MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: April 6, 2015
Subject: Grant Request #2749, #2750, #2751, #2752, #2753, #2754, #2755

Enclosed please find seven (7) items that the Joint Fiscal Office has received from the administration.

JFO #2749 – $2,928,051 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used to provide Federal disaster assistance in response to the December 2014 winter storm affecting Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, Rutland, Washington and Windsor Counties.
[JFO received 3/24/15]

JFO #2750 – $849,360 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2013 flooding in Andover, Berlin, Eden, Ludlow, Richmond, Springfield, Weathersfield, West Windsor and Vermont Energy Education Program (VEEP) locations.
[JFO received 3/24/15]

JFO #2751 – $288,574 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2013 flooding in Baltimore, Cabot, Cambridge and Proctor.
[JFO received 3/24/15]

JFO #2752 – $128,488 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2012 flooding in St. Johnsbury.
[JFO received 3/24/15]

JFO #2753 – $155,817 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2011 flooding in Rutland City.
[JFO received 3/24/15]
JFO #2754 – $169,100 grant from the Northern Border Regional Commission (NBRC) to the Agency of Commerce and Community Development (ACCD). These funds will be used to create digital parcel data of towns in the northern border region. This grant was originally awarded to the Vermont Center for Geographic Information (VCGI), which has subsequently sent a request to NBRC to name ACCD as the grantee in anticipation of the transition of VCGI into ACCD.

[JFO received 3/24/15]

JFO #2755 – $9,000 grant from the Sears Consumer Protection Education Fund to the Vermont Attorney General. This grant will be used to fund a three month pilot project to assess the impact of posting a warning advertisement on Google to guide Vermont consumers away from sites offering illegal high-interest loans.

[JFO received 3/31/15]

In addition to the above grants, the Administration has also submitted a pre-spending notification (AA-1PN) for $14,000 that has been spent for filling a limited-service position to perform work in anticipation of a large grant from the Natural Resource Conservation Service (NRCS) for the Regional Conservation Partnership Program (RCPP). The position is within the Department of Environmental Conservation.

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by April 20, 2015 we will assume that you agree to consider as final the Governor’s acceptance of these requests.
**STATE OF VERMONT**

**FINANCE & MANAGEMENT GRANT REVIEW FORM**

| Grant Summary: | Federal disaster assistance for the December 2014 winter storm that affected every county in Vermont except Bennington and Windham counties. |
| Date: | 3/16/2015 |
| Department: | Department of Public Safety - Vermont Emergency Management (VEM) |
| Legal Title of Grant: | Disaster Grants - Public Assistance (Presidentially Declared Disasters) FEMA-4207-DR |
| Federal Catalog #: | 97.036 |
| Grant/Donor Name and Address: | Federal Emergency Management Agency |
| Grant Period: | From: 2/3/2015 To: 2/3/2018 |
| Grant/Donation | $2,928,051 |
| SFY 1 | $805,672 | SFY 2 | $2,122,379 | SFY 3 | $ | Total | $2,928,051 |
| Grant Amount: | |

**Position Information:**

<table>
<thead>
<tr>
<th># Positions</th>
<th>Explanation/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>.0</td>
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</tr>
</tbody>
</table>

**Additional Comments:** Vermont estimate of damage was $3,777,887 (page flagged) included a federal share of $2,833,415. That amount plus $94,636 (3.34%) for allowable management costs is $2,928,051 for this grant.

**Has Vantage budget detail been reviewed and reconciled?**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>☒</td>
<td>☒</td>
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</tbody>
</table>

(Analyst Initial)

**Department of Finance & Management**

(Initial)

**Secretary of Administration**

(Initial)

**Sent To Joint Fiscal Office**

Date
February 9, 2015

To:       David Beatty, Finance & Management Budget Analyst

From:     Karen Mae Smith, Grants Management Specialist

Re:       Request for Grant Acceptance

Attached you will find a Request for Grant Acceptance for the FEMA Public Assistance Program for DR-4207.

If you have any questions, please contact me at 802-241-5458 or karenmae.smith@state.vt.us

Thank you,

Karen Mae

Cc: Rick Hallenbeck, Assistant Director of Administration/Finance
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

**BASIC GRANT INFORMATION**

1. Agency: 
2. Department: Public Safety
4. Legal Title of Grant: Disaster Grants - Public Assistance (Presidentially Declared Disasters)
5. Federal Catalog #: 97.036

6. Grant/Donor Name and Address: Federal Emergency Management Agency

7. Grant Period: From: 2/3/2015 To: 2/3/2018

8. Purpose of Grant:
   To provide Federal disaster assistance for emergency work and the repair/replacement of disaster damaged facilities during the December 2014 winter storm in Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, Rutland, Washington, and Windsor Counties.

