

STATE OF VERMONT JOINT FISCAL OFFICE

MEMORANDUM

To:James Reardon, Commissioner of Finance & ManagementFrom:Nathan Lavery, Fiscal Analyst

Date: December 21, 2009

Subject: JFO #2414, #2415, #2416, #2417, #2418

No Joint Fiscal Committee member has requested that the following items be held for review:

JFO #2414 — \$330,534 grant from the U.S. Department of Homeland Security to the Department of Public Safety. These grant funds will be used to purchase interoperable radio communications equipment and underwater surveillance equipment in order to enhance emergency response and underwater security capabilities. This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA). [JFO received 11/20/09]

JFO #2415 — \$1,055,355 grant from the U.S. Department of Justice to the Department of Public Safety. These grant funds will be used to link Vermont's behavioral health information exchange with several justice databases from state and federal agencies in order to help prevent and combat crime. This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA). [JFO received 11/20/09]

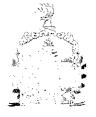
JFO #2416 — \$765,835 grant from the U.S. Department of Energy to the Public Service Board. These funds will be distributed to increase the capacity of the Public Service Board to manage an increase in regulatory activities resulting from the American Recover and Reinvestment Act. This grant is a competitive award under the American Recovery and Reinvestment Act. [JFO received 11/20/09]

JFO #2417 — \$298,920 grant from the U.S. Substance Abuse and Mental Health Services Administration to the Judiciary. These grant funds will be used to establish an enhanced treatment and case management system and protocols for the Rutland Drug Court, including use of a risk and needs assessment tool. [*JFO received 11/20/09*] JFO #2418 — Request to establish one (1) limited service position in the Department of Public Safety. Funding for this position is provided by the \$3,061,782 Byrne Justice Assistance Grant awarded under the American Recovery and Reinvestment Act.

[*JFO received 12/3/09*]

The Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Thomas Tremblay, Commissioner James Volz, Chair Robert Greemore, Acting Court Administrator



STATE OF VERMONT JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members

From: Nathan Lavery, Fiscal Analyst

Date: November 24, 2009

Subject: Grant Requests

Enclosed please find four (4) requests that the Joint Fiscal Office has received from the administration. If approved, these requests would result in the establishment of 4 new limited service positions (3.6 FTEs).

JFO #2414 — \$330,534 grant from the U.S. Department of Homeland Security to the Department of Public Safety. These grant funds will be used to purchase interoperable radio communications equipment and underwater surveillance equipment in order to enhance emergency response and underwater security capabilities. This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA). [*JFO received 11/20/09*]

JFO #2415 — \$1,055,355 grant from the U.S. Department of Justice to the Department of Public Safety. These grant funds will be used to link Vermont's behavioral health information exchange with several justice databases from state and federal agencies in order to help prevent and combat crime. One limited service position request is included in this submission. This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA). [JFO received 11/20/09]

JFO #2416 — \$765,835 grant from the U.S. Department of Energy to the Public Service Board. These funds will be distributed to increase the capacity of the Public Service Board to manage an increase in regulatory activities resulting from the American Recover and Reinvestment Act. Three limiter service positions requests are included in this submission (2.6 FTEs). This grant is a competitive award under the American Recovery and Reinvestment Act (ARRA) and expedited approval of this item has been requested. The Joint Fiscal Committee members will be contacted within two weeks with a request to waive the statutory review period and accept this item. [*JFO received 11/20/09*]

JFO #2417 — \$298,920 grant from the U.S. Substance Abuse and Mental Health Services Administration to the Judiciary. These grant funds will be used to establish an enhanced treatment and case management system and protocols for the Rutland Drug Court, including use of a risk and needs assessment tool.

[JFO received 11/20/09]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; <u>nlavery@leg.state.vt.us</u>) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by <u>December 8</u> we will assume that you agree to consider as final the Governor's acceptance of these requests.

cc: James Reardon, Commissioner Thomas Tremblay, Commissioner James Volz, Chair Robert Greemore, Acting Court Administrator 112 State Street 4th Floor Montpelier, VT 05620-2701 TEL: 802-828-2358



TTY/TDD (VT): 800-253-0191 FAX: 802-828-3351 E-mail: psb.clerk@state.vt.us Internet: http://www.state.vt.us/psb

State of Vermont **Public Service Board**

702416

MEMORANDUM

To: Joint Fiscal Committee

From: James Volz, Chairman

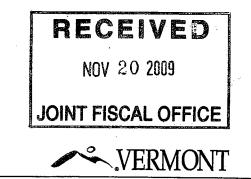
Re: Request for Expedited ARRA Approval

Date: November 3, 2009

The Public Service Board's ARRA grant allows the Board to increase personnel to manage significant increases in regulatory activity in electricity-related topical areas, such as renewable energy, energy efficiency, demand-response, smart grid, and energy storage.

The Board requests expedited approval of our ARRA funds because we have already begun to experience an increase in our workload due in part to the recent enactment of legislation designed to encourage the development of renewable energy. To help meet this increase, we would like to start the process of hiring as soon as possible. The hiring of utility analysts historically takes a significant amount of time due to the specialized nature of the job requirements. As we approach the holiday season that time frame is likely to extend even further.

Thank you for your consideration of this matter.



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Revision Date

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NOTE: Incomplete forms with the sector neuro acrea and site study. If result in the delay, of spending authority repase -BASIC ARRA INFORMATION 2. Department (ARRA-F): Vermont, State of DBA: Vermont Public Service Board, 112 1. Agency (ARRA-F): 3. DUNS # (ARRA-C): 103396540 State Street 4th Floor, Montpelier, VT 05620-2701 City/town: Montpelier County: Washington 4. Office Location: 5. ARRA Activity (ARRA 1-01): ARRA State Regulatory Assistance 6. ARRA Code (ARRA 2-1): 926130 7. Legal Title of Grant | State Electricity Regulators Assistance 8. Federal Agency Award # (ARRA-B): DE-0E0000161 9. CFDA # (ARRA-E): 81.122 10, Federal Funding Agency's US Treasury Account Symbol (TAS): (if provided by the federal funding agency) U.S. DOE/NETL Pittsburgh Campus 11. Federal (or VT) Funding Agency (ARRA-A): 626 Cochrans Mill Road 12. Award Date: 11/1/2009 and the second second second PO Box 10940 Pittsburgh, PA 15236-0940 14. Check if this amount is an estimate: 13. Award Amount \$765,835 11/1/2009 15. Grant Period (ARRA-H) From: 10/31/2013 To: 16. Date by which ARRA funds must be: 🗌 Obligated by Date: and/or X Spent by Date: 10/31/2013 17. Purpose of Grant/ARRA Narrative (ARRA 2-02): Increase the capacity of the Vermont Public Service Board, the public utility commission for the State of Vermont, to manage an anticipated significant increase in regulatory activity resulting from the following electricity-related topical areas stimulated by the American Recovery and Reinvestment Act of 2009: energy efficiency, electricitybased renewable energy, energy storage, smart grid, electric and hybrid-electric vehicles, demand-response equipment, transmission, and distribution. Facilitate timely consideration by the Board of dockets and requests for regulatory action pertaining to the listed electricity-related topical areas. 18. Area that will Benefit (name the state, county, city or school district): Vermont 19. Impact on existing program if grant is not Accepted: It will take materially longer for the Board to process and review applications in ARRA electricity-related topical areas, such as new renewable energy projects and smart grid projects.

