

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management
DATE: July 15, 2013
RE: Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for expenditure during the fourth quarter (cumulative) of FY 2013 (7/1/2012 through 6/30/13). The full text of the governing statute is provided at the end of this memo.

Review Process

The Administration goes through an extensive application and approval process for allowing expenditure of excess receipts. The form required of departments can be found at: http://finance.vermont.gov/sites/finance/files/pdf/forms/budget/Excess_Receipts_Form.doc (at <http://finance.vermont.gov/forms> under the “Budget” category). The form requires information to ensure that the approval does not overstep statutory guidelines. Requests that overstep the statutory guidelines are denied, and/or where appropriate are held for the legislative budget process.

Departments are required to provide written answers to the following questions (although only the response to the first question is entered into the VISION database):

- Reason funds are available?
- Do you anticipate additional funds from the same source available in this fiscal year and above current appropriation?
- Is this increase one-time or at an ongoing level?
- Why were funds not fully budgeted during budget development?
 - What is the current year appropriation or grant amount approved by the Joint Fiscal Committee for this fiscal year, from this source of funds for this purpose?
- If these are ongoing funds, will funds from this source be fully budgeted and appropriated next fiscal year?
- Were excess receipts requested from this source in the preceding two fiscal years? If so, explain why they were not budgeted?
- Are these excess receipts being received from another department (i.e., interdepartmental transfers)? If so, are they appropriated in that department or will excess receipts be required there as well?



- Relationship, if any, to the Budget Adjustment Act?
- Can excess receipts be used to reduce the expenditure of State funds?
- **Will excess receipts establish or increase the scope of a program, committing the State at any time to expend State funds?** [The form notes that in such instances, legislative approval is required.]
- What specifically will excess receipts be used for? What is the impact on programs if this excess receipt request is not approved?
- Are any of the excess receipts to be used for your department's administrative, staff or operating expenses? If so, explain.
- Is there any matching fund requirement due to excess receipts? If so, where is the match found in your budget?
- If excess receipts are earned federal receipts, is excess receipt being spent in the same (federal) program where the excess receipts are earned? If not, explain.
- Has the excess receipt been received and deposited? If no, what date are funds expected?
- If approved, when will the expenditure of this excess receipt first occur?

The VISION entry normally includes only the response to the first question – why are additional receipts available? However, for any individual Excess Receipt Request, we can provide the full paper copy of the form, listing all the department's responses.

Broad Categories of Excess Receipt Requests

Requests for expenditure of excess receipts generally fall into several broad categories:

Interdepartmental Transfers: It is not uncommon for one State department (“Department A”) to purchase services from another State department (“Department B”). In that instance, Department A budgets these expenditures just as they would any other type of expenditure: by type of expenditure and by the source of revenue that will fund these expenditures. Department B also budgets these expenditures, and identifies the source of revenue as “interdepartmental transfers.” This process results in a small amount of “double-booking” of spending authority but ensures that both departments have the necessary spending authority. In many cases, at the time of budget development, Department A has not yet decided from where to purchase the services in question, so Department B does not budget the interdepartmental transfer revenues. When Department A moves forward to contract for services with Department B after the budget has closed, then Department B must request an Excess Receipts approval for the additional spending authority to perform the services.

Federal Funds: Departments estimate their likely federal receipts in the fall for the upcoming budget year, meaning the estimate is as much as nine-months old at the start of the budget year, and another 12 months older by the end of the budgeted fiscal year. As a result, more recent developments may mean that the budgeted federal spending authority is insufficient, either because the current federal award for an existing grant has been increased, or there is spending authority from grants from earlier federal fiscal years that can be used in the current year. Additionally, extraordinary events – such as the federal American Recovery and Reinvestment Act (ARRA) or federal aid to Vermont due to Tropical Storm Irene – may cause large – and unanticipated -- spikes in federal receipts.

Other: There are over 200 different special funds created under State law, in which are deposited fees, user charges, penalties, specified taxes, etc. Departments estimate how much they will collect each year for each of these special funds, and base their spending plans accordingly. However, for the same reasons noted above, the actual collections for these revenues may be higher than the original budget. Excess receipts may also be used in an instance where prior-year special fund spending authority was not utilized and needs to be created again in the subsequent year (similar to a carry-forward). It should be noted that in addition to the restrictions in the excess receipts statute, each special fund has its own statutory restrictions that prevent the funds being used for other than their intended purposes and programs.

Attached Report:

The attached report is a cumulative list of approved excess receipt requests for the current fiscal year. It includes ALL the data entered in VISION for that transaction, including:

- Agency/Department name
- Appropriation name and “DeptID”
- Transaction date
- Fund source – name and fund number
- Amount
- Comments in response to question: “Why are funds available?” (VISION allows for a limited number of characters per cell entry.)

The data are sorted into the three broad categories of requests discussed above.

Governing Statute:

32 V.S.A. § 511. EXCESS RECEIPTS

If any receipts including federal receipts exceed the appropriated amounts, the receipts may be allocated and expended on the approval of the commissioner of finance and management. If, however, the expenditure of those receipts will establish or increase the scope of the program, which establishment or increase will at any time commit the state to the expenditure of state funds, they may only be expended upon the approval of the legislature. Excess federal receipts, whenever possible, shall be utilized to reduce the expenditure of state funds. The commissioner of finance and management shall report to the joint fiscal committee quarterly with a cumulative list and explanation of the allocation and expenditure of such excess receipts.

