



State of Vermont
Agency of Administration
Department of Finance & Management
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.state.vt.us/fin

[phone] 802-828-2376
[fax] 802-828-2428

Jim Reardon, Commissioner

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management
DATE: April 15, 2014
RE: Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for expenditure during the third quarter of FY 2014 (1/1/2014 through 3/31/14). The full text of the governing statute is provided at the end of this memo.

Review Process

The Administration goes through an extensive application and approval process for allowing expenditure of excess receipts. The form required of departments can be found at: http://finance.vermont.gov/sites/finance/files/pdf/forms/budget/Excess_Receipts_Form.doc (at <http://finance.vermont.gov/forms> under the "Budget" category). The form requires information to ensure that the approval does not overstep statutory guidelines. Requests that overstep the statutory guidelines are denied, and/or where appropriate are held for the legislative budget process.

Departments are required to provide written answers to the following questions (although only the response to the first question is entered into the VISION database):

- Reason funds are available?
- Do you anticipate additional funds from the same source available in this fiscal year and above current appropriation?
- Is this increase one-time or at an ongoing level?
- Why were funds not fully budgeted during budget development?
 - What is the current year appropriation or grant amount approved by the Joint Fiscal Committee for this fiscal year, from this source of funds for this purpose?
- If these are ongoing funds, will funds from this source be fully budgeted and appropriated next fiscal year?
- Were excess receipts requested from this source in the preceding two fiscal years? If so, explain why they were not budgeted?
- Are these excess receipts being received from another department (i.e., interdepartmental transfers)? If so, are they appropriated in that department or will excess receipts be required there as well?



- Relationship, if any, to the Budget Adjustment Act?
- Can excess receipts be used to reduce the expenditure of State funds?
- **Will excess receipts establish or increase the scope of a program, committing the State at any time to expend State funds?** [The form notes that in such instances, legislative approval is required.]
- What specifically will excess receipts be used for? What is the impact on programs if this excess receipt request is not approved?
- Are any of the excess receipts to be used for your department's administrative, staff or operating expenses? If so, explain.
- Is there any matching fund requirement due to excess receipts? If so, where is the match found in your budget?
- If excess receipts are earned federal receipts, is excess receipt being spent in the same (federal) program where the excess receipts are earned? If not, explain.
- Has the excess receipt been received and deposited? If no, what date are funds expected?
- If approved, when will the expenditure of this excess receipt first occur?

The VISION entry normally includes only the response to the first question – why are additional receipts available? However, for any individual Excess Receipt Request, we can provide the full paper copy of the form, listing all the department's responses.

Broad Categories of Excess Receipt Requests

Requests for expenditure of excess receipts generally fall into several broad categories:

Interdepartmental Transfers: It is not uncommon for one State department ("Department A") to purchase services from another State department ("Department B"). In that instance, Department A budgets these expenditures just as they would any other type of expenditure: by type of expenditure and by the source of revenue that will fund these expenditures. Department B also budgets these expenditures, and identifies the source of revenue as "interdepartmental transfers." This process results in a small amount of "double-booking" of spending authority but ensures that both departments have the necessary spending authority. In many cases, at the time of budget development, Department A has not yet decided from where to purchase the services in question, so Department B does not budget the interdepartmental transfer revenues. When Department A moves forward to contract for services with Department B after the budget has closed, then Department B must request an Excess Receipts approval for the additional spending authority to perform the services.

Federal Funds: Departments estimate their likely federal receipts in the fall for the upcoming budget year, meaning the estimate is as much as nine-months old at the start of the budget year, and another 12 months older by the end of the budgeted fiscal year. As a result, more recent developments may mean that the budgeted federal spending authority is insufficient, either because the current federal award for an existing grant has been increased, or there is spending authority from grants from earlier federal fiscal years that can be used in the current year. Additionally, extraordinary events – such as the federal American Recovery and Reinvestment Act (ARRA) or federal aid to Vermont due to Tropical Storm Irene – may cause large – and unanticipated -- spikes in federal receipts.

Other: There are over 200 different special funds created under State law, in which are deposited fees, user charges, penalties, specified taxes, etc. Departments estimate how much they will collect each year for each of these special funds, and base their spending plans accordingly. However, for the same reasons noted above, the actual collections for these revenues may be higher than the original budget. Excess receipts may also be used in an instance where prior-year special fund spending authority was not utilized and needs to be created again in the subsequent year (similar to a carry-forward). It should be noted that in addition to the restrictions in the excess receipts statute, each special fund has its own statutory restrictions that prevent the funds being used for other than their intended purposes and programs.