20. BUDGET INFORMATION (Nois the total of columns A+B+C must equal the total of columns D+E+F) **Column Reference** ←-----State Fiscal Year-----> (----**Federal Fiscal Year-**SFY 2011 & SFY 2011 & SFY 2009 FFY 2009 SFY 2010 FFY 2010 Beyond Fiscal Year Beyond Expenditures: **\$0**. Personnel Costs \$101,885 \$493,920 \$0 \$161,318 \$434,487 \$0 \$0 \$0 \$0 \$0 \$0 3rd Party Contracts \$37,600 \$132,430 \$55,576 Operating Expenses \$ \$ \$114,454 Grants/Sub-Awards \$ \$ \$ \$ \$ \$ \$139,485 \$626,350 \$ \$ \$216,894 **Total Expenditures** \$548,941 **Revenues:** \$ \$ State Funds: \$ \$ \$ \$ \$ \$ \$ \$ Ś \$ Cash

ARRA Activity Acceptance_ Form ESR-2.dot_v1.4

PROGRAM TR. 702

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ASSISTANCE AGREEMENT					
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5. Awarded To		6. Sponsorir	ng Office		7. Period of Performance
VERMONT, STATE OF	· ·	U.S. DOE	/NÉTL		11/01/2009
Attn: BRENDA CHAMBERLIN	• •	Maureen	Davison, Contract	Specialist	through
112 STATE STREET		626 Coch	rans Mill Road		10/31/2013

Pittsburgh PA 15236-0940

Attn: BRENDA	CHAMBERLIN
112 STATE STR	REET
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ERMONT, STATE OF		Govt. Share: \$765.83		65,835,00

PO Box 10940

JERMONT, STATE OF	Govt. Share: \$765,835.00	This action: \$765,835.00
Attn: BRENDA CHAMBERLIN	Cost Share : \$0.00	Total : \$765,835.00
112 STATE STREET	Total : \$765,835.00	
4TH FLOOR		
MONTPELIER VT 056202701		

4. Principal Investigator	15. Program Manager	16. Administrator
James Volz, 802-828-2358	Joseph L. Hanna	U.S. DOE/NETL
ames.volz@state.vt.us	Phone: 304-285-4482	Pittsburgh Campus
		626 Cochrans Mill Road
		PO Box 10940 Pittsburgh PA 15236-0940

7. Submit Payment Requests To	18. Paying Office	19. Submit Reports To
ayment - Direct Payment rom U.S. Dept of Treasury	· · ·	See Reporting Requirements

0. Accounting and Appropriation Data

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I. Research Title and/or Description of Project

TATE ELECTRICITY REGULATORS ASSISTANCE (VT)

For the Recipient	•	For the United States of America		
2. Signature of Person Authorized to Sign		25. Signature of Grants/Agreements Officer		
			- <u> </u>	
Name and Title	24. Date Signed	26. Name of Officer	27. Date Signed	
		John R. Golovach	10/30/2009	

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CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED DE-OE0000161

PAGE 2 OF 2

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	412-386-5163				
	Maureen.Davison@netl.doe.gov				
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	Recipient Business Point of Contact:	. ·			
w.	Brenda Chamberlin	•			
	802-828-1152	ŀ			
	brenda.chamberlin@state.vt.us				
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	Project Period of Performance:		·		
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•	Block 9 Authority: PL 95-91 DOE Organization Act				
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ATTACHMENT 2

STATEMENT OF PROJECT OBJECTIVES (SOPO)

A. OBJECTIVES

The objectives of this initiative are to: 1) increase the capacity of state PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas; 2) facilitate timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas; and 3) create jobs.

The initiative focuses on building state PUC capacity to ensure timely consideration by appropriate regulatory processes for ARRA electricity-related topical areas. Electricity-related ARRA topical areas include, but are not necessarily limited to: energy efficiency, electricity-based renewable energy, energy storage, smart grid, electric and hybrid-electric vehicles, and demand response equipment, coal with carbon capture and storage, and transmission.

B. SCOPE OF WORK

The following activities are addressed:

- Increasing the capacity of the State PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas;
- Facilitating timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas
- ANNA electricity-related topical aleas

The projects will be assessed according to the following performance metrics:

- Number of electricity specialists hired by the PUC,
- Number of PUC electricity specialists trained in ARRA electricityrelated topical areas, and
- Number of ARRA electricity-related dockets managed by the PUC

C. TASKS TO BE PERFORMED

Note: These are tasks that will be included in the Grant award; however subtasks may be added to the Project Management Plan, if needed, to help better describe the project approach.

Task 1.0 - Project Management Plan

The Recipient will prepare a Project Management Plan that details the work elements (e.g., administration of the grant, opening and managing dockets, conducting workshops or other proceedings on topics relevant to this FOA, etc.), required to manage and report on activities in accordance with the ARRA and grant requirements. This Plan will also document the project plan and budget for carrying out all Tasks and completing all Deliverables under this Grant. With the understanding that PUC workload is at times unpredictable, it is anticipated that this document will be periodically revised during the performance period, but at all times will provide sufficient detail to plan, carry out and monitor all project activities.

Task 2.0 – Workforce Development Plan

The Recipient will prepare and follow a Workforce Development Plan that results in development of in-house expertise within the PUC funded by this FOA. The Plan will address acquiring/hiring, retaining, and training personnel in electricity topic areas. Task 3.0 – ARRA Case Monitoring Reports

The Recipient will provide quarterly reports summarizing the status of all ARRA electricityrelated PUC activities (e.g., typical PUC work products, such as dockets, final orders, legal findings, workshops, etc.) being serviced by staff supported with funding under this FOA. The reports shall include key dates for the PUC work products, as well as the work products themselves. Actual work products may not necessarily be included if a summary of each with a URL link to the PUC website for the full work product is provided. For example, the name of any docket and a link to the docket itself may be provided.

D. DELIVERABLES

Note: These are the deliverables that will be included in the Grant award; however additional deliverables may be added to the Project Management Plan, if needed, to help better describe the project approach.

Deliverable 1.0 – Project Management Plan (Plan due 60 days after the award and revised as necessary throughout the performance period.)

Deliverable 2.0 – Workforce Development Plan (Plan due 90 days after the award and revised periodically if necessary throughout the performance period.)

Deliverable 3.0 – ARRA Case Monitoring Reports (The initial Report is due six months after the award and subsequent reports are due thereafter on 3 month intervals.)