Attachment

FY 2013 Excess Receipts Report - Q4 Cumulative - Run 7-15-13

Agency/Dept Name	Appropriation Name	Appropriation DeptID	Date	Fund	Fund Name	Comments
VT_EXCESS_RECEIPT_RPT	263					
Interdepartmental Transfers Excess Receipts:						
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0804300110	7/16/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	(246,644.99) Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	3/28/2013	21500	Inter-Unit Transfers Fund	388,093.13 Sec of Admin entered into an MOU with DVHA for a Health Care Exchange Grant. Funds to pay invoices from Mintz Levin for legal services related to the MOU.
Agency of Admin Sec Office	Secretary of Administration	1100020000	4/30/2013	21500	Inter-Unit Transfers Fund	100,000.00 Exchange
Agency of Admin Sec Office	Secretary of Administration	1100020000	5/31/2013	21500	Inter-Unit Transfers Fund	Funds available through MOU between Sec of Admin and DVHA for Health Care Exchange Grant. Funds will be used to pay invoices related to this MOU.
Finance & Management	Financial Operations	1110002000	4/30/2013	21500	Inter-Unit Transfers Fund	10,000.00 Funds to pay a portion of staff operations in DeptID 1110003000 associated with statewide financial systems.
Finance & Management	Financial Operations	1110002000	5/30/2013	21500	Inter-Unit Transfers Fund	(10,000.00) To correct dept id from orig approp journal processed on 4/30/13.
Finance & Management	Budget & Management	1110003000	5/30/2013	21500	Inter-Unit Transfers Fund	10,000.00 To correct dept id from orig approp journal processed on 4/30/13.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	4/30/2013	21500	Inter-Unit Transfers Fund	300,000.00 Billings to ERP project exceeded initial budget estimates due to the increase of positions needed. Billing to DHR Operations approp for upgrade costs related to new HRIS Director position that was originally split 50/50 Ops&B&W
Personnel-Proprietary	Employee Benefits & Wellness	1125000000	4/30/2013	21500	Inter-Unit Transfers Fund	13,490.00 New Web Portal Fee revenue stream during the year in the special fund; Tax Offset revenue higher than budgeted in Inter Departmental Transfer Fund.
Tax	Tax Operation Costs	1140010000	6/18/2013	21500	Inter-Unit Transfers Fund	80,000.00 Fund rec'd from various program within Agency of Admin to support Admin Svcs division of BGS.
Buildings & Gen Serv-Gov'tal	BGS-Administrative Services	1150100000	6/25/2013	21500	Inter-Unit Transfers Fund	25,000.00 Funds to be used to cover Pay Act salary & benefits increases.
State Labor Relations Board	State Labor Relations Board	1270000000	6/18/2013	21500	Inter-Unit Transfers Fund	899.62 Benefit transfer for shared clerk. Funds will be used to reimburse general fund for payroll costs incurred by the shared clerk.
VOSHA Review Board	VOSHA Review Board	1280000000	6/7/2013	21500	Inter-Unit Transfers Fund	2,000.00 Billing to Labor pays for half of the VRB costs.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	\$167,501.33 fund transfers from PSD for the Energy vs. Shumin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	167,501.33
Office of the Defender General	Public Defense	2110000100	5/28/2013	21500	Inter-Unit Transfers Fund	The ODG has requested Children's Justice Act Funding through DCF for June 2013 training to attorneys who provide services in juvenile cases.
Judiciary	Judiciary Appropriation	2120000000	7/10/2012	21500	Inter-Unit Transfers Fund	9,050.00 Grant from the VCCVS to support GAL training.
Judiciary	Judiciary Appropriation	2120000000	12/19/2012	21500	Inter-Unit Transfers Fund	173,786.00 Grant from DPS, GHSP for a DUI Court implementation pilot program.
Public Safety	DPS-Emergency Management	2140030000	7/23/2012	21500	Inter-Unit Transfers Fund	1,470,000.00 Portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Administration	2140060000	6/14/2013	21500	Inter-Unit Transfers Fund	627,904.00 Draws based on federal approved indirect rate
Military Department	MIL Admin/TAGO	2150010000	2/27/2013	21500	Inter-Unit Transfers Fund	13,395.50 Reimbursement from May 2011 State Active Duty.
Center of Crime Victims' Serv	Victims Compensation	2160010000	7/10/2012	21500	Inter-Unit Transfers Fund	37,657.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant number G1001VTCUA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	12/26/2012	21500	Inter-Unit Transfers Fund	74,000.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCFAI
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	4/18/2013	21500	Inter-Unit Transfers Fund	85,000.00 Funds available as a result of TSF billings done to other depts for services the CJTC provides them. Will be used to pay for salaries and benefits of staff being used to provide services to other depts as well as some operating expenses.
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	6/7/2013	21500	Inter-Unit Transfers Fund	60,000.00 These funds are available as a result of TSF Billings done to other depts for service the CJTC provides to them.
Agriculture, Food & Markets	Ag Development Division	2200030000	8/22/2012	21500	Inter-Unit Transfers Fund	110,000.00 MOU with VDR #03420-5920
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21500	Inter-Unit Transfers Fund	112,625.00 Clean Energy Development Fund dollars transferred to Agriculture from Public Service per 10 VSA 6522(e)(1)(D)(2), from calendar year 2007 through calendar year 2011.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	11/27/2012	21500	Inter-Unit Transfers Fund	16,500.00 MOU w/ DEC
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	32,508.00 MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
VT EXCESS RECEIPT RPT	283					
Agriculture, Food & Markets	Plant, Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	MOU with Health \$20,000 above original budgeted amount, MOU with Public Safety \$32,508 above original budgeted amount.
Financial Regulation	Insurance Division	2210011000	7/18/2012	21500	Inter-Unit Transfers Fund	From DVHA for Affordable Care Acts Exchange grant work.
Financial Regulation	Insurance Division	2210011000	6/2/2013	21500	Inter-Unit Transfers Fund	Health Care Exchange level II funds from DVHA.
Financial Regulation	Health Care Admin. Division	2210040000	7/18/2012	21500	Inter-Unit Transfers Fund	From DVHA for Affordable Care Acts Exchange grant work.
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/31/2012	21500	Inter-Unit Transfers Fund	Amendment #4 is increasing the amount of grant by another 6000. Total grant is now 24,000. Request to spend total anticipated excess receipts in FY13 is now 11,504.96
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/28/2012	21500	Inter-Unit Transfers Fund	Transfer of funds was more than budgeted for this program; negotiations for the additional transfer occurred too late in FY12 to plan any more START details.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	MOU between VDH and LC for annual START grant.
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	New agreement with DPS for FY11 Homeland Security Grant Program
Department of Mental Health	Mental Health	3150070000	4/15/2013	21500	Inter-Unit Transfers Fund	This was an interdepartmental collaboration with the Health Dept. and Voc Rehab on a conference put on by the Youth in Transition (YIT) program in the children's unit
Green Mountain Care Board	Green Mountain Care Board	3330010000	11/2/2012	21500	Inter-Unit Transfers Fund	Funds were transferred to GMCB from the Dept of Financial Regulation in FY12 for contracts. Contract funds were not spent in FY12.
Green Mountain Care Board	Green Mountain Care Board	3330010000	4/30/2013	21500	Inter-Unit Transfers Fund	Funds will be used to pay for Salaries and Benefits of the GMCB staff, as well as invoices, both of which are related to the Rate Setting Project Review MOU and Health Care Exchange Grant MOU
Human Services Central Office	Rate Setting	3400008000	8/23/2012	21500	Inter-Unit Transfers Fund	FEA funds for rate related expenses.
Human Services Central Office	Administrative Management Fund	3400020000	5/8/2013	21500	Inter-Unit Transfers Fund	New billings totaling approx \$1.5M require an increase in spending authority for Ith AHS CO Admin Management Fund in order to pay the invoices and collect reimb from AHS depts.
Health	Alcohol & Drug Abuse	3420060000	6/14/2013	21500	Inter-Unit Transfers Fund	Funds are available due to the MOU with DOC.
Children and Family Services	DCFS Admin & Support Services	3440010000	6/13/2013	21500	Inter-Unit Transfers Fund	Earned receipts from DOC and VDH for multi-department Nurturing Parents Program agreement with Prevent Child Abuse Vermont.
Children and Family Services	DCFS - Family Services	3440020000	6/7/2013	21500	Inter-Unit Transfers Fund	Earned receipts for Workforce Education Training Funds From VDOL and support of PCC Strengthening Families, Phase I initiative from DOC.
Children and Family Services	DCFS - Child Development	3440030000	6/7/2013	21500	Inter-Unit Transfers Fund	Earned School Lunch and Title I receipts from VI Agency of Education for SFY13
Children and Family Services	DCFS - Woodside Rehab Center	3440120000	6/7/2013	21500	Inter-Unit Transfers Fund	One time - since in SFY14 the DOC budget was decreased by this amount.
Aging and Independent Living	Vocational Rehab Grants	3450040000	6/3/2013	21500	Inter-Unit Transfers Fund	A collaboration between VDH and DAIL. VDH has a Federal Autism Grant and DAIL has agreed to help with oversight and management of the grant. MOU between VDH and DAIL stating that VDH will transfer funds to DAIL after quarterly reports of expenditures.
Aging and Independent Living	Developmental Services Grants	3450050000	5/31/2013	21500	Inter-Unit Transfers Fund	A Collaboration between DMH, DCF, & DAIL. DAIL agreed to act as AHS lead and write one grant to Disability Rights Vermont instead of three grants from all three depts. DMH and DCF agreed to transfer their portion to DAL.
Aging and Independent Living	Developmental Services Grants	3450050000	6/7/2013	21500	Inter-Unit Transfers Fund	Funds from DOE through Federal Title I program. Increase in funds from DOL for Harley Davidson program. Excess receipts also needed to access approved CF balance from FY12.
Corrections	Corrections - Education	3480003000	3/6/2013	21500	Inter-Unit Transfers Fund	Amount of grant funding from Public Safety unknown at budget development time. Cash balance carry forward (Tapestry portion).
Corrections	Correc-Correctional Services	3480004000	6/6/2013	21500	Inter-Unit Transfers Fund	Education Jobs Fund
Education	Education Jobs Fund	5100891101	7/31/2012	21500	Inter-Unit Transfers Fund	An IT position was returned to ANR-CO at the beginning of FY13 from F&W so F&W will transfer those budgeted funds through IDT to ANR-CO to cover those planned expenses.
Natural Res Central Office	*Admin., Management & Planning	6100010000	6/18/2013	21500	Inter-Unit Transfers Fund	Receipts for reimbursement from FEMA through ACT related to Hurricane IRENE expenses
Fish & Wildlife	FW Support & Field Services	6120000000	2/27/2013	21500	Inter-Unit Transfers Fund	Receipts are a result of additional FEMA reimbursements the Dept has received related to hurricane Irene.
Fish & Wildlife	FW Support & Field Services	6120000000	6/6/2013	21500	Inter-Unit Transfers Fund	Receipts are a result of additional FEMA reimbursements the Dept has received related to hurricane Irene.

