59700 | 498,729.05 | 21,003.99 | 519,733.04 |
| NORTHFIELD VILLAGE TREASURER | 59700 | 75,456.04 | 712.41 | 76,168.45 |
| NORWICH TOWN TREASURER | 59700 | 433,831.10 | 7,149.04 | 440,980.14 |
| ORANGE COUNTY SHERIFF'S DEPT | 59700 | 3,055.67 | 0.00 | 3,055.67 |
| ORANGE TOWN TREASURER | 59700 | 7,125.58 | 395.86 | 7,521.44 |
| ORANGE WINDSOR SUPERVISORY UNION | 59700 | 49,622.63 | 0.00 | 49,622.63 |
| ORWELL TOWN TREASURER | 59700 | 55,974.38 | 1,710.99 | 57,685.37 |
| PAWLET TOWN TREASURER | 59700 | 110,178.29 | 859.09 | 111,037.38 |
| PAWLET VOLUNTEER FIRE DEPT | 59700 | 2,459.52 | 0.00 | 2,459.52 |
| PEACHAM TOWN TREASURER | 59700 | 44,127.65 | 2,451.54 | 46,579.19 |
| PERU TOWN TREASURER | 59700 | 87,934.01 | 456.51 | 88,390.52 |
| PITTSFIELD TOWN TREASURER | 59700 | 802,746.52 | 14,359.38 | 817,105.90 |
| PITTSFORD TOWN TREASURER | 59700 | 62,178.08 | 3,421.69 | 65,599.77 |
| PLAINFIELD TOWN TREASURER | 59700 | 22,940.42 | 1,274.47 | 24,214.89 |
| PLYMOUTH TOWN TREASURER | 59700 | 840,482.44 | 16,940.82 | 857,423.26 |
| POMFRET TOWN TREASURER | 59700 | 619,438.99 | 28,285.78 | 647,724.77 |
| POULTNEY TOWN TREASURER | 59700 | 49,827.01 | 227.60 | 50,054.61 |
| POULTNEY VILLAGE TREASURER | 59700 | 1,454.30 | 80.79 | 1,535.09 |
| POWNA TOWN TREASURER | 59700 | 44,054.50 | 190.12 | 44,244.62 |
| PROCTOR TOWN TREASURER | 59700 | 51,208.77 | 821.12 | 52,029.89 |
| PUTNEY TOWN TREASURER | 59700 | 91,691.15 | 3,007.94 | 94,699.09 |
| RANDOLPH TOWN TREASURER | 59700 | 294,602.77 | 13,902.17 | 308,504.94 |
| READING TOWN TREASURER | 59700 | 1,029,524.15 | 27,536.53 | 1,057,060.68 |
| READSBORO TOWN SCHOOL DISTRICT | 59700 | 2,790.00 | 0.00 | 2,790.00 |
| READSBORO TOWN TREASURER | 59700 | 503,871.69 | 5,083.89 | 508,955.58 |
| RICHFORD TOWN TREASURER | 59700 | 201,712.45 | 2,353.31 | 204,065.76 |
| RICHMOND TOWN TREASURER | 59700 | 119,143.87 | 2,779.39 | 121,923.26 |
| RIPTON TOWN TREASURER | 59700 | 112,740.57 | 630.62 | 113,371.19 |
| ROCHESTER TOWN TREASURER | 59700 | 1,324,298.90 | 15,316.10 | 1,339,615.00 |

Report - Sec E. 100 of Act 162 of the 2012 Session
 FEMA and ERAF expenditure and appropriation
 through August 31, 2012

| Applicant | Program | FEMA PA | ERAF | Total |
|--|---------|--------------|-----------|--------------|
| ROCKINGHAM TOWN TREASURER | 59700 | 1,216,388.43 | 6,242.63 | 1,222,631.06 |
| ROXBURY TOWN TREASURER | 59700 | 1,386,562.12 | 38,035.42 | 1,424,597.54 |
| ROYALTON FIRE DISTRICT #1 | 59700 | 12,755.70 | 0.00 | 12,755.70 |
| ROYALTON TOWN TREASURER | 59700 | 1,039,791.94 | 12,856.63 | 1,052,648.57 |
| RUPERT TOWN TREASURER | 59700 | 125,350.67 | 228.86 | 125,579.53 |
| RUTLAND CITY TREASURER | 59700 | 865,135.35 | 44,856.27 | 909,991.62 |
| RUTLAND NORTHEAST SUPERVISORY UNION | 59700 | 2,136.93 | 0.00 | 2,136.93 |
| RUTLAND TOWN TREASURER | 59700 | 18,921.25 | 1,051.19 | 19,972.44 |
| RYEGATE TOWN TREASURER | 59700 | 58,167.72 | 3,231.54 | 61,399.26 |
| SANDGATE TOWN TREASURER | 59700 | 73,436.36 | 0.00 | 73,436.36 |
| SEARSBURG TOWN TREASURER | 59700 | 84,152.16 | 1,674.23 | 85,826.39 |
| SHAFTSBURY TOWN TREASURER | 59700 | 44,084.19 | 2,439.19 | 46,523.38 |
| SHARON TOWN TREASURER | 59700 | 320,660.94 | 1,074.24 | 321,735.18 |
| SHEFFIELD TOWN TREASURER | 59700 | 151,410.40 | 533.46 | 151,943.86 |
| SHEFFIELD-WHEELOCK FIRE DEPT | 59700 | 3,776.94 | 0.00 | 3,776.94 |
| SHERBURNE FIRE DISTRICT #1 | 59700 | 29,180.37 | 0.00 | 29,180.37 |
| SHOREHAM TOWN TREASURER | 59700 | 42,616.13 | 1,609.83 | 44,225.96 |
| SHREWSBURY TOWN TREASURER | 59700 | 417,275.64 | 6,307.91 | 423,583.55 |
| SOMERSET TOWN TREASURER | 59700 | 39,922.89 | 3,291.11 | 43,214.00 |
| SOUTH BURLINGTON CITY TREASURER | 59700 | 6,032.99 | 335.17 | 6,368.16 |
| SOUTH ROYALTON VOLUNTEER FIRE DEPARTMENT | 59700 | 8,744.85 | 0.00 | 8,744.85 |
| SOUTH WOODSTOCK FIRE PROTECTION | 59700 | 8,263.78 | 0.00 | 8,263.78 |
| SPRINGFIELD MEDICAL CARE SYSTEMS | 59700 | 59,469.11 | 0.00 | 59,469.11 |
| SPRINGFIELD TOWN TREASURER | 59700 | 90,798.42 | 3,287.39 | 94,085.81 |
| ST JOHNSBURY TOWN TREASURER | 59700 | 30,741.07 | 1,344.53 | 32,085.60 |
| STAMFORD TOWN TREASURER | 59700 | 75,676.56 | 4,204.25 | 79,880.81 |
| STANNARD TOWN TREASURER | 59700 | 299,319.53 | 16,628.88 | 315,948.41 |
| STARSBORO TOWN TREASURER | 59700 | 14,955.23 | 206.86 | 15,162.09 |
| STOCKBRIDGE TOWN TREASURER | 59700 | 1,350,458.97 | 14,431.21 | 1,364,890.18 |
| STOWE TOWN TREASURER | 59700 | 291,039.62 | 7,190.79 | 298,230.41 |
| STRAFFORD TOWN TREASURER | 59700 | 866,829.55 | 73,069.89 | 939,899.44 |
| STRATTON TOWN TREASURER | 59700 | 243,353.17 | 5,462.10 | 248,815.27 |
| SUDBURY TOWN TREASURER | 59700 | 52,902.46 | 0.00 | 52,902.46 |
| SUNDERLAND TOWN TREASURER | 59700 | 68,021.16 | 3,778.96 | 71,800.12 |
| SUTTON TOWN TREASURER | 59700 | 27,040.38 | 1,502.24 | 28,542.62 |
| THETFORD TOWN TREASURER | 59700 | 22,799.26 | 1,266.63 | 24,065.89 |
| TINMOUTH TOWN TREASURER | 59700 | 16,790.32 | 932.80 | 17,723.12 |
| TOPSHAM TOWN TREASURER | 59700 | 75,861.29 | 3,528.11 | 79,389.40 |
| TOWNSHEND TOWN TREASURER | 59700 | 630,583.53 | 18,059.38 | 648,642.91 |
| TUNBRIDGE TOWN TREASURER | 59700 | 124,097.96 | 0.00 | 124,097.96 |
| VERMONT ACHIEVEMENT CENTER | 59700 | 28,382.48 | 0.00 | 28,382.48 |
| VERMONT CENTER FOR CRIME VICTIM SERVICES | 59700 | 715.69 | 0.00 | 715.69 |
| VERMONT ELECTRIC CO-OP INC | 59700 | 925,144.52 | 0.00 | 925,144.52 |
| VERMONT ELECTRIC COOPERATIVE INC | 59700 | 185,028.89 | 0.00 | 185,028.89 |
| VERMONT STATE ENVIRONMENTAL CONSERVATION | 59700 | 20,067.72 | 0.00 | 20,067.72 |
| VERNON TOWN TREASURER | 59700 | 10,236.19 | 568.67 | 10,804.86 |
| VERSHIRE TOWN TREASURER | 59700 | 113,743.72 | 3,401.43 | 117,145.15 |
| VT CENTER FOR CRIME VICTIM SERVICES | 59700 | 3,578.44 | 0.00 | 3,578.44 |
| VT STATE BUILDINGS DEPT. | 59700 | 86,620.14 | 0.00 | 86,620.14 |
| VT STATE FOREST PARKS & RECREATION | 59700 | 518,680.86 | 0.00 | 518,680.86 |
| WAITS RIVER VALLEY UNION SCHOOL DIST #36 | 59700 | 2,700.00 | 0.00 | 2,700.00 |
| WAITSFIELD TOWN TREASURER | 59700 | 140,617.45 | 4,456.18 | 145,073.63 |
| WALDEN TOWN TREASURER | 59700 | 87,520.92 | 2,466.09 | 89,987.01 |

Report - Sec E. 100 of Act 162 of the 2012 Session
 FEMA and ERAF expenditure and appropriation
 through August 31, 2012

| Applicant | Program | FEMA PA | ERAF | Total |
|--|---------|---------------|--------------|---------------|
| WALLINGFORD FIRE DISTRICT #1 | 59700 | 7,775.90 | 0.00 | 7,775.90 |
| WALLINGFORD TOWN TREASURER | 59700 | 140,793.01 | 4,968.54 | 145,761.55 |
| WARDSBORO TOWN TREASURER | 59700 | 1,185,617.17 | 17,049.31 | 1,202,666.48 |
| WARREN TOWN TREASURER | 59700 | 210,301.79 | 11,334.93 | 221,636.72 |
| WASHINGTON ELECTRIC CO-OP INC | 59700 | 102,126.42 | 0.00 | 102,126.42 |
| WASHINGTON TOWN TREASURER | 59700 | 52,417.44 | 2,912.08 | 55,329.52 |
| WATERBURY TOWN TREASURER | 59700 | 290,680.73 | 12,954.16 | 303,634.89 |
| WATERBURY VILLAGE TREASURER | 59700 | 96,947.87 | 3,393.37 | 100,341.24 |
| WEATHERSFIELD TOWN TREASURER | 59700 | 323,813.38 | 8,180.67 | 331,994.05 |
| WELLS TOWN TREASURER | 59700 | 12,039.00 | 668.83 | 12,707.83 |
| WEST FAIRLEE TOWN TREASURER | 59700 | 139,137.93 | 7,729.89 | 146,867.82 |
| WEST HAVEN TOWN TREASURER | 59700 | 76,984.48 | 1,233.30 | 78,217.78 |
| WEST PAWLET VOLUNTEER FIRE DEPT INC | 59700 | 21,226.35 | 0.00 | 21,226.35 |
| WEST RUTLAND TOWN TREASURER | 59700 | 19,176.83 | 1,065.39 | 20,242.22 |
| WEST WINDSOR TOWN TREASURER | 59700 | 585,998.98 | 22,399.78 | 608,398.76 |
| WESTFIELD TOWN TREASURER | 59700 | 16,065.07 | 892.51 | 16,957.58 |
| WESTMINSTER FIRE DISTRICT # 3 | 59700 | 14,787.84 | 0.00 | 14,787.84 |
| WESTMINSTER TOWN TREASURER | 59700 | 455,422.24 | 25,301.24 | 480,723.48 |
| WESTMORE TOWN TREASURER | 59700 | 41,850.57 | 0.00 | 41,850.57 |
| WESTON COMMUNITY ASSOCIATION INC | 59700 | 28,958.40 | 0.00 | 28,958.40 |
| WESTON TOWN TREASURER | 59700 | 383,036.25 | 21,175.26 | 404,211.51 |
| WESTON VOLUNTEER FIRE DEPT INC | 59700 | 1,082.93 | 0.00 | 1,082.93 |
| WHEELOCK TOWN TREASURER | 59700 | 306,866.97 | 17,048.17 | 323,915.14 |
| WHITINGHAM TOWN TREASURER | 59700 | 650,934.19 | 30,456.03 | 681,390.22 |
| WILLIAMSTOWN TOWN TREASURER ¹ | 59700 | 7,761.40 | -646.78 | 7,114.62 |
| WILLISTON TOWN TREASURER | 59700 | 3,803.84 | 211.32 | 4,015.16 |
| WILMINGTON TOWN SCHOOL DISTRICT | 59700 | 76,265.23 | 0.00 | 76,265.23 |
| WILMINGTON TOWN TREASURER | 59700 | 738,650.84 | 23,578.95 | 762,229.79 |
| WILMINGTON WATER DISTRICT | 59700 | 23,666.17 | 0.00 | 23,666.17 |
| WINDHAM CENTRAL SUPERVISORY UNION | 59700 | 1,023.53 | 0.00 | 1,023.53 |
| WINDHAM COUNTY CLERK | 59700 | 2,378.21 | 0.00 | 2,378.21 |
| WINDHAM SOLID WASTE MANAGEMENT | 59700 | 13,684.14 | 0.00 | 13,684.14 |
| WINDHAM TOWN TREASURER | 59700 | 230,394.91 | 7,540.89 | 237,935.80 |
| WINDSOR NORTHWEST SUPERVISORY UNION | 59700 | 1,066.64 | 0.00 | 1,066.64 |
| WINDSOR SCHOOL DISTRICT & MANCHESTER | 59700 | 23,029.06 | 0.00 | 23,029.06 |
| WINDSOR TOWN TREASURER | 59700 | 188,817.49 | 4,161.70 | 192,979.19 |
| WINHALL TOWN TREASURER | 59700 | 184,355.22 | 9,663.55 | 194,018.77 |
| WOLCOTT TOWN TREASURER | 59700 | 17,708.85 | 511.79 | 18,220.64 |
| WOODBURY TOWN TREASURER | 59700 | 184,072.88 | 2,676.05 | 186,748.93 |
| WOODBURY VOLUNTEER FIRE DEPT | 59700 | 2,321.16 | 0.00 | 2,321.16 |
| WOODFORD TOWN TREASURER | 59700 | 166,984.97 | 8,488.59 | 175,473.56 |
| WOODSTOCK ASSOCIATES, INC. | 59700 | 34,853.02 | 0.00 | 34,853.02 |
| WOODSTOCK TOWN TREASURER | 59700 | 2,262,529.19 | 109,416.20 | 2,371,945.39 |
| WOODSTOCK UNION HIGH SCHOOL DISTRICT #4 | 59700 | 15,100.29 | 0.00 | 15,100.29 |
| WOODSTOCK VILLAGE TREASURER | 59700 | 154,486.51 | 6,722.37 | 161,208.88 |
| WORCESTER TOWN TREASURER | 59700 | 29,801.79 | 1,655.66 | 31,457.45 |
| TOTAL | | 77,066,091.24 | 1,918,483.37 | 78,984,574.61 |

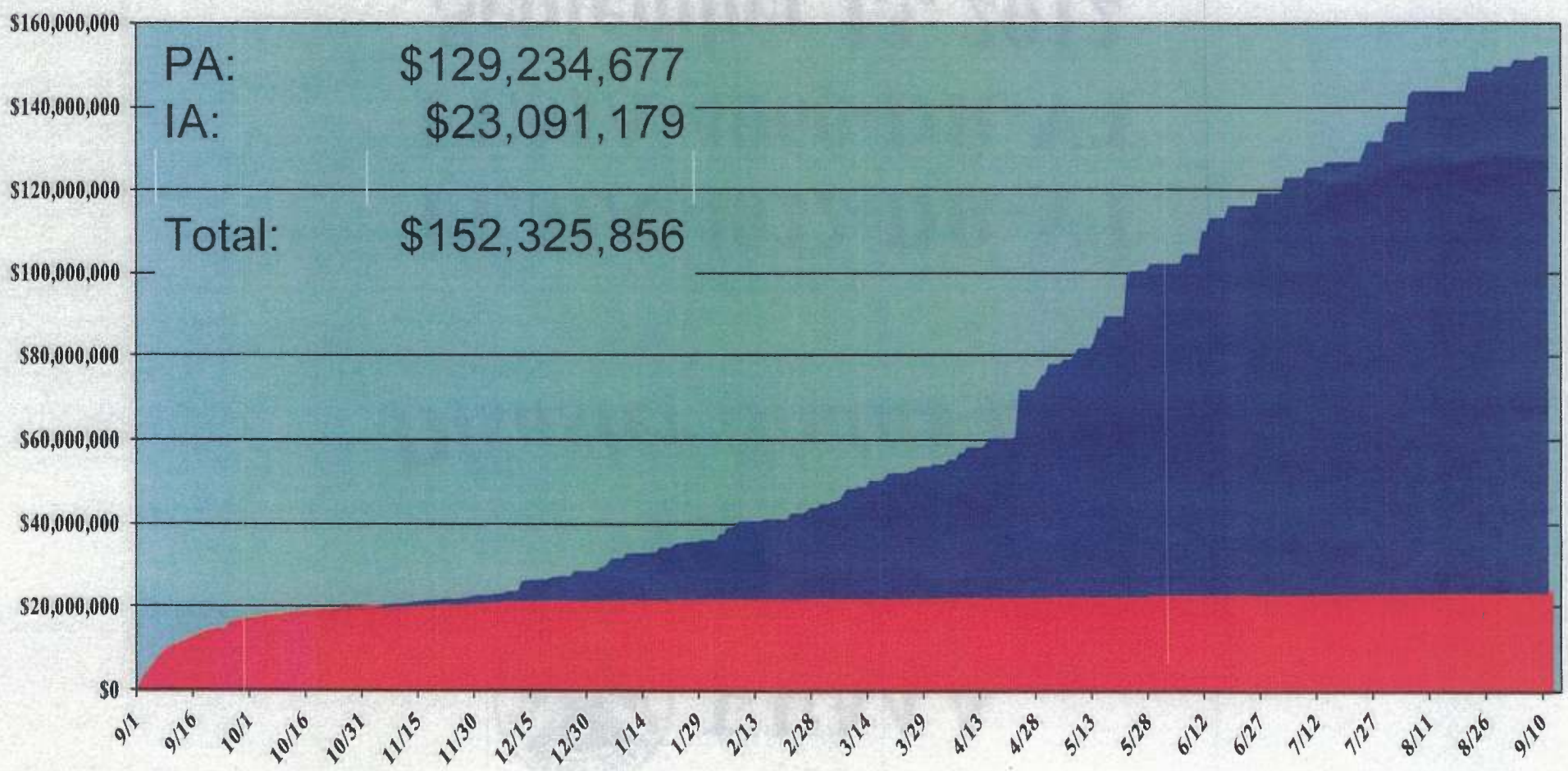
¹ Totals are reduced from June 30, 2012 report due to the funding split reduced, resulting in one correction.

MONEY ON THE STREETS - 4022

FEMA-4022-DR-VT
Money on the Street

As of COB 9/13/2012

■ IA ■ PA





FEMA

Disaster Status Brief

FEMA-4022-DR-VT

FEMA-4066-DR-VT

September 13, 2012

Patrick Flood 9/19/12

UPDATE ON Act 79 IMPLEMENTATION

Health Access Oversight Committee

September 19, 2012

Facilities

New hospital - Work group continuing to make great progress on design, initial drawings done. Perimeter design of building finalized. Still on track for shovel in the ground late in the fall, opening Jan 1, 2014. Developing initial documents for emergency CON process, should be on the DMH website within a week or so.

Morrisville – Renovations fully underway. Opening now not until early November. There will be an amendment to Emergency CON to reflect some design modifications, review by architectural consultant. Jeff Rothenberg will be interim hospital director; recruitment for new director is underway. David Mitchell will be interim director of nursing; recruitment for DNS also underway. DMH leadership met with neighbors to the facility in an on-going effort to have good communication and effective problem resolution.

There will be an amendment to the Emergency CON to reflect closing of rehab unit at RRMC, addition of seclusion room at BR, design changes at Morrisville. Both projects completion scheduled for February.

Services contract signed with RRMC, almost completed with BR, under discussion with FAHC. “Reasonable and actual” cost reimbursement review underway. Revised services contracts will be completed once financial review is completed, along with restraint and seclusion standards.

Secure Residential – Looking at land in Waterbury, working with town on permitting, still looking at modular construction. Also considering another location in central Vermont. Anticipated opening end of calendar year. Plan is for a temporary solution until need for beds is clearer. Regulations under development, stakeholder meetings scheduled.

Southern State Correctional Facility Unit – Planning community placements for current patients. SSCF unit could be closed as early as week of September 17.

“Soteria - Vermont” – Contract awarded to Pathways to Housing to develop program in Chittenden County. Project leader hired (Steven Morgan). Projected opening summer 2013.

Intensive Residential Recovery beds (step downs)

- New 8 bed facility in Westminster open.
- New 8 bed facility planned for Chittenden Co., in Westford, anticipated opening late fall, early winter.
- Rutland has plans for 2 additional crisis beds and 4 residential recovery
- Additional 7 bed facility intended for Franklin Co.
- Until the Chittenden Co facility opens will continue to operate 22 beds at Second Spring
- Second Spring and Meadowview routinely full

Community Services

Additional Crisis beds open or about to open in Orange and Lamoille counties.

All DA's have plans for mobile crisis teams.

Peer Services

Contracts finalized for:

- Another Way and Alyssum for expanded capacity
- Pathways to Housing to work with existing warmlines to expand statewide access to warmlines support
- Vermont Center for Independent Living to create a peer network to support core training and other workforce development for peer providers

Contract in negotiation to expand capacity at Vermont Psychiatric Survivors

Contracts in negotiation to create new peer outreach (including peer-run transportation) in two underserved regions of the state

Transportation Changes

Already experiencing a significant decrease in use of secure transport and shackles.