2

Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

ATTACHMENT 4 - Budget Information - Non Construction Programs - Summary

•						OMB Approval No. 0348-0044
Section A - Budget Summary	Catalog of Federal	Estimated Unobl	aated Funds		New or Revised Budget	
Grant Program Function or Activity	Domestic Assistance Number	Federal	Non-Federal	Federal	Non-Federal	Total
(a)	(b)´	(c)	(d)	(e)	(f)	(g)
1. ARRA State Regulators Assistance	81.122			\$765,835	\$0	\$765,835
2.						\$0
3.		· · ·				\$0
4.	······································		•			\$0
5. Totals		\$0	\$0	\$765,835	5 \$0	
Section B - Budget Categories			والمتحد والمترجي وراحا فالمتحدث وتشتك ومتجا والمتحتي كالتحالم ويركر والمارا التناسية	des a la section de la section		
6. Object Class Categories	:	(1)	(2)	n, Function or Activity (3)	(4)	Total (5)
a. Personnel	······································	\$369,563			· · · · · · · · · · · · · · · · · · ·	\$369,563
b. Fringe Benefits		\$226,219				\$226,219
c. Travel		\$71,213				\$71,213
d. Equipment		\$0				\$0
e. Supplies		\$7,799			· · · · · · · · · · · · · · · · · · ·	\$7,799
f. Contractual		\$87,216				\$87,216
g. Construction	· · ·	\$0				\$0
h. Other		\$0				\$0
i. Total Direct Charges (sum of	6a-6h)	\$762,010	\$(\$0	\$762,010
j. Indirect Charges		\$3,825				\$3,825
k. Totals (sum of 6i-6j)		\$765,835	\$0)	\$0	\$765,83
7. Program Income		\$0 \$0	Page 1 of 4			\$(

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Section C - Non-Federal Resources			1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997		
(a) Grant Progr	ram	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
3. ARRA State Regulators Assistance	· · · · · ·	\$0	\$0	\$0	\$0
9.					\$0
10.			. •		\$0
11.	····				\$0
12. Total (sum of lines 8 - 11)		\$0	\$0	\$Ó	\$0
Section D - Forecasted Cash Needs					
13. Federal	Total for 1st Year \$283,828	1st Quarter \$66,743	2nd Quarter \$72,743	3rd Quarter \$77,409	4th quarter \$66,933
14. Non-Federal			\$0	\$0	\$0
		+ +			
15. Total (sum of lines 13 and 14) Section E - Budget Estimates of Federal Funds Nee	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
Section L - Dudget Estimates of Federal I unds nee			Future Fur	nding Periods (Years)	
(a) Grant Progra	am	(b) First	(c) Second	(d) Third	(e) Fourth
16. ARRA State Regulators Assistance	· · · · · · · · · · · · · · · · · · ·	\$283,828	\$273,453	\$127,692	\$80,864
17.			•		· · ·
18.					
19.					
20. Total (sum of lines 16-19)		\$283,828	\$273,453	\$127,692	\$80,864
Section F - Other Budget Information					
21. Direct Charges		22. Indirect Charges	· · ·		
23. Remarks		- A		· ·	
			· · · · · · · · · · · · · · · · · · ·		· · · ·
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		Page 2 of 4		· · · · ·	
	· · ·	ructions for the SF-42			· · · · · · · · · · · · · · · · · · ·

provided by the sponsoring agency.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5-Show the totals for all columns used.

Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i-Show the totals of Lines 6a to 6h in each column.

Line 6j-Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

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Section C. Non-Federal Resources

Lines 8-11—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a)—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)-Enter the contribution to be made by the applicant.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19—Enter in Column (a) the same grant program titles shown in Column

Page (a) 4Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funde for the surrout year of evicting grants.

Column (c)—Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d)—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)—Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15—Enter the totals of amounts on Lines 13 and 14.

runos for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23-Provide any other explanations or comments deemed necessary.

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Page 4 of 4

SF-424A (Rev. 4-92 Prescribed by OMB Circular A-102

Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

ATTACHMENT 4 - Budget Information - Non Construction Programs -Year 1

Section A - Budget Summary Grant Program Function or Activity Domestic As		A CONTRACTOR OF A CARD			UMB Approval No. 0348-004			
	Federal	Estimated	Unobligated Funds		New or Revised			
		Federal	Non-Federal	Federal	Non-Federal	Total		
(a)(b)		(c)	(d)	(e)	(f) .	(g)		
•						•		
1. ARRA State Regulator's Assistance 81.12	22			enon 010		\$283,828		
1. AAAA Sizie Regulators Assistance 01.14	~~			\$283,828	\$0	. \$203,020		
					l	· · · · · · · · · · · · · · · · · · ·		
2.		•				\$0		
3.						\$0		
4.						\$0		
5. Totals		<u>\$0</u>				\$283,828		
Section B - Budget Categories								
6. Object Class Categories	. –			unction or Activity		Total (5)		
	(1		(2)	<u>(3)</u>	(4)			
a. Personnel		\$137,218		•		\$137,218		
b. Fringe Benefits		\$83,534			· _ ·	\$83,534		
c. Travel		\$24,725	· · ·		· · · ·	\$24,725		
d. Equipment					•	\$0		
e. Supplies	<u></u>	\$7,800	·	·		\$7,800		
f. Contractual		\$26,726				\$26,726		
g. Construction	· · · ·	\$0				\$0		
h. Other		\$0		· ·		\$0		
I. Total Direct Charges (sum of 6a-6h)		\$280,003	\$0	\$0	• \$0	\$280,003		
j. Indirect Charges		\$3,825				\$3,825		
k. Totals (sum of 6i-6i)		\$283,828	\$0			\$283.828		
7. Program Income		\$0				\$0		
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Section C • Non-Federal Resources	188		a fin 2 desember					
(a) Grant Program	56	241000000 39	(b) Applicant	(c) State	(d) Other Sources	(e) Totais		
8. ARRA State Regulators Assistance			\$0	107 otato. \$0	\$0	\$0		
9.						\$0		
10.					• •	\$0		
11.						\$0		
12. Total (sum of lines 8 - 11)			\$0	\$0	\$0	\$0		
Section D - Forecasted Cash Needs	题				副注 情			
÷ ;	T	otal for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter		
13. Federal		\$283,828	\$66,743	\$72,743	\$77,409	\$66,933		
14. Non-Federal		\$0	\$0	\$0	\$0	\$0		
15. Total (sum of lines 13 and 14)		\$283,828	\$66,743	\$72,743	\$77,409	\$66,933		
Section E - Budget Estimates of Federal Funds Needed for Ba	alance of the	Project 2		a start of and her	FEAGLE BASE			
• • • ·		ŀ			ing Periods (Years)			
			(b) First	(c) Second	(d) Third	(e) Fourth		
(a) Grant Program			\$283,828	\$273,453	\$127,692	\$80,864		
6. ARRA State Regulators Assistance								
6. ARRA State Regulators Assistance 17.					+	•		
16. ARRA State Regulators Assistance 17. 18.						·		
16. ARRA State Regulators Assistance 17. 18. 19.		·····	\$283 828	\$273 453	\$127,692	\$80.864		
			\$283,828	\$273,453	\$127,692	\$80,864		

23. Remarks

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SF-424A (Rev. 4-92) Prescribed by OMB Circular A-102 Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