Attached Report:

The attached report is a cumulative list of approved excess receipt requests for the current fiscal year. It includes ALL the data entered in VISION for that transaction, including:

- Agency/Department name
- Appropriation name and "DeptID"
- Transaction date
- Fund source – name and fund number
- Amount
- Comments in response to question: "Why are funds available?" (VISION allows for a limited number of characters per cell entry.)

The data are sorted into the three broad categories of requests discussed above.

Governing Statute:

32 V.S.A. § 511. EXCESS RECEIPTS

If any receipts including federal receipts exceed the appropriated amounts, the receipts may be allocated and expended on the approval of the commissioner of finance and management. If, however, the expenditure of those receipts will establish or increase the scope of the program, which establishment or increase will at any time commit the state to the expenditure of state funds, they may only be expended upon the approval of the legislature. Excess federal receipts, whenever possible, shall be utilized to reduce the expenditure of state funds. The commissioner of finance and management shall report to the joint fiscal committee quarterly with a cumulative list and explanation of the allocation and expenditure of such excess receipts.

Attachment

FY 2014 Excess Receipts Report - Q3 Cumulative - Run 4-14-14							
VT_EXCESS_RECEIPT_RPT	134						
Agency/Dept Name	Appropriation Name	Appropriation Dapid	Date	Fund	Fund Name	Amount	Comments
<u>Federal Funds (including "regular" ARRA) excess receipts:</u>							
Buildings & Gen Serv-Capital	Vat's Home-HVAC Renovations	0820000200	7/26/2013	22005	Federal Revenue Fund	145,305.89	This request is to re-establish spending authority for the unspent balance as of June 30, 2013
Treasurer's Office	US Forest Sales to Towns	1260110000	10/2/2013	22005	Federal Revenue Fund	3,363.00	Federal Money - pass through to Town Fire Dept
Public Safety	DPS-State Police	2140010000	8/5/2013	22040	ARRA Federal Fund	206,000.00	ARRA Funds - Expenses to fully spend the ARRA funds that were awarded to DPS were not invoiced in SFY13. This ER request is being made to allow those expenses and to transfer some expenses to the correct appropriation.
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	3/6/2014	22005	Federal Revenue Fund	107,000.00	FTS - JFO #2603, 1/17/13 approved federal grant; FSMIP - JFO #2597, 1/4/13 approved federal grant; Organic Processors - Farm Bill signed and federal reimb program reinstated after 1 year lapse.
Public Service Department	Regulation & Energy Efficiency	2240000000	9/13/2013	22040	ARRA Federal Fund	215,335.00	ARRA - Funds available from grants from US Dept of Commerce & Dept of Energy. Fund to be used for ongoing salary & benefits as well as OH and 3rd party contract.
Public Service Board	Public Service Board	2250000000	11/1/2013	22040	ARRA Federal Fund	95,405.00	ARRA funds - grant was to expire 10/31/13 however has been extended until 6/30/14
Human Services Agency	Human Services Board	3400010000	3/12/2014	22005	Federal Revenue Fund	170,000.00	Three ACA Health Insurance Exchange related positions are conducting work specific to Exchange appeals. This work is eligible for Exchange related federal funding.
Children and Families	DCFS - 3Squares VT Cashout	3440070000	2/28/2014	22040	ARRA Federal Fund	465,744.00	SNAP ARRA funding was extended and available to pay for 20.02% of DCF's October 2013 Food Stamp Cash Out expenditures.
Children and Families	DCFS - LIHEAP	3440090000	11/1/2013	22005	Federal Revenue Fund	4,900,000.00	Federal release of LIHEAP block grant
Children and Families	DCFS - LIHEAP	3440090000	3/20/2014	22005	Federal Revenue Fund	500,000.00	Federal release of 2nd quarter allocation of FFY14 LIHEAP block grant award.
Children and Families	DCFS - OEO Ofc of Economic Opp	3440100000	1/23/2014	22005	Federal Revenue Fund	129,413.00	OEO FEMA Grant balance on 6/30/13 of \$430,000 exceeded Big Bill amount of \$300,587
