

ONE BALDWIN STREET
MONTPELIER, VT 05633-5701

REP. MARTHA HEATH, CHAIR ✓
SEN. M. JANE KITCHEL, VICE-CHAIR ✓
SEN. DIANE SNELLING, CLERK ✓
REP. JANET ANCEL ✓
SEN. TIMOTHY ASHE ✓



TEL: (802) 828-2295
FAX: (802) 828-2483

REP. CAROLYN BRANAGAN *ab*
SEN. JOHN CAMPBELL ✓
REP. MITZI JOHNSON *ab*
SEN. RICHARD SEARS *ab*
REP. DAVID SHARPE *ab*

STATE OF VERMONT
GENERAL ASSEMBLY
LEGISLATIVE JOINT FISCAL COMMITTEE

Agenda

Wednesday, February 6, 2013

Room 5, State House

- | | |
|------------|--|
| 12:00 p.m. | Call to order and Election of Officers
Stephen Klein, Chief Fiscal Officer |
| 12:05 p.m. | Grant Process Overview
Nathan Lavery, Joint Fiscal Office |
| 12:15 p.m. | Approve Committee and Office Policies [Approved] |
| 12:20 p.m. | Committee Budget Overview and Recommendation to the Appropriations
Committees [Approved]
Nathan Lavery, Joint Fiscal Office |

Reports

- I. Quarterly Report on excess receipts. [32 V.S.A. § 511] [Administration] [2nd Qtr. Received]



STATE OF VERMONT
LEGISLATIVE JOINT FISCAL COMMITTEE

Wednesday, February 6, 2013

Minutes

Members present: Representatives Ancel and Heath, and Senators Ashe, Campbell, Kitchel, Sears, and Snelling.

Other Attendees: Joint Fiscal Office, and Legislative Council staff

Stephen Klein, Chief Fiscal Officer, Joint Fiscal Office, called the meeting to order and asked for nominations for Chair of the Joint Fiscal Committee. Senator Kitchel nominated Rep. Heath and Senator Campbell seconded the motion with no other nominations presented. The nominations were closed on a motion from Senator Kitchel, and Representative Heath was elected Chair of the committee.

Representative Heath, Chair, asked for nominations for vice-chair. Representative Ancel nominated Senator Kitchel with no other nominations presented. The nominations were closed on a motion from Senator Ashe, and Senator Kitchel was elected vice-chair of the committee.

Representative Heath asked for nominations for clerk. Senator Campbell nominated Senator Ashe and Senator Ashe nominated Senator Snelling, whereby Senator Campbell withdrew his nomination. The nominations were closed on a motion from Senator Kitchel, and Senator Snelling was elected clerk.

The Chair turned to the agenda.

Grant Process Overview

Nathan Lavery, Fiscal Analyst, Joint Fiscal Office, referred to the January 2013 Legislative Joint Fiscal and Office proposed policies and gave an overview of the grant review process and expedited grant review process. Representative Ancel expressed concern for the web portal board fees submitted for approval from the Joint Fiscal Committee through the grant process and requested that the fee requests always be put on the JFC next meeting agenda. Mr. Lavery stated that that type of change may need to be done statutorily and the committee agreed further investigation into the issue was necessary [22 V.S.A. § 953(c)].

Senator Kitchel suggested that large grants that are programmatic be sent to the standing committees of jurisdiction for comments. Representative Heath added that this be done as a matter of practice not policy. Senator Kitchel suggested a \$100k - \$200k threshold. Senator Snelling suggested using a similar process such as rules. Representative Heath asked that the process be

reflected in the minutes. It was decided that "The Joint Fiscal Office will send a copy of all grants and donations to the standing committees of jurisdiction for comment."

Representative Ancel requested that if the statutory language allowed for interpretation, web portal board fee proposals become standing requests from the committee to always be scheduled at the next JFC agenda for review. Representative Ancel requested that a policy be drafted for the July 2013 meeting on this issue but in the interim, the standing request be utilized. The committee agreed with Representative Ancel's request.

Approve Committee and Office Policies

The Chair asked if the Committee had questions on the proposed Committee and Office Policies. Senator Ashe referred to page 3 of the proposed policies [2 V.S.A. § 503(b)(1)] and expressed concern for the fiscal office servicing committees other than its statutory committees due to office capacity. Senator Kitchel agreed and stated that the issue had the potential of having the office's statutory committees wait for services. Mr. Klein added that fiscal notes were increasingly in demand but there was no policy that requested standing committees or individuals to first seek the approval of the JFC before submitting a request. Representative Ancel stated that House Ways & Means and House Appropriations Committees made a practice of having the committees of jurisdiction weigh in on specific money bills, and it was important those committees had information from the fiscal office. Representative Heath agreed and added that there was a grey area with individual members who request services from the fiscal office and how those are managed. Representative Ancel had concerns about sufficient staff for increasing demands of tax and revenue issues in the future.

Senator Ashe referred to page 7, paragraph 5 of the proposed policies that suggested all requests for services from the fiscal office be directed through the JFC chair. He commented that the policy did not appear to be used and asked the thoughts of the fiscal officer and chair. Mr. Klein responded that the office could tighten up its conformity with using the policy. Representative Heath requested the office tighten how fiscal requests are handled by conforming more closely to the JFC policy as written.

Mr. Klein gave an overview of amendments to the proposed policies, citing page 8, paragraph 12 that was adopted at the November 15, 2012 meeting. Senator Snelling moved to approve the proposed Legislative Joint Fiscal Committee and Joint Fiscal Office policies, and the committee approved the motion.