Budget Information - Non Construction Programs - Year 2

OMB Approval No. 0348-0044 Section A · Budget Summary Estimated Unobligated Funds Grant Program New or Revised Budget Catalog of Federal Domestic Assistance Function or Activity Federal Non-Federal Federal Non-Federal Total (a) (b) (c) (d) (e) (f) (q) 81.122 \$0 \$273,453 \$273,453 Assistance 2. \$0 3. \$0 4. \$0 \$273,453 \$0 \$273,453 \$0 \$0 5. Totais Section B - Budget Categories Grant Program, Function or Activity 6. Object Class Categories Total (5) (2) (3) (4) a. Personnel \$137,617 \$137,617 \$90,248 \$19,294 b. Fringe Benefits \$90,248 \$19,294 c. Travel d. Equipment \$0 \$0 \$0 e. Supplies \$0 \$26,294 \$26,294 f. Contractual g. Construction \$0 \$0 h. Other \$0 \$0 I. Total Direct Charges (sum of 6a-6h) \$0 \$0 \$0 \$273,453 453 j. Indirect Charges \$0 \$0 \$273,453 k. Totals (sum of 6i-6j) \$0 \$0 \$0 \$273.453 Program Income \$0 \$0 SF-424A (Rev. 4-92) Previous Edition Usable Prescribed by OMB Circular A-102 Authorized for Local Reproduction Section C - Non-Federal Resources (a) Grant Program (c) State (b) Applicant (d) Other Sources (e) Totals ARRA State Regulators Assistance \$0 \$0 \$0 \$0 \$0 9. 10. \$0 11. \$0 12. Total (sum of lines 8 - 11) \$0 \$0 \$0 \$0 Section D - Forecasted Cash Needs Total for 1st Year 1st Quarter 2nd Quarter 3rd Quarter 4th quarter 13. Federal \$273,453 \$64,349 \$75,628 \$74,392 \$59,084 Non-Federal \$0 \$0 \$0 \$0 14. \$0 \$64,349 \$273,453 \$74,392 \$59,084 15. Total (sum of lines 13 and 14) \$75,628 Section E - Budget Estimates of Federal Funds Needed for Balance of the Project 2 Future Funding Periods (Years) (a) Grant Program (b) First (c) Second (d) Third (e) Fourth ARRA State Regulators Assistance \$273,453 \$127,692 \$80,864 16. 17. 18. 19. \$273,453 \$127,692 \$80,864 Total (sum of lines 16-19) 20. SO Section F - Other Budget Information 22. Indirect Charges 21. Direct Charges 23. Remarks

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SF-424A (Rev. 4-92)

Prescribed by OMB Circular A-102

Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

Budget Information - Non Construction Programs -Year 3

	g+	•			-	OMB Approval No. 0348-0044
Section A - Budget Summary				n de la composition de	N H	B 新 编辑
Grant Program	Catalog of Federal	Estimated Uno	bligated Funds		New or Revised	Budget
Function or Activity	Domestic Assistance	Federal	Non-Federal	Federal	Non-Federal	Total
. (a)	(b)	(c).	(d)	(e)	(1)	(g).
ARRA State Regulators Assistance	81.122			\$127,692	\$0	\$127,692
2.		· · ·				\$0
3.	·					\$0
4.	·	· · · · · ·				\$0
5. Totals		\$0		\$127,692	\$0	\$127,692
Section B - Budget Categories						
6. Object Class Categorie	s.		Grant Program, Function			Total (5)
· · ·	· · · · · · · · · · · · · · · · · · ·	(1)	(2)	(3)	(4)	
a. Personnel		\$63,008				\$63,008
b. Fringe Benefits	· · · · · · · · · · · · · · · · · · ·	\$34,958				\$34,958
c. Travel	<u> </u>	\$13,863				\$13,863
d. Equipment		\$0				. \$0
e. Supplies		\$0				\$0
f. Contractual	,	\$15,863		·		\$15,863
g. Construction	· · · · · · · · · · · · · · · · · · ·	\$0				\$0
h. Other		\$0			· · · ·	\$0
i. Total Direct Charges	(sum of 6a-6h)	\$127,692		\$0	\$0	\$127,692
j. Indirect Charges		\$0			-	\$0
k. Totals (sum of 6i-6j)		\$127,692	\$0	\$0	\$0	\$127,692
7. Program Income		\$0	<u> </u>		<u> </u>	\$0
•					· .	SF-424A (Rev. 4-92)
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Section	C - Non-Federal Resources		a v El como de la como			
	(a) Grant Prog	ram	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
. 8.	ARRA State Regulators Assistance	1 . ·	\$0	\$0	\$0	\$(
9.						\$(
10.						. \$0
11.	•					\$0
12.	Total (sum of lines 8 - 11)		\$0	\$0	\$0	\$0
Section	D - Forecasted Cash Needs		1 · · ·	·利亚国家 带上。		1 - 1 - 1
•		Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
13.	Federal	\$127,692	\$28,375	\$37,971	\$36,738	\$24,608
14.	Non-Federal	\$0	\$0	\$0	\$0	. \$0
15.	Total (sum of lines 13 and 14)	\$127,692	\$28,375	\$37,971	\$36,738	\$24,608
Section	E - Budget Estimates of Federal Funds Needed for	or Balance of the Project				
				Future Fundia	ng Periods (Years)	· · · · · · · · · · · · · · · · · · ·
	(a) Grant Program		(b) First	(c) Second	(d) Third	(e) Fourth
16.	ARRA State Regulators Assistance		\$127,692	\$80,864		
17.	· · · · · · · · · · · · · · · · · · ·					
18.	· · · · · · · · · · · · · · · · · · ·					
19.		· · · · ·	1.11			
20.	Total (sum of lines 16-19)	•	\$127,692	\$80,864	\$0	\$0
	F - Other Budget Information					
	t Charges		22. Indirect Charges			
2			· *	· .		•

23. Remarks

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Applicant Name: Vermont Public Service Board Award Number: FOA-DE-0000100