Children and Families	DCFS - OEO Weatherization	3440110000	3/21/2014	22005	Federal Revenue Fund	1,005,339.00	Federal grant award for Weatherization Assistance Program
Children and Families	DCFS - OEO Weatherization	3440110000	9/23/2013	22040	ARRA Federal Fund	206,768.00	ARRA - Carry forward Weatherization ARRA grant award
Disabilities Aging Ind. Living	Vocational Rehab Grants	3460040000	3/21/2014	22005	Federal Revenue Fund	3,800,000.00	VR received a reallocation of Section 110 Funds on 9/1/13.
Education Agency	Education Services	5100070000	9/12/2013	22040	ARRA Federal Fund	296,748.00	ARRA - School district grants
<u>Interdepartmental Transfer Excess Receipts</u>							
Administration Agency	Secretary of Administration	1100020000	9/17/2013	21500	Inter-Unit Transfers Fund	300,000.00	Sec of Admin entered into MOU with DVHA for Health Care Exchange Grant.
Administration Agency	Secretary of Administration	1100020000	1/30/2014	21500	Inter-Unit Transfers Fund	385,561.50	Funds available due to MOU entered into between SOA and multiple other depts for a contract with Vt Legal Aid, where SOA will pay the contract incurring the expense and then be reimbursed by the other Depts.
Administration Agency	Secretary of Administration	1100020000	2/7/2014	21500	Inter-Unit Transfers Fund	500,000.00	Funds to be used by SOA to pay invoices related to two MOU's with DVHA for the Health Care Exchange and SIM Grant, as well as salary and benefits of the Health Care Reform staff who work for SAO.
Administration Agency	Secretary of Administration	1100020000	3/14/2014	21500	Inter-Unit Transfers Fund	105,000.00	Funds available due to billings that will be done to other departments. The SOA is forming a new division for VTHR Operations that is being staffed currently with three positions that are being transferred from other departments.
Finance & Management	Budget & Management	1110003000	2/28/2014	21500	Inter-Unit Transfers Fund	200,000.00	Billing to DII for the Finance upgrade project and billing to SOA for an additional position
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	12/9/2013	21500	Inter-Unit Transfers Fund	13,458,786.90	Fund available from FEMA transfers. Drawdown request are made periodically to AOT for FEMA reimb of exp made for the replacement of the Vermont State Hospitals.
Buildings & Gen Serv-Capital	ACCD Projects 51/6(a)	1305100061	11/25/2013	21500	Inter-Unit Transfers Fund	17,000.00	Lake Champlain Bridge Programmatic Agreement Compliance with Sections III D(3) and III D(4). AOT funding made available for plaster repairs to the Chimney Point Historic Site
Defender General's Office	Public Defense	2110000100	2/19/2014	21500	Inter-Unit Transfers Fund	4,247.00	The ODG had requested Children's Justice Act Funding through DCF for the June 2013 training for attorneys who provide services in juvenile cases. The request was not approved by DCF until FY2014.
State's Attorneys and Sheriffs	State's Attorneys	2130100000	1/24/2014	21500	Inter-Unit Transfers Fund	60,000.00	DOC has committed to help with the RollOut of the Rapid Intervention Community Court Expansion.
Public Safety	DPS-Fire Safety	2140040000	9/13/2013	21500	Inter-Unit Transfers Fund	12,500.00	The FY12 balance of these funds was not fully expended in FY13.
Public Safety	DPS-Administration	2140060000	7/23/2013	21500	Inter-Unit Transfers Fund	600,000.00	To fully leverage our Federal Funds it is necessary to pool the costs that can not be directly attributable to a specific grant. These funds will be recouped by use of our approved indirect rate.
Crime Victims' Services Center	Victims Compensation	2160010000	7/12/2013	21500	Inter-Unit Transfers Fund	21,979.38	MOU between DCF and VCCVS for Children's Justice Act Grant from U.S Dept of Health and Human Services. Grant number F1001VTCJA1.
Crime Victims' Services Center	Victims Compensation	2160010000	1/16/2014	21500	Inter-Unit Transfers Fund	10,000.00	Funds earmarked for training
Agriculture, Food&Mrkts Agency	Food Safety/Consumer Assurance	2200020000	8/5/2013	21500	Inter-Unit Transfers Fund	630.00	Dog licensure fees