- Contract with Lamoille Co Sheriffs to provide non-secure transports in northern tier
- Windham Co. Sheriffs developing joint sheriff/ DA transports
- HCRS, Second Spring, WCMH providing some non-secure transports

Working with Law Enforcement

Statewide effort underway; communication protocol almost finished, trainings and meetings underway.

Development of Standards

- Work proceeding on standards for emergency procedures (restraint and seclusion). Rules should be filed in early October.
- Emergency evaluation protocol under development
- Others to follow

Care Management

Helping to bring "system-ness" to mental health system; all parts of the system working together in new ways

- DMH team currently working with all involuntary hospitalized patients and some in the community.
- Design work underway for the formal care management system.
- Restarted Designated Hospital Meeting.
- Implemented DMH "lighting rounds".
- Held first meetings of DA Crisis Bed Directors.
- Finalizing protocol for individuals awaiting an involuntary bed
- Implemented electronic bed board,
- Held first meeting of Clinical Resource Management Workgroup
- Worked with DVHA to start managing non-CRT adults inpatient psychiatric admissions

Quality

Quality Management Director selected, Susan Onderwyzer started August 27.

Assessment in Corrections (Act 87)

Assessments began Friday Sept. 14 at Chittenden.

Housing Subsidies

Program in place - 71 individuals supported so far at an annualized cost of \$467,460. Funds are being matched as a Global Commitment investment.

Integration with ADAP - Regular meetings between ADAP and DMH started and scheduled on-going. Clinical and financial issues have been identified.

Integration with DAIL - Monthly meeting with DAIL, agreement in place on how to manage joint clients or those who fall through the cracks. Working on co-creating and funding a 3rd VCIN bed.

Integration with Blue Print and Health Care Reform - Several meetings held to date with BP leadership and HCR leadership. In the process of defining the concrete steps that need to be taken. Also participating in the Mental Health Advisory committee to the Blue Print. Working to include peer "WRAP" classes as part of community Blueprint efforts.

Suicide Prevention - Work group continuing. DMH looking for funds for adult public awareness to complement appropriation for kids' effort. Chair of this group switching to Dr. Batra and Nick Nichols.

Training and Work Force Development - Just starting a training and work force development collaborative. Three responses to RFP, decision imminent.

Increase Patient Representative time. Discussions started with VT Psychiatric Survivors and other stakeholders.

Establish Ombudsman function at DRVT. Met with DRVT to clarify role and start contract. Language just about finalized.

Workplan. Version .2.0 completed, will be on website, regular revisions.

Data and reporting. Baselines established for most measures, process underway to gather new info from DA's. Workgroup to be established on data and reporting in coming weeks.



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: August 2, 2012
Subject: Grant Requests

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration.

JFO #2572 – Smart Growth America (SGA) will conduct a transportation reform demonstration study for the Vermont Agency of Transportation (VTrans). No funds will be provided directly to VTrans or the State of Vermont, however, this effort is valued at \$100,000 and is therefore subject to the Joint Fiscal Committee approval process. SGA's work is funded by a grant from the Rockefeller Foundation. The study will evaluate existing policies and programs related to smart growth and transportation, and recommend performance measures to incorporate into VTrans' strategic plan.

[JFO received 7/23/12]

JFO #2573 – Request to establish a 3% fee in order to implement an over-the-counter credit card payment process at the Vermont Department of Buildings & General Services (BGS) surplus property warehouse. Joint Fiscal Committee approval of this fee request is required in accordance with 22 V.S.A. § 953 (c)(2).

[JFO received 7/23/12]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by August 16 we will assume that you agree to consider as final the Governor's acceptance of these requests.

JFO 2573

Attached is a request for Joint Fiscal Committee and Legislative approval of the Department of Buildings and General Services' proposed fee structure for accepting credit card payments at Surplus Property in Waterbury.

The Department of Buildings and General Services has worked with the Department of Information and Innovation and the Vermont Information Consortium to develop a secure credit card payment process system for the surplus property warehouse in Waterbury. The current system only accepts cash and checks.

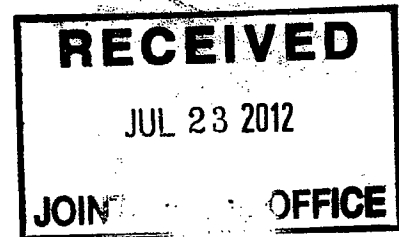
In response to the need for the Vermont BGS surplus property division to accept secure credit card payments, VIC will deploy its Over-the-Counter "Payport" service to allow BGS to accept secure credit card payments from its customers. The service will be convenient and easy-to-use with a limited number of application screens, will allow BGS staff to fulfill a primary request from its customers, expedite payments, and lessen the vulnerabilities associated with handling cash and check payments.

The service will also reduce costs related to department time dedicated to handling cash and checks, provide an online comprehensive backend service for reporting, and allow BGS to better utilize their resources.

The Web Portal Board and the Governor have approved this fee.

In accordance with 22 V.S.A. § 953 (c)(2): The governor's approval shall be final unless within 30 days of receipt of the information a member of the joint fiscal committee requests the charge be placed on the agenda of the joint fiscal committee or, when the general assembly is in session, be held for legislative approval. In the event of such request, the charge shall not be accepted until approved by the joint fiscal committee or the legislature. During the legislative session, the joint fiscal committee shall file a notice with the house clerk and senate secretary for publication in the respective calendars of any charge approval requests that are submitted by the administration.

Thank you for your consideration.



Cc: Steve Klein, Chief Fiscal Officer, Joint Fiscal Office



State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

July 17, 2012

The Honorable Governor Peter Shumlin
Pavilion Building
109 State Street
Montpelier, Vermont 05609

Dear Governor Shumlin:

In 2006, the Department of Information and Innovation (DII) entered into a contract with Vermont Information Consortium (VIC), which provides development and hosting services for the Vermont.gov web portal. A two-step process was put in place by statute for approval of sites that include fees. The Web Portal Board first considers proposals already vetted by DII. If the Web Portal Board approves the fee structure, the next step is for the Governor's office to present the board's action to the Joint Fiscal Committee with a request for approval. The Joint Fiscal Committee then acts to review and approve or reject the actions of the board.

VIC and the Department of Buildings and General Services (BGS) are requesting that the Joint Fiscal Committee approve a transaction fee to allow for the implementation of a secure over-the-counter credit card payment option for the BGS Surplus Property Office in order to make it easier for citizens to do business with the state.

The Web Portal Board met June 12, 2012 and approved the proposed fee structure for this system. During the 2010 session the Legislature created a new process for gaining the Joint Fiscal Committee's approval of fees. Therefore, this letter is submitted in accordance with this new process. The new process is as follows:

(1) All such charges (following approval by the Web Portal Board) shall be submitted to the governor who shall send a copy of the approval or rejection to the joint fiscal committee through the joint fiscal office together with the following information with respect to those items:

- (A) the costs, direct and indirect, for the present and future years related to the charge;
- (B) the department or program which will utilize the charge;
- (C) a brief statement of purpose;
- (D) the impact on existing programs if the charge is not accepted.

For this project these are:

(A) With regard to this new process and the fees associated with the development of the payment system, we submit the following for your consideration:



The additional funding for ongoing use of the service will be payable to VIC by the BGS customers through a 3% enhanced access fee on the transaction amount. Of this fee approximately 2 of the 3% will be paid to the credit card company for processing. The remaining 1% will go to VIC for the continued support of the Web Portal Project which would then include hosting, support and maintenance for this service.

(B) This system is being built for the BGS.

(C) The purpose of this project is to improve customer service for the BGS and provide citizens a way to conduct business with the state using credit card transactions.

Current Process:

The current process is for BGS to accept cash and checks only.

Future Online Process:

This service provides a vast improvement in customer service and brings BGS into the 21st century by allowing customers to use their credit cards to pay for any and all over the counter transactions.

(D) If this service is rejected the BGS will have to continue to refuse credit card transactions or publish an RFP and go through the contracting process on a time and materials basis extending the length of the project for many months to a year and requiring funding not currently in the department's budget.

Based on the above description of the need for the system and on knowledge and belief that the fees associated with the system are both necessary and reasonable, I recommend that you approve the fee structure as proposed and forward this letter, along with your approval, to the Joint Fiscal Office, so they may take the next steps to help us continue to create a modern eGovernment system for the State of Vermont.

Respectfully Submitted,



Michael Clasen, Chairperson of the Web Portal Board



Approved

Peter Shumlin, Governor of the State of Vermont



AGENCY/DEPARTMENT: Department of Buildings and General Services

Service Overview:

Currently, there is no online - or offline - service for secure credit card payment processing at the Vermont Department of Buildings & General Services ("BGS") surplus warehouse in Waterbury. Today, BGS accepts only cash and checks at its warehouse, limiting customers who wish to pay by credit card.

Future Process:

In response to the need for the Vermont BGS surplus property division to accept secure credit card payments, VIC will deploy its Over-the-Counter "Payport" service to allow BGS to accept secure credit card payments from its customers. The service will be convenient and easy-to-use with a limited number of application screens, will allow BGS staff to fulfill a primary request from its customers, expedite payments, and lessen the vulnerabilities associated with handling cash and check payments.

The service will also reduce costs related to department time dedicated to handling cash and checks, provide an online comprehensive backend service for reporting, and allow BGS to better utilize their resources.

Service Financial Expectations:

Revenue

Although we are not sure what the anticipated revenue for the service will be, based on surplus property sales estimates of approximately \$110,000 annually, we think there is strong potential. At a 50% adoption rate for example (50% sales by credit card), the gross revenues for the Over-the-Counter "Payport" service on \$110,000 would be \$1,650 annually. If the Department decides to increase its offerings on the federal surplus side, or with fleet vehicles, these estimates could increase.

Expenses

The OTC service is already built, and was deployed successfully in Vermont by the Department of Motor Vehicles in February. Therefore the expenses to deploy this additional service will be limited to project management, testing, training, and implementation, with an annual ongoing estimate for hosting and maintenance.

We estimate the upfront costs associated with the first four items above will be \$4,000, based upon 32 hours at an industry rate of \$125/hour.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

FINAL CLOSE-OUT RESULTS

Joint Fiscal Committee

September 19, 2012

Jim Reardon, Commission of Finance & Management

| FY 2012 Final Revenue vs. Target | | | | |
|----------------------------------|---|---------------------------------|--------------------------|---|
| Major Fund (\$ millions) | FY 2012 Final | FY 2012 Target (Jan 2012) | FY 2012 Final vs. Target | |
| | | | Amount | Percent |
| General Fund | \$1,196.97 | \$1,189.40 | +\$7.57 | +0.64% |
| Other Changes: | | | | |
| | VEDA w/o increase | | -\$0.07 | Adjusted to actual |
| | Additional Property Transfer Tax | | -\$1.22 | PTT Receipts less |
| | Change to Direct Apps & Reversions | | +\$7.14 | Mortgage Settlement Rec'd FY12 vs. FY13; Unclaimed Property; DFR (BISHCA) |
| | Other Bill/Other Revenue/Close-Out Adjustments | | -2.09 | Close out adjustments for revenue not in Jan 2012 estimate now included. |
| | Sec. Admin → BGS Waterbury/Irene | | -\$11.33 | Act 162 Sec. C.205 |
| | Undesignated & Unreserved Amount | | +\$0.00 | |

| | | | | |
|---|-----------|-----------|---------|--------|
| Transportation Fund Revenue | \$ 221.71 | \$ 225.17 | -\$3.46 | -1.54% |
| Note: TF closed-out with a preliminary (\$3.046) million deficit; Secretary of Administration approved reversion plan to eliminate deficit. | | | | |
| Education Fund Revenue | \$ 164.05 | \$ 162.30 | +\$1.75 | +1.08% |
| Note: Close-out added +\$0.45 in interest income from preliminary revenue results. | | | | |

| FY 2012 Final Close-out – Direct Apps/Reversions | | | |
|---|---------------------------------|------------------------|--------------------|
| General Fund (\$ millions) | Preliminary FY 2012 Closeout | Assumed FY 2012 BAA | Amount +Change- |
| Direct Applications & Reversions | \$42.23 | \$35.09 | +\$7.14 |
| Note: \$2.55 m of increase is mortgage settlement – this was estimated at \$2.70 million and included in FY13 Budget; plus additional amounts for Unclaimed Property (+\$1.18 m) and DFR/BISHCA (\$3.47) and other minor changes (-\$0.06 m). | | | |

| FY 2012 Reserves & Designated Appropriation Items | | |
|---|---------------------------------|---|
| General Fund (\$ millions) | Preliminary FY 2012 Closeout | Explanation |
| To Sec Admin. | \$ 7.00 | Carry-Forward: \$7.0 M 2011 Act 63 § C.110 (c), less \$5.1 M used for LIHEAP; then \$5.1M replenished Act 162 Sec. C.202. |
| To Sec. Admin for transfer to BGS | \$ 11.33 | Close-Out Balance appropriated to Sec. Admin for Irene reconstruction. |
| GF Balance Reserve | \$ 3.88 | For Federal Cuts (from FY 2011) originally in GF Revenue Shortfall Reserve, now moved to new GF Balance Reserve |
| GF Budget Stabilization Reserve | \$58.11 | Statutory 5% |
| HS Caseload Reserve (in GF) | \$18.50 | FY 2013 assumes \$16.24 M used; leaving \$2.51 M in reserve FY 2013 |
| TF Balance Reserve | \$0.00 | TF required deficit mitigation, therefore nothing available for Balance Reserve |
| TF Budget Stabilization Reserve | \$10.77 | Statutory 5% |
| EF Budget Stabilization Reserve | \$29.84 | Statutory max 5% |

| FY 2013 GF BAA Net Pressures | | |
|--|----------------|--|
| GF Revenue | +\$2.30 | July Forecast Change |
| Other Tax Revenue | -\$7.08 | Estimated Tax Changes now included in above July Revenue Forecast |
| Direct Apps/reversions | -\$2.95 | \$2.7 Mortgage settlement rec'd in FY 2012; \$0.25 other misc. D/A changes |
| Property Transfer Tax | -\$1.22 | PTT revised based on July Revenue Forecast |
| GF Budget Stabilization Reserve | -\$0.57 | Additional over FY13 budget due to \$11.33 m Waterbury appropriations |
| Sub-Total Net Known BAA Pressures | -\$9.51 | |
| Other BAA Items: | | |
| Increases in Direct Apps/Reversions | | |
| Sarcoidosis Fund | | |
| Generating Tax | | |
| Federal Surplus Property | | |
| Liability Insurance | | |
| Federal Cuts | | |
| Vermont Veterans Home | | |
| Corrections | | |
| LIHEAP | | |
| DCF – Child Care | | |
| Medicaid | | |

MEMORANDUM

TO: Joint Fiscal Committee
CC: Jeb Spaulding, Susan Zeller, Matt Riven, Steve Klein, Stephanie Barrett and Theresa Utton-Jerman
FROM: Jim Reardon, Commissioner
RE: General Fund Revenue Shortfall Reserve
DATE: August 27, 2012

We hereby report that the balance in the General Fund Budget Stabilization Reserve, established in 32 V.S.A. §308 (b), is \$58,114,034 at June 30, 2012, the statutory 5% of prior fiscal year appropriations.

| | | |
|--------------------------------------|---------------------|--|
| Opening Balance July 1, 2011 | \$54,372,598 | |
| FY 2012 increase to reserve | \$ 3,741,436 | Amount needed to bring reserve to 5% of FY 2011 GF appropriations. |
| Closing Balance June 30, 2012 | \$58,114,034 | |

Please contact me if you require additional information.



MEMORANDUM

TO: Joint Fiscal Committee
CC: Jeb Spaulding, Sue Zeller, Matt Riven, Otto Trautz, Steve Klein, Stephanie Barrett and Theresa Utton-Jerman
FROM: Jim Reardon, Commissioner
RE: Human Services Caseload Reserve
DATE: August 30, 2012

Pursuant to 32 V.S.A § 308b (b), I am reporting on the activity in the Human Services Caseload Reserve during FY 2012, as follows:

| | | |
|---|-------------------------|--|
| Reserve Balance at July 1, 2011 | \$60,165,193.94 | |
| Addition per 2011 Act 63§ E.300(a) | 1,340,000.00 | Transferred from Choices For Care (LTC) to HS Caseload Reserve. |
| Amount liquidated per 2011 Act 63 § D.101(b) | (29,500,000.00) | Amount included in FY 2012 budget as passed to be unreserved and made available for expenditure. |
| Amount liquidated per 2012 Act 75 § 72a which amends Act 63 §D.101(b) above | (8,483,264.00) | Adjustment to FY 2012 budget amount to be unreserved and made available for expenditure. |
| Amount liquidated and transferred per 2012 Act 162 § C.203 | (5,020,000.00) | Final adjustment to FY 2012 budget amount to be unreserved and made available for expenditure. |
| Amount Unreserved for Expenditure FY 2012 | (43,003,264.00) | |
| Reserve Balance at June 30, 2012 | \$ 18,501,929.94 | |

Of the June 30, 2012 balance, in accordance with 2012 Act 162 § D.109 (a), the amount of \$16,240,000 was unreserved and made available for expenditure in fiscal year 2013 from the human services caseload reserve created by 32 V.S.A. § 308b. Furthermore, 2012 Act 162 § D.109 (b) stipulates that any balance remaining in the Human Services Caseload Reserve at the close of FY 2013 be transferred to the General Fund Balance Reserve established in 32 V.S.A. §308c(a).



State of Vermont

Department of Finance & Management
109 State Street, Pavilion Building
Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
[fax] 802-828-2428

MEMORANDUM

TO: Joint Fiscal Committee
CC: Jeb Spaulding, Susan Zeller, Matt Riven, Otto Trautz, Steve Klein, Stephanie Barrett and Theresa Utton-Jerman;
FROM: Jim Reardon, Commissioner
RE: General Fund Balance Reserve
DATE: August 29, 2012

In accordance with 32 V.S.A. §308c (d), we hereby report that the balance in the General Fund Balance Reserve is \$3,879,828.47. This amount was reserved in the Revenue Shortfall Reserve as part of FY 2011 close-out, as per Act 63 § E.600.1 of the 2011 session, and effective FY 2013, in accordance with Act 162 § D.103.2 (a) of the 2012 session, this amount has been transferred to the General Fund Balance Reserve created in 32 V.S.A. § 308c (a) and is available for appropriation by the General Assembly.

Please contact me if you require additional information.





State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

TO: Jim Reardon, Commissioner, Finance & Management
FROM: Jeb Spaulding, Secretary of Administration
DATE: July 17, 2012
RE: Transportation Fund Carryforward Authority, FY 2012 to FY 2013

For the purpose of implementing the transportation revenue shortfall contingency plan to maintain a full 5% transportation stabilization reserve, I am amending my memo of May 31, 2012 (attached), which authorized all unexpended FY 2012 General, Transportation and Education Fund appropriation balances in the Executive Branch to carry forward for use in FY 2013.

In accordance with Section 69(a) of 2012 Act 75 (the FY 2012 Budget Adjustment Act), I am excluding the following amounts of transportation fund from the carryforward authorization referenced above:

| Appropriation | DeptID | Reversion for Revenue Shortfall |
|--------------------------------|------------|---------------------------------|
| Finance & Administration | 8100000100 | 580,604.14 |
| Aviation | 8100000200 | 7,018.45 |
| Transportation Buildings * | 8100000700 | 24,141.32 |
| Program Development | 8100001100 | 1,216,259.59 |
| Rest Areas | 8100001700 | 3,219.81 |
| Maintenance | 8100002000 | 173,416.98 |
| DMV | 8100002100 | 460,803.55 |
| Policy & Planning | 8100002200 | 176,546.66 |
| Rail | 8100002300 | 100,000.00 |
| Town Highway Bridge | 8100002800 | 182,909.23 |
| Public Transit | 8100005700 | 119,847.00 |
| Transportation Board - Special | 8100891001 | 1,865.53 |
| | | 3,046,632.26 |

cc: Brad Ferland, Director Financial Operations

Joint Fiscal Committee

September 19, 2012

Jim Reardon, Commission of Finance & Management

FY 2014 New Budget System & Process Update

The new “Vantage” budget system was activated on August 3, 2012 and is currently open only to the budget office – it will open for field users after training which is on-going (next few weeks). The Vantage System will be used to produce the FY 2014 Governor’s recommended budget submission. Budget instructions will be sent to departments on or before October 5, 2012.

Vantage is a flexible, user-friendly tool without the unwieldy customization of the previous legacy (“BDS”) system.

The “Ad-vantages” that users will experience this year include:

- An integrated approach to the State-wide budget development and monitoring process, including real-time (daily) integration with VISION Financials and HCM applications to create the State’s first fully connected Enterprise Resource Planning (ERP) system;
- Automated budget-to-actual analysis capability at the appropriation level;
- Delineation of “current services” budget versus new initiatives;
- Instant query ability by account expense code, fund, and appropriation;
- More attractive and informative Governor’s budget books;
- Timely, accurate, and relevant reports, allowing staff, management and policy-makers to make informed decisions based on actionable intelligence;
- Streamlined processes and elimination of redundancy through the use of automation, including integration of various mandated budget forms (formerly in various spreadsheets) into the budget system;
- And many other new features.
- In future years, the system will incorporate “program budget” data as well as performance measures.

As with any new system, there will be an “acclimation” process during which users adapt to the new system. The new functionality and analysis capability of the system requires that more – and better – data go into the system; which will in some respects put more burdens on you as you build your budgets.

The end result, however, will be a better budget product – and over time, a budget tool that is both more informative and easier to use. As always, the budget office will be available to provide training and customer support.