Budget Information - Non Construction Programs -Year 4

(1) (2) (3) (4) a. Personnel \$31,720	Total (g) \$80,864 \$0 \$0 \$0 \$0 \$0 \$0 \$80,864 \$17,479 \$13,320 \$11,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$80,864 \$80,864 \$80,864
Function or Activity Domestic Assistance Federal Non-Federal Federal Non-Federal Non-Federal </td <td>(g) \$80,864 \$0 \$0 \$0 \$0 \$0 \$0 \$80,864 \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$0 \$80,864 \$0 \$0 \$0 \$0,80 \$0 \$0,80 \$0 \$0,804 \$0,804 \$</td>	(g) \$80,864 \$0 \$0 \$0 \$0 \$0 \$0 \$80,864 \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$0 \$80,864 \$0 \$0 \$0 \$0,80 \$0 \$0,80 \$0 \$0,804 \$0,804 \$
(a) (b) (c) (d) (e) (f) 4. Assistance 3.	(g) \$80,864 \$0 \$0 \$0 \$0 \$0 \$0 \$80,864 \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$0 \$80,864 \$0 \$0 \$0 \$0,80 \$0 \$0,80 \$0 \$0,804 \$0,804 \$
1. ARRA State Regulators Assistance 81,122 \$80,864 \$0 2. 3. 4. 5. Totals \$0 \$80,864 \$0 5. Totals \$0 \$0 \$0 \$80,864 \$0 6. Object Class Categories 6. Grant Program, Function or Activity 1	\$80,864 \$0 \$0 \$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0
I. Assistance 01,122 00,004 00 2.	\$0 \$0 \$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0
2. 3.	\$0 \$0 \$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0
3. 4. 5. Totals \$0 \$0 \$80,864 \$0 Section B - Budget Categories 6. Object Class Categories Grant Program, Function or Activity 10 (2) (3) (4) a. Personnel \$31,720 4 b. Fringe Benefits \$17,479 4 c. Travel \$13,332 4 d. Equipment \$0 4 e. Supplies \$0 4 f. Contractual \$18,333 4 g. Construction \$0 4 h. Other \$0 4 j. Indirect Charges (sum of 6a-6h) \$80,864 \$0 k. Totals (sum of 6I-6j) \$80,864 \$0 \$0 f. Total Direct Charges \$0 4 \$0 k. Totals (sum of 6I-6j) \$80,864 \$0 \$0 f. Total Supplies \$0 \$0 \$0 f. Total Sup of 6I-6j) \$80,864 \$0 \$0 f. Total Sup of 6I-6j) \$0 \$0	\$0 \$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$80,864 \$0 \$80,864 \$0
4. \$0 \$0 \$80,864 \$0 Section B - Budget Categories Grant Program, Function or Activity 1	\$0 \$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$0 \$18,333 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0
5. Totals \$0 \$0 \$80,864 \$0 Section B - Budget Categories 6. Object Class Categories Grant Program, Function or Activity 1 a. Personnel \$31,720 (4) 1 b. Fringe Benefits \$17,479 (2) (3) (4) c. Travel \$13,332 (4) (5) (4) d. Equipment \$0 (1) (2) (2) (3) (4) e. Supplies \$13,332 (1) (2) (3) (4) (5) (5) (5) (5) (5) (5) (5) (5) (5) (5) (5) (5) (5)	\$80,864 Total (5) \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0
Section B - Budget Categories Grant Program, Function or Activity 6. Object Class Categories (1) (2) (3) (4) a. Personnel \$31,720 b. Fringe Benefits \$17,479 c. Travel \$17,479 d. Equipment \$0 e. Supplies \$0 f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 j. Indirect Charges \$0 j. Indirect Charges \$0 \$0 j. Indirect Charges \$0 \$0 j. Total S (sum of 6a-6h) \$\$80,864 \$0 \$\$0 j. Total Direct Charges \$\$0 \$\$0 \$\$0 \$\$0 \$\$0 \$\$0 \$\$0 \$\$\$7 Previous Edition Usable \$\$\$\$2 \$\$\$\$2 </td <td>Total (5) \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0 \$0</td>	Total (5) \$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0 \$0
Grant Program, Function or Activity I a. Personnel \$31,720 (4) 1 b. Fringe Benefits \$17,479	\$31,720 \$17,479 \$13,332 \$0 \$0 \$18,333 \$0 \$0 \$0 \$80,864 \$0
6. Object Class Categories (1) (2) (3) (4) a. Personnel \$31,720	\$31,720 \$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$0 \$80,864 \$0
a. Personnel \$31,720 b. Fringe Benefits \$17,479 c. Travel \$13,332 d. Equipment \$0 e. Supplies \$0 f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 j. Indirect Charges \$0 k. Totals (sum of 6i-6i) \$80,864 7. Program Income \$0 \$0 SF- \$1 Previous Edition Usable \$0	\$17,479 \$13,332 \$0 \$18,333 \$0 \$18,333 \$0 \$80,864 \$0 \$0
b. Fringe Benefits \$17,479 c. Travel \$13,332 d. Equipment \$0 e. Supplies \$0 f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 j. Indirect Charges \$0 k. Totals (sum of 6i-6j) \$80,864 y. Totals (sum of 6i-6j) \$90	\$17,479 \$13,332 \$0 \$18,333 \$0 \$0 \$80,864 \$0
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d. Equipment \$0 e. Supplies \$0 f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 \$0 j. Indirect Charges \$0 k. Totals (sum of 6i-6j) \$80,864 \$0 \$0 7. Program Income \$0	\$0 \$0 \$18,333 \$0 \$0 \$80,864 \$0
e. Supplies \$0 f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 \$0 j. Indirect Charges \$0 k. Totals (sum of 6i-6i) \$80,864 \$0 \$0 \$1 \$0 \$2 \$0 \$2 \$0 \$3 \$0 \$4 \$0 \$5 \$6 Previous Edition Usable Prescribed by ON	\$0 \$18,333 \$0 \$0 \$80,864 \$0
f. Contractual \$18,333 g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 \$0 j. Indirect Charges \$0 k. Totals (sum of 6i-6i) \$80,864 \$0 \$0 x. Totals (sum of 6i-6i) \$80,864 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0	\$18,333 \$0 \$0 \$80,864 \$0
g. Construction \$0 h. Other \$0 i. Total Direct Charges (sum of 6a-6h) \$80,864 j. Indirect Charges \$0 k. Totals (sum of 6i-6j) \$80,864 x. Totals (sum of 6i-6j) \$80,864 <	\$0 \$0 \$80,864 \$0
h. Other \$0 I. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 \$0 j. Indirect Charges \$0 \$0 \$0 k. Totals (sum of 6i-6j) \$80,864 \$0 \$0 k. Totals (sum of 6i-6j) \$80,864 \$0 \$0 7. Program Income \$0 \$0 SF- Previous Edition Usable Prescribed by Ok	\$0 \$80,864 \$0
I. Total Direct Charges (sum of 6a-6h) \$80,864 \$0 \$0 \$0 j. Indirect Charges \$0 \$0 \$0 \$0 k. Totals (sum of 6i-6j) \$80,864 \$0 \$0 \$0 7. Program Income \$0 \$0 \$F Previous Edition Usable Authorized for Local Reproduction Prescribed by Ok	<u>\$80,864</u> \$0
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k. Totals (sum of 61-6)) \$80,864 \$0 \$0 \$0 7. Program Income \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 7. Program Income \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 \$0 9 \$0 \$0 \$0 9 \$0 \$0 \$0	
7. Program Income \$0 SF- Previous Edition Usable Prescribed by OA Authorized for Local Reproduction	380,804
7. Program Income \$0 SF- Previous Edition Usable Prescribed by OA Authorized for Local Reproduction	HIDE ALL AND AND A
SF- Previous Edition Usable Prescribed by OA Authorized for Local Reproduction	\$0
Section C - Non-Féderal Resources	4 3
) Totals
8. ARRA State Regulators Assistance \$0 \$0 \$0	\$0
9.	\$0
10.	\$0
11.	\$0
12. Total (sum of lines 8 - 11) \$0 \$0 \$0	\$0
Section D - Forecasted Cash Needs	
	h quarter
13. Federal \$80,864 \$26,492 \$39,039 \$11,333	\$4,000
14. Non-Federal \$0 \$0 \$0 \$0	\$0
15. Total (sum of lines 13 and 14) \$80,864 \$26,492 \$39,039 \$11;333	\$4,000
Section E - Budget Estimates of Federal Funds Needed for Balance of the Project	
Future Funding Periods (Years)	
) Fourth
16. ARRA State Regulators Assistance \$0	
17.	
18.	
20. Total (sum of lines 16-19) \$0 \$0 \$0	
Section F - Other Budget Information	\$0

23. Remarks

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STATE OF VERMONT Joint Fiscal Committee Review Limited Service - Grant Funded Position Request Form

This form is to be used by agencies and departments when additional grant funded positions are being requested. Review and approval by the Department of Human Resources <u>must</u> be obtained <u>prior to</u> review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report <u>must</u> be attached to this form. Please attach additional pages as necessary to provide enough detail.

