MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: February 15, 2018
Subject: Grant Requests #2909 - #2910

Enclosed please find two (2) items, which the Joint Fiscal Office has received from the administration.

JFO #2909 — $320,634 from the U.S. Dept. of Health and Human Services to the VT Department of Health. The funding will be used to support oral health providers that serve underserved locations, to expand medical-dental integration in three participating federally qualified health centers (FQHCs), and to implement a continuous evaluation plan. The three participating FQHCs would provide approximately $154k of in-kind support over the two year grant period for a total project budget of approximately $475k.
[JFO received 2/13/18]

JFO #2910 — $15,200 from the U.S. Food and Drug Administration to the VT Agency of Agriculture, Food and Markets. The grant funds will be used to reimburse the Agency for several FDA-mandated trainings for the milk safety program. The trainings will take place in March, May and June of 2018. The reimbursement would offset the expenditure of state funds that were previously budgeted for the trainings.
[JFO received 2/14/18]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by March 1, 2018 we will assume that you agree to consider as final the Governor’s acceptance of these requests.
**STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE**  
(Form AA-1)

### BASIC GRANT INFORMATION

1. **Agency:** Agency of Agriculture, Food & Markets  
2. **Department:** Dairy  
3. **Program:** Dairy  
4. **Legal Title of Grant:** 2018 Grade a Milk Program training needs - Vermont  
5. **Federal Catalog #:** 93.103

### Grant/Donor Name and Address:

Food and Drug Administration  
Association of Food and Drug Officials (AFDO)  
2550 Kingston Road, Ste 311  
York, PA 17402

### Grant Period:

**From:** 1/1/2018  
**To:** 12/31/2018

### Purpose of Grant:

To allow employees of the Vermont Agency of Agriculture, Food and Markets (VAAFM) involved with the Dairy section to attend required trainings mandated by the Food and Drug Administration.

### Impact on existing program if grant is not Accepted:

If the grant is not accepted, employees will lose their certifications and will not be able to enforce the Federal Pasturized Milk Ordinance as the state is entrusted by the Food and Drug Administration to enforce. Employees impacted with VAAFM would be dairy farm and plant inspectors, and one certified laboratory employee.

### BUDGET INFORMATION

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>SFY 1 FY 2018</th>
<th>SFY 2 FY</th>
<th>SFY 3 FY</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Services</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Operating Expenses</td>
<td>$15,200</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Grants</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$15,200</td>
<td>$</td>
<td>$</td>
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</table>

<table>
<thead>
<tr>
<th>Revenues:</th>
<th>SFY 1 FY 2018</th>
<th>SFY 2 FY</th>
<th>SFY 3 FY</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Funds:</td>
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<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Cash</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>In-Kind</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>Federal Funds:</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>(Direct Costs)</td>
<td>$15,200</td>
<td>$</td>
<td>$</td>
<td>$</td>
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<tr>
<td>(Statewide Indirect)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
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<tr>
<td>(Departmental Indirect)</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
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<tr>
<td>Other Funds:</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Grant (source )</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$15,200</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

| Appropriation No: | 2200020000 | Amount: | $15,200 | |
### PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts?  
   - Yes  
   - No  
   If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

   Appointing Authority Name:  
   Agreed by:  
   (initial)

12. **Limited Service Position Information:**

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
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<tbody>
<tr>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

   Total Positions

12a. Equipment and space for these positions:  
   - Is presently available.  
   - Can be obtained with available funds.

### AUTHORIZATION AGENCY/DEPARTMENT

13. **I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):**

   Signature:  
   e-Signed by Diane Bothfeld  
   on 2018-02-08 18:42:22 GMT

   Title: Director of Administrative Services IV & Dairy Policy

   Signature:  
   Date:

   Title:

### SECRETARY OF ADMINISTRATION

14. **I/We certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):**

   Signature:  
   (Secretary or designee signature)

   Date: 2-9-18

### ACTION BY GOVERNOR

15. **Check One Box:**  
   - Accepted  
   - Rejected

   (Governor's signature)  
   Date: 2/12/18

### DOCUMENTATION REQUIRED

16. **Required GRANT Documentation:**

   - Request Memo  
   - Dept. project approval (if applicable)  
   - Notice of Award  
   - Grant Agreement  
   - Grant Budget  
   - Notice of Donation (if any)  
   - Grant (Project) Timeline (if applicable)  
   - Request for Extension (if applicable)  
   - Form AA-1PN attached (if applicable)

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End Form AA-1
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).
Memorandum

To: Susanne Young, Secretary, Agency of Administration
    Stephen Klein, Chief Fiscal Officer, Joint Fiscal Committee

From: Anson Tebbetts, Secretary, Agency of Agriculture, Food & Markets
Through: Diane Bothfeld, Director of Admin Services IV and Dairy Policy, Agency of Agriculture, Food & Markets

Date: February 8, 2018

Re: Grant Funding for federally required Milk safety program trainings

The Agency of Agriculture, Food & Markets requests approval to accept the attached $15,199.98 grant for calendar year 2018 in support for staff to attend required federal trainings for the Milk Safety Program.

Specific objectives for the grant include:

- Allow Laboratory Evaluation Officer to attend an LEO required FDA training in March of 2018.
- Allow 7 dairy section inspectors and section chief to attend a required FDA training (Special Problems in Milk Protection) in May of 2018.
- Allow Dairy Plant Inspector to attend a required FDA training to continue their career development to eventually be eligible to become a rating officer. The training is called “Milk Plant Sanitation and Inspection” and takes place during March of 2018.
- Allow Dairy Farm Inspector to attend a required FDA training to continue their career development to eventually be eligible to become a rating officer. The training is called “Dairy Farm Sanitation and Inspection” and takes place during June of 2018.