Jim Reardon

| | | | MOSQUITO CONTROL | | WEST NILE VIRUS | | | | |
|---|----------|----------|--|-----------------------|-------------------------------------|--|---|-----------------------|-----------|
| | | | FY'12 Expended | FY'13 Appropriated | | | FY'12 Expended | FY'13 Appropriated | |
| | | | Mosquito Control - GF FY'12 CF | | \$ 24,414 | | West Nile Virus - MOU w/VDH for CDC \$ | \$ 89,648 | \$ 63,881 |
| | | | Mosquito Control - GF | \$ 108,252 | \$ 60,000 | | | | |
| | | | Mosquito Control - GC | \$ 56,272 | \$ 56,272 | | VDH (CDC) funds for this MOU available in FY13 were cut to | | \$ 25,000 |
| | | | Mosquito Control - SF (Boat Reg) | \$ 2,911 | \$ 23,728 | | | | |
| | | | | \$ 167,435 | \$ 164,414 | | | | |
| FY'13 PROJECTIONS - MOSQUITO CONTROL | | | | | FY'13 PROJECTIONS - WEST NILE VIRUS | | | | |
| | | | Normal Operating | | \$ 140,000 | | Portion of Vector Management Specialist | \$ | 53,778 |
| | | | 2012 Spraying EEE (1 time) | | \$ 48,000 | | Travel | \$ | 4,218 |
| April | May | June | Projected 4 spraying events in Spring 2013 | | \$ 144,000 | | Operating | \$ | 23,881 |
| \$ 2,222 | \$ 3,651 | \$ 3,174 | New Temp Position (PG 21) to aid in mosquito trapping, testing, etc. (April - 3 days/wk; May & June 5 days/wk) | | \$ 9,047 | | expenses: | \$ | 81,877 |
| | | | Took Vector Specialist travel for a year divided it by 12 then times by 3 | | | | | | |
| | | | New Temp Travel | | \$ 1,615 | | | | |
| | | | 2 Coop Ag Pest Survey Programs audited by Feds for 2 years each. Ineligible charges of \$50,000 were costs associated with Mosquito Control. | | | | | | |
| | | | Federal CAPS program audit payback attributable to Mosquito Control | | \$ 50,000 | | Projected Deficit | \$ | (56,877) |
| | | | | | \$ 392,662 | | | | |
| | | | FY'13 Appropriation Total (from above) | | \$ 164,414 | | | | |
| | | | Projected Deficit | | \$ (228,248) | | | | |
| MOSQUITO CONTROL SPECIAL FUND - BOAT REGISTRATION | | | | | | | Total FY'13 Projected Shortfall | \$ | (285,125) |
| | | | Cash Balance as of 6/30/12 | | \$ 148,722 | | Special Fund Cash "Available" | \$ | 204,994 |
| | | | Projected FY'13 Revenue | | \$ 80,000 | | | \$ | (80,131) |
| | | | Less FY'13 Appropriation | | \$ (23,728) | | If we utilize all available cash in SF we will likely run into issues w/FY'14 | | |
| | | | Projected Cash Bal as of 6/30/13 | | \$ 204,994 | | | | |



Brian Searles
9/19/12



State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

TO: Members of the Joint Fiscal Committee
FROM: Jeb Spaulding, Secretary of Administration
DATE: September 11, 2012
RE: FY2013 Interim Budget and Appropriation Adjustment Plan as per
32 VSA §704(b)(1) and (c)

A handwritten signature in black ink that reads "Jeb Spaulding".

Attached please find the Transportation FY2013 Interim Budget and Appropriation Adjustment Plan as required by 32 VSA §704(b)(1) and (c) in response to the difference between Transportation Fund revenue projected in the July Consensus revenue forecast approved by the Emergency Board on July 20, 2012 and the Transportation Fund revenue assumed in making appropriations in Act 162 of 2012.

§ 704. Interim budget and appropriation adjustments

(b)(1) If the official state revenue estimates of the emergency board for the general fund, the transportation fund, or federal funds, determined under section 305a of this title have been reduced by one percent or more from the estimates determined and assumed for purposes of the general appropriations act or budget adjustment act, and if the general assembly is not in session, in order to adjust appropriations and their sources of funding under this subdivision the secretary shall prepare a plan for approval by the joint fiscal committee, and authorized appropriations and their sources of funding may be adjusted and funds transferred pursuant to a plan approved under this section.

(c) A plan prepared by the secretary shall indicate the amounts to be adjusted in each appropriation, and in personal services, operating expenses, grants, and other categories, shall indicate the effect of each adjustment in appropriations and their sources of funding, and each fund transfer, on the primary purposes of the program, and shall indicate how it is designed to minimize any negative effects on the delivery of services to the public, and any unduly disproportionate effect the plan may have on any single function, program, service, benefit, or county.

cc: Jim Reardon, Commissioner, Department of Finance & Management
Brian Searles, Secretary, Agency of Transportation

Agency of Transportation
 FY2013 Interim Budget and Appropriation Adjustment Plan
 as per 32 VSA §704(b)(1) and (c)

| | A | B | C | D | E | F | G | H | I |
|----|--|--------------------|------------------|----------------|------------------|----------------|--|------------|-------------------|
| 1 | Description | Tfund - 20105 | FEMA - 20150 | FHWA - 20135 | ERAF - 21555 | TIB - 20191 | Comment | DeptID | Major Object |
| 2 | | | | | | | | | |
| 3 | July Revenue forecast adjustment estimated impact | (4,983,281) | | | | | FY2013 Transportation Fund budgetary shortfall after July 2012 consensus revenue forecast. | | |
| 4 | | | | | | | | | |
| 5 | FY2013 revenue contingency: | | | | | | | | |
| 6 | Tfund stabilization reserve | (152,332) | | | | | Reduce FY13 stabilization reserve requirement for FY12 end-of-year appropriation reductions for FY12 revenue shortfall (5% of \$3,046,632.26). This is a TFund operating statement adjustment - requires amendment to BAA to exclude carryforward reversions from stabilization reserve. No impact to the FY2013 transportation program. | N/A | N/A |
| 7 | Program Development - Paving | (370,000) | | | | 370,000 | Replace TF with unappropriated TIB funds available from FY12 year-end surplus and July TIB forecast upgrade. No impact to the FY2013 transportation program. | 8100001100 | Operating - LSI |
| 8 | Program Development | (400,000) | | 400,000 | | | Refunds of prior year Right of Way payments associated with acquisitions made using 100% TFund that are eligible for FHWA reimbursement. No impact to the FY2013 transportation program. | 8100001100 | Operating - LSI |
| 9 | DMV | (300,000) | | | | | Revert portion of FY2012 year-end balance not needed for continuing operations. No impact to the FY2013 transportation program. | 8100002100 | Personal Services |
| 10 | Rest Areas | (200,000) | | | | | Adjust appropriation for anticipated cash flow needs for Bennington Welcome Center - shifts more funding to FY14. No delay to project schedule. | 8100001700 | Operating - LSI |
| 11 | Rail | (1,116,000) | | | | | Use ERAF funds in lieu of TFund for portion of non-federal share of FEMA PA projects on state-owned rail lines. No impact to the FY2013 transportation program. | 8100002300 | Operating - LSI |
| 12 | FEMA Public Assistance - Rail | | | | 1,116,000 | | Use ERAF funds in lieu of TFund for portion of non-federal share of FEMA PA projects on state-owned rail lines. No impact to the FY2013 transportation program. | 8100005500 | Operating - LSI |
| 13 | Rail | (2,444,949) | | | | | Reduce Rail appropriation for TFund expenditures during FY2012 that will be reimbursed by federal funds (FEMA) during FY2013. FEMA funds were not yet obligated in FY2012 but will be in FY2013. No impact to the FY2013 transportation program. | 8100002300 | Operating - LSI |
| 14 | FEMA Public Assistance - Rail | | 2,444,949 | | | | Reduce Rail appropriation for TFund expenditures during FY2012 that will be reimbursed by federal funds (FEMA) during FY2013. FEMA funds were not yet obligated in FY2012 but will be in FY2013. No impact to the FY2013 transportation program. | 8100005500 | Operating - LSI |
| 15 | | | | | | | | | |
| 16 | TOTAL OF ABOVE ITEMS | (4,983,281) | 2,444,949 | 400,000 | 1,116,000 | 370,000 | | | |



State of Vermont

AGENCY OF HUMAN SERVICES

Department for Children and Families
Economic Services Division
OFFICE of HOME HEATING FUEL ASSISTANCE

Mailing Address: 103 South Main Street
Waterbury, VT 05671-5501
1-800-479-6151
(802) 769-6448

To: Senator Ann Cummings, Chairperson Joint Fiscal Committee

From: Richard Moffi, Fuel Assistance Program Chief

Copy: Dave Yacovone, Commissioner of DCF

Subject: AA-1 Request for Limited Service Positions
Green Mountain Power (GMP) Energy Assistance Program (EAP)
Economic Services Division (ESD) Consumer Eligibility Determination

Date: September 18, 2012

The Department for Children and Families (DCF) will enter into a contract with Green Mountain Power (GMP) to provide eligibility determination services for their low-income Energy Assistance Program (EAP). Income eligible households will receive a discount off their monthly electric service, and in the first 90 days of the EAP will also be eligible for a one-time arrearage forgiveness.

The Vermont Public Service Board, under Docket #7535 begun in June 2009, requires GMP to establish a low-income EAP for the estimated 70 percent of Vermont's residential electric accounts served by the company. In April the Administration agreed to enter into a contract with GMP for DCF's Economic Services Division to determine income eligibility and coordinate program outreach.

GMP, with funds approved under PSB Docket #7535, will compensate DCF for services and associated costs including reimbursables. In the seven-month first term of the contract (Dec 2012 to June 30 2013) the working estimate for fixed cost compensation (including one-time start/fit-up costs and not including reimbursables) is \$413,447. In the first full year of the contract the working estimate for fixed cost compensation is \$543,656.

An AA-I request for eight limited service positions to staff this project is being processed internally.

GMP Energy Assistance Program (EAP)

- Residential accounts only
- Income eligibility maximum 150% federal poverty by household size
- Ongoing discount of 25% regardless of income
- Average electric bill: \$84/month = average savings \$21/month (\$252/year)
- One Time arrearage forgiveness (only available in the program's first 90 days)
- Clients do not have to be LIHEAP recipients or have electric heat to qualify

EAP Services Provided by DCF/ESD

- Development and printing of program documents
- Application distribution (mail or web) and acceptance (initially mail only)
- Consumer Outreach – including outreach agreements with CAPs and AAAs
- Initial determination of program eligibility and annual eligibility reviews
- Primary contact and source of information for consumers
- Secure data transfer of eligibility determinations to GMP
- Eligibility database management and reporting
- Program Oversight by the Fuel Program Chief

Reduction of Energy Cost Burden for Low-Income Vermonters

- The March 1, 2012 *LIHEAP Sustainability* report proposed investigating the expansion of the GMP rate discount to all regulated utilities to address the energy cost burden of low-income households. (The utility component of the recommendation is included below.)
- DCF's partnership with GMP establishes a model that can be followed, replicated and implemented by the Public Service Board (PSB), the Department for Public Service (DPS) and the regulated utilities.
- Legislation passed in 2012 requires VTGas to implement a discount program for low-income consumers with incomes up to 200% of poverty and establishes DCF as the entity to be compensated for eligibility determination services.

Reduce the energy cost burden for low-income Vermonters for natural gas (VT Gas), electricity, and home heating fuels.

DCF will work with Vermont's regulated utilities and the Department of Public Service (DPS) to determine whether or not the recently-established low-income electric support program in Central Vermont Public Service (CVPS) and Green Mountain Power (GMP) territory can be expanded statewide, including the investigation of the program mechanics and costs (including costs to ratepayers) required to implement a Tiered Income Discount Plan with Vermont Gas (VT Gas) and all electric companies for households with gross incomes up to 200% of poverty.

A "Tiered Income Discount Plan" can be as simple as taking a fixed 25% discount and establishing three discount tiers: households in the upper third of the income range receive a 15% discount, those in the middle a 25% discount, and those in the lowest third a 35% discount.

Department for Children and Families
Commissioner's Office
2nd Floor, 5 North
103 S. Main Street
Waterbury, VT 05671-5920
www.dcf.vt.gov

[phone] 802-871-3385
[fax] 802-769-2064

Agency of Human Services

March 1, 2012

Honorable Peter Shumlin, Governor of Vermont
Honorable John F. Campbell, President Pro Tempore, Vermont Senate
Honorable Shap Smith, Speaker, Vermont House of Representatives

Dear Governor Shumlin, President Pro Tempore Campbell, and Speaker Smith,

Thank you for the opportunity to recommend changes to improve the long-term sustainability and success of the LIHEAP program in Vermont. Income-eligible Vermonters rely on the LIHEAP federal block grant to stay warm, safe, and healthy during the winter months. Grants are provided to households from the Seasonal Fuel Assistance Program administered by the Department for Children and Families and from the Crisis Fuel Assistance Program administered by Vermont's five community action agencies.

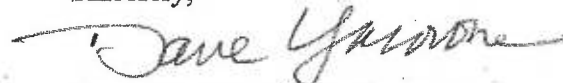
It is my studied assumption that the Emergency Board's directive to me was in response to the following current LIHEAP realities: reduced federal funding; an increased demand for assistance; high home heating fuel and energy prices; and the December 2011 infusion of State funds into Vermont's LIHEAP Program.

To help ensure long-term sustainability, the attached document proposes twelve recommendations in four critical program areas:

- Energy Cost Burden,
- Seasonal Fuel Assistance,
- Crisis Fuel Assistance, and
- Energy Consumption Burden.

Fuel Assistance Program Chief Richard Moffi and I look forward to working with all LIHEAP stakeholders to reach consensus and implement recommendations to improve the sustainability and success of LIHEAP for income-eligible Vermonters.

Sincerely,



Dave Yacovone, Commissioner

Attachment

cc: Secretary Doug Racine, Agency of Human Services



Recommended Fuel Program Changes for Long-Term Sustainability

Submitted March 1, 2012

VT Emergency Board Resolution – January 3, 2012:

The Department for Children and Families is to review the LIHEAP program and its funding to identify possible changes that will improve the sustainability and success of the LIHEAP program in the future and submit any recommended changes to the administration and general assembly by March 1, 2012.

Energy Cost Burden

Reduce the energy cost burden for low-income Vermonters for natural gas (VT Gas), electricity, and home heating fuels.

1. The Department for Children and Families (DCF) will work with Vermont's regulated utilities and the Department of Public Service (DPS) to determine whether the recently-established low-income electric support program in Central Vermont Public Service (CVPSC) and Green Mountain Power (GMP) territory can be expanded statewide. Included in the investigation would be the program mechanics and costs (including costs to ratepayers) required to implement a Tiered Income Discount Plan¹ with Vermont Gas (VT Gas) and all electric companies for households with gross incomes up to 200% of poverty.²
 - Estimated energy cost burden reduction: To be determined.
2. In partnership with petroleum fuel suppliers by May 1, 2013 for the FFY 2014 heating season, develop leveraging options to reduce the cost of fuel purchased with both Seasonal Fuel and Crisis Fuel Assistance. To participate in the LIHEAP program, certified petroleum fuel suppliers would choose one or more of the following options:
 - A. Margin Over Rack³ pricing; OR
 - B. Fixed discount in addition to dealer's regular cash or prompt payment discount; OR
 - C. Summer fuel contract with a capped maximum per gallon price and downside protection⁴.
 - An independent third party will be used to develop each leveraging option based on LIHEAP models used in other northeastern states.
 - Estimated increased benefit purchasing power: To be determined.
3. By the start of the FFY 2013 heating season, consult with financial advisors experienced in the oil futures market and annual petroleum heating fuel contracts to determine whether DCF should undertake a detailed study of investing LIHEAP and/or state funds into either financial product.

Seasonal Fuel Assistance (SF)

Establish SF benefit equity, based on income and energy burden.

4. By the start of the FFY 2014 heating season, the SF program will pay benefits to fuel dealers after deliveries are made and base those payments on a fixed percentage of a client's bill, up to a maximum benefit amount.

The federal LIHEAP program requires that benefits are based on *need* (both the client's income and energy burden). The current system sets benefits for energy burden based on estimated averages. These averages perpetuate an inequitable distribution of benefits. Paying benefits based on actual consumption would redistribute funds from those who need less assistance to those with a greater need for assistance. This redistribution establishes the most efficient use of SF benefits.

- Requirement: payment after delivery⁵ invoice and payment management system
- Estimated redistribution of funds: \$1.0 to \$2.0 million

Crisis Fuel Assistance (CF)

Reduce client access to and dependence on CF Assistance.

(Savings generated by changes to CF will be used to increase SF benefits.)

5. By the start of the FFY 2013 heating season, clients who are income eligible for SF may receive one fuel grant per season and clients who are over income for SF and income eligible for CF may receive two fuel grants per season.
 - A "grant" is: a 125-gallon fuel delivery and one electric disconnection assist; OR one VT Gas heat disconnection assist and one electric disconnection assist; OR two electric disconnection assists (electric heat).
 - Estimated savings: \$750,000 (FFY 2010 and 2011 CF data).
6. CVPSC and GMP are under a Public Service Board order to implement a low-income rate discount of 25%. Once the planned discount program has been in operation for six months, eliminate CF assistance for CVPSC and GMP electric disconnections for clients who are income eligible for that program.
 - Estimated Savings: \$700,000 (FFY 2010 and 2011 CF data and CVPSC/GMP residential service).
7. When a discount program for all other electric companies and VT Gas has been in operation for six months, eliminate CF assistance for electric and VT Gas disconnections for clients who are income eligible for the low-income utility rates established in recommendation #1.
 - Estimated Savings: \$500,000 (\$300,000 for electric plus \$200,000 for VT Gas).
8. By the start of the FFY 2015 heating season, make CF payments to fuel suppliers through the SF payment after delivery system (currently under development).
 - Estimated savings: Reduced "direct service" costs to be determined.
9. From the start of the FFY 2013 heating season, evaluate the incremental impact on "direct service" (administration) costs of the above changes to CF (currently \$520,000).
 - Estimated savings: Reduced "direct service" costs to be determined.

Energy Consumption Burden

Target energy burden services to households based on energy consumption, financial need, and household composition.

10. By the start of the FFY 2013 heating season, the Office of Economic Opportunity (OEO) will develop and implement a Weatherization priority matrix to ensure maximum benefit to Weatherization clients and the State's LIHEAP budget.
 - The matrix will, at minimum, take into account:
 - Homes with high energy use;
 - LIHEAP recipients;
 - Households with the lowest incomes; and
 - Households with vulnerable persons (elderly, disabled, children under age six).
 - Estimated consumption savings: To be determined.

Provide Energy Efficiency Coaching

11. Maintain the Sustainable Energy Resources for Consumers (SERC) program after ARRA funds expire on June 30, 2012 and incorporate SERC into the Weatherization program. The SERC model provides energy-efficiency coaches who work with low-income families to reduce their energy consumption. SERC is currently under an ARRA required review and evaluation.
 - OEO will collaborate with Efficiency Vermont (EV), and DPS to determine whether program funds identified for low-income Vermonters in the EV/DPS contract could be re-allocated within the contract's terms to fund SERC (estimated annual cost of \$250,000 to \$300,000).
 - Estimated energy consumption reduction: To be determined.

Establish a Furnace Clean & Tune Program

12. By the start of the FFY 2013 heating season, OEO will implement a targeted Furnace Clean & Tune Program to be delivered by local fuel dealers. OEO operates an Emergency Heating System Repair and Replacement Program (EHSRRP) that spends upwards of \$500,000 annually. A clean and tune program will reduce consumption and prevent many "faulty operation" winter service calls paid for by EHSRRP.
 - LIHEAP will provide an initial annual investment of \$100,000 to be distributed by OEO to local fuel dealers who will perform basic furnace cleaning services.
 - Estimated energy consumption reduction: To be determined.

Summary

The twelve recommendations above, if and when implemented, will collectively result in a positive impact on the economics of home heating and energy for Vermont's low-income families and individuals. The average seasonal fuel assistance benefit will increase. Seasonal fuel benefits will be distributed more equitably. Home energy costs and energy consumption will decrease.

Summary (continued)

However, the single challenge of establishing **LIHEAP sustainability** may not be achieved. It may be necessary to consider additional changes that more specifically address increasing caseload and decreasing funding. For example:

- ❖ **Caseload:** The Seasonal and Crisis Fuel caseloads could be decreased. For example: return income and resource eligibility to pre FFY 2012 levels.
- ❖ **Funding:** A continuous and predictable stream of state-generated funds could be developed to augment the LIHEAP block grant. For example: the gross receipts tax provides predictable state funding for Weatherization.

Respectfully submitted,
Dave Yacovone, Commissioner

For More Information

For questions, comments or concerns please contact Richard Moffi, Fuel Assistance Program Chief, via email at Richard.Moffi@state.vt.us or by phone at 802-769-6448.

Notes:

¹ A Tiered Income Discount Plan can be as simple as taking a fixed 25% discount and establishing three discount tiers: households in the upper third of the income range would receive a 15% discount; clients in the middle third a 25% discount; and clients in lowest third would receive a 35% discount.

² At the request of various parties in the low-income electric support proceeding, including DPS, the DCF Economic Services Division is actively investigating taking responsibility for income eligibility determination of the CVPSC/GMP discount program required by the Public Service Board. Based on the analysis thus far, with some outstanding issues to be resolved, DCF anticipates the Economic Services Division will assume this responsibility.

³ Margin Over Rack is an annually negotiated price or prices paid to Fuel Program certified dealers over their wholesale or "rack" price for oil, propane, and kerosene purchased with LIHEAP funds.

⁴ Downside Protection is insurance that protects consumers when oil, propane, and kerosene prices decrease during the term of a supply contract with a fuel dealer. The "protection" for the consumer is they pay the fuel dealer's current lower price when market prices fall.

⁵ The Fuel Program statute requires DCF to establish a system to make payments to fuel and energy suppliers after fuel is delivered or charges have been posted to a client's account. The electronic transfer of client data between the Fuel Office and certified fuel suppliers is projected to be operational by the start of the FFY 2013 heating season. The payment after delivery system is projected to be operational by the start of the FFY 2014 heating season.

Crisis Fuel Assistance (CF)

Reduce client access to and dependence on Crisis Fuel (CF) Assistance.

5. Rule change for the FFY 2013 heating season, to limit clients who are income eligible for SF to one crisis grant per season and clients who are over income for SF and income eligible for CF to two crisis grants per season.
6. Rule change to eliminate electric service disconnection crisis grants to GMP customers 6 months after the company's Energy Assistance Program is launched.
7. Rule change identical to 6. above and applicable to VTGas and all other electric companies.

5, 6 & 7: ESD is finalizing draft proposed rules to be filed in Sept

8. By the start of the FFY 2015 heating season, make CF payments to fuel suppliers through the SF payment after delivery system.

Fully Dependent on Recommendation 4.

9. From the start of the FFY 2013 heating season, evaluate the incremental impact on "direct service" (administration) costs of the above changes to CF.

To be undertaken during the FFY2013 crisis fuel season

Energy Consumption Burden

Target energy burden services to households based on energy consumption, financial need, and household composition.