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Agency/Dept Name	Appropriation Name	Appropriation Deplid	Date	Fund	Fund Name	Comments
VT EXCESS RECEIPT RPT	283					
Forest, Parks & Recreation	Administration	6130010000	7/23/2012	21500	Inter-Unit Transfers Fund	VTtrans - Spring flood event & Tropical Storm Irene, F&W to cover work on approved projects on DFW owned lands. Reimbursement for work done on the Natural Resources Mapping Project from ANR Central Office.
Forest, Parks & Recreation	Parks	6130030000	8/29/2012	21500	Inter-Unit Transfers Fund	MOU with DEC for the management of and contracting for the removal of significant volumes of hazardous materials & for the cleanup of buildings and site debris located on Law Island, Lake Champlain Colchester.
Forest, Parks & Recreation	Lands Administration	6130040000	9/19/2012	21500	Inter-Unit Transfers Fund	The receipts in the interdepartmental transfer fund are available because of an MOU with DEC to support the acquisition of 28 acres adjacent to the Green River Reservoir State Park
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	21500	Inter-Unit Transfers Fund	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Environmental Conservation	Water Programs Appropriation	6140040000	5/1/2013	21500	Inter-Unit Transfers Fund	Grant received from VT Dept of Public Safety
Commerce & Community Dev Admin	Administration Division	7100000000	1/11/2013	21500	Inter-Unit Transfers Fund	AIC grant via Agency of Agriculture for Made in VT Program was formalized in August 2012.
Housing & Comm Development	Housing & Community Affairs	7110010000	10/24/2012	21500	Inter-Unit Transfers Fund	AOT Funds transferred to DHP for archeology center move and for costs associated with DHP taking over the Archeology Month events
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	11/14/2012	21500	Inter-Unit Transfers Fund	Cooperative Marketing opportunity with Agriculture
Subtotal - Interdepartmental Transfers:						
Federal Funds (including "Regular" ARRA) Excess Receipts:						
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/16/2012	22005	Federal Revenue Fund	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	Re-establish spending authority for the unspent balance of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	7/12/2012	22005	Federal Revenue Fund	Replenish spending authority as of 6/30/12. Act 3 Sec 56 FY2011 BAA
Libraries	Department of Libraries	1130030000	5/23/2013	22005	Federal Revenue Fund	Federal Funds are received from the Institute of Museum and Library Services, and are based on our monthly LSTA expenditures. Will be used to pay Libraries Staff salary and benefits.
Libraries	Department of Libraries	1130030000	6/21/2013	22005	Federal Revenue Fund	Federal Funds are received from the Institute of Museum and Library Services, and are based on our monthly LSTA expenditures.
State Treasurer-Gov'tal	US Forest Sales to Towns	1260110000	2/14/2013	22005	Federal Revenue Fund	Federal Money
State Treasurer-Gov'tal	US Forest Sales to Towns	1260110000	4/16/2013	22005	Federal Revenue Fund	Remainder of wood culisales on federal land has been negotiated w/Feds to be paid to VT towns impacted by Tropical Storm Irene.
Public Safety	DPS-Emergency Management	2140030000	4/30/2013	22005	Federal Revenue Fund	Increase of federal funds due to the Hazard Mitigation Grant Program (HMGP) to buy out homes that were destroyed in Tropical Storm Irene.
Public Safety	DPS-Emergency Management	2140030000	6/14/2013	22005	Federal Revenue Fund	The level of Federal receipts was not known at the time of budgeting. Funds to be used for personal services and operating expenses.
Public Safety	DPS-Fire Safety	2140040000	3/6/2013	22005	Federal Revenue Fund	DPS is changing process by allocating fees previously charged to specific DPS divisions out of the Admin approp. ER is needed for this.
Public Safety	DPS-Administration	2140060000	6/14/2013	22005	Federal Revenue Fund	More federal dollars allotted to Vermont. Funds will be used for operating expenses.
Military Department	Army - 100%	2150030000	5/30/2013	22005	Federal Revenue Fund	To expand the balance of grants already awarded from federal awarded grants. VOCA.2012-VA-GX0039, STCP2011 WF-AX0039, RUFAL 09WHP-AX0005, & FVSPA1201VTVPFS.
Center of Crime Victims' Serv	Victims Compensation	2160010000	6/19/2013	22005	Federal Revenue Fund	Grant that was approved by the JFC through the AA1 process last FY but were not spent.
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	10/15/2012	22005	Federal Revenue Fund	Fund for the Meat Inspection Program that are 50/50 split with GF. Additional funds to covering costs associated with higher usage of staff for cross-utilization which has resulted in a needed increase in spending authority of federal funds.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	4/23/2013	22005	Federal Revenue Fund	Additional Specialty Crop Block Grant program fund are available to continue activities enhancing the competitiveness of specialty crops produced in Vermont.
Agriculture, Food & Markets	Ag Development Division	2200030000	5/16/2013	22005	Federal Revenue Fund	
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	12/20/2012	22005	Federal Revenue Fund	Grant from NRCS to provide federal capital investments. Original JFO approval #2559 dated 4/18/12.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	22005	Federal Revenue Fund	Federal grant awards issued to sustain Competitive, Sustainable Energy, SEP Base, Pipeline, Gas Safety and SHOPP grants.