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Agriculture, Food&Mkts Agency	Ag Development Division	2200030000	3/6/2014	21500	Inter-Unit Transfers Fund	8,000.00	Working Lands - \$500 transfer from each ACCD & FPR to support first annual summit; REAP - Clean Energy Development Fund dollars transferred to Ag from Pub Service per 10 VSA 6523(e)(D)(2), from calendar year 2007 through calendar year 2011.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/10/2014	21500	Inter-Unit Transfers Fund	35,000.00	Both ANR and VTrans entered into an MOA with the Public Service Dept to jointly promote public understanding and support for increasing number of electric vehicles.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/17/2013	21500	Inter-Unit Transfers Fund	5,000.00	Reimbursement for equip purchases through DPS Highway Safety agreement signed Jan 2013
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21500	Inter-Unit Transfers Fund	1,667.50	Requested reimbursement includes mileage, which we did not anticipate
Liquor Control	DLC - Enforcement & Licensing	2300002000	12/5/2013	21500	Inter-Unit Transfers Fund	5,000.00	2014 Highway Safety Grant for DUI projects
Liquor Control	DLC - Enforcement & Licensing	2300002000	12/18/2013	21500	Inter-Unit Transfers Fund	18,000.00	New Homeland Security Grant
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/31/2014	21500	Inter-Unit Transfers Fund	4,000.00	DPS equipment grant for new equipment to be used for Highway safety or DUI activities.
Liquor Control	DLC - Enforcement & Licensing	2300002000	12/5/2013	21500	Inter-Unit Transfers Fund	4,000.00	Funds are from 2014 Highway Safety Grant program
Green Mountain Care Board	Green Mountain Care Board	3330010000	8/30/2013	21500	Inter-Unit Transfers Fund	613,900.18	Funds available through an MOU with DVHA as part of funding for the SIM Grant project that the Green Mt Care Board is working on.
Corrections	Corrections - Education	3480003000	3/25/2014	21500	Inter-Unit Transfers Fund	225,000.00	Fund available for additional Title I work in FY14 done by The Community High School of VT. Funds will be used to cover teacher salaries related to Title I work.
Education Agency	Education Services	5100070000	1/16/2014	21500	Inter-Unit Transfers Fund	24,267.50	MOU from the Health Department
Fish & Wildlife	FW Support & Field Services	6120000000	1/28/2014	21500	Inter-Unit Transfers Fund	200,000.00	These receipts are for reimb for FEMA through AOT related to Tropical Storm Irene expenses.
Forests, Parks & Recreation	Administration	6130010000	9/16/2013	21500	Inter-Unit Transfers Fund	500,000.00	Funds available because of FEMA disaster assistance received in FY12 from VTrans for the spring flood event & Tropical Storm Irene.
Forests, Parks & Recreation	Forestry	6130020000	10/24/2013	21500	Inter-Unit Transfers Fund	55,880.06	Funds avail because of two grants rec'd from DPS. The first will fund the purchase radios for anti-terrorism and other catastrophic events. The second will fund Fire Warden training focusing on the use of the ICS to control & monitor fires.
Forests, Parks & Recreation	Parks	6130030000	11/13/2013	21500	Inter-Unit Transfers Fund	327,250.00	IDT of funds from F&W who will provide Boating Infrastructure Grant federal funding and Clean Vessel Act federal funding to FPR for the reconstruction of the outer marina at Burton Island State Park.
Transportation Agency	Program Development	8100001100	7/16/2013	21500	Inter-Unit Transfers Fund	10,000.00	Funds available for costs assoc with expenses for temp employee (Dan Peterson) who has dual employment with VTrans and DPS. VTrans will bill DPS via a TSF Journal for the expenses incurred relating to his employment with DPS.
Transportation Agency	Better Back Roads Program	8100005800	10/24/2013	21500	Inter-Unit Transfers Fund	227,000.00	Funds available from ANR and represent their commitment to the Better Backroads Program.
Special Fund excess receipts:							
Buildings & Gen Serv-Capital	State Buildings-Various Proj	0904300010	12/20/2013	21584	Surplus Property	3,978.00	Replenish spending authority as of 6/30/13 for receipts available from Surplus Property fund for sale of beams and wire decks from the Archives project and a metal brake used on the Waterbury roofing project.
Buildings & Gen Serv-Capital	BGS-Various Property Sales	0904300250	9/12/2013	21613	BGS-Sale of State Land	12,979.04	Re-establish spending authority as of 6/30/13.
Buildings & Gen Serv-Capital	State Buildings-Various Proj	1016100010	12/20/2013	21584	Surplus Property	1,040.00	Replenish spending authority as of 6/30/13 for receipts available from Surplus Property fund for sale of street lights from the capital grounds.
Administration Agency	WC-Sarcoidosis Claims	1100130000	8/7/2013	21911	Sarcoidosis Benefit Trust Fund	337.20	A transfer from the General Fund are anticipated
Administration Agency	WC-Sarcoidosis Claims	1100130000	9/3/2013	21911	Sarcoidosis Benefit Trust Fund	2,500.00	A transfer from the General Fund is expected. Funds to be used for payment to a claimant.
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	7/26/2013	21908	Misc Grants Fund	6,796,855.75	Re-Establish spending authority as of 6/30/13 for the unspent balance.
Finance & Management	VT Council on the Arts	1110013000	3/25/2014	21445	Art Acquisition Fund	166,500.00	Fund are received into the Acquisition of Art in State Bldgs special fund for VT Arts Council to acquire art on behalf of the state.
Libraries	Department of Libraries	1130030000	7/26/2013	21870	Misc Special Revenue	22,664.09	These funds per transferred from the VT Telecommunications Auth to Libraries for the purpose of bringing fiber connectivity to 43 public libraries and some 200 community anchor institutions.
Libraries	Department of Libraries	1130030000	11/22/2013	21870	Misc Special Revenue	21,762.50	Movie licenses for school libraries
Libraries	Department of Libraries	1130030000	3/24/2014	21870	Misc Special Revenue	22,000.00	Funds to be used to pay for the purchase of movie licenses for school libraries around the state.
Libraries	Department of Libraries	1130030000	7/26/2013	21883	Gates Foundation Grants	274,531.63	Opportunity Online Broadband Grant from Bill & Melinda Gates Foundation to provide funding for fiber broadband connectivity to 53 Public Libraries included in VT Fiber Connect project.
Libraries	Department of Libraries	1130030000	7/26/2013	21908	Misc Grants Fund	81,823.47	These fund were rec'd as a grant from Google, Inc. to the Dept of Libraries for the purpose of establishing seven video conferencing sites in Public Libraries around the state.
Buildings & Gen Serv-Gov'tal	BGS- Recycling Efforts	1150060000	9/12/2013	21604	BGS-Recycling Efforts	30,000.00	Receipts have exceeded expenses
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/12/2013	21603	Motorist Aid Refreshment Prog	90,000.00	Funds paid by motorists at Info Centers by donation for coffee. Funds used for operating costs for the Info Centers.

