MEMORANDUM

To: James Reardon, Commissioner of Finance & Management

From: Rebecca Buck, Staff Associate

Date: June 15, 2007

Subject: Status of Grant Request

No Joint Fiscal Committee member has requested that the following item be held for review:

JFO #2290 – $1,604,574.63 grant from the Federal Emergency Management Agency (FEMA) to the Agency of Transportation. This grant provides disaster assistance to state, local and non-profit agencies for damage caused by the storms of April 15 through April 21, 2007. Funding from local sources ($231,963.63) and the State Emergency Relief and Assistance Fund ($272,305.13) will provide the non-federal share of damage repairs.

[JFO received 05/16/07]

In accordance with 32 V.S.A. §5, the requisite 30 days having elapsed since this item was submitted to the Joint Fiscal Committee, the Governor’s approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Linda Morse
    Neale Lunderville
DATE: May 14, 2007

DEPARTMENT: AOT - Operations

GRANT/DONATION (brief description and purpose):

FEMA Disaster Assistance Grant FEMA-1698-DR-VT
Bennington, Caledonia, Essex, Orange, Rutland, Windham and Windsor

GRANTOR/DONOR: FEMA

GRANT PERIOD: N/A

AMOUNT/VALUE: $1,604,574.63

POSITIONS REQUESTED (LIMITED SERVICE): 0

ANY ON-GOING, LONG-TERM COSTS TO THE STATE: None

COMMENTS:

State match of $272,305.00 is available (ERAF).
Total Federal funds is $1,512,806.25 plus $91,768.38.

DEPT. FINANCE AND MANAGEMENT: (INITIAL) 5/14/07
SECRETARY OF ADMINISTRATION: (INITIAL) 5/14/07
SENT TO JOINT FISCAL OFFICE: (DATE) 5/14/07

RECEIVED
MAY 16 2007
JOINT FISCAL OFFICE
1. Date: May 08, 2007
2. Agency: Transportation
3. Department: Operations
4. Program: Public Assistance Program
5. Type of Grant: [X] New [ ] Supplemental
6. Legal Title of Grant: Disaster Assistance Grant
   FEMA-1698-DR-VT
7. Federal Catalog No.: 83-544
8. Grantor and Office/Address:
   Federal Emergency Management Agency
   99 High Street
   Boston, MA 02109-4595
9. State Grant Administrator:
   Agency of Transportation
   Maintenance & Aviation Division
10. Grant Period: From: April 15, 2007 To: Completion
11. Purpose of Grant: (attach additional sheets if needed)
   To provide federal disaster assistance to repair damage to public facilities; state, local and non-
   profit, caused by the storms of April 15 – April 21, 2007. See attached pages.
12. Impact on Existing Programs if Grant is not Accepted:
   State and local governments and eligible non-profit agencies will have to bear the full cost of repair
   to public facilities, road, and bridges; the cost of debris removal; and for emergency response.
13. Budget Information: (Expenditures expected to occur in FY07 - FY09)

   **EXPENDITURES:**
   - Statutory Administrative Costs: 91,768
   - Other (recovery costs - estimated): 2,017,075
   TOTAL: $2,108,843

   **REVENUES**
   - State Funds (Estimates - see attached schedule)
     Emergency Relief and Assistance Fund: 272,305
   - Federal Funds: (Federal share is 75% of the total damage estimate)
     FEMA Fund: 1,512,806
     Administrative Costs: 91,768
   - Other Funds: (Estimates - see attached schedule)
     Local government: 231,964
   TOTAL: $2,108,843

Grant will be allocated to these appropriation expenditure accounts: (excludes local share)

<table>
<thead>
<tr>
<th>DEPT ID</th>
<th>Program</th>
<th>Fund</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>8100005500</td>
<td>59700</td>
<td>20150</td>
<td>$1,604,574</td>
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<tr>
<td>8100005500</td>
<td>59700</td>
<td>21555</td>
<td>$ 272,305</td>
</tr>
</tbody>
</table>
STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE FORM AA-1

14. Will grant monies be spent on one or more personal service contracts?
[ ] YES [X] NO

If YES, signature of appointing authority here indicates intent to follow current guidelines for bidding.