10. By the start of the FFY 2013 heating season, the Office of Economic Opportunity (OEO) will develop and implement a Weatherization priority matrix to ensure maximum benefit to Weatherization clients and the State's LIHEAP budget.

Work review pending within OEO

Provide Energy Efficiency Coaching (SERC)

11. Maintain the Sustainable Energy Resources for Consumers (SERC) program after ARRA funds expire and incorporate SERC into the Weatherization program.

No progress at this time

Establish a Furnace Clean & Tune Program

12. By the start of the FFY 2013 heating season, OEO will implement a targeted Furnace Clean & Tune program to be delivered by local fuel dealers.

Project re-start discussions underway with CVCAC

Energy Cost Burden

Reduce the energy cost burden for low-income Vermonters for natural gas (VT Gas), electricity, and home heating fuels.

1. Utility Discounts from Green Mountain Power, Vermont Gas, and the remaining 18-20 electric companies.

GMP to begin late December 2012 – ESD/Fuel to determine eligibility

VTGas to begin late Summer 2013 – ESD/Fuel to determine eligibility

2. By May 1, 2013 for the FFY 2014 heating season, offer three (3) leveraging options to petroleum fuel dealers to reduce the cost of fuel purchased with Seasonal and Crisis Fuel Assistance. Options: Margin Over Rack (MOR), Discount Off Retail (DOR) or Summer Fuel Contract.

The Fuel Office is preparing RFP documents

3. By the start of the FFY 2013 heating season, consult with private sector financial investment advisors to determine if a detailed study should be done to invest LIHEAP or state funds into oil futures or petroleum contracts.

The Fuel Office has made very limited enquiries.

Seasonal Fuel Assistance (SF)

Establish Seasonal Fuel (SF) Assistance benefit equity, based on income and energy burden.

4. By the start of the FFY 2014 heating season, pay SF benefits to fuel dealers after deliveries are made and based on a fixed percentage of a client's bill, up to a maximum benefit amount. See Also Recommendation 8.

The web-portal was scheduled for implementation of two-way data-transfer for the FFY2012 heating season with full payment implementation FFY2013. Due to major IT problems resulting from Irene this project is unable to move forward due to ACCESS system limitations

The Fuel Office, ESD IT staff and a private contractor structured a two-way data transfer alternative to be implemented this November



Department for Children and Families
Economic Services Division
OFFICE of HOME HEATING FUEL ASSISTANCE
Mailing Address: 103 South Main Street
Waterbury, VT 05671-1201
1-800-479-6151

MEMORANDUM

To: HEAT Force and Other Interested Parties
From: Richard Moffi, Fuel Assistance Program Chief
Subject: Seasonal Fuel Assistance FINAL Statistics 2011-2012
Date: September 14, 2012

Numbers with * are New Records for the Fuel Program
Here are the FINAL statistics for Seasonal Fuel Assistance 2011-2012

Applications Received & Processed: 47,669* (as of April 13, 2012)

Table with 4 columns: Benefit Group, Households, Average Benefit, Total Benefits. Rows include Fuel Liability, Heated Renter, Roomer, and Public Housing.

Total Households Assisted to Date: 38,939*
Total Seasonal Benefits Issued or Scheduled: \$21,891,609

\$3 for 3SqsVT benefits by 9-30-12 9,999 households \$31,800

Table with 4 columns: 2010-2011, Households, Average Benefit, Total Issued. Rows include Fuel Liability, Heated Renter, Roomer, and Public Housing.

Total Households Assisted: 36,538
Total Seasonal Benefits Issued: \$22,837,214

All On-Time Applications Received & Processed: 40,484

Please, if you have questions or desire more information, call Richard at 802-769-6448.

9/19/12

DCF/ESD/Fuel Office
R. Moffi, Fuel Chief

LIHEAP Update

Joint Fiscal Committee – September 19, 2012

Fuel Assistance Funding

- Level Funded LIHAP Block Grant Highly Anticipated
 - Senate \$3.5 Billion national funding
 - House & President \$3.2 Billion national funding
 - Vermont Grant \$19.5 Million @ level funding
 - Issue/Impact of Possible Funding Sequestration
 - “May” delay or reduce first quarter grant allocation

• Two-Year Vermont Funding Comparison

| | FFY 2013 | FFY 2012 |
|--------------------|---------------------|---------------------|
| LIHEAP Grant | \$19,529,000 | \$19,529,000 |
| LIHEAP Contingency | \$ -0- | \$ -0- |
| Carry Over | \$1,350,000 | \$4,005,000 |
| State | \$ -0- | \$5,050,000 |
| Wx (ARA) | \$ -0- | \$1,050,000 |
| | ----- | ----- |
| TOTAL | \$20,879,000 | \$29,634,000 |

Fuel Assistance Benefit

- Seasonal Fuel Assistance – 5 categories of (1) fuel liability and (4) heated renters

| | FFY 2013 | FFY 2012 |
|----------------------------------|-----------------|----------------------|
| Total Funds Grants | \$19,529,000 | \$19,529,000 |
| Total HHs Assisted | 45,000 | 43,500 |
| Total “Fuel Liability” HHs | 28,500 | 27,400 |
| Avg. Full-Fuel-Liability Benefit | \$543 | \$900 (inc. ‘bonus’) |
| Avg. Gallons Purchased | 150 gals. | 249 gals. |
| Avg. %age of Winter Heat | 20% | 33% |

LIHEAP Stats Compilation

R.Moffi ESD/Fuel Sept 7, 2012

| FFY | LIHEAP Total | LIHEAP Carry-Over (1) | State Funds | TOTAL FUNDS | Fuel Liability Households | Full Season Fuel Liability Avg. Benefit | Nov-Apr Avg cost oil/gal (2) | Purchase Power Gallons / %age (3) | FFY |
|-----------------------------------|--------------|-----------------------|--------------|------------------|---------------------------|---|------------------------------|-----------------------------------|-------------|
| 2013 | \$19,529,000 | \$1,300,000 | \$0 | \$20,829,000 | 28,500 | \$543 | \$3.61 | 150 / 20% | 2013 |
| FFY2013 data assumed or estimated | | | | | | | | | |
| 2012 | \$19,529,156 | \$4,005,000 | \$6,100,000 | \$29,634,156 | 27,100 | \$900 | \$3.61 | 248 / 33% | 2012 |
| 2011 | \$27,557,850 | \$6,687,000 | \$0 | \$34,244,850 | 26,546 | \$866 | \$3.31 | 262 / 34% | 2011 |
| 2010 | \$27,341,881 | \$5,447,000 | \$0 | \$32,788,881 | 20,399 | \$1,064 | \$2.68 | 397 / 52% | 2010 |
| 2009 | \$38,642,377 | \$363,000 | \$0 | \$39,005,377 | 19,227 | \$1,718 | \$2.62 | 656 / 86% | 2009 |
| 2008 | \$16,883,723 | N/A | \$5,898,032 | \$22,781,755 (4) | 15,369 | \$1,362 | \$3.24 | 420 / 55% | 2008 |
| 2007 | \$11,612,664 | N/A | \$590,769 | \$12,203,433 | 15,124 | \$1,368 | \$2.51 | 545 / 71% | 2007 |
| 2006 | \$14,319,230 | N/A | \$10,200,000 | \$24,519,230 | 14,893 | \$1,364 | \$2.50 | 546 / 71% | 2006 |
| 2005 | \$13,751,056 | N/A | \$1,000,000 | \$14,751,056 (5) | 14,252 | \$902 | \$2.05 | 441 / 58% | 2005 |

- (1) The carry-over amounts are as of June 30 and not September 30
- (2) Price after required discount from FFY2009 forward
- (3) Assumes average winter consumption of 764 gallons for a delivered petro fuel (oil/propane/kerosene)
- (4) \$1 Million returned to the State on June 30
- (5) No state funds were contributed to LIHEAP prior to FFY2005

2012 - 2013 Monthly Income Maximums

| HH Size | <u>Seasonal Fuel</u> | <u>Crisis Fuel</u> |
|-------------------|------------------------------------|----------------------------------|
| | 185% Poverty Gross Income/Month | 200% Gross Gross Income/Month |
| 1 | \$1,722 | \$1,862 |
| 2 | \$2,333 | \$2,522 |
| 3 | \$2,944 | \$3,182 |
| 4 | \$3,554 | \$3,842 |
| 5 | \$4,165 | \$4,502 |
| Add per person | \$611 | \$660 |

Aug 24, 2012

R.Moffi ESD/Fuel Office

Vermont Legislative Joint Fiscal Office

One Baldwin Street • Montpelier, VT 05633-5701 • (802) 828-2295 • Fax: (802) 828-2483

TO: Members of the Joint Fiscal Committee

FROM: Sara Teachout

RE: Burlington TIF Briefing

There appear to be significant changes in the proposed improvements to be paid for with funds from the Burlington Waterfront TIF district since the business plan submitted to the Joint Fiscal Committee on August 31, 2009. Therefore, the City of Burlington has been asked to make a presentation to the Joint Fiscal Committee with an update of the most recent plan for the district.

Brief Waterfront TIF History

The Burlington Waterfront TIF was created under the original TIF statute which allowed the City to incur debt over a 10-year period from 1996 until 2006 and retain tax increment until any debt issued was repaid. The last debt issued by Burlington under this law was in 2005 for 20 years to be repaid by FY2025. The City under the original law was allowed to retain 100% of the education TIF increment until the end of FY2025, but was prohibited from incurring additional debt.

2009 Act No. 54 Sec 83 allowed the City of Burlington an additional 5-year window to incur additional debt, but required the formula for repaying the debt be approved by the Joint Fiscal Committee after the review of a business plan. The five-year time period for Burlington to issue new debt began January 1, 2010 and debt can be issued until December 31, 2015. Although not explicit in Act 54, this does not extend the life of the TIF, and Burlington would retain the tax increment until the FY2025 conclusion of the TIF district, without an extension. After June 30, 2025, all education tax revenue will be returned to the Education Fund regardless of whether Burlington has repaid all of the new debt issued.

The City of Burlington submitted a proposal to the Joint Fiscal Committee on August 31, 2009 including a business plan for the proposed improvements within the district and method of making payments in lieu of tax increment to the Education Fund as required in part (b) of the statute. The proposal included four public infrastructure improvements to be financed with TIF debt including; Waterfront North Transportation improvements, the Moran Plant, 131 Battery Street improvements (Battery, Main and Lake Streets), and improvements to Lower King Street. The Joint Fiscal Committee approved the payment formula at its meeting on September 10, 2009 and the JFC motion was subsequently codified in the 2011 legislative session.

As part of the debt extension, the City is required to submit annually to both the Tax Department and the Joint Fiscal Committee a report containing TIF information including details of new debt authorized and repayment schedules. According to reports submitted to-date, the City did not incur new debt in FY 2010 or 2011 but did in FY 2012 issue debt for College Street Garage Repairs in the amount of \$458,958 to be financed by the TIF through FY 2017.

Recent Legislation Pertaining to the Burlington Waterfront TIF

2009 Act No. 54 Sec. 83. BURLINGTON TAX INCREMENT FINANCING

(a) The authority of the City of Burlington to incur indebtedness for its currently-existing tax increment financing district is hereby extended for five years beginning January 1, 2010.

(b) The City of Burlington shall submit to the joint fiscal committee at least ten days prior to its September 2009 meeting a business plan and projection of new incremental education property tax revenue growth to be financed by any indebtedness authorized under subsection (a) of this section, and a proposal for implementation of a payment to the education fund in lieu of tax increment which would approximate 25 percent of the new incremental education property tax revenue and the mechanism for payment by the City to the education fund, including payment dates.

(c) If the joint fiscal committee approves a formula for implementation of a payment to the education fund in lieu of tax increment (the increased revenue generated by the incremental grand list value), and if the City of Burlington incurs new indebtedness under subsection (a) of this section for its currently-existing tax increment financing district, then the city shall pay to the education fund the approved payment in lieu of tax increment as required under the plan approved by the joint fiscal committee.

2011 Act No. 45 Sec. 16. BURLINGTON TAX INCREMENT FINANCING

(a) Pursuant to Sec. 83 of No. 54 of the Acts of the 2009 Adj. Sess. (2010), the joint fiscal committee approved a formula for the implementation of a payment to the education fund in lieu of tax increment payments.

(b) The terms of the formula approved by the joint fiscal committee are as follows:

(1) Beginning in the fiscal year in which there is the incurrence of new TIF debt, the city will calculate and make an annual payment on December 10th to the education fund each year until 2025. The April 1, 2010 grand list for the area encompassing the existing Waterfront TIF – excluding two parcels at 25 Cherry Street or the Marriott Hotel (SPAN#114-035-20755) and 41 Cherry Street – is the baseline to be used as the starting point for calculating the tax increment that will be divided 25 percent to the state education fund and 75 percent to the city of Burlington. At the conclusion of the TIF in FY2025, any surplus tax increment funds will be returned to the city of Burlington and state

education fund in proportion to the relative municipal and education tax rates as clarified in a letter from Mayor Bob Kiss to the chair of the joint fiscal committee dated September 9, 2009.

(2) The formula for calculating the payment in lieu of tax increment is as follows: first, the difference between the grand list for the Waterfront TIF excluding the two hotel parcels from the fiscal year in which the payment is due and the April 1, 2010 grand list is calculated. Next, that amount is multiplied by the current education property tax rates to determine the increment subject to payment. Finally, this new increment is multiplied by 25 percent to derive the payment amount.

(3) The city of Burlington will prepare a report annually, beginning July 1, 2010, for both the joint fiscal committee and the department of taxes, which will contain: (A) the calculation set out in subdivision (2) of this subsection; (B) a listing of each parcel within the Waterfront TIF District and the 1996 original taxable value, 2010 extended base value, and the most recent values for all homestead and nonresidential property; (C) a history of all of the TIF revenue and debt service payments; and (D) details of new debt authorized, including repayment schedules.



Vermont Employment Growth Incentive Program

**Annual Report
2012**

VERMONT ECONOMIC PROGRESS COUNCIL

VERMONT DEPARTMENT OF TAXES

VEGI Program Summary

ACTUAL ECONOMIC IMPACT (January 1, 2007 - December 31, 2010)

| | |
|--|----------------|
| New Qualifying Jobs Created | 1,328 |
| Total New Jobs (Direct & Indirect) | 2,528 |
| New Qualifying Payroll Created | \$ 65,448,278 |
| New Qualifying Capital Investments | \$ 109,624,763 |
| Incentives Paid | \$ 1,945,562 |
| Estimated Net Revenue Benefit | \$ 5,259,300 |

APPLICATION VOLUME (January 1, 2007–December 31, 2011)

| | <u># of Applications</u> | <u>Incentive Value</u> |
|---|--------------------------|------------------------|
| Applications Considered | 64 | \$ 47,351,136 |
| Applications Denied | 4 | \$ 783,181 |
| Applications Rescinded/Terminated | 22 | \$ 17,331,417 |
| Net Approved-Active Applications | 38 | \$ 29,236,538 |

Table of Contents

| | |
|---|-----------|
| VEGI PROGRAM SUMMARY | 2 |
| TABLE OF CONTENTS | 3 |
| I. INTRODUCTION..... | 4 |
| II. APPLICATION ACTIVITY..... | 6 |
| Table 1: Incentive Applications Considered | 8 |
| Table 2: Incentive Authorization Data Summary | 10 |
| III. ACTUAL ECONOMIC ACTIVITY | 11 |
| Table 3: Projected and Actual Activity Summary | 13 |
| Table 4: Projected and Actual Activity – By Class | 15 |
| IV. SUPPLEMENTAL DATA: ACTIVE APPLICATIONS | 16 |
| Charts 1 & 2: Projected Jobs – Wage Levels and Job Types | 18 |
| Charts 3 & 4: Health Care Percent Paid by Employer and Size of Business | 19 |
| Charts 5 & 6: Type of ED Project and Type of Facility Expansion..... | 20 |
| Charts 7 & 8: Regional Distribution of Incentives by Application and Dollar Value | 21 |
| Chart 9: Regional Distribution of Jobs | 22 |
| V. PERFORMANCE MEASURES | 23 |
| VI. ENDNOTES | 24 |

Introduction

In January 2007, the Vermont Employment Growth Incentive (VEGI) program began offering new incentives for business recruitment, growth and expansion in Vermont. The VEGI program provides a cash incentive that is paid from the incremental tax revenues generated by the new jobs created and investments made by an authorized business, only after the incremental jobs are created and investments are made. To be authorized, a company must apply to the Vermont Economic Progress Council (VEPC), a citizen board that determines:

- Whether the economic activity would not occur or would occur in a significantly different and/or less desirable manner without the incentive (But For);
- Whether the economic activity will generate more incremental tax revenue for the state than is foregone through the incentive (cost-benefit modeling); and
- Whether the company and economic activity are consistent with a set of nine program guidelines.

VEGI incentives are earned over a period of up to five years and paid out over a period of up to nine years. The incentives are earned only if payroll, employment, and capital investment performance requirements are met by the company each year. If the company earns the incentive by meeting performance requirements in a particular year, the incentive

is then paid out in five annual installments, if the new jobs and payroll are maintained. Once authorized by VEPC, claims for VEGI incentive installments are examined annually by the Vermont Department of Taxes.

In early 2012, *Good Jobs First*, a national policy resource center for grassroots groups and public officials that promotes corporate and government accountability in economic development, rated Vermont's VEGI program as the best in the United States for enforcement, safeguarding the taxpayer, and ensuring good job creation.

This annual report presents information on all applications considered, the economic activity projected by the active applications considered from the start of the program on January 1, 2007 through December 31, 2011, and the actual economic activity that has occurred from January 2007 through December 31, 2010, as reported on claims filed and examined by the Tax Department.

Further information on VEPC and the VEGI program is available at: accd.vermont.gov/business/start/vegi. Further information on the Department of Taxes is available at: www.state.vt.us/tax.

Vermont Statute (32 VSA §5930(b)(e)) requires the following elements of the program to be reported annually. The table in which each requirement is addressed is noted below:

- | | |
|---|--|
| <ol style="list-style-type: none"> 1. Total authorized amount of incentives during preceding year (Table 2) 2. Amounts actually earned and paid out from inception to date of report (Tables 3 and 4) 3. Date and amount of authorization (Table 1) 4. Expected calendar year or years in which the authorization will be exercised (Table 1) 5. Whether the authorization is currently available (Table 1) 6. Amount and date of all incentives exercised (Tables 3 and 4) 7. Recipient performance in the year in which the incentives were applied (Tables 3 and 4) | <ol style="list-style-type: none"> 8. Number of applications for incentives (Table 2) 9. Number of approved applicants who complied with ALL their requirements for the incentive (Not Included¹) 10. Aggregate number of jobs created (Tables 3 and 4) 11. Aggregate payroll (Tables 3 and 4) 12. Date the authorization will expire (Table 1) 13. Identity of the business whose applications were approved (Table 1) |
|---|--|

Application Activity

Table 1 shows every application that has been considered by the Vermont Economic Progress Council (VEPC) since the January 1, 2007 inception of the Vermont Employment Growth Incentive (VEGI) program. It includes the name of the applicant company, the date the application was considered, the authorization (or earning) period, the status of the authorization as of December 31, 2011, the maximum level of incentives considered, the estimated minimum net revenue benefit to the State of Vermont, the location of the project, and the type of economic development project.

The application consideration date listed is the date a Final Application was considered if the company filed both an Initial and Final Application. If a Final Application has not yet been filed, the applicant’s status is listed as “Active-Initial.” Incentives are not considered authorized until a Final Application has been approved. However, the amount of incentives listed when an application has received Initial Approval is booked against the cap for the calendar year in which the project commences.

An application is “Rescinded” if the company never files a Final Application. An incentive is “terminated” if, after Final Approval, the Council takes action to terminate the company’s authorization to earn further incentive payments. Authorizations can be terminated for several reasons, including when a project does not occur or when the company fails to file an annual VEGI claim by the statutory filing date. Incentives are listed as “Terminated – Recapture” if the company has earned and/or been paid some of the incentives and something occurs that causes the incentives to be terminated. Recapture of incentives is carried out by the Department of Taxes.

The maximum incentive amount considered is the maximum level of incentives the company could possibly earn if the project occurs. If the project status indicates a rescission or termination, the maximum incentive amount is listed for reference only in this report but the incentive is no longer available to the company.

Table 2 summarizes the application volume, application status, the total incentives considered and authorized, and the annual level of incentives compared to the annual cap for each calendar year. It also shows the direct and indirect fiscal and economic impacts estimated by the VEGI cost-benefit model for all the economic activity projected by the authorized projects from 2007-2015 and the estimated incentive payments from 2008-2020.

VEPC has considered 110 applications (Initial and Final) since the inception of the VEGI program in January 2007, an average of 22 per year. The 64 applications summarized in this report are the Final Applications submitted and considered by each company (unless a Final application has not yet been submitted). Statute allows a company to file an Initial Application followed later by a Final Application. Both are formal applications considered and either approved or denied by VEPC. A company may file a Final Application without filing an Initial Application.

As of December 31, 2011, of the 64 Final Applications authorized, 38 (60%) are active, 22 (34%) have been rescinded/terminated, and 4 (6%) were denied. The rescissions and terminations are due primarily to projects not going forward because of the economic downturn. As the data shows, 20 of the 34 projects authorized to begin in 2007-2009 (54%) have been terminated or rescinded. But only 2 of the 26 projects authorized in 2010-2011 (8%) have been rescinded or terminated. An indication that the economy is improving.

QUICK DATA FACT #1

HOW MUCH NET TAX REVENUE HAS THE VEGI PROGRAM
GENERATED TO DATE?

\$ 5,259,300

Application Activity

In 2007, the General Assembly approved an enhancement to the VEGI program for companies that will create jobs in certain environmental technology sectors. Since its passage, 14 applications have been considered for the “Green VEGI” enhancement, 10 of which remain active. These companies plan to employ over 454 Vermonters to design, research, develop, and produce wind turbines, capacitors for electric vehicles, innovative water treatment technologies, and recyclable plastic packaging.

QUICK DATA FACT #2

HOW MANY NEW JOBS HAVE VEGI COMPANIES CREATED TO DATE? (2007-2010)

| | |
|-------------------------------|-------|
| Direct and Indirect New Jobs: | 2,528 |
| New Qualifying Jobs: | 1,328 |

As the summary data in **Table 2** show, the net (not including denied and rescinded/terminated) incentives authorized through December 2011 total just over \$29 million. These incentives will be earned by 36 companies for 38 projects planned to occur between 2007 and 2016 *only if* payroll, employment and capital investment performance measures are met. Incentives are not paid out on a pro-rata basis if annual performance measures are not met.