Committee Budget Overview and Recommendation to the Appropriations Committees

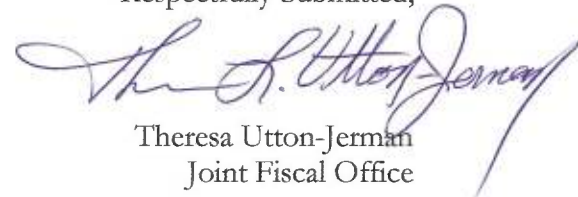
Mr. Lavery distributed a document, the FY2014 proposed Joint Fiscal Office Budget Request, and summarized changes from the previous fiscal year. He mentioned that \$20,000 had been set aside for possible health care reform expenses. Senator Kitchel inquired whether the office would need to contract some of that work and Mr. Lavery responded yes and that an intern was currently being utilized. Representative Ancel asked what was built into Tom Kavet's (legislative economist) contract, such as money committee requests. Mr. Klein stated those requests were included in the contract at an ad hoc rate for revenue forecast testimony and at an hourly rate for other issues. Representative Ancel asked what the base estimate was for Mr. Kavet's contract. Mr. Lavery answered that it was about \$70,000 a year and \$30,000 for ad hoc services.

Mr. Lavery explained \$65,000 had been set aside for the Results First initiative until the Legislature decided the details on the initiative. Senator Snelling questioned whether PEW was being paid for its services. Mr. Klein responded that the funds were to be used for the office's expenses for project research, and that PEW was not charging the state for its services.

Senator Ashe expressed concerns that Deb Brighton, contractor for the office, was now working both for the Legislature and as an employee of the Department of Taxes. Mr. Klein clarified that Ms. Brighton has a long history of a nonpartisan work ethic between the Legislature and the Administration. Both contracts jointly stated that if Ms. Brighton were actively working for both entities at the same time, that they would split the costs where a project was jointly requested. The Legislature has vulnerability in this area because there would be no easy way to replace her with her intense knowledge of how the education funding system works. Representative Ancel agreed and stated the Legislature may be exposed at some point with not having an employee with the ability to do the same type of research as Ms. Brighton. Senator Sears expressed concern for the Legislature relying on the Administration rather than legislative resources, and inquired whether the office was comfortable with the budget proposed to cover all the demands of the Legislature. Mr. Klein explained that the two areas of weakness within the fiscal office were estimating tax data and revenue analysis. Representative Ancel requested that the office draft a plan to address the estimated risk. Mr. Klein added that even if additional staff is hired to meet the needs of this gap, it could potentially take time to bring the person up to speed on the expectations of the position.

Senator Campbell moved to recommend the FY2014 proposed Joint Fiscal Committee budget to the appropriations committees as presented. The Committee accepted the motion.

Respectfully Submitted,

A handwritten signature in blue ink, appearing to read "Theresa Utton-Jerman", is written over the printed name and title.

Theresa Utton-Jerman
Joint Fiscal Office



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: February 6, 2013
Subject: FY2014 Joint Fiscal Office Budget Request

Attached please find details of the FY2014 Joint Fiscal Office budget request. The Joint Fiscal Office recommends the Committee support the Governor's recommended appropriation of \$1,440,688 General Funds for FY2014.

Please note the following:

- Percentage Change in Budget Request
 - Funding increase of \$32,000 (2.3%)
 - Spending decrease of \$36,000 (-2.4%)
- FY2014 Base Budget Activity
 - Minimal changes in activity supported by base budget
 - \$20,000 budgeted for additional health care reform expenses, including work related to Exchange enrollment, revenue, and expense estimates
- One Time Spending
 - \$65,000 for the Results First initiative (including related program evaluation activity). One time funding is supporting this work pending a legislative decision about the permanent location of this initiative
 - Integrating the Joint Fiscal Office budget system with the new executive branch budget system (Vantage)
- Reversion to General Fund
 - \$75,000 is reserved for making a one-time reversion to the General Fund as part of the Governor's proposed \$400,000 reversion from the legislative branch in FY2014. The Joint Fiscal Office believes this reversion can be accommodated.

FY2014 Joint Fiscal Office Budget

February 6, 2013

	FY12 Actual 12 pos	FY13 Estimated 12 pos	FY14 Requested 12 pos
SOURCES OF FUNDS			
General fund appropriation	1,465,429	1,408,769	1,440,688
Carry forward	276,060	217,840	
Carry forward reversion		(10,000)	
Pay Act		59,000	
Benefits savings	(16,181)		
Rescissions	(10,000)		
TOTAL SOURCES	1,715,308	1,675,609	1,440,688
USES OF FUNDS			
Personal Services			
Salaries	745,987	815,228	816,175
FICA/Medicare	54,230	59,721	59,800
Health insurance	114,704	111,916	139,000
Retirement	74,550	79,170	81,499
Dental	7,131	6,936	7,800
Life insurance	2,716	2,829	3,361
Disability	624	1,533	1,811
Employee assistance program	328	343	384
Workers' compensation	3,615	5,000	5,000
Contract - Kavet	89,329	100,000	100,000
Contract - Health care reform	0	15,000	20,000
Contract - Policy Integrity	33,754	30,000	30,000
Contract - Deb Brighton	15,768	20,000	20,000
Contract - VCJR	24,000	25,000	0
Contract - Larry Picus/education	199,972	0	0
Contract - Budget system backup	0	45,000	12,000
Other personal services	18,828	35,000	20,000
Subtotal Personal Services	1,385,534	1,352,676	1,316,830
Operating Expenses			
Hardware & Software	12,303	10,000	8,000
Fee for space	37,648	38,683	41,854
Advertising	0	2,000	2,000
Printing & copying	0	2,000	2,200
Dues & subscriptions	15,299	17,021	17,000
Registrations	7,445	7,000	7,000
Insurances	2,372	3,500	3,819
In state travel expenses	1,035	1,713	2,000
Out of state travel expenses & training	8,676	15,000	16,000
Accounting	13,002	13,714	13,185
Other payments, adjustments	14,155	13,500	10,800
Subtotal Operating Expenses	111,934	124,132	123,858
Total Uses	1,497,468	1,476,807	1,440,688
BALANCE	217,840	198,801	0
One Time Uses			
FY14 Gov. Rec. reversion		75,000	
Results First / program evaluation activity		65,000	
Budget system / Vantage integration		50,000	
NET BALANCE		8,801	