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Agency/Dept Name	Appropriation Name	Appropriation Dept	Date	Fund	Fund Name	Amount	Comments
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/12/2013	21822	ACCD/Tourism & Marketing Broch	225,000.00	Vendors pay BGS to store and display their business brochures at teh State Info Centers. The amount charged is now part of the annual Fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	9/6/2013	21870	Misc Special Revenue	25,000.00	To pay the transit company for commuter bus service being used by Capitol Complex employees as part of a Pilot Project to encourage commuting for Montpelier employees.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	10/30/2013	21870	Misc Special Revenue	25,000.00	To pay transit company(s) for the commuter bus service being used by Capitol Complex employees as part of the Pilot Project to impact parking demands.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	2/28/2014	21870	Misc Special Revenue	30,000.00	Fund to pay transit company(s) for the commuter bus servcie being used by Capitol Complex employees as part of the Pilot Project implemented by the State to impact parking demands.
Treasurer's Office	Bond Issuance Costs	1260120000	8/23/2013	32101	TIB Proceeds Fund	297,537.90	Sale of 2013 Transportation Infrastructure Bonds, bond issuance costs
Treasurer's Office	Bond Refunding Cost	1260126000	12/9/2013	21886	Treas-Refunding Bond Issue	95,046.14	Sale of 2013 Series C Refunding Bonds
Treasurer's Office	Bond Refunding Cost	1260126000	3/6/2014	21886	Treas-Refunding Bond Issue	2,440.97	Sale of 2013 Series C Refunding Bonds
State's Attorneys and Sheriffs	State's Attorneys	2130100000	9/12/2013	21891	State's Att & Sheriff-Misc	55,018.00	Increase the position from Part-Time to Full-Time with benefits
Public Safety	DPS-State Police	2140010000	9/10/2013	21908	Misc Grants Fund	2,235.00	VSP received funding from the Commonwealth of Massachusetts.
Public Safety	DPS-Criminal Justice Services	2140020000	9/13/2013	21584	Surplus Property	13,400.00	From the sale of items at State Surplus.
Public Safety	DPS-Emergency Management	2140030000	9/13/2013	21584	Surplus Property	17,500.00	From the sale of items at State Surplus
Public Safety	DPS-Fire Safety	2140040000	3/31/2014	21584	Surplus Property	41,073.00	These funds are available from the sale of vehicles sold at auction.
Military	MIL Vet Affairs Office	2150050000	3/26/2014	21662	Mil-Vets Cemetary Contribution	16,530.00	More dollars allotted from vet cemetery funds. Funds will be used to pay contract #16960.
Military	MIL Vet Affairs Office	2150050000	1/24/2014	21975	Armed Services Scholarship Fnd	33,634.00	VSAC Scholarship disbursement per 16 VSA CH 79
Crime Victims' Services Center	Victims Compensation	2160010000	11/27/2013	21926	Domestic & Sexual Violence	18,606.00	Special fund had a carry forward of \$82,211 at start of FY14
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	8/5/2013	21493	VT Working Lands Enterprise	1,026,080.46	Act 162 Sec B. 1106
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	10/1/2013	21584	Surplus Property	55,859.84	Sale of the Mobile Poultry Processing Unit
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	3/6/2014	21666	AF&M-Agricultural Events	4,800.00	Dairy of Distinction - Donation from VT Dairy Industry Assoc; See JFO memo dated 6/12/13. Farm Safety - Donations from Altech, All Tech, & Farm Credit East's NE Ag Enhancement Program; See JFO memos dated 5/13/13, 6/12/13, & 10/14/13.
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	3/21/2014	21682	AF&M-Eastern States Building	10,000.00	Commission receipts from vendors' sales at the Eastern States Exposition