As **Table 2** indicates, the projects that have been approved through December 2011 are projected to create **3,126 new, direct, qualifying jobs** (full-time, permanent, paying over 160% of Vermont minimum wage), over **\$144 million in new qualifying payroll** (above and beyond “background growth” payroll), and over **\$462 million in capital investments** in machinery and equipment and building construction and renovation. The new jobs to be created are projected to have a weighted average wage of \$46,407 and **average total compensation of \$56,968** (including benefits). This economic activity, scheduled to occur between 2007 and 2016, is the basis for the incentives calculated and the incremental revenue projected to be generated.

The incentives will be paid out between 2008 and 2021, only if performance measures are met and maintained. The dollars to pay these incentives come from the new tax revenue these companies generate to the state when the economic activity summarized in **Table 2** occurs. Each company was approved only because a determination was made that the activity would not occur, or would occur in a materially different and less desirable manner, unless the incentive was authorized (But For). Therefore, the tax revenue to pay the incentive to the companies are generated by the authorized companies and are revenues that would never have occurred, except for the incentive being approved.

In addition to the But For criteria, application consideration includes an extensive and detailed modeling of the economic and fiscal (revenue) benefits and costs to the State of Vermont. Net new revenues are generated primarily by payroll withholding taxes. But new revenues are also generated from new personal and corporate income taxes, sales and use taxes on machinery and equipment and building materials, transportation fees, property taxes, and other fees and taxes paid by the company, employees, contractors and their employees, and other companies involved in the project. The model also accounts for economic and fiscal costs to the State such as the costs of new students attending school and other additional services that will be required.

As **Table 2** summarizes, the projects that have been approved will generate estimated new revenues to the State totaling \$74.7 million, and the revenue costs, including the incentive payments will be about \$54.2 million. In addition to the new jobs, payroll and capital investments, the State of Vermont will realize net new tax revenues totaling **\$20.4 million**. The VEGI program is a net revenue producer for the State of Vermont.

The fiscal estimates included in this report cover only the five-year earning period (revenue benefits, job creation, payroll generation, capital investments) and the nine year incentive payment period (revenue costs, incentive costs) that are included in the cost-benefit model. New revenues will

(Continued on page 7)

Application Activity

(Continued from page 6)

continue to be generated to the State after this modeling period and jobs may continue to be created that are not accounted for in the modeling.

Table 2 also includes summary data on related and indirect economic activity that is projected to occur because of the incentives. The VEGI program is not a job retention program. However, the expansion projects approved will mean that **at least 3,469 existing jobs will be retained**. The projects will also create about 180 non-qualifying full-time jobs (pay below 160% of Vermont minimum wage) and **over 3,000 indirect jobs** throughout the state.

The projects will also generate almost \$30.7 million in new payroll that is considered “background” or “organic” growth payroll. The calculation of the incentive for **all** applications includes the discounting of a certain level of the new payroll that will be generated. This is considered background growth payroll or payroll that would have occurred anyway. This is done even for applications from new or start-up companies and companies that are being recruited to Vermont, neither of which ever had payroll in Vermont before the application date. The projects approved will create about \$31 million in new payroll because of the incentive that will not be counted in the incentive calculation because it is considered “background growth.”

In addition to the But For and cost-benefit criteria for approval, applications are also considered against a set of nine program guidelines. One of the guidelines (Guideline 7) involves the interaction of the applicant with other Vermont companies in customer, supplier, and vendor relationships. The greater these interactions, the more indirect economic impact the incentives will have. Table 2 shows that the expected business-to-business relationships of applicants is estimated at over \$88 million each year.

Also summarized in **Table 2** is the median level of employee health care costs that are paid by the employers approved for VEGI incentives, at 77%. Further detail on this and other data related to the Program Guidelines are contained in Charts 1-9.



Scott Geno of Guilford, Dairy Processing Machine Operator at Commonwealth Dairy, Brattleboro

“With the help of the VEGI program, we were able to construct a state-of-the-art class II dairy facility in Brattleboro and hire 30 employees who meet the VEGI wage threshold in our first year alone. We are well positioned to achieve our goal to become the premier private label producer of yogurt and other dairy-based products in the United States.”

Thomas Moffitt, CEO, Commonwealth Dairy

Application Activity

TABLE 1: INCENTIVE APPLICATIONS CONSIDERED, AND STATUS, THROUGH DECEMBER 31, 2011

| Company Name | Date Application Considered | Authorization (Earning) Period | Status ² | Maximum Incentive Considered | Minimum Net Revenue Benefit | Green, Subsection 5 Lookback Waived | Location | Type of Project |
|---|-----------------------------|--------------------------------|----------------------------|------------------------------|-----------------------------|-------------------------------------|-------------------|------------------|
| Ink Jet Machinery of Vermont | 25-Jan-07 | n/a | Terminated Jan 24, 2008 | \$ 336,055 | \$ - | n/a | Dorset | Startup |
| Olympic Precision, Inc/WIC/Town of Windsor | 25-Jan-07 | n/a | Terminated Sept 6, 2007 | \$ 474,428 | \$ - | n/a | Windsor | Recruitment |
| Monahan SFI, LLC | 15-Feb-07 | n/a | Term.-Recap. Sept 28, 2009 | \$ 791,277 | \$ - | n/a | Middlebury | Plant Restart |
| Qimonda North America Corp. | 15-Feb-07 | n/a | Rescinded Mar 27, 2008 | \$ 229,672 | \$ - | n/a | So. Burl | Ret./Expansion |
| Rehab Gym, Inc. | 22-Mar-07 | n/a | Denied | \$ 255,439 | \$ - | n/a | Colchester | Ret./Expansion |
| Applejack Art Partners | 3-May-07 | n/a | Terminated Oct 25, 2007 | \$ 85,539 | \$ - | n/a | Manchester | Recruitment |
| Omni Measurement Systems | 3-May-07 | n/a | Terminated Mar 25, 2010 | \$ 677,944 | \$ - | n/a | Milton | Ret./Expansion |
| Vermont Timber Frames | 3-May-07 | 2007 - 2011 | Active-Final | \$ 156,126 | \$ 70,611 | n/a | Bennington | Recruitment/Exp. |
| Battenkill Technologies, Inc. | 28-Jun-07 | n/a | Terminated Jun 26, 2008 | \$ 79,054 | \$ - | n/a | Manchester | Recruitment |
| Burton Corporation | 28-Jun-07 | n/a | Terminated Mar 25, 2010 | \$ 1,653,965 | \$ - | n/a | Burlington | Ret./Expansion |
| Energizer Battery Manufacturing, Inc. | 26-Jul-07 | n/a | Terminated May 28, 2009 | \$ 607,347 | \$ - | n/a | St Albans | Ret./Expansion |
| NEHP, Inc. | 25-Oct-07 | n/a | Terminated Dec 8, 2011 | \$ 182,396 | \$ - | n/a | Williston | Ret./Expansion |
| Green Mountain Coffee Roasters, Inc. | 25-Oct-07 | 2007 - 2011 | Active-Final | \$ 1,786,828 | \$ 2,129,672 | n/a | Waterbury/Essex | Ret./Expansion |
| Know Your Source, LLC | 6-Dec-07 | n/a | Denied | \$ 71,302 | \$ - | n/a | Burlington | Start-up |
| Mascoma Corporation | 25-Oct-07 | n/a | Rescinded Jun 26, 2008 | \$ 1,942,989 | \$ - | Green | WRJ | Recruitment/Exp. |
| CNC North, Inc. | 6-Mar-08 | n/a | Terminated Mar 25, 2010 | \$ 70,533 | \$ - | n/a | Springfield | Ret./Expansion |
| Vermont College of Fine Arts | 27-Mar-08 | 2008-2012 | Active-Final | \$ 206,737 | \$ 126,260 | n/a | Montpelier | Start-up |
| Isovolta, Inc. | 26-Jun-08 | n/a | Terminated May 28, 2009 | \$ 568,330 | \$ - | n/a | Rutland | Ret./Expansion |
| Vermont Castings Holding Company | 18-Sep-08 | n/a | Terminated May 28, 2009 | \$ 488,000 | \$ - | Green | Bethel | Ret./Expansion |
| New England Precision, Inc./Clifford Properties, Inc. | 18-Sep-08 | n/a | Terminated April 28, 2011 | \$ 241,236 | \$ - | n/a | Randolph | Ret./Expansion |
| Utility Risk Management Corp | 23-Oct-08 | 2008-2012 | Active-Final | \$ 377,371 | \$ 185,973 | n/a | Stowe | Recruitment |
| Helix Global Solutions, Inc. | 4-Dec-08 | n/a | Rescinded Dec 4, 2008 | \$ 53,739 | \$ - | n/a | Burlington Area | Recruitment/Exp. |
| Vermont Wood Energy Corp | 4-Dec-08 | n/a | Denied | \$ 293,967 | \$ - | Green | Rutland | Start-up |
| Tata's Natural Alchemy | 4-Dec-08 | n/a | Terminated Mar 25, 2010 | \$ 231,531 | \$ - | n/a | Whiting/Shoreham | Start-up |
| BioTek Instruments, Inc./Lionheart Technologies, Inc. | 4-Dec-08 | 2009-2013 | Active-Final | \$ 692,854 | \$ 1,059,543 | n/a | Winooski | Ret./Expansion |
| Dominion Diagnostics, LLC | 22-Jan-09 | n/a | Terminated April 28, 2011 | \$ 103,300 | \$ - | n/a | Williston | Recruitment/Exp. |
| Albany College of Pharmacy | 22-Jan-09 | 2009-2013 | Active-Final | \$ 630,859 | \$ 345,716 | n/a | Colchester | Recruitment/Exp. |
| ASK-intTag, LLC | 26-Mar-09 | 2009-2013 | Active-Final | \$ 553,722 | \$ 263,998 | n/a | Essex | Recruitment/SU |
| Vermont Transformers, Inc. | 26-Mar-09 | n/a | Terminated May 25, 2011 | \$ 267,569 | \$ - | Green | St. Albans | Recruitment/Exp. |
| Project Graphics, Inc. | 30-Apr-09 | 2009-2013 | Active-Final | \$ 230,414 | \$ 112,162 | n/a | So. Burlington | Recruitment |
| Durasol Awnings, Inc. | 28-May-09 | n/a | Terminated Mar 25, 2010 | \$ 245,795 | \$ - | n/a | Middlebury | Ret./Expansion |
| Commonwealth Yogurt, Inc. | 25-Jun-09 | 2009-2013 | Active-Final | \$ 1,201,154 | \$ 614,505 | n/a | Brattleboro | Start-up/Recruit |
| AirBoss Defense USA, Inc. | 24-Sep-09 | 2009 -2013 | Active-Final | \$ 243,280 | \$ 116,725 | n/a | Milton | Recruitment/Exp. |
| Maple Mountain Woodworks, LLC | 17-Dec-09 | 2009-2013 | Active-Final | \$ 143,436 | \$ 59,857 | n/a | Richford | Start-up |
| Terry Precision Bicycles for Women, Inc. | 17-Dec-09 | 2009-2013 | Active-Final | \$ 126,296 | \$ 53,440 | n/a | Burlington | Recruitment |
| Northern Power Systems, Inc. | 17-Dec-09 | 2009-2013 | Active-Final | \$ 808,104 | \$ 235,796 | Green | Barre | Ret./Expansion |
| Green Mountain Coffee Roasters, Inc. | 17-Dec-09 | 2009-2013 | Active-Final | \$ 292,307 | \$ 1,736,611 | n/a | Chittenden County | Ret./Expansion |

Application Activity

TABLE 1 Continued: INCENTIVE APPLICATIONS CONSIDERED, AND STATUS, THROUGH DECEMBER 31, 2011

| Company Name | Date Application Considered | Authorization (Earning) Period | Status ² | Maximum Incentive Considered | Minimum Net Revenue Benefit | Green, Subsection 5 Lookback Waived | Location | Type of Project |
|--|-----------------------------|--------------------------------|------------------------|------------------------------|-----------------------------|-------------------------------------|------------------|----------------------|
| MyWebGrocer, Inc | 22-Oct-09 | 2010-2014 | Active-Final | \$ 453,475 | \$ 286,567 | n/a | Colchester | Ret./Expansion |
| SBE, Inc. | 17-Dec-09 | 2010-2014 | Active-Final | \$ 3,048,671 | \$ 817,673 | Green | Barre | Ret./Expansion |
| Seldon Technologies, Inc. | 17-Dec-09 | 2010-2014 | Active-Final | \$ 478,396 | \$ 136,972 | Green | Windsor | Ret./Expansion |
| Business Financial Publishing, Inc. | 28-Jan-10 | n/a | Denied | \$ 162,473 | \$ - | n/a | Burlington | Recruitment |
| New England Supply, Inc. | 25-Mar-10 | 2010-2014 | Active-Final | \$ 67,953 | \$ 36,088 | n/a | Williston | Start-up |
| The Original Vermont Wood Products, Inc. | 27-May-10 | n/a | Terminated Dec 8, 2011 | \$ 100,604 | \$ - | Sub 5 | Pittsfield | SU/Plant Restart |
| Westminster Cracker Company, Inc. | 22-Jul-10 | 2010-2014 | Active-Final | \$ 236,246 | \$ 96,360 | Sub 5 | Rutland | Ret./Expansion |
| Revision Eyewear, Ltd. | 28-Oct-10 | 2010-2014 | Active-Final | \$ 552,193 | \$ 449,055 | n/a | Essex | Ret./Expansion |
| Organic Trade Association | 28-Oct-10 | 2010-2014 | Active-Final | \$ 75,569 | \$ 35,795 | n/a | Brattleboro | Recruitment |
| Dealer.com, Inc. | 16-Dec-10 | 2010-2014 | Active-Final | \$ 4,929,487 | \$ 2,644,946 | n/a | Burlington | Ret./Expansion |
| Pinnacle Sales Accelerators, LLC | 16-Dec-10 | 2010-2014 | Active-Final | \$ 111,635 | \$ 53,490 | n/a | Burlington | Start-up/Recruit |
| Swan Valley Cheese Company of Vermont | 16-Dec-10 | 2010-2014 | Active-Final | \$ 305,830 | \$ - | Sub 5 | Swanton | SU/Plant Restart |
| Alpla, Inc. | 16-Dec-10 | 2011-2015 | Active-Final | \$ 654,438 | \$ 181,570 | Green | Essex | Recruitment/Exp. |
| Bariatric Nutrition Corp | 27-Jan-11 | 2011-2015 | Active-Final | \$ 135,653 | \$ 92,251 | n/a | Georgia | Ret./Expansion |
| eCorporate English, Ltd. | 25-May-11 | 2011-2015 | Active-Final | \$ 464,731 | \$ 242,600 | n/a | Middlebury | Recruitment/Exp. |
| WCW, Inc. | 27-Oct-11 | 2011-2015 | Active-Final | \$ 512,449 | \$ 202,024 | n/a | Manchester | recruitment |
| VSC Holdings, Inc. | 27-Oct-11 | 2011-2015 | Active-Final | \$ 156,913 | \$ 86,727 | n/a | Hinesburg | Ret./Expansion |
| Carbon Harvest Energy, LLC/Brattleboro Carbon Harvest, LLC | 8-Dec-11 | 2011-2015 | Active-Final | \$ 568,913 | \$ 141,097 | Green | Burl/Brattleboro | Ret./Expansion |
| Concepts ETI, Inc | 8-Dec-11 | 2011-2015 | Active-Final | \$ 290,335 | \$ 117,880 | Green/LBW | Wilder | Ret./Expansion |
| SOH Wind Engineering, LLC | 8-Dec-11 | 2011-2015 | Active-Final | \$ 153,995 | \$ 41,793 | Green | Williston | Start-up/Recruit |
| Green Mountain Coffee Roasters, Inc. | 8-Dec-11 | 2011-2015 | Active-Final | \$ 4,696,809 | \$ 6,297,553 | n/a | Essex | Ret./Expansion |
| Ellison Surface Technologies, Inc. | 8-Dec-11 | 2011-2015 | Active-Final | \$ 688,462 | \$ 289,406 | Sub 5 | Rutland | Ret./Expansion |
| Skypoint Solar | 8-Dec-11 | na | Rescinded Dec. 8, 2011 | \$ 7,900,114 | \$ - | Green | Burlington | Start-up/Recruit |
| APCell Medical | 24-Mar-11 | 2012-2016 | Active-Initial | \$ 1,338,444 | \$ 640,057 | n/a | TBD | Start-up/Recruit |
| Hayward Tyler, Inc. | 8-Dec-11 | 2012-2016 | Active-Initial | \$ 1,103,117 | \$ 579,415 | n/a | Milton | Ret./Expansion |
| Transcend Quality Manufacturing, Inc. | 8-Dec-11 | 2012-2016 | Active-Initial | \$ 246,941 | \$ - | Sub 5/Green | TBD | Start-up/recruitment |
| Plasan Carbon Composites, Inc. | 8-Dec-11 | 2012-2016 | Active-Initial | \$ 516,395 | \$ - | Green | Bennington | Ret./Expansion |

Application Activity

**TABLE 2: INCENTIVE AUTHORIZATION DATA SUMMARY
FOR APPLICATIONS CONSIDERED THROUGH DECEMBER 31, 2011
TOTAL PROJECTED ECONOMIC ACTIVITY, 2007 - 2015 ; TOTAL PROJECTED INCENTIVE PAYMENTS, 2008 - 2020**

| Application Count | Total Considered | Approved - Active ³ | Rescinded/ Terminated ⁴ | Denied |
|--|------------------|---|---------------------------------------|----------------|
| Total Applications Considered: | 64 | 38 | 22 | 4 |
| Percent of Total Applications | | 59% | 34% | 6% |
| Regular VEGI Applications: | 50 | 29 | 18 | 3 |
| "Green VEGI" Applications ⁵ : | 14 | 9 | 4 | 1 |
| Subsection 5 Applications: | 5 | 4 | 1 | 0 |
| Authorization Summary: | | Direct Estimated Economic Impact: | | |
| Total Incentives Considered To Date: | \$ 47,351,136 | New Qualifying FT Jobs Projected: | | 3,126 |
| Total Incentives Denied To Date: | \$ 783,181 | New Qualifying FT Payroll Projected: | | \$ 144,535,686 |
| Total Incentives Rescinded/Revoked to Date: | \$ 17,331,417 | Weighted Average Wage of New Qualifying Jobs: | | \$ 46,407 |
| Net Incentives Authorized to Date: | \$ 29,236,538 | Average Total Compensation for New Qualifying Jobs: | | \$ 56,958 |
| | | New Qualified Capital Investment Projected: | | \$ 462,857,183 |
| Authorizations, by Year/Cap Balances⁶: | | Related Estimated Economic Activity: | | |
| 2007 Authorizations: | \$ 1,942,954 | Retained Full-time Jobs ⁷ : | | 3,469 |
| 2007 Cap Balance: | \$ 8,057,046 | Full-time Non-Qualifying Job Creation ⁸ : | | 180 |
| 2008 Authorizations: | \$ 584,108 | Indirect Job Creation ⁹ : | | 3,037 |
| 2008 Cap Balance: | \$ 9,415,892 | Total Full-time Job Creation: | | 6,343 |
| 2009 Authorizations: | \$ 4,922,426 | New Payroll Considered Background Growth ¹⁰ : | | \$ 30,696,610 |
| 2009 Cap Balance: | \$ 5,077,574 | Average Health Care Premium Paid by Employer ¹¹ : | | 77% |
| 2010 Authorizations: | \$ 10,259,455 | Approximate Value of VT Biz-to-Biz Interactions ¹² : | | \$ 87,909,476 |
| 2010 Cap Balance: | \$ 12,740,545 | Direct Estimated Fiscal Impact¹³ | | |
| 2011 Authorizations: | \$ 8,322,698 | Total Revenue Benefits to the State: | | \$ 74,707,507 |
| 2011 Cap Balance: | \$ 9,677,302 | Total Revenue Costs to the State, Including Incentives: | | \$ 54,229,481 |
| Annual SubSection 5 Cap: | | Net Fiscal Return to the State: | | \$ 20,478,026 |
| 2007 - 2009 Cap Per Year | \$ 1,000,000 | Incentive Enhancements: | | |
| 2007 - 2009 SubSection 5 Utilization | \$ - | Increase in Incentives Due to Enhancements: | | |
| 2007 - 2009 Cap Balance Per Year | \$ 1,000,000 | Green VEGI | | \$ 1,208,857 |
| 2010 Cap | \$ 1,000,000 | Subsection 5 | | \$ 586,972 |
| 2010 SubSection 5 Utilization | \$ 198,805 | Total | | \$ 1,795,829 |
| 2010 Cap Balance | \$ 801,195 | Decrease in Net Revenue Return Due to Enhancements: | | |
| 2011 Cap | \$ 1,000,000 | Green VEGI | | \$ 1,038,083 |
| 2011 SubSection 5 Utilization | \$ 322,655 | Subsection 5 | | \$ 523,509 |
| 2011 Cap Balance | \$ 677,345 | Total | | \$ 1,561,592 |

Actual Economic Activity

Table 3 summarizes, by calendar year, the number of claims expected and filed, the projected *and actual* qualifying job creation, qualifying payroll generation, capital investments made, incentive payments made, and the actual net revenue benefit for the State for activity that has occurred between January 1, 2007 and December 31, 2010.

Table 4 details the same information by “class” or “cohort.” This data breaks down the projected and actual activity and incentives earned for each group of companies by their earning period. The earning period is the five-year period during which the economic activity is projected to occur and incentives earned. For example, all companies whose projects occur from 2007–2011 are in the Class of 2007.

VEGI claims must be filed each year for the economic activity that occurred during the previous calendar year. For example, claims for activity in 2010 were filed in 2011 and examined by the Tax Department during 2011 and incentive payments, if earned, were paid in late 2011. Therefore, there is a one year delay before the claim and actual activity data is available. This is why this report includes application data through December 2011, but actual earning and economic activity for 2007–2010.

In 2007, seven claims were filed. The Vermont Department of Taxes determined that four of these companies met their performance requirements by the end of the year and three did not. In 2008, ten were filed, and four met performance measures. For 2009, 17 were filed and 10 met performance requirements and for 2010, 22 claims were filed and 13 met performance requirements. Only the companies that met performance measures were paid the incentives indicated in **Tables 3 and 4**.