15a. Please list any requested Limited Service positions:

<table>
<thead>
<tr>
<th>Titles</th>
<th>Number of Positions</th>
</tr>
</thead>
<tbody>
<tr>
<td>NONE</td>
<td></td>
</tr>
</tbody>
</table>

TOTAL

15b Equipment and space for these positions:
[ ] Is presently available.
[ ] Can be obtained with available funds.

16. Signature of Appointing Authority

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.

(Signature) 5/8/07

17. Action by Governor:
[ ] Approved
[ ] Rejected

(Signature) 5/11/07

18. Secretary of Administration:
[ ] Request to JFO
[ ] Information to JFO

(Signature) 5/9/07

19. Action by Joint Fiscal Committee:
[ ] Request to be placed on JFC agenda
[ ] Approved (not placed on agenda in 30 days)
[ ] Approved by JFC
[ ] Rejected by JFC
[ ] Approved by Legislature

(Signature) (Date)
<table>
<thead>
<tr>
<th>Town</th>
<th>Damage Total</th>
<th>FEMA</th>
<th>Admin-Local</th>
</tr>
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<tbody>
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<td>Bennington</td>
<td>$137,866.00</td>
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<td>Windsor</td>
<td>$303,808.00</td>
<td>$227,856.00</td>
<td>$9,114.24</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1,512,806.25</strong></td>
<td><strong>$91,768.38</strong></td>
<td><strong>$31,256.13</strong></td>
</tr>
</tbody>
</table>

- $1,512,806.25 = Total FEMA support to Town
- $91,768.38 = Total Admin
- $1,604,574.63 = Total anticipated FEMA Support for PA

**State Admin**

<table>
<thead>
<tr>
<th>State</th>
<th>$100,000.00</th>
<th>$3,000.00</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>$1,142,806.25</td>
<td>$28,256.13</td>
</tr>
<tr>
<td>$1,152,806.25</td>
<td>$31,256.13</td>
<td></td>
</tr>
</tbody>
</table>

**Est. Average (13.5%) - Each town is at 12.5% or 15%**

<table>
<thead>
<tr>
<th>Town</th>
<th>$272,505.13</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Local</strong></td>
<td>$231,963.63</td>
</tr>
</tbody>
</table>
APPLICATION FOR
FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION:
   X Construction
   X Non-Construction
   Preapplication
   Construction
   Non-Construction

2. DATE SUBMITTED
   May 08, 2007
   Applicant Identifier

3. DATE RECEIVED BY STATE
   State Application Identifier

4. DATE RECEIVED BY FEDERAL AGENCY
   Federal Identifier

5. APPLICANT INFORMATION

Legal Name:
State of Vermont - Agency of Administration

Organizational Unit:
Vermont Agency of Transportation

Address (give city, county, State, and zip code):
Pavilion Office Building
Montpelier, Vermont 05609-0201

Name and telephone number of person to be contacted on matters involving this application (give area code)
William E. McManis (802) 828-2602

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
03-6000274

7. TYPE OF APPLICANT:
   (enter appropriate letter in box)
   A. State
   B. County
   C. Municipal
   D. Township
   E. Interstate
   F. Intermunicipal
   G. Special District
   H. Independent School Dist.
   I. State Controlled Institution of Higher Learning
   J. Private University
   K. Indian Tribe
   L. Individual
   M. Profit Organization
   N. Other

8. TYPE OF APPLICATION:
   X New
   Continuation
   Revision

If Revision, enter appropriate letter(s) in box(es)

A. Increase Award
B. Decrease Award
C. Increase Duration
D. Decrease Duration
E. Other (specify):

9. NAME OF FEDERAL AGENCY:
Federal Emergency Management Agency

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
   TITLE Disaster Recovery - Public Assistance

   83 - 544

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
   Public Assistance for flood/storm damage that occurred during the events of April 15 - April 21, 2007. Damage is to State, Local, and non-profit infrastructure.

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
Bennington, Caledonia, Essex, Orange, Rutland, Windham, and Windsor counties.

