

State of Vermont Department of Financial Regulation 89 Main Street Montpelier, VT 05620-3101 www.dfr.vermont.gov

For consumer assistance [All Insurance] 800-964-1784 [Securities] 877-550-3907 888-568-4547 [Banking]

July 19, 2013

Honorable Martha Heath Joint Fiscal Committee 1 Baldwin Street Montpelier, VT 05602

Dear Representative Heath:

Below are the final figures for Fiscal Year 2013 receipts available to the General Fund from the Insurance and Securities Regulatory Funds.

Pursuant to Section 55(a)(2) of Act No. 1 of 2013, I hereby certify that the transfer of the below amounts will not impair the ability of this Department in Fiscal Year 2014 to provide thorough, competent, fair, and effective regulation of insurance companies, banking and other financial services companies, and securities companies or impair the ability of the Department to maintain accreditation by the National Association of Insurance Commissioners.

Fund	Amount
Insurance Regulatory and Supervision Fund	\$15,901,411
Securities Regulatory and Supervision Fund	\$4,818,258

\$20,719,669 Total

Sincerely,

Commissioner