LEGISLATIVE JOINT FISCAL COMMITTEE
AND OFFICE POLICIES

JANUARY 2013

INDEX

JOINT FISCAL COMMITTEE AND OFFICE POLICIES

[January 2013]

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Title 2: Legislature
Chapter 15: Joint Fiscal Committee
501. Creation of committee; purpose

§ 501. Creation of committee; purpose

(a) There is created a joint fiscal committee whose membership shall be appointed at the beginning of each biennial session of the general assembly. The committee shall consist of five representatives and five senators as follows:

- (1) The chair of the house committee on appropriations;
 - (2) The chair of the house committee on ways and means;
 - (3) The chair of the senate committee on appropriations;
 - (4) The chair of the senate committee on finance;
 - (5) Two members of the house, one from each major political party, appointed by the speaker of the house;
 - (6) Two members of the senate, one from each major political party, appointed by the committee on committees; and
 - (7) One member of the senate to be appointed by the committee on committees and one member of the house to be appointed by the speaker.
- (b) The committee shall elect a chair, vice-chair and clerk and shall adopt rules of procedure. The committee may meet at any time at the call of the chair or a majority of the members of the committee. A majority of the membership shall constitute a quorum.
- (c) For attendance at a meeting when the general assembly is not in session, members of the joint fiscal committee shall be entitled to the same per diem compensation and reimbursement for actual and necessary expenses as provided members of standing committees under 2 V.S.A. § 406. (Added 1973, No. 128 (Adj. Sess.), § 1, eff. Jan. 24, 1974; amended 1977, No. 247 (Adj. Sess.), § 202; 1983, No. 88, § 12, eff. July 3, 1983; 1997, No. 61, § 273.)

Title 2: Legislature
Chapter 15: Joint Fiscal Committee
502. Employees; rules; budget

§ 502. Employees; rules; budget

(a) The joint fiscal committee shall meet immediately following the appointment of its membership to organize and conduct its business. The joint fiscal committee shall adopt rules for the operation of its personnel.

(b) The joint fiscal committee shall employ such professional and secretarial staff as are required to carry out its functions and fix their compensation.

(1) Chapter 13 of Title 3 shall not apply to employees of the joint fiscal committee unless this exception is partially or wholly waived by the joint fiscal committee.

(2) All requests for assistance, information, and advice and all information received in connection with fiscal research or related drafting shall be confidential unless the party requesting or giving the information designates in the request that it is not confidential. Documents, transcripts, and minutes of committee meetings, including written testimony submitted to a committee, fiscal notes and summaries which have been released or approved for printing or introduction, and material appearing in the journals or calendars of either house are official documents and shall not be confidential under this subsection.

(c) The joint fiscal committee shall prepare a budget. (Added 1973, No. 128 (Adj. Sess.), § 1, eff. Jan. 24, 1974; amended 2005, No. 215 (Adj. Sess.), § 292.)

Title 2: Legislature
Chapter 15: Joint Fiscal Committee
503. Functions

§ 503. Functions

(a) The joint fiscal committee shall direct, supervise and coordinate the work of its staff and secretaries.

(b) The joint fiscal committee shall:

(1) Furnish research services and secretarial services of a fiscal nature to the committees on appropriations, the senate committee on finance, the house committee on ways and means, the committees on transportation and the joint fiscal committee;

(2) Carry on a continuing review of the fiscal operations of the state, including but not limited to revenues, budgeting and expenditures;

(3) Accept grants, gifts, loans, or any other thing of value, approved by the governor, under the provisions of 32 V.S.A. § 5, when the general assembly is not in session.

(4) Keep minutes of its meetings and maintain a file thereof. (Added 1973, No. 128 (Adj. Sess.), § 1, eff. Jan. 24, 1974; amended 1977, No. 247 (Adj. Sess.), § 187, eff. April 17, 1978; 1997, No. 144 (Adj. Sess.), § 17.)

Title 2: Legislature
Chapter 15: Joint Fiscal Committee
504. Intergovernmental cooperation

§ 504. Intergovernmental cooperation

For the purposes of carrying out its duties, the joint fiscal committee and its staff shall have access to and the right to copy any public record of all executive, administrative and judicial departments of the state, except income and franchise tax returns and other documents classified as confidential by law. (Added 1973, No. 128 (Adj. Sess.), § 1, eff. Jan. 24, 1974.)

**RULES OF PROCEDURE
COMMITTEE MEETINGS
LEGISLATIVE JOINT FISCAL COMMITTEE
[Revised 3/18/94]**

Motions and Voting – Every motion shall be reduced to writing by the mover if the Chair or a member so requests. When a question is pending, no motion may be received except:

- To adjourn
- To adjourn to a day certain
- To take a recess
- To lay on the table
- To postpone indefinitely
- To postpone to a day certain
- To amend
- To reconsider

which motions shall have precedence in the above order. No motion is in order when the Committee is engaged in voting. All members present, including the Chair, shall vote. Voting shall be by voice or roll call.

Executive Session – The Committee may go into executive session pursuant to the terms, conditions and procedure contained in Section XV of the Permanent Rules of the Vermont Senate.

Reconsideration – Action to reconsider on the same day of original vote shall be by three-quarters vote; at subsequent meeting action shall be by majority vote. A motion to reconsider may be made only by a member who voted on the prevailing side of the question. When the decision of a question has been reconsidered, the matter shall not be reconsidered again. Nor when a motion to reconsider has been rejected may that question be reconsidered, or a like motion be in order again.