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Agency/Dept Name	Appropriation Name	Appropriation Dept/ID	Date	Fund	Fund Name	Comments
Human Services Central Office	Secretary's Office Admin Costs	3400001000	6/14/2013	22005	Federal Revenue Fund	Projected year-end expenditures will earn excess federal receipts Graduate Medical Education (GME) payments implemented via CMS-approved State Plan Amendment.
Human Services Central Office	Global Commitment	3400004000	6/5/2013	22005	Federal Revenue Fund	17,157,000.00
Human Services Central Office	Human Services Board	3400010000	5/8/2013	22005	Federal Revenue Fund	20,000.00 Unanticipated increased personal services expenditures (i.e., payout of accrued leave upon termination) will earn associated federal receipts.
Department of VT Health Access	DVHA-Medicaid-Nonwaiver Prog	34110018000	5/3/2013	22005	Federal Revenue Fund	1,500,000.00 Increase SCHIP (69.50% federal) and Refugee (100% federal) utilization, and a reduction in ACA
Health	Administration	3420010000	6/7/2013	22005	Federal Revenue Fund	726,649.00 rebate collections (also 100% federal)
Health	Public Health Appropriation	3420021000	6/7/2013	22005	Federal Revenue Fund	1,033,152.00 Grants awarded from CDC and HRSA
Children and Family Services	DCFS Admin & Support Services	3440010000	5/28/2013	22005	Federal Revenue Fund	3,300,000.00 FY13 Federal earnings will exceed VISION spending authority. Spending authority needed to use federal grant earnings and balance DCF budget for FY13 closeout.
Children and Family Services	DCFS Admin & Support Services	3440010000	6/14/2013	22005	Federal Revenue Fund	750,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - Child Development	3440030000	6/14/2013	22005	Federal Revenue Fund	250,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - General Assistance	3440060000	6/14/2013	22005	Federal Revenue Fund	400,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	22005	Federal Revenue Fund	7,871,336.00 Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	12/31/2012	22005	Federal Revenue Fund	1,695,884.00 DCF received a FEMA grant aware in May 2012
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	3/20/2013	22005	Federal Revenue Fund	500,292.00 Emergency Solutions Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	3/25/2013	22005	Federal Revenue Fund	295,266.00 Community Services Block Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Weatherization	3440110000	12/14/2012	22005	Federal Revenue Fund	447,003.00 CF from last 5 year award
Aging and Independent Living	Administration & Support	3460010000	5/31/2013	22005	Federal Revenue Fund	1,800,000.00 Higher than planned earned federal receipts.
Aging and Independent Living	DBVI Grants	3460030000	4/10/2013	22005	Federal Revenue Fund	350,000.00 Higher than planned DBVI Section 110 receipts due to supplemental awards
Aging and Independent Living	Vocational Rehab Grants	3460040000	1/11/2013	22005	Federal Revenue Fund	3,400,000.00 VR received a reallocation of Section 110 funds on 8/23/12 of \$5.6 million. VR received a reallocation of Section 110 funds that was not known at budget time. Funds to be used to continue to support Creative Workforce Solutions initiative across AHS, to support Youth Employment.
Aging and Independent Living	Vocational Rehab Grants	3460040000	5/31/2013	22005	Federal Revenue Fund	400,000.00
Forest, Parks & Recreation	Administration	6130010000	5/16/2013	22005	Federal Revenue Fund	500,000.00 Funds in 21440 will be used to make pass-through grant payments to VASA. Federal funds will be used to cover payments to subrecipients and internal projects related to the National Recreation Trails Program.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	22005	Federal Revenue Fund	1,109,000.00 \$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Environmental Conservation	Air & Waste Management Approp	6140030000	6/11/2013	22005	Federal Revenue Fund	250,000.00 Salary dollars are higher than budgeted due to reinstatement of 3% steps and 2% COLA. The staff that are funded by certain grants in the Air and Waste appropriation can absorb the increased expenses, however we need additional spending authority.
Housing & Comm Development	Community Develop Block Grant	7110030000	12/28/2012	22005	Federal Revenue Fund	15,577,200.00 US Dept of Housing & Urban Development Community Development Block Grant Disaster Recovery funding associated with the Approp Act 2012.
Public Safety	DPS-State Police	2140010000	8/23/2012	22040	ARRA Federal Fund	892,665.00 ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Criminal Justice Services	2140020000	8/23/2012	22040	ARRA Federal Fund	787,669.00 ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Homeland Security	2140070000	8/23/2012	22040	ARRA Federal Fund	39,997.00 ARRA - 2008 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Homeland Security	2140070000	6/14/2013	22040	ARRA Federal Fund	3,400.00 ARRA - 2009 ARRA Port Security Grant and 2009 ARRA Rural Law Enforcement Grant.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	(6,991.78) ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	6,991.78 ARRA - ARRA Revolving Loan Fund
Children and Family Services	DCFS - 3Squares VT Cashout	3440070000	3/20/2013	22040	ARRA Federal Fund	5,500,000.00 ARRA-SNAP ARRA was extended until 9/30/13. Orig end date was 9/30/11. ARRA Funds are available for 20.02% of Food Stamp Cash Out expenditures.
VT Department of Labor	VT Department of Labor	4100500000	11/16/2012	22040	ARRA Federal Fund	150,000.00 ARRA funded NEG OUT project.
Education	Education Services	5100070000	8/20/2012	22040	ARRA Federal Fund	190,870.54 ARRA
Education	Education Services	5100070000	9/14/2012	22040	ARRA Federal Fund	2,294,712.70 ARRA
Housing & Comm Development	Community Develop Block Grant	7110030000	7/1/2012	22040	ARRA Federal Fund	13,000.00 ARRA - FY12 unexpended balances to be used for admin closeout costs.
Subtotal - Federal Funds, Including "Regular" ARRA:						87,082,534.86