13. PROPOSED PROJECT:
Disaster Recovery

14. CONGRESSIONAL DISTRICTS OF:
Vermont

15. ESTIMATED FUNDING:

   a. Federal $1,512,806
   b. Applicant $0
   c. State $272,305
   d. Local $231,963
   e. Other $0
   f. Program Income $0
   g. TOTAL $2,017,075

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
   a. YES.
   b. No. X PROGRAM IS NOT COVERED BY E.O. 12372 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
   Yes X No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

   a. Type Name of Authorized Representative
   Michael K. Smith
   b. Title
   Secretary of Administration (& GAR)
   c. Telephone Number
   (802) 828-3322
   d. Signature of Authorized Representative
   e. Date Signed
   06/08/07

Authorized for Local Reproduction

Standard Form 424 (Rev. 7-97) Prescribed by OMB Circular A-102
MEMORANDUM

To: Joint Fiscal Committee Members
From: Rebecca Buck, Staff Associate
Date: May 17, 2007
Subject: Grant Request

Enclosed please find one (1) request which the Joint Fiscal Office recently received from the Administration:

JFO #2290 – $1,604,574.63 grant from the Federal Emergency Management Agency (FEMA) to the Agency of Transportation. This grant provides disaster assistance to state, local and non-profit agencies for damage caused by the storms of April 15 through April 21, 2007. Funding from local sources ($231,963.63) and the State Emergency Relief and Assistance Fund ($272,305.13) will provide the non-federal share of damage repairs.

[JFO received 05/16/07]

The Joint Fiscal Office has reviewed this submission and determined that all appropriate forms bearing the necessary approvals are in order.

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Rebecca Buck at 802/828-5969; rbuck@leg.state.vt.us or Stephen Klein at 802/828-5769; sklein@leg.state.vt.us) if you would like this item held for committee review. Unless we hear from you to the contrary by May 31 we will assume that you agree to consider as final the Governor’s acceptance of this request.

cc: James Reardon, Commissioner
Linda Morse, Administrative Assistant
Neale Lunderville, Secretary
DATE: May 14, 2007

DEPARTMENT: AOT - Operations

GRANT/DONATION (brief description and purpose):

FEMA Disaster Assistance Grant FEMA--1698-DR-VT
Bennington, Caledonia, Essex, Orange, Rutland, Windham and Windsor

GRANTOR/DONOR: FEMA

GRANT PERIOD: N/A

AMOUNT/VALUE: $1,604,574.63

POSITIONS REQUESTED (LIMITED SERVICE): 0

ANY ON-GOING, LONG-TERM COSTS TO THE STATE: None

COMMENTS:

State match of $272,305.00 is available (ERAF).

Total Federal funds is $1,512,806.25 plus $91,768.38.

DEPT. FINANCE AND MANAGEMENT: (INITIAL) 5/14/07
SECRETARY OF ADMINISTRATION: (INITIAL) 5/14/07
SENT TO JOINT FISCAL OFFICE: (DATE) 5/14/07

RECEIVED
MAY 16 2007
JOINT FISCAL OFFICE
1. Date: May 08, 2007
2. Agency: Transportation
3. Department: Operations
4. Program: Public Assistance Program
5. Type of Grant:
   [X] New  [ ] Supplemental
   [ ] Renewal [ ] Modification
6. Legal Title of Grant: Disaster Assistance Grant
   FEMA-1698-DR-VT
7. Federal Catalog No.: 83-544
8. Grantor and Office/Address:
   Federal Emergency Management Agency
   99 High Street
   Boston, MA 02109-4595
9. State Grant Administrator:
   Agency of Transportation
   Maintenance & Aviation Division
    To: Completion
11. Purpose of Grant: (attach additional sheets if needed)
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12. Impact on Existing Programs if Grant is not Accepted:
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13. Budget Information: (Expenditures expected to occur in FY07 - FY09)

   EXPENDITURES:
   Statutory Administrative Costs 91,768
   Other (recovery costs - estimated) 2,017,075
   TOTAL $2,108,843

   REVENUES
   State Funds (Estimates - see attached schedule)
   Emergency Relief and Assistance Fund 272,305
   Federal Funds: (Federal share is 75% of the total damage estimate)
   FEMA Fund 1,512,806
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   Local government 231,964
   TOTAL $2,108,843

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STATE OF VERMONT  
REQUEST FOR GRANT ACCEPTANCE  
FORM AA-1

14. Will grant monies be spent on one or more personal service contracts?
   [ ] YES     [X] NO

   If YES, signature of appointing authority here indicates intent to follow
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15b. Equipment and space for these positions:
   [ ] Is presently available.
   [ ] Can be obtained with available funds.