Subcommittees – The Committee may authorize the appointment of subcommittees to investigate particular subjects. A member of the Committee shall be chair of each subcommittee and members thereon may include legislators who are not members of the Committee.

Suspension – The Committee's rules may be suspended by three-quarters vote.

Right to Change Rules – The Rules of Procedure of the Joint Fiscal Committee may be changed by a majority of the members present provided that the proposed rule change has been submitted in writing to each member of the Committee no less than fifteen days prior to a meeting of the Committee at which the rule change will be considered.

Procedures Not Covered – In the case of any procedure or business not otherwise addressed by these Rules, the Joint Fiscal Committee shall be guided generally by *Mason's Manual of Legislative Procedure* (latest edition) and specifically by Chapters 54 through 63, inclusive, concerning the conduct of committees.

LEGISLATIVE JOINT FISCAL COMMITTEE
and
JOINT FISCAL OFFICE POLICIES

1. The Joint Fiscal Office is established to provide independent, accurate, analytical, and clerical support for the appropriations and tax writing committees. Its functions and work assignments are subject to approval of the Joint Fiscal Committee and/or the Joint Fiscal Committee chair.
2. It is the intention of the Joint Fiscal Committee that the analyses and work products of the Joint Fiscal Office shall be completed in a factual, reliable, and timely manner to a professional quality standard as required by the Joint Fiscal Committee.
3. Assignments of responsibilities, studies, and work tasks to personnel of the Joint Fiscal Office will be through the Joint Fiscal Committee chair and the Joint Fiscal Officer, except during a session of the General Assembly. During sessions, professional and secretarial personnel will report to the chair of their designated committees for work and scheduling assignments relating to their committee activities. Regularly assigned tasks will continue to be supervised by the Joint Fiscal Officer.
4. The chair of the Joint Fiscal Committee shall assume the responsibility for public information in matters relating to the work of the Joint Fiscal Committee. The individual chairs of the four money committees shall be the principal spokespersons for matters relating to the work and interest of their committees. The Joint Fiscal Officer shall be responsible for information which concerns the operation of the Joint Fiscal Office.
5. Requests for services from legislators other than money committee members will be directed through the Joint Fiscal Committee chair or one of the money committee chairs. Requests for information or facts which do not require research may be addressed to the Joint Fiscal Officer.

6. Detailed analyses or studies which are contrary to established legislative, executive or judicial positions shall be subject to the approval of the Joint Fiscal Committee and/or the chair of the Joint Fiscal Committee.

7. The staff of the Joint Fiscal Office is encouraged to provide analyses and recommendations for improvements and/or alternatives to programs and appropriations for committee consideration.

8. Joint Fiscal Office personnel may serve on study or project task forces other than regular Joint Fiscal Committee work with the approval of the Joint Fiscal Committee or the Joint Fiscal committee chair. Work assignments may also be made as a result of legislation which authorizes or requires Joint Fiscal Office participation in studies and other projects.

9. Joint Fiscal Committee and Joint Fiscal Office records which are covered under the right-to-know statute shall be available to the public at reasonable times and locations upon request to the Joint Fiscal Officer.

10. Records, working papers, studies, and analyses which represent work in process for the Joint Fiscal Committee, the money committees, or individual legislator's services by the Joint Fiscal Office are not public documents and are not available for public inspection through the Joint Fiscal Office.

11. The Joint Fiscal Office shall develop a reasonably representative data base of information related to Joint Fiscal Committee interests and concerns. The information shall be maintained and made available to money committee members.

12. As part of its responsibilities under 2 V.S.A. 502 and 503, the Joint Fiscal Office produces fiscal notes on legislation, issue briefs covering general fiscal issues and a variety of fiscal reports. The Joint Fiscal Committee shall be considered the requesting party for these documents. The Joint Fiscal Committee authorizes the public release of all fiscal notes, issue briefs and fiscal reports produced by the Joint Fiscal Office, once completed and deemed ready for distribution by Joint Fiscal Office staff. [Paragraph 12 added by JFC 11/15/2012]

Title 32: Taxation and Finance

Chapter 1: General Provisions

5. Acceptance of grants

[Revised 2/9/2011]

§ 5. Acceptance of grants

(a) No original of any grant, gift, loan, or any sum of money or thing of value may be accepted by any agency, department, commission, board, or other part of state government except as follows:

(1) All such items must be submitted to the governor who shall send a copy of the approval or rejection to the joint fiscal committee through the joint fiscal office together with the following information with respect to said items:

(A) the source of the grant, gift or loan;

(B) the legal and referenced titles of the grant;

(C) the costs, direct and indirect, for the present and future years related to such a grant;

(D) the department and/or program which will utilize the grant;

(E) a brief statement of purpose;

(F) impact on existing programs if grant is not accepted.

(2) The governor's approval shall be final unless within 30 days of receipt of such information a member of the joint fiscal committee requests such grant be placed on the agenda of the joint fiscal committee, or, when the general assembly is in session, be held for legislative approval. In the event of such request, the grant shall not be accepted until approved by the joint fiscal committee or the legislature. The 30-day period may be reduced where expedited consideration is warranted in accordance with adopted joint fiscal committee policies. During the legislative session the joint fiscal committee shall file a notice with the house and senate clerks for publication in the respective calendars of any grant approval requests that are submitted by the administration.

(3) This section shall not apply to the acceptance of grants, gifts, donations, loans, or other things of value with a value of \$5,000.00 or less, provided that such acceptance will not incur additional expense to the state or create an ongoing requirement for funds, services, of facilities. The secretary of administration and joint fiscal office shall be promptly notified of the source, value and purpose of any items received under this subdivision. The joint fiscal office shall report all such items to the joint fiscal committee quarterly.