Agency/Department: Public Service Board Date: 10/09/2009

Name and Phone (of the person completing this request): Brenda Chamberlin 802-828-1152

Request is for:

Positions funded and attached to a new grant.

Positions funded and attached to an existing grant approved by JFO #_____

1. Name of Granting Agency, Title of Grant, Grant Funding Detail (attach grant documents):

US Department of Energy - Recovery Act - State Electricity Regulators Assistance

2. List below titles, number of positions in each title, program area, and limited service end date (information should be based on grant award and should match information provided on the RFR) position(s) will be established <u>only</u> after JFC final approval:

Title* of Position(s) Requested	<u># of Positions</u>	Division/Program	Grant Funding Period/Anticipated End Date
Utilities Analyst/Staff Attorney			
(Exempt as per PSB Pay Plan)	1		3.5 years/June 30, 2012
Utilities Analyst/Staff Attorney	1		2 years/December 31, 2011
(Exempt as per PSB Pay Plan)			•
Administrative Technician II	1 (3/5 f	ime)	2 years/December 31, 2011

*Final determination of title and pay grade to be made by the Department of Human Resources Classification Division upon submission and review of Request for Classification Review.

3. Justification for this request as an essential grant program need:

Primary purposes of initiative are to: increase the capacity of state PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas; facilitate timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; facilitate timely consideration by PUCs of electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas.

I certify that this information is correct and that necessary funding, space and equipment for the above position(s) are available (required by 32 VSA Sec. 5(b).

	10-28-09
Signature of Agency or Department Head	Date
Molly Paul 10-	10/29/09
Approved/Denied by Department of Human Resources	Date
- for kender	15/09
Approved/Denied by Finance and Management DB (1-4-09	Date
In the	11/5/09
	DHR - 11/7/05

Administrative Services Technician II

Assist the Clerk of the Board and the Deputy Clerk in the processing of Section 248 applications/petitions as they relate to renewable energy projects. Duties shall include the following:

- Maintain tracking log of Sec 248 applications
- Maintain Docket Entry Log for Sec 248 petitions (involves making daily entries of filings, and actions taken by the Board – a verbatim record is required under 30 VSA Sec. 6)
- Create master file, in hard copy & on computer system
- Prepare notices for newspaper publications (requires contact with newspapers for publication dates & deadlines, and drafting the notice)
- Prepare official notice to statutory parties for Sec 248(j) petitions (involves drafting the notice, and creating a service list of parties and interested persons)
- Prepare & send hearing notices for Sec 248 petitions (involves contacting parties to coordinate hearing dates, securing a location for the hearings, drafting the notice, and creating an official service list)
- Type orders and certificates of public good, and other correspondence as required
- --- Prepare final orders for issuance to parties and interested persons (requires attention to detail and accuracy)
- Conduct research needed to establish an historical/statistical data base of past Section 248 petitions, and maintain ongoing data base info

It is expected that the person in this position will have the ability to work independently, to understand and follow complex instructions, be able to deal with the pressure of meeting deadlines, and be able to communicate effectively.

Announcement: DE-FOA-0000100

Activity Description: ARRA State Regulatory Assistance

Applicant: The State of Vermont Vermont Public Service Board 112 State Street Montpelier, VT 05620

Date: August 31, 2009 Funding Request: \$765,835 Total Project Cost: \$765,835 Project Period: January 1, 2010 – December 31, 2013 Organization Type: State Government Agency

Technical Point of Contact: Kurt Janson General Counsel 802-828-2358 kurt.janson@state.vt.us

Business Point of Contact: Brenda Chamberlin Business Manager 802-828-2358 brenda.chamberlin@state.vt.us Other Key Contact: Ann Bishop Chief Economist 802-828-2358 ann.bishop@state.vt.us

Project Narrative

A. Objectives

1. Increase the capacity of the Vermont Public Service Board ("Board"), the public utility commission for the State of Vermont, to manage an anticipated significant increase in regulatory activity resulting from the following electricity-related topical areas stimulated by the American Recovery and Reinvestment Act of 2009 ("ARRA"): energy efficiency, electricity-based renewable energy, energy storage, smart grid, electric and hybrid-electric vehicles, demand-response equipment, transmission, and distribution.

2. Facilitate timely consideration by the Board of dockets and requests for regulatory action pertaining to the listed electricity-related topical areas.

3. Create three new jobs, one full-time for three-and-one-half years, one full-time for two years, and one 3/5-time for two years.

4. Train sixteen Board staff in ARRA electricity-related topical areas so that regulators have the information needed for effective and timely regulatory decision-making.

B. Scope of Work

The Board has broad regulatory authority regarding electricity-related matters. For example, the Board supervises the rates, quality of service, and overall financial management of Vermont's twenty electric utilities. The Board is the siting authority for generation and transmission facilities, and it oversees the delivery of energy efficiency services by Vermont's statewide Energy Efficiency Utility.

Because of the Board's broad regulatory authority, the Board anticipates a significant increase in its workload in ARRA electricity-related topical areas over the next four years. Some highlights of the anticipated additional workload are:

1

- Siting of new renewable energy projects. The Board expects to receive hundreds of applications for new renewable energy system installations that will require Board review and approval. Most will be small systems installed at customers' homes or businesses. As a result, in addition to the work associated with reviewing applications, the Board expects significant resources will be required to assist applicants with completing applications, and responding to questions from members of the public about proposed projects.
- <u>Smart grid</u>. Vermont's electric utilities are working collaboratively to develop two ARRA smart grid applications. Full implementation of a smart grid in Vermont will require Board review and approval of: plans for large-scale deployments of Automated Metering Infrastructure systems; debt issuances; rate increases; and new rate designs. Smaller-scale implementation would likely require Board review and approval of some of these elements.
- <u>Energy efficiency</u>. It is necessary for the Board to ensure that new ARRA-funded energy efficiency programs are coordinated with, and build on, the state's existing energy efficiency programs. As a result, the Board expects to engage interested parties in policy discussions which could lead to Board proceedings or to changes by the Board to aspects of Vermont's Energy Efficiency Utility program.

Hiring New Staff

The Board plans to use the ARRA State Electricity Regulators Assistance to hire three new staff: two utilities analysts¹ and one administrative support person. The Board will comply with the ARRA 2009 prevailing wage assurance (Davis-Bacon Act). We anticipate that the duties of the new staff would be as follows:

Utilities Analysts – The first utilities analyst, which is anticipated to be a three-and-onehalf year full-time position, would function similarly to existing Board utilities analysts/hearing officers, with specific responsibility for applications for projects within ARRA electricity-related topical areas. This means that the first utilities analyst would: review applications and any responsive comments; conduct site visits, procedural conferences, workshops, public hearings, and evidentiary hearings; and draft procedural and substantive orders.