Agriculture, Food&Mrkts Agency	Ag Development Division	2200030000	3/6/2014	21889	Risk Manage Ag Producers	10,020.00	Small grants to cover operating costs for VT Sustainable Jobs Fund & Farm to Institution New England to comply with Title 32 Chapter 1 Section 5(a)(3).
Agriculture, Food&Mrkts Agency	Plant Industry, Labs & CA Div	2200040000	12/23/2013	21666	AF&M-Agricultural Events	1,558.60	Registration fee collected for Pesticide Control Officers meeting.
Agriculture, Food&Mrkts Agency	Plant Industry, Labs & CA Div	2200040000	3/6/2014	21669	AF&M-Pesticide Monitoring	63,012.00	Existing cash balance from registration fees for pesticide products.
Agriculture, Food&Mrkts Agency	Plant Industry, Labs & CA Div	2200040000	1/10/2014	21908	Misc Grants Fund	80,000.00	Existing grant from New England Interstate Water Pollution Control Commission
Agriculture, Food&Mrkts Agency	Large Animal Vet Loan Forgive	2200891301	8/30/2013	21992	Next Generation Initiative Fnd	2,215.19	Remainder of FY13 appropriation - Grant agreement exists obligating entire appropriation with \$2215.19 yet to be liquidated.
Financial Regulation	Securities Division	2210031000	9/6/2013	21906	Financial Services Education	40,000.00	Over teh last seven years some settlement funds have been deposited into this special fund. These funds will be used to pay a personal services contract with Champlain College.
Public Service Department	Regulation & Energy Efficiency	2240000000	9/13/2013	21699	PSD - Billback & EEU pass thru	3,981,000.00	Funds to be used for much higher contractual and 3rd party services related to the Entergy/Vermont Yankee case.
Human Rights Commission	Human Rights Commission	2280001000	2/6/2014	21692	Human Rights Commission	10,000.00	Funds available due to settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/17/2013	21584	Surplus Property	11,054.08	Three retired/replaced DLC vehicles were sold at auction 6/13/13.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/17/2013	21870	Misc Special Revenue	2,093.20	Equitable share of drug raid proceeds from October, 2011
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21870	Misc Special Revenue	1,988.00	NABCA Grants are for Calendar Years. A portion of FY11 remained unspent, and the current grant amount needs to be carried forward.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21870	Misc Special Revenue	6,888.50	NABCA Grants are for Calendar Years. A portion of FY11 remained unspent, and the current grant amount needs to be carried forward.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21870	Misc Special Revenue	62,122.84	Reconciled balances from previous years that affect teh FY13 year end balance.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21870	Misc Special Revenue	32,768.00	The FY11 Online classroom funds were requested for spending in FY12 (but not all spent); deposits were made to wrong Dept ID and need to be corrected. The FY13 balance was deposited but not requested for spending.
Liquor Control	DLC - Enforcement & Licensing	2300002000	10/29/2013	21870	Misc Special Revenue	24,339.78	The FY11 Online classroom funds were requested for spending in FY12 (but not all spent); deposits were made to wrong Dept ID and need to be corrected. The FY13 balance was deposited but not requested for spending.
Liquor Control	DLC - Administration	2300003000	7/12/2013	21525	Conference Fees & Donations	5,526.05	Balance left in Conference Fees fund used for VT Teen Leadership Fund; account no longer in use.
Human Services Agency	Secretary's Office Admin Costs	3400001000	1/23/2014	21908	Misc Grants Fund	20,000.00	Grant awarded by the VT Community Foundation