These trainings are needed to maintain staff training requirements to fully enforce the Federal Pasteurized Milk Ordinance.
## STATE OF VERMONT
### FINANCE & MANAGEMENT GRANT REVIEW FORM

<table>
<thead>
<tr>
<th>Grant Summary:</th>
<th>Federal financial support to train Agency of Agriculture staff in support of National Grade &quot;A&quot; Milk Safety programs.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
<td>2/9/2018</td>
</tr>
<tr>
<td>Department:</td>
<td>Agency of Agriculture, Food &amp; Markets</td>
</tr>
<tr>
<td>Legal Title of Grant:</td>
<td>2018 Grade A Milk Program Training Needs - Vermont</td>
</tr>
<tr>
<td>Federal Catalog #:</td>
<td>93.103</td>
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<tr>
<td>Grant/Donor Name and Address:</td>
<td>FDA</td>
</tr>
<tr>
<td>Grant Period:</td>
<td>From: 4/1/2018 To: 7/31/2018</td>
</tr>
<tr>
<td>Grant/Donation</td>
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</tr>
<tr>
<td>SFY 1</td>
<td>SFY 2</td>
</tr>
<tr>
<td>Grant Amount:</td>
<td>$5,300</td>
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</table>

<table>
<thead>
<tr>
<th>Position Information:</th>
</tr>
</thead>
<tbody>
<tr>
<td># Positions</td>
</tr>
<tr>
<td>0</td>
</tr>
</tbody>
</table>

### Additional Comments:
- Has Vantage budget detail been reviewed and reconciled? [ ] Yes [x] No

- (Analyst Initial) [3/9/18]

### Department of Finance & Management
(Initial)

### Secretary of Administration
(Initial)

### Sent To Joint Fiscal Office
2/13/18

(Initial)

Date
December 14, 2017

Grant Number: G-MT-1710-01098  
Project Title: 2018 Grade a Milk Program training needs -Vermont  
Award Value: $15,199.98  
Project Period: January 1, 2018 to December 31, 2018

Gregory Lockwood  
Vermont Agency of Agriculture, Food & Markets  
116 State Street  
Montpelier, Vermont 05620-2901

Dear Gregory Lockwood:

Congratulations. Your application has been approved for 2018 Grade a Milk Program training needs -Vermont as part of the State Cooperative Program Grants in support of National Grade “A” Milk Safety and National Shellfish Sanitation Programs, funded by the United States Food and Drug Administration (FDA). Approval is based on review of the application submitted by you on behalf of Vermont Agency of Agriculture, Food & Markets to the Association of Food and Drug Officials (AFDO).

As part of your application, your agency has made an assurance that it will comply with all applicable Federal statutes and regulations in effect during the grant period, including applicable parts of 45 CFR Parts 74 and 92. Acceptance of this award and/or any funds provided by the Cooperative Programs Grant Program acknowledges agreement with all of the terms and conditions in this award letter.

Your award is based on the above-title project application, submitted to and approved by AFDO, and is subject to the following terms and conditions:

- **The grantee must complete the full scope of work and all tasks outlined in the approved grant application by December 31, 2018 unless a written exception is granted by the AFDO Programmatic Point of Contact for this grant award.**
- **Any changes to the scope, tasks, deliverables, or expenses of this project must be approved in advance and in writing by the AFDO Programmatic Point of Contact prior to work being modified or completed.**
- The grantee must abide by the grant guidance for the program, available as a PDF file on the Milk and Shellfish Grant Program portal at [http://afdo.org/msgrants](http://afdo.org/msgrants). This portal is also the site where you can find additional information/updates regarding this grant program, and where you can log in for project status and submission of required reports.
- **Per United States Department of Health and Human Services Grants Policy, expenses for food or beverage are generally not allowed unless it is part of a per diem allowance provided in conjunction with allowable travel.**
- **A Final Project Report must be submitted through the online grants portal no more than 45 days after December 31, 2018. As part of the final report, the grantee must provide a full accounting of all expenditures made with funds from this grant award, accompanied by the documentation specified in the reporting section of the grant guidance.**
- As a reminder, recipients of funding through this program are required to assure that project activities achieve greater conformance with either the National Grade “A” Milk Safety Program or National Shellfish Sanitation Programs, depending on the project.
The amount of $15,199.98 represents the full amount of funds to which you are entitled. Additionally, your award is bound by the following comments related to your project:

Priority 1 is fully funded. Priority 2 is funded for attendance at FD372 (1 attendee), FD375 (1 attendee) at the $2,000 maximum per person. Priority 3 funded to attend FD577 (1 attendee).

Grant awards are made with the understanding that the Cooperative Programs staff may require clarification of information within your application, as necessary, during the application, project, or reporting periods. These inquiries may be necessary to allow us to appropriately carry out our administrative responsibilities.

Please note, the Catalog of Federal Domestic Assistance (CFDA) number for this United States Food and Drug Administration grant, awarded to the Association of Food and Drug Officials on 8/3/2016, is 93.103. Your grant is considered a subaward under this AFDO grant.

If you have questions about this award, please contact your AFDO Programmatic Point of Contact. We appreciate your ongoing support of the National Grade “A” Milk Safety and National Shellfish Sanitation Programs.

Sincerely,

Joe Corby
Executive Director
Association of Food and Drug Officials
2550 Kingston Road
Suite 311
York, PA 17402

AFDO Programmatic Point of Contact:
Michael Turner
msgrants@afdo.org
(850) 583-4593

cc: Gerald Berg (Gerald.Berg@fda.hhs.gov)
    Dan Lukash (Daniel.Lukash@fda.hhs.gov)