The second utilities analyst, which is anticipated to be a two-year full-time position, would primarily serve a very different role. Given the expected influx of new applications, many of which are anticipated to be filed by individuals with no or limited prior experience in submitting such applications, the addition of a "permit assistance specialist" utilities analyst position to work on projects within ARRA electricity-related topical areas will result in a much more efficient process, and will provide a substantial benefit to applicants and the public in navigating the Board's review process. This position would work with potential applicants (especially for smaller projects, in recognition of the limited resources and experience typical of such applicants) to assist in identifying the information necessary for the Board's review and in

¹ While this document refers only to utilities analysts, consistent with its past practice, the Board intends to advertise for Utilities Analysts/Staff Attorneys. If a new hire is an attorney, he/she will be a Staff Attorney. If a new hire is not an attorney, he/she will be a Utilities Analyst. Regardless of whether staff attorneys or utilities analysts are hired, the Board expects the positions' responsibilities will be largely the same.

answering applicants' questions about the application and the review process. This position would also be responsible for responding to inquiries from the public.

The Board anticipates that both utilities analysts would also work on other Board activities involving ARRA electricity-related topical areas, and that the duties and functions of both utilities-analyst positions may evolve over time because the nature of work on ARRA electricity-related topical areas may itself evolve.

Administrative Support – The administrative-support position, which is anticipated to be a two-year 3/5-time position, would be responsible for providing administrative support related to projects within ARRA electricity-related topical areas.

Training for New and Existing Staff

Training will greatly facilitate the Board's activities in ARRA electricity-related topical areas. It will expand the Board's capabilities in areas where additional workload is expected (such as reviewing siting applications for new renewable energy projects), as well as increase the Board's knowledge of such important and cutting-edge areas as energy efficiency and smart grid.

The Board intends to provide training to all existing and new electricity staff as follows: 16 staff in each of the first two years of this project, 15 staff in the third year, and 14 staff in the final year. This training will include a mixture of group training sessions at the Board by electricity experts brought in for that purpose, and individual training at appropriate courses around the country.

C. Tasks to Be Performed and Deliverables

The Board will prepare a Project Management Plan, a Workforce Development Plan, and quarterly ARRA Case Monitoring Reports as required by the Statement of Project Objectives included in the Funding Opportunity Announcement. These plans and reports will be provided to the U.S. Department of Energy's National Energy Technology Laboratory in accordance with the timeframes set forth in the Statement of Project Objectives.

D. Performance Metrics

The Board's performance under this award will be assessed according to the following performance metrics:

- Number of electricity specialists hired by the Board Three; one full-time for threeand-one-half years, one full-time for two years, and one 3/5 time for two years.
- Number of Board electricity specialists trained in ARRA electricity-related topical areas Expected to be 16 in the first two years of the award, 15 in the third year, and 14 in the final year; some will receive multiple training opportunities in a year.
- Number of ARRA electricity-related dockets and other official proceedings managed by the Board – 600 over the four-year award period; the actual number may be substantially smaller or larger, depending in large part on the number and type of ARRA-funded projects filed with the Board for approval.

3

opplicant Name: Vermont Public Service Board

Awa J Number: FOA-DE-0000100

Budget Information - Non Construction Programs - Summary

Section A - Budget Sun	nmany	and the second					OMB Approval No. 0348-0044		
Grant Program Fu		Catalog of Federal	Estimated Unob		New or Revised Budget				
Activity		Domestic Assistance Number	Federal	Non-Federal	Federal	Non-Federal	Total		
(a)		(b)	(c)	(d)	(e)	(f)	(g)		
ARRA State Regulato 1. Assistance	DIS	81.122			\$765,835	\$0	\$765,835		
2.			· ·		· · ·		\$0		
3.		· · ·					\$0		
4.	-						\$0		
5. Totals		· <u>····································</u>	\$0	\$0	\$765,835	\$0	\$765,835		
Section B - Budget C	ategories		in the second		, Function or Activity				
6. Object Class Catego	ories		(1)		(3)	(4)	Total (5)		
a. Personnel			\$369,563				\$369,563		
b. Fringe Benefits			\$226,219		· · · · · · · · · · · · · · · · · · ·		\$226,219		
c. Travel			\$71,213				\$71,213		
d. Equipment			\$7,799				\$7,799		
e. Supplies			\$0				\$0		
f. Contractual	',	······	\$87,216			•	\$87,216		
g. Construction			\$0				\$0		
h. Other		·	\$0	·			\$0		
i. Total Direct Charg	ges (sum of 6	a-6h)	\$762,010	\$0		\$0	\$762,010		
j. Indirect Charges		· ·	\$3,825		· · · · · · · · · · · · · · · · · · ·		\$3,825		
k. Totals (sum of 6i	i-6j)		\$765,835			\$0	\$765,835		
7. Program Income	<u></u>	<u></u>	\$0	Page 1 of 4			\$0		

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Section C - Non-Federal Resources					
(a) Grant Progra	am	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
8. ARRA State Regulators Assistance		\$0	\$0	\$0	\$0
9.				\$0	
10.		· ·		<u> </u>	
11. ·					\$0
12. Total (sum of lines 8 - 11)		\$0	\$0	\$0	······································
Section D - Forecasted Cash Needs					Ψυ
	Total for 1st Year	- 1st Quarter	2nd Quarter	3rd Quarter	4th quarter
13. Federal	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
14. Non-Federal	\$0	\$0	\$0	\$0	\$0
15. Total (sum of lines 13 and 14)	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
Section E - Budget Estimates of Federal Funds Need	ed for Balance of the Project				
	:	· ·	Future Fu	nding Periods (Years)	
(a) Grant Program	n	(b) First	(c) Second	(d) Third	(e) Fourth
16. ARRA State Regulators Assistance		\$283,828	\$273,453	\$127,692	\$80,864
17.		-			
18.		· .			
19.	· ·	· .			· · · · · · · · · · · · · · · · · · ·
20. Total (sum of lines 16-19)		\$283,828	\$273,453	\$127,692	\$80,864
Section F - Other Budget Information					an a
21. Direct Charges		22. Indirect Charges			
23. Remarks		· .	•		
	'n	,			
	•				•
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		Page 2 of 4			

Instructions for the SF-424A

Public Reporting Burden for this collection of information is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

t or continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5-Show the totals for all columns used.

Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i-Show the totals of Lines 6a to 6h in each column.

Line 6j—Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

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Section C. Non-Federal Resources

Lines 8-11—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a)—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)—Enter the contribution to be made by the applicant.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19—Enter in Column (a) the same grant program titles shown in Column

Page (a) ASection A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funde for the surrent year of existing grants.

Column (c Inter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d)—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)-Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15—Enter the totals of amounts on Lines 13 and 14.

mous for the current year of existing grants.

ore than four lines are needed to list the program titles, submit add al sonedules as necessary.

Line 20—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23—Provide any other explanations or comments deemed necessary.