The projected and actual data included in **Table 4** and summarized in **Table 3** are for the companies that both met and did not meet targets. Data for companies are not included once their incentives are rescinded or terminated in subsequent years. Data for companies that did not meet performance requirements are included because a company is not re-

moved from the program if they do not meet their requirements by the due date (December 31 of each year). These companies created jobs, generated payroll, and made capital investments, but not to the extent that met the performance measures. No incentive is paid to such a company until and unless the requirements are met. This economic activity, however, must be counted and is included in the calculation of the actual net revenue benefit to the State. If a company never meets the required targets after 24 months, no incentive for that year is earned and any future incentives are terminated.

Table 4 is a breakdown of the data summarized in **Table 3** for each class. Note that detailed information is not shown in the class breakdown if the data is for three or less companies in order to protect the confidentiality of taxpayer information in accordance with statute and Tax Department policy.

QUICK DATA FACT #3

HOW MUCH HAVE VEGI COMPANIES INVESTED IN VERMONT TO DATE? (2007 - 2010)

| | |
|-------------------------------------|-----------------|
| New Qualifying Payroll: | \$65.4 Million |
| New Qualifying Capital Investments: | \$109.6 Million |

NATIONAL ACCOLADES FOR VEGI PROGRAM

GOOD JOBS FIRST

Reports released in January 2012, “Money For Something” and “Money-Back Guarantees for Taxpayers,” rank the VEGI program #1 in US for enforcement, safeguards and job creation and job quality standards.

Actual Economic Activity

The projected net revenue benefit to the State of Vermont from economic activity and incentive payments expected to be made through December 2010 was estimated at \$3.3 million. The economic activity that has actually occurred, and the incentives installments actually paid was re-modeled using the same cost-benefit model used for the application approval process. The model estimates a **net revenue benefit to the State of \$5,259,300** for the activity that has actually occurred between 2007-2010.

This means that the State has made \$3,960 in new tax revenue for each new qualifying job created by the VEGI program.

It should be noted that once a company is rescinded or terminated their economic activity is no longer included in the modeling of the revenue impact, but those companies may have contributed jobs and investments during this period. For example, a company was authorized for incentives to move from another state to Vermont and create more jobs. The move occurred, jobs were created, but not at a level that met performance requirements to earn the incentive. Therefore, economic activity occurred and new tax revenues were generated to the State, but no incentives were ever paid.

QUICK DATA FACT #4

WHAT IS THE PROJECTED AND ACTUAL AVERAGE WAGE OF THE JOBS CREATED BY VEGI COMPANIES?

| | |
|-------------------------|-----------------|
| Projected Average Wage: | \$46,407 |
| Actual Average Wage: | \$51,371 |



Ray Cyr, a Machine Operator Trainer within the Specialty Coffee business unit of Green Mountain Coffee Roasters, Inc. Ray is a resident of Barre, VT.

"The VEGI incentive program has encouraged Green Mountain Coffee Roasters, Inc. (GMCR) to invest in Vermont...VEGI has made it possible for GMCR to create over 500 new full-time, permanent jobs in Vermont and has facilitated capital investment exceeding \$16.25 million. We continue to work with VEPC as we grow and drive what we expect will be additional full-time jobs as well as additional capital investment in the state."

Suzanne M. DuLong,
VP IR & Corporate Communications, GMCR

TABLE 3: PROJECTED AND ACTUAL ACTIVITY - SUMMARY

| YEAR | 2007 | 2008 | 2009 | 2010 | TOTALS |
|--|---------------|---------------|---------------|--------------|----------------|
| Claim Activity: | | | | | |
| Claims Expected: | 7 | 14 | 22 | 29 | 72 |
| Completed Claims Filed: | 7 | 10 | 17 | 22 | 56 |
| Incomplete Claims: | 0 | 0 | 1 | 2 | 3 |
| Claims Approved: | 4 | 4 | 10 | 13 | 31 |
| Claims Delayed: | 3 | 6 | 7 | 9 | 25 |
| Removed from Program: | 0 | 4 | 4 | 5 | 13 |
| Net Included in Projected and Actual Data: | 7 | 10 | 17 | 22 | 56 |
| Projected Activity: | | | | | |
| New Qualifying Employees: | 111 | 226 | 170 | 391 | 898 |
| New Qualifying Payroll: | \$ 3,704,788 | \$ 7,508,677 | \$ 8,960,920 | \$20,715,414 | \$ 40,889,799 |
| New Qualifying Capital Investments: | \$ 14,217,077 | \$ 11,886,270 | \$ 22,027,561 | \$39,542,667 | \$ 87,673,575 |
| Est. Incentive Installments to be Paid: | \$ 50,283 | \$ 247,411 | \$ 572,506 | \$ 1,238,030 | \$ 2,108,230 |
| Net Revenue Benefit: | \$ 96,258 | \$ 353,174 | \$ 946,851 | \$ 1,903,033 | \$ 3,299,316 |
| Actual Activity: | | | | | |
| New Qualifying Employees: | 262 | 255 | 232 | 579 | 1328 |
| New Qualifying Payroll: | \$ 10,621,976 | \$ 9,214,052 | \$ 13,562,843 | \$32,049,407 | \$ 65,448,278 |
| New Qualifying Capital Investments: | \$ 22,546,350 | \$ 13,388,586 | \$ 27,694,113 | \$45,995,714 | \$ 109,624,763 |
| Incentives Paid to Companies: | \$ 208,653 | \$ 543,793 | \$ 590,926 | \$ 602,190 | \$ 1,945,562 |
| Net Revenue Benefit: | \$ 662,100 | \$ 749,500 | \$ 983,500 | \$ 2,864,200 | \$ 5,259,300 |

| TABLE 4: PROJECTED AND ACTUAL ACTIVITY - BY CLASS | | | | | | | | | | | |
|--|---------------|---------------|------|------|------|------|------|------|------|------|------|
| YEAR: | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
| CLASS OF 2007: (Incentives earned between 2007 and 2011 and paid out between 2008 and 2016) | | | | | | | | | | | |
| YEAR: | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | |
| Claim Activity: | | | | | | | | | | | |
| Claims Expected: | 7 | 7 | 6 | 3 | | | | | | | |
| Completed Claims Filed: | 7 | 6 | 3 | 2 | | | | | | | |
| Incomplete Claims: | 0 | 0 | 0 | 0 | | | | | | | |
| Claims Approved: | 4 | 2 | 1 | 1 | | | | | | | |
| Claims Delayed: | 3 | 4 | 2 | 1 | | | | | | | |
| Removed from Program: | 0 | 1 | 3 | 1 | | | | | | | |
| Net Included in Projected and Actual Data: | 7 | 6 | 3* | 2* | | | | | | | |
| Projected Activity: | | | | | | | | | | | |
| New Qualifying Employees: | 111 | 193 | | | | | | | | | |
| New Qualifying Payroll: | \$ 3,704,788 | \$ 5,622,840 | | | | | | | | | |
| New Qualifying Capital Investments: | \$ 14,217,077 | \$ 11,786,270 | | | | | | | | | |
| Est. Incentive Installments to be Paid: | \$ 50,283 | \$ 221,123 | | | | | | | | | |
| Actual Activity: | | | | | | | | | | | |
| New Qualifying Employees: | 262 | 214 | | | | | | | | | |
| New Qualifying Payroll: | \$ 10,621,976 | \$ 7,202,637 | | | | | | | | | |
| New Qualifying Capital Investments: | \$ 22,546,350 | \$ 12,445,210 | | | | | | | | | |
| Incentives Paid to Companies: | \$ 208,653 | \$ 510,304 | | | | | | | | | |
| * Note: Per statute and Tax Department policy, detailed data is not included when there are three or less companies in order to protect the confidentiality of taxpayer information. However, data is rolled into the Table 3 Summary. | | | | | | | | | | | |
| CLASS OF 2008: (Incentives earned between 2008 and 2012 and paid out between 2009 and 2017) | | | | | | | | | | | |
| YEAR: | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| Claim Activity: | | | | | | | | | | | |
| Claims Expected: | | 7 | 4 | 3 | | | | | | | |
| Completed Claims Filed: | | 4 | 3 | 2 | | | | | | | |
| Incomplete Claims: | | 0 | 0 | 0 | | | | | | | |
| Claims Approved: | | 2 | 2 | 2 | | | | | | | |
| Claims Delayed: | | 2 | 1 | 0 | | | | | | | |
| Removed from Program: | | 3 | 1 | 1 | | | | | | | |
| Net Included in Projected and Actual Data: | | 4 | 3* | 2* | | | | | | | |
| Projected Activity: | | | | | | | | | | | |
| New Qualifying Employees: | | 33 | | | | | | | | | |
| New Qualifying Payroll: | | \$ 1,885,837 | | | | | | | | | |
| New Qualifying Capital Investments: | | \$ 100,000 | | | | | | | | | |
| Est. Incentive Installments to be Paid: | | \$ 26,287 | | | | | | | | | |
| Actual Activity: | | | | | | | | | | | |
| New Qualifying Employees: | | 41 | | | | | | | | | |
| New Qualifying Payroll: | | \$ 2,011,415 | | | | | | | | | |
| New Qualifying Capital Investments: | | \$ 943,376 | | | | | | | | | |
| Incentives Paid to Companies: | | \$ 33,489 | | | | | | | | | |

Actual Economic Activity

TABLE 4: PROJECTED AND ACTUAL ACTIVITY - BY CLASS

| YEAR: | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 |
|--|------|------|---------------|---------------|------|------|------|------|------|------|------|------|------|
| CLASS OF 2009: (Incentives earned between 2009 and 2013 and paid out between 2010 and 2018) | | | | | | | | | | | | | |
| YEAR: | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | |
| Claim Activity: | | | | | | | | | | | | | |
| Claims Expected: | | | 12 | -12 | | | | | | | | | |
| Completed Claims Filed: | | | 11 | 8 | | | | | | | | | |
| Incomplete Claims: | | | 1 | 2 | | | | | | | | | |
| Claims Approved: | | | 7 | 3 | | | | | | | | | |
| Claims Delayed: | | | 4 | 5 | | | | | | | | | |
| Removed from Program: | | | 0 | 2 | | | | | | | | | |
| Net Included in Projected and Actual Data: | | | 11 | 8 | | | | | | | | | |
| Projected Activity: | | | | | | | | | | | | | |
| New Qualifying Employees: | | | 131 | 156 | | | | | | | | | |
| New Qualifying Payroll: | | | \$ 7,464,664 | \$ 8,071,537 | | | | | | | | | |
| New Qualifying Capital Investments: | | | \$ 16,185,561 | \$ 16,502,825 | | | | | | | | | |
| Est. Incentive Installments to be Paid: | | | \$ 92,650 | \$ 445,762 | | | | | | | | | |
| Actual Activity: | | | | | | | | | | | | | |
| New Qualifying Employees: | | | 95 | 113 | | | | | | | | | |
| New Qualifying Payroll: | | | \$ 6,066,934 | \$ 6,839,504 | | | | | | | | | |
| New Qualifying Capital Investments: | | | \$ 15,014,585 | \$ 24,377,921 | | | | | | | | | |
| Incentives Paid to Companies: | | | \$ 126,587 | \$ 74,932 | | | | | | | | | |
| CLASS OF 2010: (Incentives earned between 2010 and 2014 and paid out between 2011 and 2019) | | | | | | | | | | | | | |
| YEAR: | | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| Claim Activity: | | | | | | | | | | | | | |
| Claims Expected: | | | | 11 | | | | | | | | | |
| Completed Claims Filed: | | | | 10 | | | | | | | | | |
| Incomplete Claims: | | | | 0 | | | | | | | | | |
| Claims Approved: | | | | 7 | | | | | | | | | |
| Claims Delayed: | | | | 3 | | | | | | | | | |
| Removed from Program: | | | | 1 | | | | | | | | | |
| Net Included in Projected and Actual Data: | | | | 10 | | | | | | | | | |
| Projected Activity: | | | | | | | | | | | | | |
| New Qualifying Employees: | | | | 195 | | | | | | | | | |
| New Qualifying Payroll: | | | | \$ 11,490,685 | | | | | | | | | |
| New Qualifying Capital Investments: | | | | \$ 19,879,842 | | | | | | | | | |
| Est. Incentive Installments to be Paid: | | | | \$ 292,436 | | | | | | | | | |
| Actual Activity: | | | | | | | | | | | | | |
| New Qualifying Employees: | | | | 231 | | | | | | | | | |
| New Qualifying Payroll: | | | | \$ 12,967,531 | | | | | | | | | |
| New Qualifying Capital Investments: | | | | \$ 21,191,253 | | | | | | | | | |
| Incentives Paid to Companies: | | | | \$ 288,053 | | | | | | | | | |

Supplemental Data: Active Applications

Charts 1 through 9 illustrate several interesting data points about the 38 active projects in the program. Note that the data presented in charts 1-9 is generated *only* by the 38 approved-active applications (not all applications ever considered) and are based on the application projections. **Charts 1 - 3** cover issues related to the program guidelines. **Charts 4 - 6** show data that counters some assumptions that are often made about the program applicants and the projects that are approved. **Charts 7 - 9** are related to regional distribution of incentives and jobs.

Chart 1 shows the wage ranges of the projected 3,126 new, qualifying jobs to be created, in \$10,000 increments. This data relates to Guideline 2, regarding wages and benefits. While the largest segment of jobs, at 39% of total, are in the \$25,000 - \$29,000 range, the chart shows that the majority of the jobs (60% of total) will pay wages well above the state average and more than half of the jobs will pay above \$40,000 per year. Additionally, the companies project creating only 180 jobs that will pay wages at or below the VEGI Wage Threshold (160% of Vermont minimum wage; these jobs cannot be used to calculate the VEGI incentive). This data shows that the applicants to this program are creating very well-paying jobs, the majority of which far exceed the VEGI Wage Threshold. None of the applicants projected the creation of jobs at or even near the Vermont minimum wage.

Chart 2 shows the breakdown of jobs projected to be created by various job categories. Predictably, the largest category of jobs is production, at 47% of the total. Other jobs are about equally divided between management, IT, R&D, engineering, and administration/support.

Chart 3 illustrates one aspect of the fringe benefits that are and/or will be offered by the applicant companies (related to Guideline 2). The average of the benefits ratios (benefits as percentage of total compensation) for applicants is 23%. This means that the weighted average wage paid, \$46,407, is supplemented by an average benefits package valued at \$10,551 for a total compensation of \$56,958. All approved applicant companies in the VEGI program pay some portion of employee health care

costs. Only one company offers less than 40% coverage by the employer. All other companies offer 50% or more coverage. The majority of the companies (82%) cover 61% or more of health care costs for their employees.

Chart 4 shows the size of the company, by number of full-time employees, at the time of application. As the chart illustrates, the majority of the applicant companies are small- to medium-size companies, by Vermont standards. In fact, 31 (81%) of the companies had under 100 employees at the time of application, with 22 (or 58%) of those companies actually having under 20 employees. Only three applicants had 500 or more employees at the time of application. Sixty-one percent of the applicants are Vermont-based/owned companies. These are companies that were started by, and are owned by Vermonters and their families.

Chart 5 illustrates the types of economic development projects applying for incentives. It shows 42% of companies are recruitment types that are starting, expanding into or relocating to Vermont. Of these recruitments, 6 were start-ups, 5 were expansions and another 5 were relocations. Forty-seven percent of all projects were retention/expansion of existing Vermont companies or divisions. Another 8% were start-ups by Vermont entrepreneurs and 3% were re-starts of previously closed companies. This data, when viewed together with **Chart 4**, indicates that the VEGI program is now providing almost equal incentive percentages to the retention and expansion of small, Vermont companies as to the recruitment of small and medium-size companies to Vermont.

QUICK DATA FACT #5

HOW MUCH HAS ACTUALLY BEEN PAID OUT IN VEGI INCENTIVES TO DATE (2007-2010)?

\$1,945,562

Supplemental Data: Active Applications

Chart 6 summarizes the type of facility expansions occurring because of the incentives. As the data shows, the program has been very successful in providing incentives for the acquisition and/or reuse of existing buildings in Vermont that are un- or under-utilized. This type of project represents 68% of the active projects. Another 5% did not involve any facility expansion and 16% will expand the facility they currently occupy. A total of 89% of the projects will *not* involve building new facilities. Most will involve substantial investment in renovations to facilities, having a very positive impact on local construction companies. Four companies (11%) proposed projects that will involve new construction, but all of them will occur within existing industrial or commercial parks or within sites zoned for that purpose.

Charts 7 and 8 show the regional distribution of the incentives by the number of active-approved applications per region (**Chart 7**) and by incentive dollars per region (**Chart 8**). There are active projects in every region of the state except the Northeast Kingdom. The largest number of applications are from Chittenden County, which is expected as this is the economic engine of the state, the current location of most applicants, and the desired location for most companies recruited to Vermont. VEPC staff makes every effort to educate all regional economic development practitioners about the VEGI program. VEPC staff visits all regions regularly and VEGI informational seminars were conducted in every region at the start of the program. Additionally, in accordance with Program Guideline 1, applications from outside Chittenden County can be authorized for additional incentives. However, the Council and staff have no control over where existing Vermont companies (who represent the largest pool of applicants) are located, where new companies want to locate, or from which regions applications are submitted. Additionally, regional boundaries are meaningless to employment at a company. While most employees will come from the immediate area, many Vermonters cross county and regional borders for employment. The ex-

tensive business-to-business relationships, exceeding \$88 million in value each year, also occur regardless of regional boundaries.

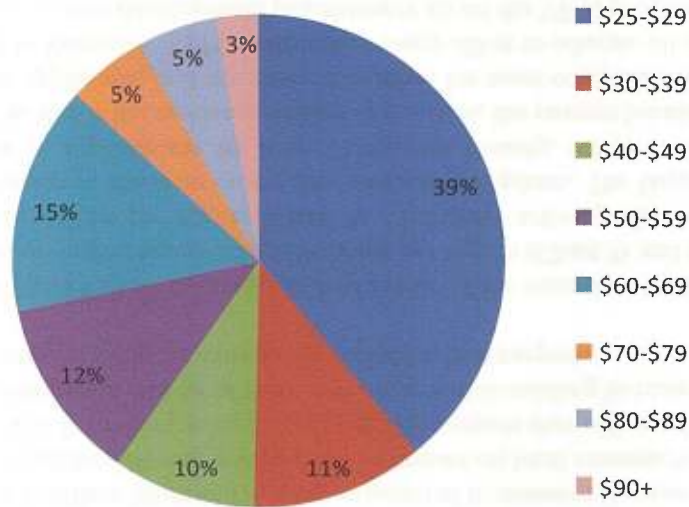
Chart 9 shows the regional distribution of the direct, new, qualifying jobs that are projected to be created. As with Charts 7 and 8, there is direct impact in all regions except for the Northeast Kingdom. However, Vermonters from all regions will fill these jobs and all regions will benefit from the job creation and capital investments. The projects that have been authorized for incentives will generate an estimated 3,037 indirect jobs all around the State and the companies estimate over \$88 million in annual business-to-business (vendor, supplier, customer, and client) interactions with other Vermont companies around the State.



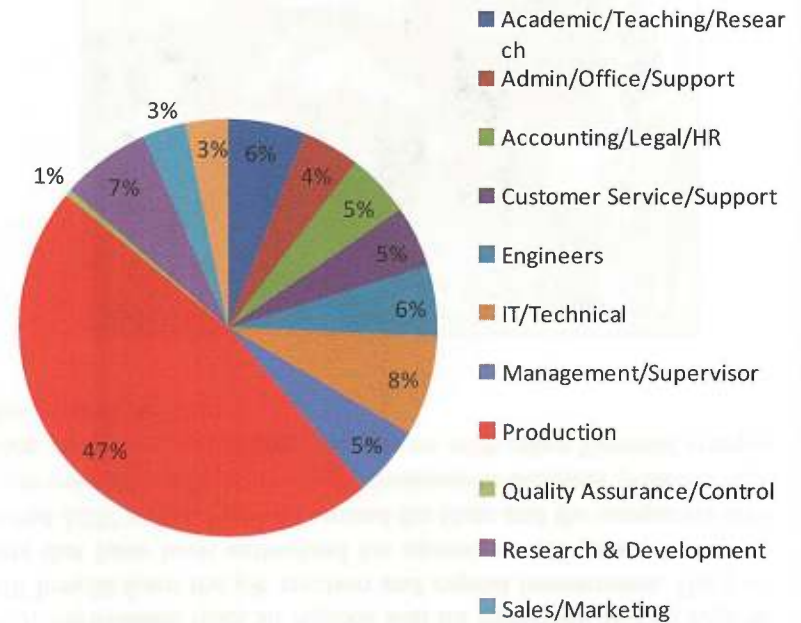
Heidi Wood of Williston makes parts for chimney systems at New England Supply.

Supplemental Data: Active Applications

**Chart 1:
Wage Levels for Projected Jobs
(Wage Ranges in 000's)**



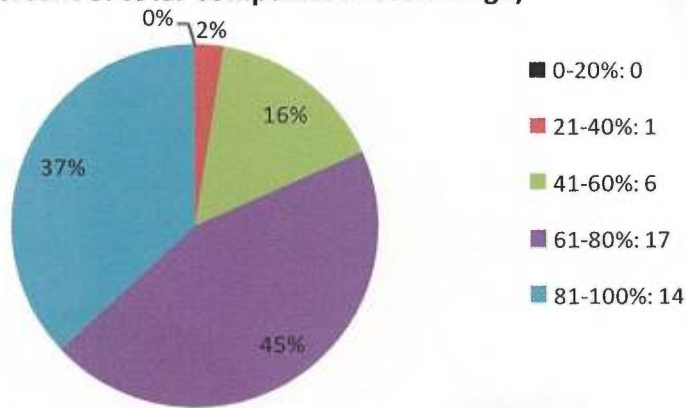
**Chart 2:
Projected Job Types**



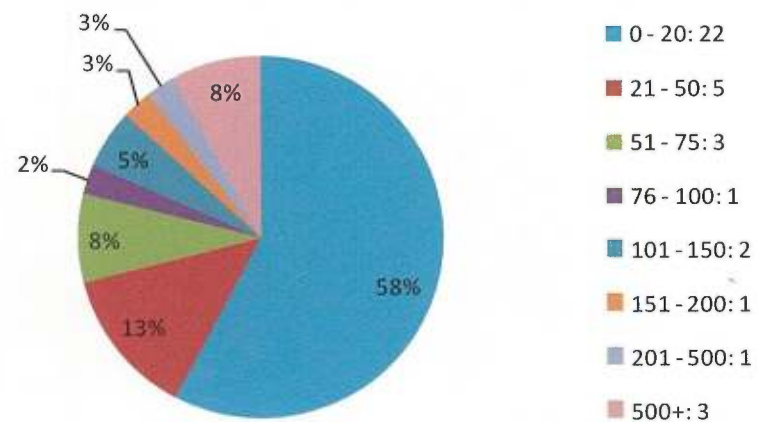
Data includes 38 Approved, Active applications only.