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Agency/Dept Name	Appropriation Name	Appropriation Depled	Date	Fund	Fund Name	Comments
VT_EXCESS_RECEIPT_RPT	293					
Special Fund Excess Receipts:						
Buildings & Gen Serv-Capital	Health/Public Safety Lab	0705200030	2/14/2013	31100	General Gov Projects Fund	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No 052 Sec 3 Acts of 2007 and No 200 Sec 31(c) (9)
Buildings & Gen Serv-Capital	Building #617 - Essex	0820000030	2/14/2013	31100	General Gov Projects Fund	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No. 200 Sec 3 Acts of 2008
Buildings & Gen Serv-Capital	BGS-Various Property Sales	0904300250	6/6/2013	21613	BGS-Sale of State Land	Sale of Building 617 in Essex
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	10/10/2012	21908	Misc Grants Fund	Montpelier will fund some of this project. BGS will pay the contractor and then bill the city for their portion.
Finance & Management	Dept of Finance and Management	1110000000	10/10/2012	21975	Armed Services Scholarship Fnd	Spending Authority is approved solely through excess receipts.
Finance & Management	Dept of Finance and Management	1110000000	1/3/2013	21975	Armed Services Scholarship Fnd	Spending Authority is approved solely through excess receipts.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	4/2/2013	21844	PERS-Recruitment Services	Billings to departments have exceeded original FY13 estimates due to increased volume of sales.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	6/14/2013	59600	Human Resource Services	Billings to departments from Internal Service Fund
Personnel-Proprietary	Employee Benefits & Wellness	1125000000	6/26/2013	55200	Dental Insurance Fund	Transfers from departments to fund the Dental benefit program.
Libraries	Department of Libraries	1130030000	12/18/2012	21870	Misc Special Revenue	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovemet Communications and E-Rate Elite Services, Inc. Reimb check from Sovemet received.
Libraries	Department of Libraries	1130030000	12/31/2012	21870	Misc Special Revenue	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovemet Communications and E-Rate Elite Services, Inc. Reimb check from Sovemet received.
Libraries	Department of Libraries	1130030000	2/1/2013	21870	Misc Special Revenue	DOL is working with DII to create a new Wide Area Network to handle internet service for the 43 public libraries in the Vermont FiberConnect project.
Libraries	Department of Libraries	1130030000	2/26/2013	21870	Misc Special Revenue	OneClick Digital - Libraries negotiated a contract with vendor (Recorded Books) to make service available to Public Libraries.
Libraries	Department of Libraries	1130030000	5/16/2013	21870	Misc Special Revenue	Funds transferred from the VT Telecommunications Authority to Dept of Libraries for the purpose of bringing fiber connectivity to 43 Public Libraries and some 200 other community anchor institutions.
Libraries	Department of Libraries	1130030000	6/5/2013	21870	Misc Special Revenue	Funds to pay vendor for OneClick Digital product offered to Dept of Libraries.
Libraries	Department of Libraries	1130030000	9/7/2012	21863	Gates Foundation Grants	FY 11 remaining amount of Opportunity Online Broadband Grant from the Bill & Melinda Gates Foundation.
Libraries	Department of Libraries	1130030000	7/31/2012	21908	Misc Grants Fund	Grant was provided to Libraries from Google Inc that was to be used to purchase computer software for 100 computers also donated by Google Inc. the delivery of these computers and any other related costs.
Tax	Tax Operation Costs	1140010000	6/18/2013	21590	Tax-Miscellaneous Fees	New Web Portal Fee revenue stream during the year in the special fund; Tax Offset revenue higher than budgeted in Inter Departmental Transfer Fund
Buildings & Gen Serv-Gov'tal	BGS- Recycling Efforts	1150060000	8/3/2012	21604	BGS-Recycling Efforts	Funds are collected from the disposition of recycling materials. Proceeds are deosited into the fund and can only be used for recycling efforts statewide
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	7/10/2012	21911	Sarcoidosis Benefit Trust Fund	Fund to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund appropriations.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	1/29/2013	21911	Sarcoidosis Benefit Trust Fund	Fund was established by the General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund approps.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	2/20/2013	21911	Sarcoidosis Benefit Trust Fund	Fund established by General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	4/8/2013	21911	Sarcoidosis Benefit Trust Fund	The fund was established by the General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Fund will be from future GF appropriations.

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Agency/Dept Name	Appropriation Name	Appropriation Detail	Date	Fund	Fund Name	Comments
VT_EXCESS_RECEIPT_RPT	283					
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	8/3/2012	21603	Motorist Aid Refreshment Prog	Funds are paid by motorists at the Info Centers by donation for coffee. Funds are authorized for use to both offset the cost of the coffee, as well as the costs associated with running the Info Centers.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/16/2012	21822	ACCDDTourism & Marketing Broch	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDDTourism & Marketing Broch	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDDTourism & Marketing Broch	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Fleet Management	1160150000	6/14/2013	58200	Fleet Management	Billings to departments have exceeded expenses.
Buildings & Gen Serv-Prop	BGS-State Surplus Property	1160250000	5/24/2013	58500	State Surplus Property Fund	Billings to dept's have exceeded expenses. Funds will be used to pay admin costs for the State Surplus Property Program.
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	11/30/2012	58700	Property Management Fund	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	11/30/2012	58800	Facilities Operations Fund	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/8/2013	58800	Facilities Operations Fund	Funds to cover June projections of vendor invoices. Specially to pay salaries & benefits for classified emp's who have provided add'l svcs for tenants and to pay for related op expenses directly involved with special projects.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/16/2013	58800	Facilities Operations Fund	Gov Shurtlin introduced proposed transfer from Sec of Admin to BGS pursuant to 2012 Act 75 Sec 73 as amended by 2012 Act 162 Sec C.205 for state bldg projects due to damage at Waterbury Complex from Tropical Storm Irene.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/25/2013	58800	Facilities Operations Fund	Funds to pay for Pay Act Salaries that were not budgeted in FY13, but which will be incurred. The SARP is an ISF that bills other dept's for expenses related to the audits conducted by Auditor of Accounts.
Auditor of Accounts-Prop	Single Audit	1255010000	6/10/2013	58500	Single Audit Revolving Fund	Previous years contributions not yet expended.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	6/11/2013	21520	Treas Retirement Admin Cost	Taxpayer donations through Tax Dept checkoff on tax returns.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	10/5/2012	21924	Vermont Veterans Fund	Pursuant to V.S.A. Sec 279, VT Financial Access Fund
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	11/14/2012	21980	Indemnification Fund	Pursuant to 12 V.S.A. Chapter 10 Subchapter 279 Vermont Financial Access Program.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	2/19/2013	21980	Indemnification Fund	Pursuant to 12 V.S.A. Chapter 10 Subchapter 279 Vermont Financial Access Program.
State Treasurer-Gov'tal	Bond Issuance Costs	1260200000	8/21/2012	32101	T1B Proceeds Fund	Sale of 2012 Transportation Infrastructure Bonds, bond issuance cost.
State Labor Relations Board	State Labor Relations Board	1270000000	12/20/2012	21633	St Labor Relations Bd-Misc Rec	Additional receipts from hosting Labor Relations Conference beyond what was budgeted.
State Labor Relations Board	State Labor Relations Board	1270000000	5/29/2013	21633	St Labor Relations Bd-Misc Rec	Additional receipts from publication sales, transcripts, and training beyond what we budgeted.
Office of the Attorney General	Attorney General's Office	2100001000	3/13/2013	21908	Misc Grants Fund	Funds from Commonwealth of Mass/TJX Data Privacy Fund to be used continue the investigation of cyber-security breaches involving protected personal information of Vermont consumers.
Office of the Attorney General	Attorney General's Office	2100001000	6/18/2013	21908	Misc Grants Fund	Funds are available from a grant from the American Bar Assoc - Janet D. Steiger Fellowship Project to be used for a 2013 law student summer intern for the consumer protection program.
Office of the Attorney General	Court Diversion	2100002000	5/8/2013	21639	AG-Court Diversion	Funds are receipts from 1st Court Diversion program client fees collected by Court Diversion organizations. Fee received are higher than budgeted due to elevated caseloads.
Judiciary	Judiciary Appropriation	2120000000	5/24/2013	21811	Attorney Admission,Licensing,&	Special fund that supports the Prof Responsibility Board, Board of Bar Examiners, and the Judicial Conduct Board. There is a balance in the fund needed in FY13 to pay for add'l IT database development related to the Board of Bar Examiners.
State's Attorneys and Sheriffs	State's Attorneys	2130100000	11/20/2012	21891	State's At & Sheriff-Misc	The Federal Office of Violence Against Women Rural & Community Defined Solutions Grant for Addison County will be funding the hours worked by the domestic & sexual violence prosecutor.
Public Safety	DPS-State Police	2140010000	5/24/2013	21584	Surplus Property	The amount of surplus property came in at a higher rate than expected.
Public Safety	DPS-State Police	2140010000	8/22/2012	21908	Misc Grants Fund	VSP received grant from Commonwealth of Massachusetts.
Public Safety	DPS-State Police	2140010000	6/12/2013	21925	Restitution Special Fund	This is the Restitution Special Fund. These are available only when there is reimbursement for damage to VSP property. DPS received these funds in unexpected revenue in FY13.