9. Impact on existing program if grant is not Accepted:
   Eligible State, Local and Non-profit agencies may have to bear the full cost of disaster related expenses. Budget information below is based on Preliminary damage Assessment.

**10. BUDGET INFORMATION**

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>SFY 1 FY 2015</th>
<th>SFY 2 FY 2016</th>
<th>SFY 3 FY</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Services</td>
<td>$47,318</td>
<td>$47,318</td>
<td>$</td>
<td>DEMHS PA Staff Time</td>
</tr>
<tr>
<td>Operating Expenses</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Grants</td>
<td>$944,472</td>
<td>$2,833,415</td>
<td>$</td>
<td>Subgrants to AOT, Locals, Public Non-Profit Utilities</td>
</tr>
<tr>
<td>Total</td>
<td>$991,790</td>
<td>$2,880,733</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Revenues:</th>
<th>SFY 1</th>
<th>SFY 2</th>
<th>SFY 3</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Funds:</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>$</td>
<td>$200,000</td>
<td>$</td>
<td>ERAF</td>
</tr>
<tr>
<td>In-Kind</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Federal Funds:</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>(Direct Costs)</td>
<td>$805,672</td>
<td>$2,122,379</td>
<td>$</td>
<td>$2,928,051</td>
</tr>
<tr>
<td>(Statewide Indirect)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>(Departmental Indirect)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Other Funds:</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Grant (source)</td>
<td>$186,118</td>
<td>$558,354</td>
<td>$</td>
<td>Subgrant Match</td>
</tr>
<tr>
<td>Total</td>
<td>$991,790</td>
<td>$2,880,733</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

Appropriation No: 2140031000 Fund 22005 Amount: $2,928,051
2140031000 Fund 21555 Amount: $200,000
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

$  
$  
$  
$  
$  
Total $3,128,051

Has current fiscal year budget detail been entered into Vantage?  ☐ Yes  ☑ No

11. Will monies from this grant be used to fund one or more Personal Service Contracts?  ☑ Yes  ☐ No
If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name:  Agree by: _______________ (initial)

12. Limited Service Position Information:

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
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<tbody>
<tr>
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</table>

Total Positions

12a. Equipment and space for these positions:

☐ Is presently available.  ☐ Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: ___________________________  Date: ____________
Title: Commissioner/Deputy Commissioner

Signature: ___________________________  Date: ____________
Title: ___________________________

14. SECRETARY OF ADMINISTRATION

☑ Approved: ___________________________  Date: ____________
(Secretary or designee signature)

15. SIGNED BY GOVERNOR

☑ Accepted  3/26/15
 Reject

(Governor’s signature)

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

☐ Request Memo  ☐ Notice of Donation (if any)
☐ Dept. project approval (if applicable)  ☐ Grant (Project) Timeline (if applicable)
☐ Notice of Award  ☐ Request for Extension (if applicable)
☐ Grant Agreement  ☐ Form AA-1PN attached (if applicable)
☐ Grant Budget

Department of Finance & Management
Version 1.7_6/19/2013
DECLAREd FEBRUARY 3, 2015

SUMMARY

STATE: Vermont

NUMBER: FEMA-4207-DR

INCIDENT: Severe Winter Storm

INCIDENT PERIOD: December 9-12, 2014

DATE REQUESTED BY GOVERNOR: January 23, 2015

FEDERAL COORDINATING OFFICER: James N. Russo
National FCO Program

DESIGNATIONS AND TYPES OF ASSISTANCE:

INDIVIDUAL ASSISTANCE (Assistance to individuals and households):

Not Requested.

PUBLIC ASSISTANCE (Assistance for emergency work and the repair or replacement of
disaster-damaged facilities):

Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, Rutland, Washington, and Windsor Counties.

HAZARD MITIGATION GRANT PROGRAM (Assistance for actions taken to prevent or
reduce long term risk to life and property from natural hazards):

All areas in the State of Vermont are eligible for assistance under the Hazard Mitigation Grant Program.

OTHER: Additional designations may be made at a later date if requested by the state and warranted by the results of further damage assessments.