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VT_EXCESS_RECEIPT_RPT	134						
Agency/Dept Name	Appropriation Name	Appropriation Dept Id	Date	Fund	Fund Name	Amount	Comments
Children and Families	DCFS - LIHEAP	3440090000	12/4/2013	21210	Home Heating Fuel Asst Trust	2,100,000.00	Per Emergency Board Meeting 10/24/13.
Children and Families	DCFS - LIHEAP	3440090000	3/21/2014	21210	Home Heating Fuel Asst Trust	190,000.00	Pursuant to Assurance of Discontinuance, Civil Action Docket No. 683-11-1300nov, Amerigas has submitted a check for Vermont's Low Income Home Energy Assistance.
Children and Families	Grant to CVCAC-Irene Recovery	3440891301	10/16/2013	21555	Emergency Relief & Assist Fd	25,000.00	Emergency Relief Assistance Fund
Corrections	Correctional Fac - Rec Fund	3480005000	3/21/2014	21190	Correctional Facilities Rec Fd	150,000.00	There is a balance in the inmate recreation fund. Receipts are from commissioners on telephone svcs and commissary sales at correctional facilities. The funds will be used to promote the recreational opportunities for inmates.
Education Agency	Administration	5100010000	1/16/2014	21525	Conference Fees & Donations	10,400.00	Conference fees collected
Natural Resources Agency	*Admin., Management & Planning	6100010000	1/31/2014	21285	Waste Management Assistance	20,959.86	IT project work in FY13 was not available to utilize that year's complete allocation from this funding source.
Fish & Wildlife	FW Support & Field Services	6120000000	10/24/2013	20345	Threatened & Endangered Species	22,000.00	Funds available through statute (10 VSA 5408) and will be used to cover expenditures in the threatened and endangered species fund.
Fish & Wildlife	FW Support & Field Services	6120000000	10/24/2013	21894	Green Mtn Cons Camp Endowment	10,000.00	Fund available through statute (10 VSA 4049a) and will be used to support maintenance and enhancements of the Green Mtn Conservation Camps in Castleton and Woodbury.
Fish & Wildlife	FW Support & Field Services	6120000000	10/24/2013	40900	Lumberjack Fund	1,500.00	Funds available through a fund est to strengthen workshops for educators interested in enhancing classroom skills in the area of F&W mgmt. These funds have not been used in previous years and there is currently a balance available.
Forests, Parks & Recreation	Administration	6130010000	11/13/2013	21475	Natural Resources Mgmt	6,861.66	Reimbursements by developers for staff time on Sec. 248 & Sec. 249 projects available from back bill legislation.
Forests, Parks & Recreation	Administration	6130010000	7/16/2013	21525	Conference Fees & Donations	25,000.00	The receipts in 21525 are available from Project Learning Tree workshop fees and grants. The receipts in 21550 are available from license, special use permits, and timber sales.
Forests, Parks & Recreation	Administration	6130010000	7/16/2013	21550	Lands and Facilities Trust Fd	100,000.00	The receipts in 21525 are available from Project Learning Tree workshop fees and grants. The receipts in 21550 are available from license, special use permits, and timber sales.
Forests, Parks & Recreation	Administration	6130010000	1/28/2014	21550	Lands and Facilities Trust Fd	120,000.00	FY2014; 5% allowable portion (per statute) of receipts in the lands and facilities trust fund that FPR has authority to spend for the benefit of lands, facilities, and recreational assets owned or managed by the agency.
Forests, Parks & Recreation	Forestry	6130020000	11/13/2013	21475	Natural Resources Mgmt	27,292.37	Reimbursements by developers for staff time on Sec. 248 & Sec. 249 projects available from back bill legislation.
Forests, Parks & Recreation	Forestry	6130020000	7/16/2013	21525	Conference Fees & Donations	10,000.00	The receipts are available from Urban & Community Forestry workshop fees and misc grant and donations, including Arbor Day donations.
Forests, Parks & Recreation	Forestry	6130020000	1/28/2014	21525	Conference Fees & Donations	8,500.00	Remaining funds available from VT Woodlands Assoc grant will be used to digitize forestry parcel in Vermont's UVA program. Remaining funds available from VTel donation will be used to purchase trees.
Forests, Parks & Recreation	Parks	6130030000	7/23/2013	21525	Conference Fees & Donations	68,000.00	A grant was received from teh Lintilhac Foundation for expanding FPR capabilities to enhance public recreation opportunities. FPR received approval from the JFO (#2615) on 4/19/13 to spend the total grant award of \$68,000.
Forests, Parks & Recreation	Vt Youth Conservation Corps	6130080000	3/31/2014	21455	Vt Recreational Trails Fund	8,188.00	The receipts in the Vt Rec Trails Fund are available from taxes on nonhighway rec fuel. Three-fourths of one percent of the total state gas tax, not to exceed \$370,000, is transferred to FPR every year per 10 V.S.A. 446
Forests, Parks & Recreation	Vt Youth Conservation Corps	6130080000	8/14/2013	21779	FPR-Youth Conservation Corps	150,000.00	The receipts in fund 21779 are available because of a cash assistance MOA between VYCC and FPR. VYCC will reimburse FPR by the end of FY2014.
Natural Resources Board	Natural Resources Board	6215000000	10/29/2013	21260	Act 250 Permit Fund	47,000.00	NRB's special fund (Act 250 permit fees) closed FY13 with a balance due to strong permits app fees during FY13, less than anticipated spending in certain areas, and deferred deployment of NRB's SharePoint Solution.
Housing & Comm Development	Housing & Community Affairs	7110010000	9/23/2013	21330	Municipal & Regional Planning	4,500.00	Encumbrance No. 3589 for Hinesburg Town Treasurer did not roll properly at fiscal year end therefore requiring excess receipts.
Housing & Comm Development	Housing & Community Affairs	7110010000	7/12/2013	21820	ACCD-Miscellaneous Receipts	1,965,482.00	Match from VHC8 for Hazard Mitigation Grant Program (HMGP) property buyouts at a 25% level as a priority per the Administration
Economic Development	Performance Grants 13	7120891301	8/1/2013	21992	Next Generation Initiative Fnd	125,000.00	Carry forward from FY 2013
Economic Development	STEM Incentive 13	7120891302	8/1/2013	21992	Next Generation Initiative Fnd	29,100.00	Carry forward from FY 2013
Transportation Agency	Program Development	8100001100	3/21/2014	20180	ARRA FHWA Fund	6,370.72	ARRA Funds-Funds are available from the Program Development Middlebury STP 2627(1) ARRA project.
Transportation Agency	Rest Areas	8100001700	12/23/2013	20135	Transportation FHWA Fund	500,000.00	These funds are available from the Rest Area Program, for the Bennington Welcome Center Project