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Agency/Dept Name	Appropriation Name	Appropriation Deplid	Date	Fund	Fund Name	Comments
Public Safety	DPS-Emergency Management	2140030000	5/24/2013	21555	Emergency Relief & Assist Fd	This funding will be used to pay invoices from FEMA FEMA disaster assistance money paid to State of Vermont based on the costs that Vermont incurred while assisting New Jersey in the aftermath of hurricane Sandy.
Public Safety	DPS-Emergency Management	2140030000	3/19/2013	21870	Misc Special Revenue	90,000.00
Public Safety	DPS-Fire Safety	2140040000	5/6/2013	21097	Elevator Safety Fund	17,200.00 This is a portion of the spending of the carry forward balance from FY12
Public Safety	DPS-Fire Safety	2140040000	5/16/2013	21125	Haz Chem & Subst Emerg Resp	264,000.00 To cover the spending of the carry forward balance from FY12
Public Safety	DPS-Fire Safety	2140040000	6/14/2013	21901	Fire Prev/Blgd Inspect Sp Fund	464,000.00 CF from PY
Center of Crime Victims' Serv	Victims Compensation	2160010000	8/2/2012	21926	Domestic & Sexual Violence	New Beginnings did not draw down their FY10 allocations from Act 174 which was passed in FY09 Funds to be used for additional cost of the DV Trainer at the Police Academy which was passed in FY2009
Center of Crime Victims' Serv	Victims Compensation	2160010000	5/31/2013	21926	Domestic & Sexual Violence	33,412.06 These funds to be passed through to the Vermont Dairy Promotion Council to be used for promotional and operating expenses
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	6/18/2013	21060	VI Dairy Promotion Fund	171,796.53
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	6/18/2013	21673	AF&M-Weights & Measures-Testin	50,000.00 Increased revenue for Weights & Measures testing/licensure and penalties.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	9/14/2012	21889	Risk Manage Ag Producers	10,642.00 Grant from UVM & State Agricultural College - Subaward #25389
Agriculture, Food & Markets	Ag Development Division	2200030000	5/16/2013	21061	VDPC State Portion	150,000.00 Cash carry forward from FY 2011 & FY 2012
Agriculture, Food & Markets	Ag Development Division	2200030000	8/23/2012	21493	VT Working Lands Enterprise	1,175,000.00 Act. 162 Sec B 1106
Agriculture, Food & Markets	Ag Development Division	2200030000	3/7/2013	21666	AF&M-Agricultural Events	600.00 Program donations - See JFO memo dated March 4, 2013
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21666	AF&M-Agricultural Events	2,000.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13. Grn Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/19/13
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21666	AF&M-Agricultural Events	5,470.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13. Grn Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/19/13
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	11,000.00 UVM Ext; See JFO memo dated 3/19/13
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,601.54 NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,200.00 FSMP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	21,725.00 FSMP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	9/14/2012	21908	Misc Grants Fund	350,000.00 Grant from the Lake Champlain Basin Program to be used as matching funds for the water quality monitoring program to evaluate the effectiveness of agricultural best management practices for controlling runoff.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	1/18/2013	21908	Misc Grants Fund	45,000.00 Grant from Lake Champlain Basin Program to provide 100% of the cost to farmers of implementing conservation practices designed to reduce phosphorus pollution in Lake Champlain.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	2/28/2013	21908	Misc Grants Fund	(45,000.00) Reversal of ER00002964 dated 1/8/13. Should have been pointer as 'JFO' not 'ER'.
Agriculture, Food & Markets	Loan Forgiveness Program	2200891206	1/9/2013	21992	Next Generation Initiative Fnd	30,000.00 FY12 Big Bill: H.441, Sec B 1100 (a)(2)(B)
Financial Regulation	Banking Division	2210001000	6/12/2013	21065	Financial Institut Supervision	200,000.00 These funds are part of the National Mortgage Foreclosure Settlement identified in Act 162 of FY2012 session, Sec. D. 107.
Financial Regulation	Capitive Insurance Division	2210020000	6/14/2013	69100	Vendor and Other Deposits Fund	349,372.00 Money received from captive insurance company as Letter of Credit in favor of the Commissioner.
Financial Regulation	Securities Division	2210031000	7/31/2012	21906	Financial Services Education	30,000.00 Settlement funds deposited into the special fund.
Public Service Department	Regulation & Energy Efficiency	2240000000	5/30/2013	21698	PSD-Regulation/Energy Efficiency	833,950.00 PSD requesting additional spending authority to cover planned and approved carry forward expenditures.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	21699	PSD - Billback & EEU pass thru	1,664,000.00 Additional spending authority to cover expenditures for much higher than expected contractual and 3rd party services costs to the Energy/Vermont Yankee case. The request amt is based on the last 4 months of Energy vs Shumlin spending.
Public Service Department	Regulation & Energy Efficiency	2240000000	4/10/2013	21699	PSD - Billback & EEU pass thru	1,725,000.00 To cover expenditures for much higher than expected contractual and 3rd party service costs related to the Energy/Vermont Yankee case. Addl amt is based on forecasted amt of add'l legal costs for Energy vs Shumlin during FY13.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
VT EXCESS RECEIPT RPT	283					
Public Service Department	Regulation & Energy Efficiency	2240000000	9/4/2012	22041	ARRA-SEP-Revolving Loan	984,770.00 Revolving Loan ARRA fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/14/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	1/30/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/14/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Human Rights Commission	Human Rights Commission	2280001000	7/26/2012	21692	Human Rights Commission	Settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Human Rights Commission	Human Rights Commission	2280001000	5/29/2013	21692	Human Rights Commission	These funds are available due to settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years Funds will be used to pay invoices for training conferences.
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/22/2012	21870	Misc Special Revenue	2,700.00 Income from Education sections online classroom, net of expenses for FY12
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/23/2012	21870	Misc Special Revenue	Grant from National Alcohol Beverage Control Assoc to be sued for designing, printing and distributing a teen information guide.
Liquor Control	Warehousing & Distribution	2300007000	6/11/2013	50300	Liquor Control Fund	83,000.00 Anticipate EFT receipts for last week of June to be approx \$500,000
Department of Mental Health	Mental Health	3150070000	11/12/2013	21525	Conference Fees & Donations	13,000.00 DMH holds conferences for which registration fees are charged
Department of Mental Health	Mental Health	3150070000	6/12/2013	21525	Conference Fees & Donations	3,000.00 DMH receives funds for conferences and donations. Rec'd more conference fees than was appropriated.
Department of Mental Health	Mental Health	3150070000	6/12/2013	21870	Misc Special Revenue	The Duxbury secure residential facility is receiving a per diem for the Room and Board cost of the current resident.
Department of Mental Health	VERMONT VETERANS' HOME	3300010000	8/2/2012	21762	Vermont Medicaid	6,670.00 current resident.
Green Mountain Care Board	Green Mountain Care Board	3330010000	7/26/2012	21908	Misc Grants Fund	450,000.00 Retroactive rate adjustment and timing of projects.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	Grant was provided to Green Min Care Board from the Robert Wood Johnson Foundation to be used for the purpose of implementing payment reforms to improve health care quality and lower costs in VT.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	\$6,500 - CF from FY12
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	\$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	\$6000 - CF from FY12
Human Services Central Office	Global Commitment	3400004000	6/5/2013	21990	State Health Care Resources Fd	6,000.00 Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Develop Disabilities Council	3400009000	2/1/2013	21525	Conference Fees & Donations	40,000.00 CF of special funds from SFY12
Human Services Central Office	Develop Disabilities Council	3400009000	9/20/2012	21870	Misc Special Revenue	Graduate Medical Education (GME) payments implemented via CMS-approved State Plan Amendment.
Department of VT Health Access	DVHA-Medicaid Prog/Global Comm	3410015000	6/6/2013	20405	Global Commitment Fund	12,843,000.00 Participants of the VT Leadership Series were asked to contribute money to help defray costs. Donation from University of Vermont Center on Disability and Community Inclusion to sponsor the 2012 VT Leadership Series. Funds were carried over from FY12.
Health	Public Health Appropriation	3420021000	6/14/2013	21829	HE-Third Party Reimbursement	30,000,000.00 CMS recently approved graduate medical program (GME) with UVM providing match.
Health	Public Health Appropriation	3420021000	9/4/2012	21897	Emergency Medical Services Fnd	Charge to health insurer is charged a surcharge for the costs of the immunization pilot program.
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	850,000.00 Emergency Medical Services special fund established under 19 V.S.A. Section 908
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	(88,000.00) Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	88,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	11/6/2012	21912	Evidence-Based Educ & Advertis	88,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	10/15/2012	40200	Vermont Sanatorium Fund	Interest accrued on the VT Sanatorium Fund
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	(15,000.00) Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	11/6/2012	21912	Evidence-Based Educ & Advertis	15,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Children and Family Services	DCFS Admin & Support Services	3440010000	3/20/2013	21870	Misc Special Revenue	Green Mountain Power Energy Assistance/Utility Discount Program-Eligibility Contract-Contract period 11/30/12-6/30/14. Funds for 5 positions in ESD-LIHEAP.
Children and Family Services	DCFS Admin & Support Services	3440010000	5/22/2013	21965	Animal Spay/Neutering Fund	321,317.00 VSNIP expenditures are higher than expected, but carryforward receipts are available in this Special Fund. Program was acquired from VT Agency of Agriculture in SFY12 per Act 57 (S.0074).
Children and Family Services	DCFS Admin & Support Services	3440010000				75,000.00 Receipts are Dog License Fees