Supplemental Data: Active Applications

**Chart 3:
Percent of Health Care Paid by Employer
(By percent of total companies in each range)**



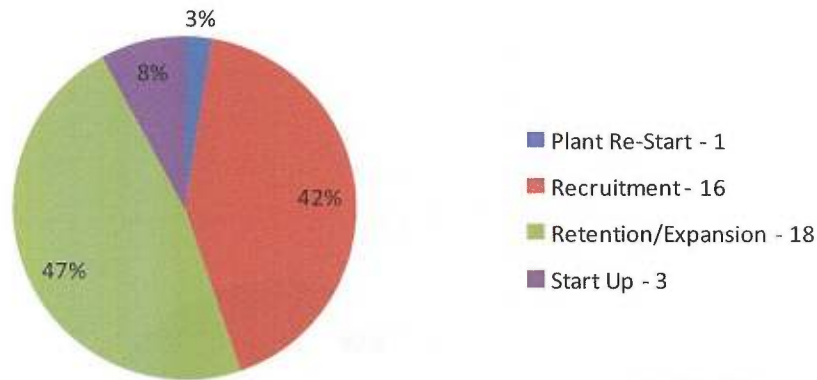
**Chart 4:
Size of Business
(by # of FT employees at time of application)**



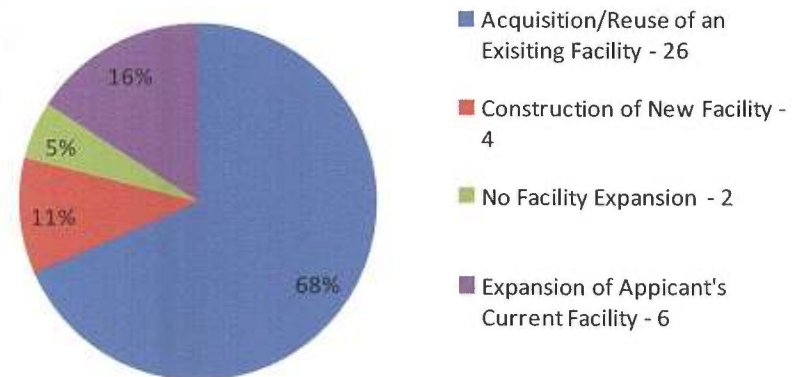
Data includes 38 Approved, Active applications only.

Supplemental Data: Active Applications

**Chart 5:
Type of Economic Development Project
(Percentage of total number of projects)**

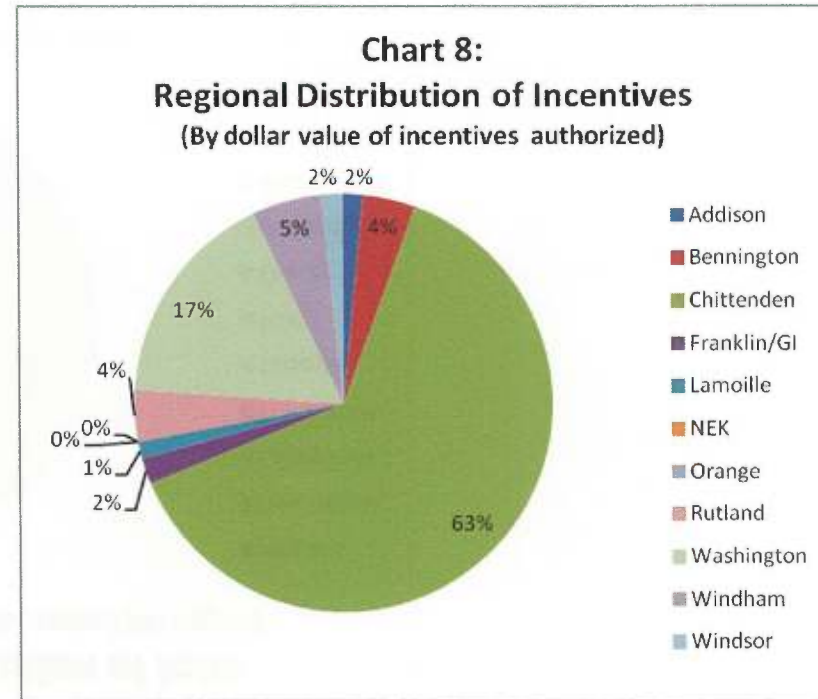
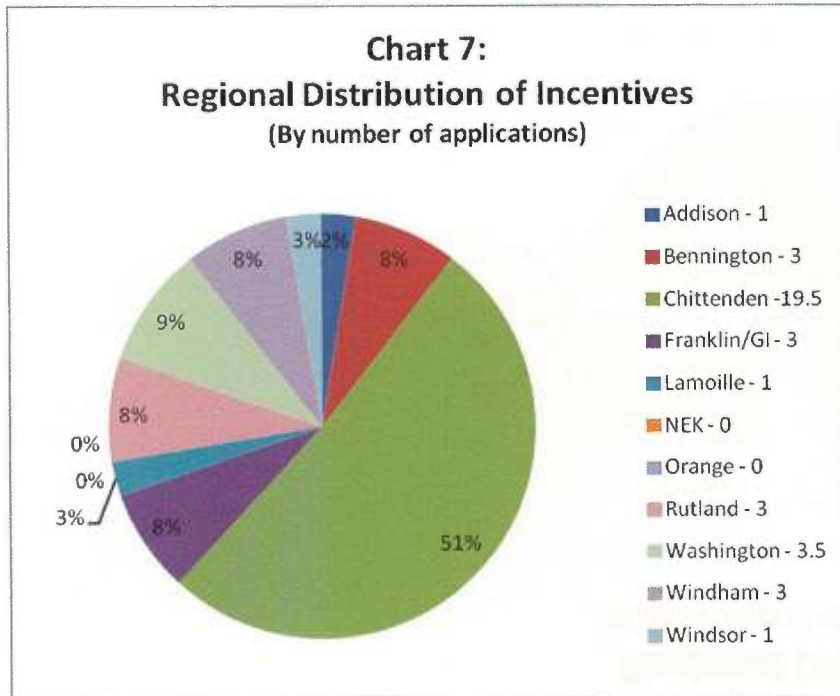


**Chart 6:
Type of Facility Expansion
(Percentage of total number of projects)**

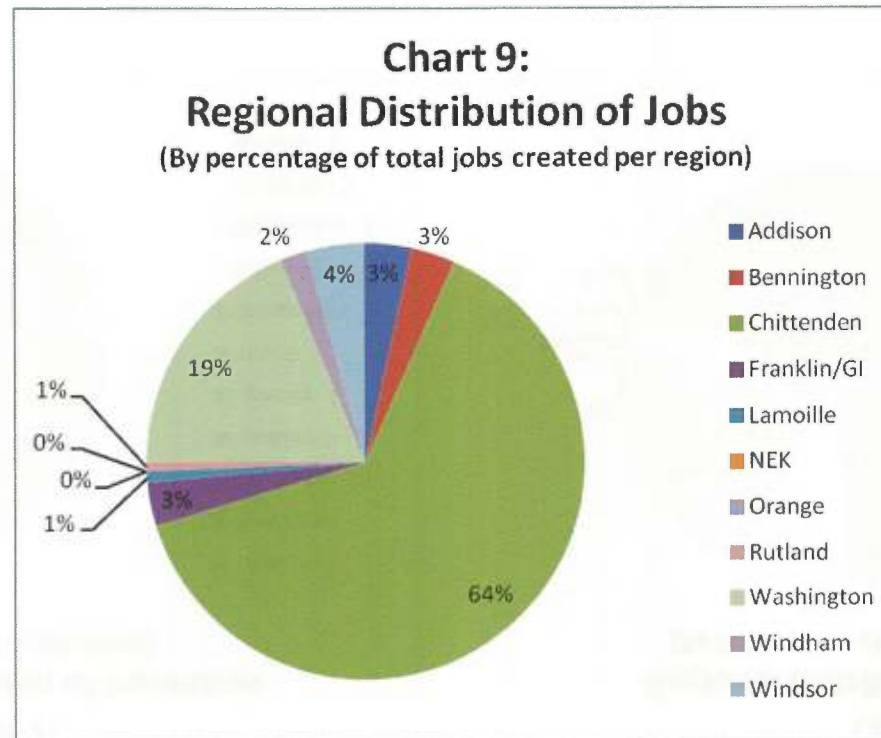


Data includes 38 Approved, Active applications only.

Supplemental Data: Active Applications



Data includes 38 Approved, Active applications only.



Data includes 38 Approved, Active applications only.

Performance Measures

| AS OF DECEMBER 31, 2011 | 2009 | | 2010 | | 2011 | | 2012 | |
|---|---|---------------|---------------|---------------|---------------|--------|----------------|--------|
| | Benchmark | Actual | Benchmark | Actual | Benchmark | Actual | Benchmark | Actual |
| OUTCOME: | (All outcome measures are set by aggregated data of all active authorized VEGI applications) | | | | | | | |
| For Incentive Level of: | \$ 572,506 | \$ 590,926 | \$ 1,238,030 | \$ 602,190 | \$ 4,283,576 | | \$ 7,056,999 | |
| New Qualifying Employees (NQE): | 170 | 232 | 391 | 579 | 587 | | 753 | |
| New Qualifying Payroll: | \$ 8,960,920 | \$ 13,562,843 | \$ 20,715,414 | \$ 32,049,407 | \$ 27,403,049 | | \$ 33,533,003 | |
| New Qualifying Capital Investments | \$ 22,027,561 | \$ 27,694,113 | \$ 39,542,667 | \$ 45,995,714 | \$ 95,288,522 | | \$ 142,202,539 | |
| Net New Revenues to State | \$ 946,851 | \$ 983,500 | \$ 1,903,033 | \$ 2,864,200 | \$ 3,315,262 | | \$ 5,126,582 | |
| OUTPUT: | | | | | | | | |
| Net Revenue Generated Per NQE | \$ 5,570 | \$ 4,239 | \$ 4,867 | \$ 4,947 | \$ 5,648 | | \$ 6,808 | |
| Number of Applications Considered | 22 | 26 | 22 | 23 | 25 | 22 | 30 | |
| EFFICIENCY: | | | | | | | | |
| Modeling Cost Per Application (FY) | \$ 365 | \$ 365 | \$ 365 | \$ 322 | \$ 350 | \$ 620 | \$ 325 | |
| Budgetary Cost Per NQE | \$ 1,067 | \$ 1,105 | \$ 509 | \$ 380 | \$ 476 | | \$ 422 | |

Endnotes

| | |
|----|---|
| 1 | It is not possible to know this information until a company has completed an entire earning period. No company has completed an earning period yet. Table 4 includes information on the aggregate number of companies that met targets each year. |
| 2 | Status: "Active-Initial" indicates that an Initial Application was approved and the applicant still needs to file a Final Application for incentives to be authorized. "Active-Final" indicates a Final Application has been submitted and approved and incentives are authorized. "Denied" indicates that an application was submitted and was denied by the VEPC Board. "Rescinded" indicates that an Initial Application was approved, but a Final Application was never filed; therefore, the Initial Approval is rescinded. "Terminated" indicates that the authority to earn incentives is terminated. A "termination" can occur for many reasons, including failure to file a VEGI claim, failure to meet targets for three consecutive years, or if the applicant pulls out of the program because a project did not or will not occur. "Terminated-Recapture" indicates the authority to earn incentives is terminated and the company has earned some incentives, which must be recaptured. |
| 3 | Includes Initial and Final Applications |
| 4 | See footnote 3 |
| 5 | "Green VEGI" authorizations are those approved for environmental technology companies in accordance with 32 VSA Section 5930b(g). |
| 6 | Cap is \$10,000,000 for each calendar year, except that the Emergency Board increased the cap to \$23 million for CY2010 and \$18 million for CY2011. Cap balances do not carry forward to the next year. |
| 7 | The VEGI program cannot provide incentives for job retention. However, if a Vermont company receives incentives to expand in Vermont, an additional benefit is the retention of current employment. |
| 8 | VEGI incentives can only be authorized for new jobs that exceed a statutory wage threshold (160% of Vermont minimum wage). This number represents the new full-time jobs projected which will pay a wage at or under the VEGI wage threshold. The jobs occur because of the incentive, but cannot be counted toward the incentive calculation. |
| 9 | Indirect jobs are estimated by the VEGI cost-benefit model according to a multiplier factor for the particular region and sector of the project. These are the jobs created at other businesses in Vermont because of the project receiving the incentive. |
| 10 | All new payroll projected as new to Vermont due to the incentive, including for companies recruited to Vermont, is subject to a background growth calculation. This calculation discounts a portion of the new payroll that will be generated because of the incentive according to a factor for each business sector, thereby reducing the level of new payroll that is used to calculate the amount of incentive the applicant can earn. This payroll is considered "background" or "organic" or payroll that would have been created anyway. This number represents the amount of new payroll projected to be created in Vermont because of the incentive program, but for which no incentive will be earned or paid. |
| 11 | See Chart 6 for more detail on the level of health care paid by employers. This number represents the percentage of the health care costs for employees that are paid by the applicant companies. |
| 12 | This represents an estimate of the level of interaction by applicant companies with other Vermont companies as vendors, suppliers, and customers. |
| 13 | Fiscal benefits and costs are estimated by an economic model. Majority of costs and benefits occur during the first five years of each project. The costs include not only the cost of the incentive, but also other revenue costs to the state such as new students in school and other services incurred by adding new people and buildings. Cost to pay incentive, if earned, continues for four years after the incentives are earned. Therefore, State of Vermont receives the benefit of each project before all incentive costs are incurred. The revenue benefits of the new jobs and payroll continue to accrue to the state after the five year earning period, but that benefit is not accounted for in the modeling. Therefore, the net revenue benefit is conservative. |

Contact Us

Fred Kenney
Vermont Economic Progress Council
Executive Director
Ph: (802) 828-5256
fred.kenney@state.vt.us

Brian Poulin
Vermont Department of Taxes
Tax Examiner III
Ph: (802) 828-6804
brian.poulin@state.vt.us



Jamie Eaton of Arlington and Christine Griffin of Dorset, RF Assemblers in the WCW, Inc. assembly plant in Manchester, VT.

*Report Produced in-house by the staff of the
Vermont Economic Progress Council
and Vermont Department of Taxes
Published September 2012
© 2012 State of Vermont*

Department of Vermont Health Access
Division of Health Care Reform
312 Hurricane Lane, Suite 201
Williston, VT 05495
hcr.vermont.gov
[phone] 802-879-5901

MEMORANDUM

TO: Legislative Joint Fiscal Committee
Green Mountain Care Board

CC: Doug Racine, Mark Larson, Jeb Spaulding, Robin Lunge

FROM: Hunt Blair, Deputy Commissioner, Health Reform & State HIT Coordinator

DATE: September 17, 2012

RE: Report on Health Care Reinvestment Fund per 32 VSA Sec 10301(g)

I have attached a spreadsheet that details the income, expenditures, and fund balance for the Health Care Reinvestment Fund. That is the Fund created in 2008 to collect a .199 of 1% assessment on all health insurance claims paid in the previous year. Resources from the HIT Fund are used to provide state support for Health Information Technology. Originally designed as a direct funding mechanism, since passage of the federal HITECH Act in 2009, Vermont has used the Fund to make the state “match” for a wide variety of Health Information Technology grants and other federal funds.

A year by year summary of the Fund’s activity is included in a box at the far right hand side of the attached sheet. You will note that it includes a SFY 12 year-end balance of \$6.5m in the Fund. As reported in prior years and in other venues, because of the influx of significant federal resources over the last several years, we have been building a balance in the Fund, with an eye toward that day when federal resources begin to wane. In addition, we have been holding these resources in reserve to ensure that they are well spent when they are utilized, which has meant attaining a certain level of critical mass with the overall HIT-HIE (health information exchange) infrastructure.

Thanks to the approved funding match from CMS to what they term a state’s “fair share” contribution to HIE expenditure totaling \$12.3m over the 28 months, the Fund balance is now obligated for that and other projected uses.

Because of the complexity of our uses of the Fund for multiple purposes through multiple leveraging strategies, an oral briefing on the details of the spreadsheet is likely to be more valuable than further written summary, so I am happy make myself available to the Committee for that purpose.

State and Federal Investments in Clinical Health Information Technology

| Program / Use | Federal Resources | State Resources | SFY09 | SFY10 | SFY11 | SFY12 | SFY13 (a) | Totals | |
|---|-----------------------------------|-----------------------|-----------|------------|-----------|------------|-------------|-------------|-----------|
| EHR Incentive Program & HIT-HIE Operations - DVHA ops | ARRA Sec. 4201 / CMS | | - | 12,839 | 398,668 | 1,095,860 | 1,645,306 | 3,152,672 | |
| | ONC 3013 Staff/Indirects | | - | - | 112,201 | 178,099 | 122,400 | 412,699 | |
| | | HIT Fund | - | (1,426.50) | (44,296) | (121,762) | (182,812) | (350,297) | |
| EHR Incentive Program Provider Payments | ARRA Sec. 4201 / CMS | | - | - | - | 10,511,323 | 16,280,000 | 26,791,323 | |
| Regional Extension Center Program - VITL grant* | ONC Sec. 3012 REC | | - | 409,913 | 1,715,373 | 1,984,820 | 1,899,290 | 6,009,397 | |
| | | HIT Fund | - | 45,546 | 166,982 | 220,536 | 189,929 | 622,992 | |
| HIE Operations - VITL grant | ONC Sec. 3013 HIE | | - | 552 | 1,093,108 | 3,070,972 | - | 4,164,632 | |
| | ARRA Sec. 4201 / CMS | | - | - | - | - | 2,100,238 | 2,100,238 | |
| | GC | | - | 2,025,823 | - | - | - | 2,025,823 | |
| | HIT Fund Purchased GC | | - | - | 451,644 | 369,830 | - | 821,474 | |
| | | HIT Fund | 1,383,487 | - | - | - | - | 390,811 | 1,774,298 |
| | | BISHCA Fund | 226,174 | 226,174 | - | 243,640 | 226,174 | 922,162 | |
| | HIE Operations - VITL grant TOTAL | 1,383,487 | 2,252,549 | 1,544,752 | 3,684,442 | 2,717,223 | 11,582,452 | | |
| Health Center Network - Bi-State grant | HRSA HCCN Grant | matched with HIT Fund | - | - | 58,713 | 204,759 | 283,800 | 547,272 | |
| HIT Fund Match as "Fair Share" | | HIT Fund | | | | | -1502453.00 | (1,502,453) | |
| HIT-HIE Mini-Grants (MH, LTC, SASH, BP sites) | | HIT Fund Purchased GC | - | - | - | 66,811 | 500,000 | 566,811 | |

| SFY | HIT Fund | | |
|---------|-----------------|----------------|----------------|
| | Income | Expenditures | Balance |
| SFY 09* | \$ 1,725,506.00 | \$1,404,447.00 | \$ 321,059.00 |
| SFY 10 | \$ 2,462,827.92 | \$ 127,388.62 | \$2,656,498.30 |
| SFY 11 | \$ 2,877,846.67 | \$ 589,401.87 | \$4,944,943.10 |
| SFY 12 | \$ 3,467,955.96 | \$1,856,814.71 | \$6,556,084.35 |
| | \$10,534,136.55 | \$3,978,052.20 | |

*- 02-03-04 only

| SFY | HIT Funds used to Purchase GC | |
|--------|-------------------------------|----------------|
| | HIT Fund | GC Received |
| SFY 09 | \$ - | \$ - |
| SFY 10 | \$ - | \$ - |
| SFY 11 | \$ (264,369.96) | \$ 827,967.29 |
| SFY 12 | \$ (815,773.91) | \$1,935,866.00 |
| SFY 13 | \$ (1,977,888.91) | \$4,539,566.00 |
| | \$ (3,058,032.77) | \$7,303,399.29 |