(b) In accordance with subsection (a) of this section, in conjunction with a grant, a limited service position request for a position explicitly stated for a specific purpose in the grant,

may be authorized. The position shall terminate with the expiration of the grant funding unless otherwise funded by an act of the general assembly. Such authorized limited service positions shall not be created until the appointing authority has certified to the joint fiscal committee that there exists equipment and housing for the positions or that funds are available to purchase equipment and housing for the positions. (Added 1971, No. 260 (Adj. Sess.), § 29(a); amended 1977, No. 247 (Adj. Sess.), § 186, eff. April 17, 1978; 1983, No. 253 (Adj. Sess.), § 248; 1995, No. 46, § 52; 1995, No. 63, § 277, eff. May 4, 1995; 1995, No. 178 (Adj. Sess.), § 416, eff. May 22, 1996; 1997, No. 2, § 72, eff. Feb. 12, 1997; 1997, No. 66 (Adj. Sess.), § 60, eff. Feb. 20, 1998; 2007, No. 65, § 394; 2009, No. 4, § 92.)

Expedited Grant Review Policy

Under current law, 32 V.S.A. Sec. 5, the Joint Fiscal Committee has 30 days to review any “grant, gift, loan, or any sum of money or thing of value” to the State of Vermont that have been accepted by the Governor. Unless the Committee acts to place an item on its agenda, the grant is considered approved. When a grant is placed on the Joint Fiscal Committee agenda, approval is subject to a vote of the Committee.

Under limited circumstances, it may be necessary for the Joint Fiscal Committee to take action on an item in advance of the expiration of the 30 day review period. The Fiscal Year 2011 Appropriations Act, in Sec. E.127.2, amended current law to explicitly allow the Joint Fiscal Committee to establish a policy for expediting review of these requests. The following policy is set forth to allow the Joint Fiscal Committee to approve acceptance of an item prior to the end of the 30 day review period without necessitating a formal committee meeting.

Pursuant to 32 V.S.A. Sec. 5(a)(2), it is the policy of the Joint Fiscal Committee that the statutory 30 day review period may be waived, and the Governor’s approval considered final, if members of the Joint Fiscal Committee agree to waive the balance of the review period.

The process for waiving the balance of the review period is as follows:

1. An agency or department, or a member of the General Assembly, must make a request for expedited consideration of an item to the Chair (or vice-chair) of the Joint Fiscal Committee.
2. The Chair of the Joint Fiscal Committee (or vice-chair) will decide whether or not to grant this request. If the request is granted, staff will be authorized to conduct a canvass of the Committee for the purpose of waiving the balance of the review period.
3. Staff shall canvass members via email, telephone, or mail, and maintain a record of all responses.
4. At least seven (7) affirmative responses to the request to waive the balance of the review period must be received. The review period shall not be waived in the event of an objection by any member of the Joint Fiscal Committee.

5. The Joint Fiscal Office shall notify the requesting agency or department of the result of this action.
6. A memorandum recording the waiving of a review period shall be placed on file at the Joint Fiscal Office.

Statutory Basis:

32 V.S.A. § 5(a)(2). Acceptance of grants

JOINT FISCAL OFFICE AND LEGISLATIVE COUNCIL

GUIDELINES RELATING TO INFORMATION REQUESTS FROM

POLITICAL CANDIDATES

Specifically identified documents, reports, research and bills which previously have been publicly released will be provided on request to political candidates and their staffs. The cost of copying may be charged if copying costs are incurred.

Neither office will undertake to find, identify, research, organize, assemble, or correlate general requests for documents and bills, even if they are publicly available. For example, a request for copies of “all the bills Senator X introduced,” or “all the tax bills introduced in the House in 1989,” will not be honored.

No new research will be undertaken on request of any candidate or candidate’s staff; except that incumbent members who are candidates for reelection will continue to receive the assistance of either office in connection with their ongoing legislative responsibilities or the preparation of bills for introduction in the regular session.

Memoranda, correspondence, and other information materials prepared specifically for individual members will not be provided, even if they have been circulated by the individual member who requested and received them. Candidates making such requests will be referred to those members.

Voting records will not be researched or released, even the vote of a single member on a single bill. Candidates making such requests will be referred to the Journals of the House and Senate.

JOINT FISCAL COMMITTEE

PERSONNEL POLICIES

It is the policy of the Joint Fiscal Committee that Joint Fiscal Office staff be accorded fringe benefits comparable to those provided to classified employees in the biennial Agreements between the State of Vermont and the Vermont State Employees Association, Inc.

It is the policy of the Joint Fiscal Committee that Joint Fiscal Office staff be accorded annual salary adjustments comparable to that provided to exempt employees of the Executive Branch of Government.

[Provision added by JFC 07/21/94]

Notwithstanding the foregoing, hiring, retention and compensation of the Joint Fiscal Office staff are a function of the Joint Fiscal Committee.

POLICY ON SEXUAL HARASSMENT

The Joint Fiscal Committee endorses, to cover the Joint Fiscal Office staff, the statewide sexual harassment policy applicable to all State of Vermont employees, as set forth in Section 3.1 of the State of Vermont Personnel Policies and Procedures, effective March 1, 1996 and currently applicable (January 2008).



I.

State of Vermont
Agency of Administration
Department of Finance & Management
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.state.vt.us/fin

[phone] 802-828-2376
[fax] 802-828-2428

Jim Reardon, Commissioner

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management
DATE: January 29, 2013
RE: Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for the second quarter (cumulative) of FY 2013 (7/1/2012 through 12/31/12).