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Agency/Dept Name	Appropriation Name	Appropriation Despid	Date	Fund	Fund Name	Comments
Children and Family Services	DCFS - Reach Up	3440060000	5/28/2013	21903	PATH-Misc Fund	Increase of \$2.3MM due to an increase in RU clients receiving EITC benefits in FY13. Increase of \$400K due to receipt increase from Office of Child Support for clients previously receiving RU benefits.
Children and Family Services	DCFS - LIHEAP	3440090000	11/19/2012	21210	Home Heating Fuel Asst Trust	2,700,000.00
Children and Family Services	DCFS - OEO Weatherization	3440110000	6/14/2013	21235	Home Weatherization Assist	8,800,000.00
Children and Family Services	DCFS - OEO Weatherization	3440110000	5/22/2013	21584	Surplus Property	Federal release of LIHEAP block grant and funds available from State Admin. Weatherization Trust Fund receipts were higher than expected. DCF has surplus property receipts in 3440110000/2/1584, but no spending authority. Funds to be used in the Weatherization Program.
Aging and Independent Living	Administration & Support	3460010000	5/8/2013	21813	VR Fees	4,410.00
Corrections	Correc-Correctional Services	3480004000	6/3/2013	21843	CORR-Supervision Fees	173,000.00
VT Offender Work Program	Admin - VT Offender Work Prog	3480005000	6/3/2013	21190	Correctional Facilities Rec Fd	140,000.00
VT Department of Labor	Workforce Development	4100891202	6/26/2013	21913	Workforce Ed & Training Fund	Receipts from commissions on telephone services and commissary sales at correctional facilities. Funds to be used to promote the recreational opportunities of inmates.
Education	Administration	5100010000	6/25/2013	20205	Education Fund	60,000.00
Education	Administration	5100010000	5/24/2013	21240	Teacher Licensing Fund	250,000.00
Education	Education Services	5100070000	5/24/2013	21240	Teacher Licensing Fund	43,000.00
Fish & Wildlife	FW Support & Field Services	6120000000	6/7/2013	20325	F&W Federal Revenues Fund	16,000.00
Fish & Wildlife	FW Support & Field Services	6120000000	4/2/2013	20390	Watershed Management Fund	675,000.00
Fish & Wildlife	FW Support & Field Services	6120000000	6/7/2013	40900	Lumberjack Fund	These funds are designated in statute 10 V.S.A. 4050 from the receipt of conservation motor vehicle registration plate registration, gifts, grants, contributions, and interest.
Forest, Parks & Recreation	Administration	6130010000	5/16/2013	21440	All Terrain Vehicles	The dept maintains a balance in this fund for purchases related to the annual teachers course offered.
Forest, Parks & Recreation	Administration	6130010000	7/31/2012	21525	Conference Fees & Donations	Funds in 21440 will be used to make pass-through grant payments to YASA. Federal funds will be used to cover payments to subscribers and internal projects related to the National Recreation Trails Program.
Forest, Parks & Recreation	Administration	6130020000	9/29/2012	21550	Lands and Facilities Trust Fd	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and grants, including Arbor Day donations.
Forest, Parks & Recreation	Forestry	6130020000	6/18/2013	21475	Natural Resources Mgmt	Receipts from the Land & Facilities Trust Fund are available from unexpected project balances from PY's.
Forest, Parks & Recreation	Forestry	6130020000	7/31/2012	21525	Conference Fees & Donations	30,000.00
Forest, Parks & Recreation	Forestry	6130020000	5/18/2013	21525	Conference Fees & Donations	50,000.00
Forest, Parks & Recreation	Forestry	6130020000	5/18/2013	21525	Conference Fees & Donations	100,000.00
Forest, Parks & Recreation	Parks	6130030000	6/18/2013	21270	State Forest Parks Fund	The receipts are available from 23 V.S.A. 3106 (gasoline tax).
Forest, Parks & Recreation	Parks	6130030000	6/25/2013	21270	State Forest Parks Fund	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and grants, including Arbor Day donations.
Forest, Parks & Recreation	Parks	6130030000	6/18/2013	21584	Surplus Property	Receipts available from an Arbor Day donation from VT Transco LLC
Forest, Parks & Recreation	Lands Administration	6130040000	8/2/2012	21778	FPR-Laura Burnham Estate	Funds will be used to cover personnel and operating expenses through year-end. In particular, costs for commercial insurance policy, equip repairs & maint, and firewood at the state parks are all higher than budgeted.
Forest, Parks & Recreation	VT Youth Conservation Corps	6130060000	7/31/2012	21779	VT Youth Conservation Corps	Revenues into the Parks special funds have been higher than anticipated. In addition, there is a balance in the fund from prior years.
Environmental Conservation	Air & Waste Management Approp	6140030000	5/30/2013	21584	Surplus Property	Funds will be used to cover personnel and operating expenses through year-end. In particular, costs for commercial insurance policy, equip repairs & maint, and firewood at the state parks are all higher than budgeted.