Notes: (a) SFY13 budgeted amounts subject to change

MCO Investment Expenditures

| Department | Criteria | Investment Description | SFY06 Actuals - | | | | | | | |
|------------|----------|--|-----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | | 3/4 SFY | SFY07 Actuals | SFY08 Actuals | SFY09 Actuals | SFY10 Actuals | SFY11 Actuals | SFY12 Actuals | |
| DOE | 2 | School Health Services | \$ 6,397,319 | \$ 8,956,247 | \$ 8,956,247 | \$ 8,956,247 | \$ 8,956,247 | \$ 8,956,247 | \$ 4,478,124 | \$ 11,027,579 |
| AOA | 4 | Blueprint Director | \$ - | \$ - | \$ 70,000 | \$ 68,879 | \$ 179,284 | \$ - | \$ - | \$ - |
| GMCB | 4 | Green Mountain Care Board | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 789,437 |
| BISHCA | 2 | Health Care Administration | \$ 983,637 | \$ 914,629 | \$ 1,340,728 | \$ 1,871,651 | \$ 1,713,959 | \$ 1,898,342 | \$ 1,897,997 | \$ 1,897,997 |
| DII | 4 | Vermont Information Technology Leaders | \$ 266,000 | \$ 105,000 | \$ 105,000 | \$ 339,500 | \$ - | \$ - | \$ - | \$ - |
| VVH | 2 | Vermont Veterans Home | \$ 747,000 | \$ 913,047 | \$ 913,047 | \$ 881,043 | \$ 837,225 | \$ 1,410,956 | \$ 1,410,956 | \$ 1,410,956 |
| VSC | 2 | Health Professional Training | \$ 283,154 | \$ 391,698 | \$ 405,407 | \$ 405,407 | \$ 405,407 | \$ 405,407 | \$ 405,407 | \$ 405,407 |
| UVM | 2 | Vermont Physician Training | \$ 2,798,070 | \$ 3,870,682 | \$ 4,006,152 | \$ 4,006,156 | \$ 4,006,156 | \$ 4,006,156 | \$ 4,006,156 | \$ 4,006,156 |
| VAAFM | 3 | Agriculture Public Health Initiatives | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 90,278 |
| AHSCO | 2 | Designated Agency Underinsured Services | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 2,510,099 | \$ 5,401,947 |
| AHSCO | 4 | 2-1-1 Grant | \$ - | \$ - | \$ - | \$ 415,000 | \$ 415,000 | \$ 415,000 | \$ 415,000 | \$ 415,000 |
| VDH | 2 | Emergency Medical Services | \$ 174,482 | \$ 436,642 | \$ 626,728 | \$ 427,056 | \$ 425,870 | \$ 333,488 | \$ 274,417 | \$ - |
| VDH | 2 | AIDS Services/HIV Case Management | \$ 152,945 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| VDH | 2 | TB Medical Services | \$ 27,052 | \$ 29,129 | \$ 15,872 | \$ 28,359 | \$ 41,313 | \$ 36,284 | \$ 39,173 | \$ 39,173 |
| VDH | 3 | Epidemiology | \$ 326,708 | \$ 427,075 | \$ 416,932 | \$ 204,646 | \$ 241,932 | \$ 315,135 | \$ 329,380 | \$ 329,380 |
| VDH | 3 | Health Research and Statistics | \$ 276,673 | \$ 403,244 | \$ 404,431 | \$ 217,178 | \$ 254,828 | \$ 289,420 | \$ 439,742 | \$ 439,742 |
| VDH | 2 | Health Laboratory | \$ 1,369,982 | \$ 1,908,982 | \$ 2,012,252 | \$ 1,522,578 | \$ 1,875,487 | \$ 1,912,034 | \$ 1,293,671 | \$ 1,293,671 |
| VDH | 4 | Tobacco Cessation: Community Coalitions | \$ 930,056 | \$ 1,647,129 | \$ 1,144,713 | \$ 1,016,685 | \$ 535,573 | \$ 94,089 | \$ 371,646 | \$ 371,646 |
| VDH | 3 | Statewide Tobacco Cessation | \$ - | \$ - | \$ - | \$ 230,985 | \$ 484,998 | \$ 507,543 | \$ 450,804 | \$ 450,804 |
| VDH | 2 | Family Planning | \$ 365,320 | \$ 122,961 | \$ 169,392 | \$ 300,876 | \$ 300,876 | \$ 275,803 | \$ 420,823 | \$ 420,823 |
| VDH | 4 | Physician/Dentist Loan Repayment Program | \$ 810,716 | \$ 439,140 | \$ 930,000 | \$ 1,516,361 | \$ 970,000 | \$ 900,000 | \$ 970,000 | \$ 970,000 |
| VDH | 2 | Renal Disease | \$ 15,000 | \$ 7,601 | \$ 16,115 | \$ 15,095 | \$ 2,053 | \$ 13,689 | \$ 1,752 | \$ 1,752 |
| VDH | 2 | Newborn Screening | \$ 74,899 | \$ 166,795 | \$ 136,577 | \$ - | \$ - | \$ - | \$ - | \$ - |
| VDH | 2 | WIC Coverage | \$ 161,804 | \$ 1,165,699 | \$ 562,446 | \$ 86,882 | \$ - | \$ 36,959 | \$ - | \$ - |
| VDH | 4 | Vermont Blueprint for Health | \$ 92,049 | \$ 1,975,940 | \$ 753,087 | \$ 1,395,135 | \$ 1,417,770 | \$ 752,375 | \$ 454,813 | \$ 454,813 |
| VDH | 4 | Area Health Education Centers (AHEC) | \$ - | \$ 35,000 | \$ 310,000 | \$ 565,000 | \$ 725,000 | \$ 500,000 | \$ 540,094 | \$ 540,094 |
| VDH | 4 | Community Clinics | \$ - | \$ - | \$ - | \$ 640,000 | \$ 468,154 | \$ 640,000 | \$ 600,000 | \$ 600,000 |
| VDH | 4 | FQHC Lookalike | \$ - | \$ - | \$ 30,000 | \$ 105,650 | \$ 81,500 | \$ 87,900 | \$ 102,545 | \$ 102,545 |
| VDH | 4 | Patient Safety - Adverse Events | \$ - | \$ - | \$ 190,143 | \$ 100,509 | \$ 44,573 | \$ 16,829 | \$ 25,081 | \$ 25,081 |
| VDH | 4 | Coalition of Health Activity Movement Prevention Program (CHAMPPS) | \$ - | \$ 100,000 | \$ 291,298 | \$ 486,466 | \$ 412,043 | \$ 290,661 | \$ 318,806 | \$ 318,806 |
| VDH | 2 | Substance Abuse Treatment | \$ 1,466,732 | \$ 2,514,963 | \$ 2,744,787 | \$ 2,997,668 | \$ 3,000,335 | \$ 1,693,198 | \$ 2,928,773 | \$ 2,928,773 |
| VDH | 4 | Recovery Centers | \$ 171,153 | \$ 287,374 | \$ 329,215 | \$ 713,576 | \$ 716,000 | \$ 648,350 | \$ 771,100 | \$ 771,100 |
| VDH | 2 | Immunization | \$ - | \$ - | \$ - | \$ 726,264 | \$ - | \$ - | \$ 23,903 | \$ 23,903 |
| VDH | 2 | DMH Investment Cost in CAP | \$ - | \$ - | \$ - | \$ 64,843 | \$ - | \$ 752 | \$ 140 | \$ 140 |
| VDH | 4 | Poison Control | \$ - | \$ - | \$ - | \$ - | \$ 176,340 | \$ 115,710 | \$ 213,150 | \$ 213,150 |
| VDH | 4 | Challenges for Change: VDH | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 309,645 | \$ 309,645 |
| VDH | 3 | Fluoride Treatment | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 43,483 | \$ 43,483 |
| VDH | 4 | CHIP Vaccines | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 196,868 | \$ 196,868 |
| DMH | 2 | Special Payments for Treatment Plan Services | \$ 101,230 | \$ 131,309 | \$ 113,314 | \$ 164,356 | \$ 149,068 | \$ 134,791 | \$ 132,021 | \$ 132,021 |
| DMH | 2 | MH Outpatient Services for Adults | \$ 775,899 | \$ 1,393,395 | \$ 1,293,044 | \$ 1,320,521 | \$ 864,815 | \$ 522,595 | \$ 974,854 | \$ 974,854 |
| DMH | 2 | Mental Health Elder Care | \$ 38,563 | \$ 37,682 | \$ 38,970 | \$ - | \$ - | \$ - | \$ - | \$ - |
| DMH | 4 | Mental Health Consumer Support Programs | \$ 451,606 | \$ 546,987 | \$ 673,160 | \$ 707,976 | \$ 802,579 | \$ 582,397 | \$ 67,285 | \$ 67,285 |
| DMH | 2 | Mental Health CRT Community Support Services | \$ 2,318,668 | \$ 602,186 | \$ 807,539 | \$ 1,124,728 | \$ - | \$ 1,935,344 | \$ 1,886,140 | \$ 1,886,140 |
| DMH | 2 | Mental Health Children's Community Services | \$ 1,561,396 | \$ 3,066,774 | \$ 3,341,602 | \$ 3,597,662 | \$ 2,569,759 | \$ 1,775,120 | \$ 2,785,090 | \$ 2,785,090 |
| DMH | 2 | Emergency Mental Health for Children and Adults | \$ 1,885,014 | \$ 1,988,548 | \$ 2,016,348 | \$ 2,165,648 | \$ 1,797,605 | \$ 2,309,810 | \$ 4,395,885 | \$ 4,395,885 |
| DMH | 2 | Respite Services for Youth with SED and their Families | \$ 385,581 | \$ 485,586 | \$ 502,237 | \$ 412,920 | \$ 516,677 | \$ 543,635 | \$ 541,707 | \$ 541,707 |
| DMH | 2 | CRT Staff Secure Transportation | \$ - | \$ - | \$ 52,242 | \$ - | \$ - | \$ - | \$ - | \$ - |
| DMH | 2 | Recovery Housing | \$ - | \$ - | \$ 235,267 | \$ - | \$ 332,635 | \$ 512,307 | \$ 562,921 | \$ 562,921 |
| DMH | 2 | Transportation - Children in Involuntary Care | \$ 4,768 | \$ 1,075 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| DMH | 2 | Vermont State Hospital Records | \$ - | \$ - | \$ - | \$ - | \$ 19,590 | \$ - | \$ - | \$ - |
| DMH | 4 | Challenges for Change: DMH | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 229,512 | \$ 945,051 | \$ 945,051 |
| DMH | 2 | Seriously Functionally Impaired | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 68,713 | \$ 160,560 | \$ 160,560 |

Global Commitment SFY12
AHS GC Closeout Adjustments

Act 75 S 70 of 2012

| Department | Dept ID | Fund Codes | General | Federal | Global | Total |
|--------------------------|-------------|---|--------------------|--------------------|---------------------|---------------------|
| | | | 10000 | 22005 | 20405 | |
| AHS | 3400001000 | AHS Secretary's Office | | | | |
| | | AHSCO need - GF cashout from DRS to AHSCO | 22,266 | | | 22,266 |
| GMCB | 1100891201 | Green Mountain Care Board | | | | |
| | | MCO Investment Expenditure | (332,669) | | 789,438 | 456,769 |
| DRS | 3400008000 | Division of Rate Setting | | | | |
| | | AHSCO need - GF cashout from DRS to AHSCO | | | (52,490) | (52,490) |
| TOTAL AHS/DRS/DOC | | | (310,403) | 0 | 736,948 | 426,545 |
| DVHA | 3410010000 | Administration | | | | |
| | | VAHHS - neutral transfer from DVHA GC to DVHA Admin | | | 2,000,000 | 2,000,000 |
| | 3410015000 | Global Commitment | | | | |
| | | Children's Integrated Services (CIS) - neutral transfer from DVHA GC to DCF CDD | | | (744,501) | (744,501) |
| | | Hope C4C Grant - neutral transfer from DVHA GC to DCF OEO | | | (44,512) | (44,512) |
| | | VAHHS - neutral transfer from DVHA GC to DVHA Admin | | | (2,000,000) | (2,000,000) |
| | | DVHA MCO investment pressure - neutral transfer from DVHA GC to DVHA State Only | | | (200,000) | (200,000) |
| | | VSH need - neutral transfer from DVHA GC to VSH | | | (3,771,806) | (3,771,806) |
| | 3410017000 | State Only | | | | |
| | | DVHA MCO investment pressure - neutral transfer from DVHA GC to DVHA State Only | | | 200,000 | 200,000 |
| | | CHIP match need - neutral transfer from DVHA State Only to DVHA Non-Waiver Matched | (300,000) | | | (300,000) |
| | 3410018000 | Non-Waiver Matched | | | | |
| | | CHIP match need - neutral transfer from DVHA State Only to DVHA Non-Waiver Matched | 300,000 | | | 300,000 |
| TOTAL DVHA | | | 0 | 0 | (4,560,819) | (4,560,819) |
| VDH | 3420021000 | Public Health | | | | |
| | | Expanded eligibility for opiate treatment - neutral transfer from VDH Public Health to VDH ADAP | | | (2,000,000) | (2,000,000) |
| | 3420060000 | ADAP | | | | |
| | | Expanded eligibility for opiate treatment - neutral transfer from VDH Public Health to VDH ADAP | | | 2,000,000 | 2,000,000 |
| TOTAL VDH | | | 0 | 0 | 0 | 0 |
| DMH | 3150070000 | Mental Health | | | | |
| | | VR JOBS program - neutral transfer from DAIL DS to DMH | | | 191,124 | 191,124 |
| | | Adult waivers utilization - neutral transfer from DAIL DS to DMH | | | 26,045 | 26,045 |
| | | CRT waivers - neutral transfer from DAIL DS to DMH | | | 41,651 | 41,651 |
| | | ISB/waivers - neutral transfer from DCF FS to DMH | | | 1,038,806 | 1,038,806 |
| | | PCAV - neutral transfer from DMH to DCF FS | | | (7,000) | (7,000) |
| | | PNMI - Seall - neutral transfer from DMH to DCF FS | | | (7,313) | (7,313) |
| | | neutral transfer of GF and GC from DMH to VSH | (300,000) | | (1,835,951) | (1,835,951) |
| | 3150080000 | Vermont State Hospital | | | | |
| | | neutral transfer of GF and GC from DMH to VSH | 300,000 | | 1,535,951 | 1,835,951 |
| | | VSH need - neutral transfer from DVHA GC to VSH | | | 3,771,806 | 3,771,806 |
| | | convert GC to GF to cover need | 5,668,175 | | (13,362,034) | (7,693,859) |
| TOTAL DMH | | | 5,668,175 | 0 | (8,306,915) | (2,638,740) |
| DDAIL | 3460020000 | DAIL Grants | | | | |
| | | ASP & Adult Day - neutral transfer from DAIL DS to DAIL Grants | | | 350,000 | 350,000 |
| | 3460050000 | Developmental Services | | | | |
| | | Children in DCF custody on DS Waivers reduced - neutral transfer from DAIL DS to DCF FS | | | (353,261) | (353,261) |
| | | ASP & Adult Day - neutral transfer from DAIL DS to DAIL Grants | | | (350,000) | (350,000) |
| | | TBI caseload - neutral transfer from DAIL DS to DAIL TBI | | | (350,000) | (350,000) |
| | | VR JOBS program - neutral transfer from DAIL DS to DMH | | | (191,124) | (191,124) |
| | | Adult waivers utilization - neutral transfer from DAIL DS to DMH | | | (26,045) | (26,045) |
| | | CRT waivers - neutral transfer from DAIL DS to DMH | | | (41,651) | (41,651) |
| | 3460070000 | TBI, Home & Comm. Based Waiver | | | | |
| | | TBI caseload - neutral transfer from DAIL DS to DAIL TBI | | | 350,000 | 350,000 |
| TOTAL DDAIL | | | 0 | 0 | (612,081) | (612,081) |
| DCF | 3440010000 | Administration & Support Services | | | | |
| | | CIS - neutral transfer from DCF Admin to DCF CDD | | | (1,300,000) | (1,300,000) |
| | | AABD need - cash out of GC from DCF Admin to DCF AABD | | | (235,738) | (235,738) |
| | 3440020000 | Family Services | | | | |
| | | Children in DCF custody on DS Waivers reduced - neutral transfer from DAIL DS to DCF FS | | | 353,261 | 353,261 |
| | | ISB/waivers - neutral transfer from DCF FS to DMH | | | (1,038,806) | (1,038,806) |
| | | PCAV - neutral transfer from DMH to DCF FS | | | 7,000 | 7,000 |
| | | FIT Autism - neutral transfer from DCF FS to DCF CDD | | | (234,311) | (234,311) |
| | | PNMI - Seall - neutral transfer from DMH to DCF FS | | | 7,313 | 7,313 |
| | 3440030000 | Child Development | | | | |
| | | Children's Integrated Services (CIS) - neutral transfer from DVHA GC to DCF CDD | | | 744,501 | 744,501 |
| | | FIT Autism - neutral transfer from DCF FS to DCF CDD | | | 234,311 | 234,311 |
| | | CIS - neutral transfer from DCF Admin to DCF CDD | | | 1,300,000 | 1,300,000 |
| | 3440100000 | Office of Economic Opportunity | | | | |
| | | Hope C4C Grant - neutral transfer from DVHA GC to DCF OEO | | | 44,512 | 44,512 |
| | 3440050000 | Aid to Aged, Blind, and Disabled | | | | |
| | | AABD need - cash out of GC from DCF Admin to DCF AABD | 100,000 | | | 100,000 |
| TOTAL DCF | | | 100,000 | 0 | (117,957) | (17,957) |
| Net by fund: | | | 5,457,772 | 0 | (12,860,824) | (7,403,052) |
| AHSCO | 34000040000 | Secretary's Office | | | | |
| | | Global Commitment | | | | |
| | | General and Federal Funds for GC Conversions | (5,457,772) | (7,403,052) | | (12,860,824) |
| SUBTOTAL AHSCO | | | (5,457,772) | (7,403,052) | 0 | (12,860,824) |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|--------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | 1.00 | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Total | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

JFC
 2012
 Sept. Follow-up
 from Robin (DCF)
 Approved Positions
 in 2012
 (Minutes)

| Title | Position # | Dept | Function/ Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|---------------------|--------------|-------------------|------|-----------|----------------------|-----------|-----------------|----------------|-----------------|----------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Tot | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|--------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Total | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Total | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Tot: | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | if needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Manning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|--------------------|--------------|----------------|------|-----------|-------------------|-----------|-------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Total | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Total | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|--------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Total | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Tot: | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Tot: | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |

| Title | Position # | Dept | Function/Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|--|------------|------------|-------------------|--------------|----------------|------|-----------|-------------------|-----------|--------------|--------------|--------------|--------------|---|
| Director Of Health Care Reform | 017012 | AoA | Operations | 1.00 | 0.50 | HIX | 97 | 1 | 0 | | 0.50 | 0.75 | 0.00 | |
| Admin. Asst. for AoA (Robin) | 027004 | AoA | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Attorney/Policy Analyst | 027400 | AoA | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Call Center Management | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Transition Only |
| Eligibility Staff | NEW | DCF | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.00 | If needed could be funded out of G&A |
| Financial Administrator I (Hutchinson) PG 22 | 290073 | DFR | Operations | 1.00 | 1.00 | HIX | 22 | 1 | 0 | | | 0.15 | 0.15 | |
| Grant Programs Manager (Mendizabal) PG 25 | 290119 | DFR | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 0.15 | 0.15 | |
| Health Insurance Policy Director (Martini) PG 29 | 290123 | DFR | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 0.50 | 0.50 | 0.50 | |
| QHP Certification Administrator (Vacant) PG 26 | 290129 | DFR | Operations | 1.00 | 1.00 | HIX | 26 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director (Thistle) PG 28 | 290130 | DFR | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Data and Information Manager (Lindberg) PG 27 | 290131 | DFR | Operations | 1.00 | 0.50 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 0.50 | |
| Administrative Assistant A (Vacant) PG 17 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 17 | 1 | 0 | | | 0.50 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Consumer Services Specialist (Vacant) PG 20 | NEW | DFR | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | | 1.00 | 0.50 | |
| Appeals | NEW | DVHA | Operations | 0.00 | 0.00 | HIX | Various | 0 | 0 | | | 0.00 | 0.00 | FTE's funded in Appeals Program line. |
| Grants Management Specialist | 730170 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | 1.00 | 1.00 | 1.00 | 0.00 | |
| Contract/Grant Writer | 730186 | DVHA - BO | Operations | 1.00 | 1.00 | HIX | 24 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730187 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 22 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Financial Analyst/Accountant | 730188 | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 19 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Grants Management Specialist | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 23 | 1 | 0 | | | 1.00 | 0.00 | |
| Comptroller | NEW | DVHA - BO | Operations | 1.00 | 1.00 | HSE | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Director of Health Care Affordability | 730082 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | 1.00 | 1.00 | 1.00 | 1.00 | |
| Policy Analyst | 730179 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Policy and Planning Chief | 730180 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730181 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Change Management Director | 730182 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 29 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730183 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730184 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Exchange Project Director | 730185 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Office Manager/Administrative Assistant | 730189 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 20 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Outreach Program Manager | 730190 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Deputy Commissioner | 737101 | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 97 | 1 | 0 | | 1.00 | 1.00 | 1.00 | |
| Business Analyst - Operations | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 0.00 | |
| Director of Outreach | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | | 1.00 | 1.00 | |
| Outreach Manager | NEW | DVHA - HIX | Operations | 1.00 | 1.00 | HIX | 25 | 1 | 0 | | | 1.00 | 1.00 | |
| Data Analyst | 270011 | GMCB | Operations | 1.00 | 1.00 | HIX | 28 | 1 | 0 | | 1.00 | 1.00 | 0.00 | |
| Wellness Program Coordinator | NEW | VDH | Operations | 1.00 | 1.00 | HIX | 27 | 1 | 0 | | | 1.00 | 0.00 | Added at VDH request |
| Funded in Non-IT Operations | | | Operations | 36.00 | 35.00 | | | | | 2.00 | 22.00 | 33.05 | 22.30 | |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Training & Change Management Specialist | NEW | AHS | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded in IT Internal - Table 10 |
| Senior Systems Developer | 720148 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Executive Staff Assistant | 720149 | AHS IT | IT | 1.00 | 1.00 | HSE | 22 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Systems Developer | 720150 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Project Manager | 720151 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Database Administrator | 720152 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Enterprise Business Analyst | 720153 | AHS IT | IT | 1.00 | 1.00 | HSE | 26 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Senior Sys Dev - SOA Integration Specialist | 720154 | AHS IT | IT | 1.00 | 1.00 | HSE | 27 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| AHS Information Systems Security Director | 720155 | AHS IT | IT | 1.00 | 1.00 | HSE | 28 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst | 751100 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.75 | Funded in IT Internal - Table 10/Ongoing HIX solution |
| Business Analyst | 751101 | DCF | IT | 1.00 | 1.00 | HSE | 25 | 0 | 1 | | 1.00 | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |

| Title | Position # | Dept | Function/ Budget | Position | FTE to Project | IAPD | Pay Grade | Operations Budget | IT Budget | Planning FTE | Level I FTE | Level II FTE | Ongoing FTE | Notes |
|---|------------|------------|---------------------|--------------|-------------------|------|-----------|----------------------|-----------|-----------------|----------------|-----------------|----------------|---|
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| BPS (SME - Tester) | NEW | DCF | IT | 1.00 | 1.00 | E&E | 20 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Enterprise Architect | NEW | DII | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| HIX Systems Integration | NEW | DII | IT | 1.00 | 1.00 | HIX | 27 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Business Analyst - Change Management | NEW | DVHA - HIX | IT | 1.00 | 1.00 | HIX | 28 | 0 | 1 | | | 1.00 | 0.00 | Funded out of IT Internal - Table 10 |
| Internal IT - Business Resources (SMEs) & Technical | NEW | Various | IT | 3.00 | 3.00 | E&E | 26 | 0 | 3 | | | 3.00 | 0.00 | Table 10 Avg 30 FTE (12 - 6.9, 13 - 50.5, 14 - 32.30) |
| Funded with IT Internal and HIX solution | | | IT Total | 30.00 | 30.00 | | | | | 0.00 | 10.00 | 30.00 | 3.75 | |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Quality Oversight & Performance Measurement | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Fiscal Analyst & Federal Reporting | NEW | AHS | Admin | 1.00 | 1.00 | HSE | 28 | 0 | 0 | | | 1.00 | 1.00 | Fund out of G&A allocation |
| Funded with Admin Allocation | | | Admin Tot: | 3.00 | 3.00 | | | | | 0.00 | 0.00 | 3.00 | 3.00 | |
| Total Positions/FTE for level 2 grant | | | Grand Tot: | 69.00 | 68.00 | | | | | 2.00 | 32.00 | 66.05 | 29.05 | |