Attachment



FY 2013 Excess Receipts Report - Q2 Cumulative - Run 1-29-2013							
VT_EXCESS_RECEIPT_RPT	111						
Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/16/2012	22005	Federal Revenue Fund	145,305.89	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	145,305.89	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	(145,305.89)	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/16/2012	21500	Inter-Unit Transfers Fund	246,644.99	Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	246,644.99	Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	(246,644.99)	Re-establish spending authority for the unspent balance as of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	7/12/2012	22005	Federal Revenue Fund	9,019,681.15	Replenish spending authority as of 6/30/12. Act 3 Sec 56 FY2011 BAA
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	10/10/2012	21908	Misc Grants Fund	6,883,581.00	BGS has entered into a contract to build the new capital district heat plant in Montpelier. City of Montpelier will fund some of this project. BGS will pay the contractor and then bill the city for their portion.
Finance & Management	Dept of Finance and Management	1110000000	10/10/2012	21975	Armed Services Scholarship Fnd	18,338.00	Spending Authority is approved solely through excess receipts.
Libraries	Department of Libraries	1130030000	7/31/2012	21908	Misc Grants Fund	9,687.45	Grant was provided to Libraries from Google Inc that was to be used to purchase computer software for 100 computers also donated by Google Inc, the delivery of these computers and any other related costs.
Libraries	Department of Libraries	1130030000	12/18/2012	21870	Misc Special Revenue	1,554.49	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovernet Communications and E-Rate Elite Services, Inc. Reimb check from Sovernet received.
Libraries	Department of Libraries	1130030000	12/31/2012	21870	Misc Special Revenue	187.82	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovernet Communications and E-Rate Elite Services, Inc. Reimb check from Sovernet received.
Libraries	Department of Libraries	1130030000	9/7/2012	21883	Gates Foundation Grants	299,273.24	FY 11 remaining amount of Opportunity Online Broadband Grant from the Bill & Melinda Gates Foundation.
Buildings & Gen Serv-Gov'tal	BGS- Recycling Efforts	1150060000	8/3/2012	21604	BGS-Recycling Efforts	66,000.00	Funds are collected from the disposition of recycling materials. Proceeds are deosited into the fund and can only be used for recycling efforts statewide.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	7/10/2012	21911	Sarcoidosis Benefit Trust Fund	312,275.28	Fund to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund appropriations.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	8/3/2012	21603	Motorist Aid Refreshment Prog	90,000.00	Funds are paid by motorists at the Info Centers by donation for coffee. Funds are authorized for use to both offset the cost of the coffee as well as the costs associated with running the Info Centers.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/16/2012	21822	ACCD\Tourism & Marketing Broch	215,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCD\Tourism & Marketing Broch	215,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCD\Tourism & Marketing Broch	(215,000.00)	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	11/30/2012	58700	Property Management Fund	8,000,000.00	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	11/30/2012	58800	Facilities Operations Fund	20,000,000.00	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.

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VT_EXCESS_RECEIPT_RPT	111						
Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	11/14/2012	21980	Indemnification Fund	1,216.00	Persuant to V.S.A. Sec 279, VT Financial Access Fund
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	10/5/2012	21924	Vermont Veterans Fund	49,106.00	Taxpayer donations through Tax Dept checkoff on tax returns.
State Treasurer-Gov'tal	Bond Issuance Costs	1260120000	8/21/2012	32101	TIB Proceeds Fund	285,930.20	Sale of 2012 Transportation Infrastructure Bonds, bond issuance cost
State Labor Relations Board	State Labor Relations Board	1270000000	12/20/2012	21633	St Labor Relations Bd-Misc Rec	3,823.60	Additional receipts from hosting Labor Relations Conference beyond what was budgeted.
Judiciary	Judiciary Appropriation	2120000000	7/10/2012	21500	Inter-Unit Transfers Fund	9,050.00	Grant from the VCCVS to support GAL training.
Judiciary	Judiciary Appropriation	2120000000	12/19/2012	21500	Inter-Unit Transfers Fund	173,786.00	Grant from DPS, GHSP for a DUI Court Implementation pilot program.
State's Attorneys and Sheriffs	State's Attorneys	2130100000	11/20/2012	21891	State's Att & Sheriff-Misc	39,929.11	The Federal Office of Violence Against Women Rural & Community Defined Solutions Grant for Addison County will be funding the hours worked by the domestic & sexual violence prosecutor.
Public Safety	DPS-State Police	2140010000	8/22/2012	21908	Misc Grants Fund	2,954.00	VSP received grant from Commonwealth of Massachusetts
Public Safety	DPS-State Police	2140010000	8/23/2012	22040	ARRA Federal Fund	892,665.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Public Safety	DPS-Criminal Justice Services	2140020000	8/23/2012	22040	ARRA Federal Fund	787,069.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Public Safety	DPS-Emergency Management	2140030000	7/23/2012	21500	Inter-Unit Transfers Fund	1,470,000.00	Portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Homeland Security	2140070000	8/23/2012	22040	ARRA Federal Fund	39,897.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Center of Crime Victims' Serv	Victims Compensation	2160010000	7/10/2012	21500	Inter-Unit Transfers Fund	37,657.00	MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant number G1001VTCJA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	12/26/2012	21500	Inter-Unit Transfers Fund	74,000.00	MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCFAI
Center of Crime Victims' Serv	Victims Compensation	2160010000	8/2/2012	21926	Domestic & Sexual Violence	14,521.00	New Beginnings did not draw down their FY10 allocations from Act 174 which was passed in FY09
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	10/15/2012	22005	Federal Revenue Fund	200,000.00	Grant that was approved by the JFC through the AA1 process last FY but were not spent. Requesting spending authority again in FY13.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	9/14/2012	21889	Risk Manage Ag Producers	10,642.00	Grant from UVM & State Agricultural College - Subaward #25369
Agriculture, Food & Markets	Ag Development Division	2200030000	8/22/2012	21500	Inter-Unit Transfers Fund	110,000.00	MOU with VDH #03420-5920
Agriculture, Food & Markets	Ag Development Division	2200030000	8/23/2012	21493	VT Working Lands Enterprise	1,175,000.00	Act 162 Sec B 1106
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	12/20/2012	22005	Federal Revenue Fund	358,575.00	Grant from NRCS to provide federal capital investments. Original JFO approval #2559 dated 4/18/12.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	11/27/2012	21500	Inter-Unit Transfers Fund	16,500.00	MOU w/ DEC
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	9/14/2012	21908	Misc Grants Fund	350,000.00	Grant from the Lake Champlain Basin Program to be used as matching funds for the water quality monitoring program to evaluate the effectiveness of agricultural best management practices for controlling runoff.
Financial Regulation	Insurance Division	2210011000	7/18/2012	21500	Inter-Unit Transfers Fund	187,972.00	From DVHA for Affordable Care Acts Exchange grant work.
Financial Regulation	Securities Division	2210031000	7/31/2012	21906	Financial Services Education	30,000.00	Settlement funds deposited into the special fund.
Financial Regulation	Health Care Admin. Division	2210040000	7/18/2012	21500	Inter-Unit Transfers Fund	270,000.00	From DVHA for Affordable Care Acts Exchange grant work.
Public Service Department	Regulation & Energy Efficiency	2240000000	9/4/2012	22041	ARRA-SEP-Revolving Loan	994,770.00	Revolving Loan ARRA fund
Human Rights Commission	Human Rights Commission	2280001000	7/26/2012	21692	Human Rights Commission	15,000.00	Settlements of succesful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	3,504.96	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012