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Agency/Dept Name	Appropriation Name	Appropriation Debit	Date	Fund	Fund Name	Comments
VT EXCESS RECEIPT RPT	293					
Environmental Conservation	Water Programs Appropriation	6140040000	5/30/2013	21564	Surplus Property	Property was sold by surplus property and funds returned to DEC. Funds will be used to replace equipment in Tech Air Pollution Division of the Facilities Engineering Division respectively
Housing & Comm Development	Housing & Community Affairs	7110010000	9/19/2012	21085	Captive Insurance Reg & Suprv	1,752.64 100,000.00 Captive tax revenue collected is in excess of projected budget spend
Housing & Comm Development	Housing & Community Affairs	7110010000	8/22/2012	21330	Municipal & Regional Planning	22,455.21 Funds are available due to final closure of grants with unused remaining grant balances, return of funds previously paid to municipalities.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/4/2012	21820	ACCD-Miscellaneous Receipts	2,000,000.00 Match from VHCB for Hazard Mitigation Grant Program property buyouts at a 25% level as a priority per the Admin.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/12/2012	21820	ACCD-Miscellaneous Receipts	30,000.00 Reimbursement of TIF analysis from two additional towns
Housing & Comm Development	Downtown Transp. & Capital Imp	7110080000	8/22/2012	21575	Downtown Trans & Capital Impro	13,846.05 FY12 unexpended balances to be used for project and admin costs
Housing & Comm Development	STEM Incentive	7110891204	7/23/2012	21992	Next Generation Initiative Fnd	23,500.00 Carry forward from FY2012
Agency of Transportation	Aviation	8100000200	5/23/2013	20140	Transportation FAA Fund	750,000.00 Funds are available from Aviation projects that had expenditures that were anticipated to occur in FY12 but have shipped to FY13.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	12/12/2012	20135	Transportation FHWA Fund	1,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	2/19/2013	20135	Transportation FHWA Fund	2,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Program Development	8100001100	9/6/2012	20160	Transportation Local Fund	2,000,000.00 Funds are from the NY local share of project - Aburgh-Rouses Point BHF MEMB(24)
Agency of Transportation	Program Development	8100001100	8/14/2012	20180	ARRA FHWA Fund	400,000.00 Funds are available from Program Development/Roadway - Brandon project #NH 019-3(485).
Agency of Transportation	Rest Areas	8100001700	11/16/2012	20105	Transp Fund - Nondedicated	202,375.28 These funds are available because of a transaction/correction which resulted in a credit to state funds (20105)
Agency of Transportation	Maintenance	8100002000	7/23/2012	20135	Transportation FHWA Fund	6,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	12/12/2012	20135	Transportation FHWA Fund	5,000,000.00 Funds are available from two grant agreements with the Dept of Public Safety.
Agency of Transportation	Department of Motor Vehicles	8100002100	1/9/2013	20170	Transportation-NHTSA Fund	12,000.00 Funds available due to transfers/corrections which resulted in a credit to Transportation funds (20105). Request pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	3/22/2013	20105	Transp Fund - Nondedicated	1,066,645.00 These funds are available because of transfers/corrections which resulted in a credit to Transportation funds (20105). Request is pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	5/23/2013	20105	Transp Fund - Nondedicated	942,444.00 These funds are available because of transfers/corrections which resulted in a credit to Transportation Funds. Request is pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	6/18/2013	20105	Transp Fund - Nondedicated	497,153.00 These funds are available for the Charlotte-Burlington Commuter Rail Project. Final reconciliation of this project resulted in the need for FTA in FY13
Agency of Transportation	Rail	8100002300	5/24/2013	20145	Transportation FTA Fund	51,814.00 Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	7/23/2012	20155	Transportation-FRA Fund	35,000.00 Funds are available for three VT Railway Bridges - Leicester BR229, Middlebury BR233 and New Haven BR243
Agency of Transportation	Rail	8100002300	10/10/2012	20155	Transportation-FRA Fund	385,000.00 Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	7/23/2012	20160	Transportation Local Fund	80,000.00 ARRA-Fund are available from an ARRA-ECR Grant
Agency of Transportation	Rail	8100002300	4/2/2013	20183	ARRA FRA Fund	2,000,000.00 Transfers/Corrections which resulted in a credit to TIB funds (20191) to be used for RAIL Program.
Agency of Transportation	Rail	8100002300	2/28/2013	20191	TR Infrastructure Bond Fund	1,500,000.00 Request is pursuant to VISION Procedure #6/III - prior year refund of exp
Agency of Transportation	Town Highway Bridge	8100002800	10/25/2012	20180	ARRA FHWA Fund	10,000.00 Funds are available from two Town Highway Bridge ARRA projects
Agency of Transportation	Public Transit	8100005700	6/3/2013	20160	Transportation Local Fund	25,322.70 Funds are available for prior year final voucher recon to close out Public Transportation programs.
Agency of Transportation	Public Transit	8100005700	9/11/2012	20182	ARRA FTA Fund	15,737.78 Funds are available from an amended grant with Addison County Transit Resources and will be used towards the purchase of a bus.
AOT Proprietary Funds	Central Garage	8110000200	7/23/2012	57100	Highway Garage Fund	2,689,994.14 Funds are the unexpected balance in the equipment replacement account at the end of FY12. Funds will be used for equipment purchases per Title 19 Sec 13(C).

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments			
VT EXCESS RECEIPT RPT	293								
Buildings & Gen Serv-Capital	Duxbury/Moretown Land Sale	9810200000	2/19/2013	21600	BGS-Duxbury/Moretown	Replenish spending authority as of 6/30/12 for advertising, appraisals, recording fees, and environmental studies in order to sell property.	25,000.00		
Subtotal - Special Funds							147,522,724.01		
TOTAL:							254,862,977.02		