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Transportation Agency	Policy and Planning	8100002200	10/11/2013	20145	Transportation FTA Fund	53,388.00	Funds are remaining balance in FTA Grant #FT800008-002. Will be used for an amendment to Grant agreement #GR0230 with CCRPC.
Transportation Agency	Rail	8100002300	8/23/2013	20155	Transportation-FRA Fund	70,000.00	Funds are available for the Bi-State Intercity Rail Corridor Track 3 Planning project.
Transportation Agency	Rail	8100002300	8/23/2013	20160	Transportation Local Fund	35,000.00	Funds are available for the Bi-State Intercity Rail Corridor Track 3 Planning project.
Transportation Agency	Rail	8100002300	7/16/2013	20183	ARRA FRA Fund	1,496,375.00	ARRA Funds - Available for project - Amtrak Vermonter - RR-FY11-AR02
Transportation Agency	Town Highway Bridge	8100002800	9/26/2013	20180	ARRA FHWA Fund	36,422.92	Funds are available from Town Highway Bridge ARRA project - Montpelier BHF 6400(31)
Transportation Agency	Public Assistance Program	8100005500	10/24/2013	20150	Transportation FEMA Fund	21,881,121.98	Funds are available from FEMA 4022-DR-VT obligation from WSOC.
Transportation Agency	Public Assistance Program	8100005500	9/17/2013	21555	Emergency Relief & Assist Fd	135,000.00	FEMA disaster declaration FEMA-4120-DR-VT. ERAF funds provide state match for the FEMA funds.
Transportation Agency-Prop	Central Garage	8110000200	7/23/2013	57100	Highway Garage Fund	443,342.42	Funds are the unexpended balance in equipment replacement account at the end of FY13. Funds will be used for equipment purchases per Title 19, Sec. 13(c).
Buildings & Gen Serv-Capital	Duxbury/Moretown Land Sale	9610200000	7/26/2013	21600	BGS-Duxbury/Moretown	15,322.82	Replenish spending authority as of 6/30/13. We still have advertising, appraisals, recording fees, environmental studies in anticipation of selling the property.