FY 2013 Excess Receipts Report - Q2 Cumulative - Run 1-29-2013							
VT_EXCESS_RECEIPT_RPT	111						
Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	7,000.00	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/31/2012	21500	Inter-Unit Transfers Fund	6,000.00	Amendment #4 is increasing the amount of grant by another 6000. Total grant is now 24,000. Request to spend total anticipated excess receipts in FY13 is now 11,504.96
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	29,725.00	MOU between VDH and LC for annual START grant.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	5,275.19	Transfer of funds was more than budgeted for this program; negotiations for the additional transfer occurred too late in FY12 to plan any more START details.
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/22/2012	21870	Misc Special Revenue	34,756.00	Income from Education sections online classroom, net of expenses for FY12
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/23/2012	21870	Misc Special Revenue	10,000.00	Grant from National Alcohol Beverage Control Assoc to be sued for designing, printing and distributing a teen information guide.
Vermont Veterans' Home	VERMONT VETERANS' HOME	3300010000	8/2/2012	21782	Vermont Medicaid	450,000.00	Retrovactive rate adjustment and timing of projects.
Green Mountain Care Board	Green Mountain Care Board	3330010000	11/2/2012	21500	Inter-Unit Transfers Fund	524,897.12	Funds were transferred to GMCB from the Dept of Financial Regulation in FY12 for contracts. Contract funds were not spent in FY12.
Green Mountain Care Board	Green Mountain Care Board	3330010000	7/26/2012	21908	Misc Grants Fund	167,654.00	Grant was provided to Green Mtn Care Board from the Robert Wood Johnson Foundation to be sued for the purpose of implementing payment reforms to improve health care quality and lower costs in VT.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	6,000.00	\$6000 - CF from FY12 \$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	67,500.00	\$6000 - CF from FY12 \$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	40,000.00	CF of special funds from SFY12
Human Services Central Office	Rate Setting	3400008000	8/23/2012	21500	Inter-Unit Transfers Fund	712.00	FEMA funds for Irene related expenses.
Human Services Central Office	Develop Disabilities Council	3400009000	9/20/2012	21870	Misc Special Revenue	2,000.00	Donation from University of Vermont Center on Disability and Community Inclusion to sponsor the 2012 VT Leadership Series. Funds were carried over from FY12.
Health	Public Health Appropriation	3420021000	10/15/2012	40200	Vermont Sanatorium Fund	15,000.00	Interest accrued on the VT Sanatorium Fund
Health	Public Health Appropriation	3420021000	9/4/2012	21897	Emergency Medical Services Fnd	150,000.00	Emergency Medical Services special fund established under 18 V.S.A. Section 908
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	88,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	(88,000.00)	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	11/6/2012	21912	Evidence-Based Educ & Advertis	88,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	(15,000.00)	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	11/6/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	21210	Home Heating Fuel Asst Trust	8,800,000.00	Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	22005	Federal Revenue Fund	7,871,336.00	Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - OEO Ofc of Economic Opp	3440100000	12/31/2012	22005	Federal Revenue Fund	1,695,884.00	DCF recieved a FEMA grant aware in May 2012
Children and Family Services	DCFS - OEO Weatherization	3440110000	12/14/2012	22005	Federal Revenue Fund	447,003.00	CF from last 5 year award.
VT Department of Labor	VT Department of Labor	4100500000	11/16/2012	22040	ARRA Federal Fund	150,000.00	ARRA funded NEG OJT project.
Education	Education Services	5100070000	8/20/2012	22040	ARRA Federal Fund	190,870.54	ARRA