16. Signature of Appointing Authority

   [Signature]  5/8/07
   (Signature)  (Date)

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.

17. Action by Governor:
   [ ] Approved  
   [ ] Rejected

   [Signature]  5/11/07
   (Signature)  (Date)

18. Secretary of Administration:
   [ ] Request to JFO
   [ ] Information to JFO

   [Signature]  5/8/07
   (Signature)  (Date)

19. Action by Joint Fiscal Committee:

   [ ] Request to be placed on JFC agenda
   [ ] Approved (not placed on agenda in 30 days)
   [ ] Approved by JFC
   [ ] Rejected by JFC
   [ ] Approved by Legislature

   [Signature]  [Signature]  
   (Date)        (Date)
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$1,512,806.25 = Total FEMA support to Town
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$1,604,574.63 = Total anticipated FEMA Support for PA

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State $Est. Average (13.5%) - Each town is at 12.5% or 15%
ERAF $272,305.13

Local: $231,963.63
APPLICATION FOR
FEDERAL ASSISTANCE

2. DATE SUBMITTED
May 08, 2007

Applicant Identifier

1. TYPE OF SUBMISSION:
[ X ] Construction

[ ] Non-Construction

Preapplication

3. DATE RECEIVED BY STATE

State Application Identifier

4. DATE RECEIVED BY FEDERAL AGENCY

Federal Identifier

5. APPLICANT INFORMATION

Legal Name:

State of Vermont - Agency of Administration

Organizational Unit:

Vermont Agency of Transportation

Address (give city, county, State, and zip code):
Pavilion Office Building
Montpelier, Vermont 05609-0201

Name and telephone number of person to be contacted on matters involving
this application (give area code)

William E. McManis (802) 828-2602

6. EMPLOYER IDENTIFICATION NUMBER (EIN):

03 - 600 002 74

7. TYPE OF APPLICANT:

(enter appropriate letter in box)

[ ] H. Independent School Dist.
[ ] B. County
[ I. State Controlled Institution of Higher Learning
[ ] C. Municipal
[ J. Indian Tribe
[ ] D. Township
[ K. Individual
[ ] E. Interstate
[ L. Profit Organization
[ ] F. Intermunicipal
[ M. Other
[ ] G. Special District
[ N. Other (Specify)

8. TYPE OF APPLICATION:

[ X ] New

[ ] Continuation

[ ] Revision

if Revision, enter appropriate letter(s) in box(es)

[ ] A. Increase Award
[ ] B. Decrease Award
[ ] C. Increase Duration
[ ] D. Decrease Duration
[ ] Other (specify):

9. NAME OF FEDERAL AGENCY:

Federal Emergency Management Agency

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:

Disaster Recovery - Public Assistance

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):

Bennington, Caledonia, Essex, Orange, Rutland, Windham, and
Windsor counties.

13. PROPOSED PROJECT:

Disaster Recovery

14. CONGRESSIONAL DISTRICTS OF:

Vermont

15. ESTIMATED FUNDING:

a. Federal

$ 1,512,806

b. Applicant

$ .00

c. State

$ 272,305

d. Local

$ 231,963

e. Other

$ .00

f. Program Income

$ .00

g. TOTAL

$ 2,017,075

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE
ORDER 12372 PROCESS?

[ ] a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE
TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR
REVIEW ON:

DATE

b. No.

[ X ] PROGRAM IS NOT COVERED BY E.O. 12372
[ ] OR PROGRAM HAS NOT BEEN SELECTED BY STATE
FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

[ ] Yes If “Yes,” attach an explanation.

[ X ] No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE
DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE
ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative

Michael K. Smith

b. Title

Secretary of Administration (& GAR)

c. Telephone Number

(802) 828-3322
d. Signature of Authorized Representative

[Signature]
e. Date Signed

[5/9/07]

Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102