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Education	Education Services	5100070000	9/14/2012	22040	ARRA Federal Fund	2,294,712.70	ARRA
Education	Education Jobs Fund	5100891101	7/31/2012	21500	Inter-Unit Transfers Fund	8,621,561.06	Education Jobs Fund
Forest, Parks & Recreation	Administration	6130010000	7/23/2012	21500	Inter-Unit Transfers Fund	850,500.00	VTrans - Spring flood event & Tropical Storm Irene, F&W to cover work on approved projects on DFW owned lands, Reimbursement for work done on the Natural Resources Mapping Project from ANR Central Office.
Forest, Parks & Recreation	Administration	6130010000	9/28/2012	21550	Lands and Facilities Trust Fd	50,000.00	Receipts from the Land & Facilities Trust Fund are available from unexpected project balances from PY's.
Forest, Parks & Recreation	Administration	6130010000	7/31/2012	21525	Conference Fees & Donations	30,000.00	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Forestry	6130020000	7/31/2012	21525	Conference Fees & Donations	5,000.00	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Parks	6130030000	8/29/2012	21500	Inter-Unit Transfers Fund	130,350.00	MOU with DEC for the management of and contracting for the removal of significant volumes of hazardous materials & for the cleanup of buildings and site debris located on Law Island, Lake Champlain Colchester.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	22005	Federal Revenue Fund	1,109,000.00	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Lands Administration	6130040000	9/19/2012	21500	Inter-Unit Transfers Fund	50,000.00	The receipts in the interdepartmental transfer fund are available because of an MOU with DEC to support the acquisition of 28 acres adjacent to the Green River Reservoir State Park.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	21500	Inter-Unit Transfers Fund	63,000.00	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Lands Administration	6130040000	8/3/2012	21778	FPR-Laura Burnham Estate	6,000.00	Funds are available for acquisition of state lands for natural areas.
Forest, Parks & Recreation	Vt Youth Conservation Corps	6130080000	7/31/2012	21779	FPR-Youth Conservation Corps	175,000.00	Youth Conservation Corps fund to cover payroll costs of corp members.
Housing & Comm Affairs	Housing & Community Affairs	7110010000	9/19/2012	21085	Captive Insurance Reg & Suprv	100,000.00	Captive tax revenue collected is in excess of projected budget spend.
Housing & Comm Affairs	Housing & Community Affairs	7110010000	8/22/2012	21330	Municipal & Regional Planning	22,455.21	Funds are available due to final closeout of grants with unused remaining grant balances, return of funds previously paid to municipalities.
Housing & Comm Affairs	Housing & Community Affairs	7110010000	10/24/2012	21500	Inter-Unit Transfers Fund	30,000.00	AOT Funds transferred to DHP for archeology center move and for costs associated with DHP taking over the Archeology Month events.
Housing & Comm Affairs	Housing & Community Affairs	7110010000	12/4/2012	21820	ACCD-Miscellaneous Receipts	2,000,000.00	Match from VHCB for Hazard Mitigation Grant Program property buyouts at a 25% level as a priority per the Admin.
Housing & Comm Affairs	Housing & Community Affairs	7110010000	12/12/2012	21820	ACCD-Miscellaneous Receipts	30,000.00	Reimbursement of TIF analysis from two additional towns.
Housing & Comm Affairs	Community Develop. Block Grant	7110030000	12/28/2012	22005	Federal Revenue Fund	15,577,200.00	US Dept of Housing & Urban Development Community Development Block Grant Disaster Recovery funding associated with the Approp Act 2012.
Housing & Comm Affairs	Community Develop. Block Grant	7110030000	7/1/2012	22040	ARRA Federal Fund	13,000.00	ARRA - FY12 unexpended balances to be used for admin closeout costs.
Housing & Comm Affairs	Downtown Transp. & Capital Imp	7110080000	8/22/2012	21575	Downtown Trans & Capital Impro	13,846.05	FY12 unexpended balances to be used for project and admin costs
Housing & Comm Affairs	STEM Incentive	7110891204	7/23/2012	21992	Next Generation Initiative Fnd	23,500.00	Carry forward from FY2012
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	11/14/2012	21500	Inter-Unit Transfers Fund	75,000.00	Cooperative Marketing opportunity with Agriculture

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VT_EXCESS_RECEIPT_RPT	111						
Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Agency of Transportation	TH State Aid Federal Disasters	8100001000	12/12/2012	20135	Transportation FHWA Fund	1,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Program Development	8100001100	9/6/2012	20160	Transportation Local Fund	2,000,000.00	Funds are from the NY local share of project - Alburgh-Rouses Point BHF MEMB(24)
Agency of Transportation	Program Development	8100001100	8/14/2012	20180	ARRA FHWA Fund	400,000.00	Funds are available from Program Development/Roadway - Brandon project #NH 019-3(495).
Agency of Transportation	Rest Areas	8100001700	11/16/2012	20105	Transp Fund - Nondedicated	202,375.28	These funds are available because of a transaction/correction which resulted in a credit to state funds (20105)
Agency of Transportation	Maintenance	8100002000	7/23/2012	20135	Transportation FHWA Fund	6,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	12/12/2012	20135	Transportation FHWA Fund	5,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Rail	8100002300	7/23/2012	20155	Transportation-FRA Fund	35,000.00	Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	10/10/2012	20155	Transportation-FRA Fund	385,000.00	Funds are available for three VT Railway Bridges - Leicester BR229, Middlebury BR233 and New Haven BR243
Agency of Transportation	Rail	8100002300	7/23/2012	20160	Transportation Local Fund	80,000.00	Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Town Highway Bridge	8100002800	10/25/2012	20180	ARRA FHWA Fund	10,000.00	Funds are available from two Town Highway Bridge ARRA projects.
Agency of Transportation	Public Transit	8100005700	9/11/2012	20182	ARRA FTA Fund	15,737.78	Funds are available from an amended grant with Addison County Transit Resources and will be used towards the purchase of a bus.
AOT Proprietary Funds	Central Garage	8110000200	7/23/2012	57100	Highway Garage Fund	2,689,994.14	Funds are the unexpected blance in the equipment replacement account at the end of FY12. Funds will be used for equipment purchases per Title 19 Sec 13(c).