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Vermont Public Service Board

Budget explanation details

Direct Labor					•		Explanation
	Hours	7 000	Rate			044744	1 Hillitian Analyst/Chaff Attanan (Codi/ norman
New Staff - Utilities Analyst/Staff Attorney		7,280		29 26		214,744 109,990	1 Utilities Analyst/Staff Attorney-\$61K per year 1 Utilities Analyst/Staff Attorney-\$55K per year
New Staff - Utilities Analyst/Staff Attorney		4,160 2,496		20 18		44,828	1 Administrative Position-\$22K 3/5 time
New Staff - Administrative Position Total Direct Labor		2,490		10	\$	369,563	TAuministrative Position-\$221(3/3 time
Total Direct Labor					Ψ		· · ·
Fringe Benefits (based on actual costs)				· ·		226,219	
Overhead		· -	•	-		-	
Subtotal					\$	226,219	· · · ·
Other Direct Costs (Justification for Equipm	ent, Trav	el, and	Contrac	tual)	•		
Combine of the last						•	
Contractual Training Expenses - Experts brought to Board	1	4		6,250		25,000	On-site expert expenses
Training Expenses - Tuition		52		1,196		62,216	Off-site tuition expenses
				.,			
Travel Support for Trainees and Site Visits		52		1,370		71,214	· · · · ·
(Lodging, Transportation)							
Office equipment		3		2,600		7,799	office equipment for new staff
Other		-		-	¢	- 166,229	
Total Other Direct Costs					\$	100,229	· · · ·
Total Direct						762,010	
						, .	
State Administrative Fee (.5% per OMB)				3,825		3,825	·
G&A				-		·	
					٠	705 005	
Total					\$	765,835	
				• •			
	• .		•				
							· · · · ·
						·	
				.*		•	· · · · · · · · ·

Year 1 2010

Direct Labor						Explanation
	Hours	R	ate			•
New Staff - Utilities Analyst/Staff Attorney		2,080	29		60,008	Expection of hire by 1/15/2010
New Staff - Utilities Analyst/Staff Attorney		2,080	26		54,995	Expection of hire by 1/15/2010
New Staff - Administrative Position		1,248	18		22,214	Expection of hire by 1/15/2010
Total Direct Labor		•		\$	137,218	
Fringe Benefits (based on actual costs)					83,534	
Overhead		-	-		-	
Subtotal				\$ ·	83,534	
Other Direct Costs (Justification for Equipment	nt, Travel,	and Con	tractual)			
Contractual						
Contractual Training Expenses - Experts brought to Board		1	5,000		5,000	10 PSB staff to be trained
		1 16	5,000 1,358		5,000 21,726	10 PSB staff to be trained PSB staff may receive multiple training
Training Expenses - Experts brought to Board Training Expenses - Tuition		1 16	•			
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits		1 16 16	•			
Training Expenses - Experts brought to Board			1,358		21,726	
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment		16	1,358 1,545		21,726 24,725	
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other		16	1,358 1,545	\$	21,726 24,725	
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other Total Other Direct Costs		16	1,358 1,545	\$	21,726 24,725 7,800	
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other Total Other Direct Costs Total Direct		16	1,358 1,545	\$	21,726 24,725 7,800 - 59,251	
Training Expenses - Experts brought to Board Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation)		16	1,358 1,545 2,600	\$	21,726 24,725 7,800 59,251 280,003	

Year 2 - 2011

irect Labor					Explanation
	Hours	Rate		· · · · · · · · · · · · · · · · · · ·	• • • •
ew Staff - Utilities Analyst/Staff Attorney	2,080	29		60,008	
ew Staff - Utilities Analyst/Staff Attorney	2,080	26		54,995	
ew Staff - Administrative Position	1,248	18		. 22,614	
otal Direct Labor		•	\$	137,617	
ringe Benefits (based on actual costs)				. 90,248	· · ·
verhead	· -	-		· -	
ubtotal			\$	90,248	· ·
ther Direct Costs (Justification for Equip	ment, Travel, an	d Contract	ual)		· · ·
ontractual					
Training Expenses - Experts brought to Boa	ard 1	10,000		10,000	10 PSB staff trained
Training Expenses - Tuition	16	1,018		16,294	PSB staff may receive multiple training
ravel Support for Trainees and Site Visits		•			
_odging, Transportation)	16	1,206		19,294	
Office equipment	. –	-		-	
other	· -	-	· .	-	
otal Other Direct Costs			\$	45,588	
otal Direct				273,453	•
tate Administrative Fee (.5% per OMB)				_	
S&A	· -	-		· -	· · · ·
otal			\$	273,453	-
			•	· ·	

Year 3 - 2012

Direct Labor				•	Explanation
	Hours	Rate			
New Staff - Utilities Analyst/Staff Attorney	2,080	30		63,008	
New Staff - Utilities Analyst/Staff Attorney		-		-	
New Staff - Administrative Position	-	-		-	
Total Direct Labor			\$	63,008	
Fringe Benefits (based on actual costs) Overhead				34,958	
Subtotal	•	-	\$	34,958	
Other Direct Costs (Justification for Equip	nent, Travel, a	nd Contra	ctual)		
Contractual					
Training Expenses - Experts brought to Boar	rd 1	- 5,000		5,000	10 PSB staff tr

Training Expenses - Experts brought to Board	1	-5,000		5,000	10 PSB staff trained
Training Expenses - Tuition	10	1,086		10,863	PSB staff may receive multiple trainings
Travel Support for Trainees and Site Visits					
(Lodging, Transportation)	10	1,386		13,863	•
Office equipment	-	-	<u>.</u> .	. -	
Other	· -	-		-	
Total Other Direct Costs			\$	29,726	·
Total Direct				127,692	

State Administrative Fee (.5% per OMB) G&A **Total**

Total \$ 127,692

Year 4 - (2013)

Direct Labor			· · ·	Explanation
·····	Hours Rate	•		-
New Staff - Utilities Analyst/Staff Attorney	1,040	31	31,720	1 position full-time for 6 months
New Staff - Utilities Analyst/Staff Attorney	-	-	-	
New Staff - Administrative Position	-	-	-	
Total Direct Labor		· \$	31,720	
				· ·
Fringe Benefits (based on actual costs)			17,479	
Overhead	. –	-	-	
Subtotal		, \$	17,479	
Other Direct Costs (Justification for Equipme	ant Traval and Con	(tractual)		
Other Direct Costs (Justification for Equipme	sin, Travel, and Con	liaciuali		· · ·
Contractual				· .
Training Expenses - Experts brought to Board	1 5,0	00	5,000	10 PSB staff trained
Training Expenses - Tuition	10 1,3	33	13,333	PSB staff may receive multiple trainings.
Travel Support for Trainees and Site Visits	•			
(Lodging, Transportation)	10 · 1,3	33	[.] 13,332	
Office equipment	-	-	-	
Other	· -	-	-	
Total Other Direct Costs		\$	31,665	
Total Direct			80,864	
State Administrative Eco (EV por OMP)	· ·			
State Administrative Fee (.5% per OMB) G&A				
Total	· · ·	\$	80,864	-
i otai		¥		

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ARRA Prevailing Wage Statement

Announcement: DE-FOA-0000100 Activity Description: ARRA State Regulatory Assistance Applicant: The State of Vermont Vermont Public Service Board 112 State Street Montpelier, VT 05620 Technical Point of Contact: Kurt Janson, General Counsel **Business Point of Contact:** Brenda Chamberlin, Business Manager Date: August 31, 2009 Funding Request: \$765,835

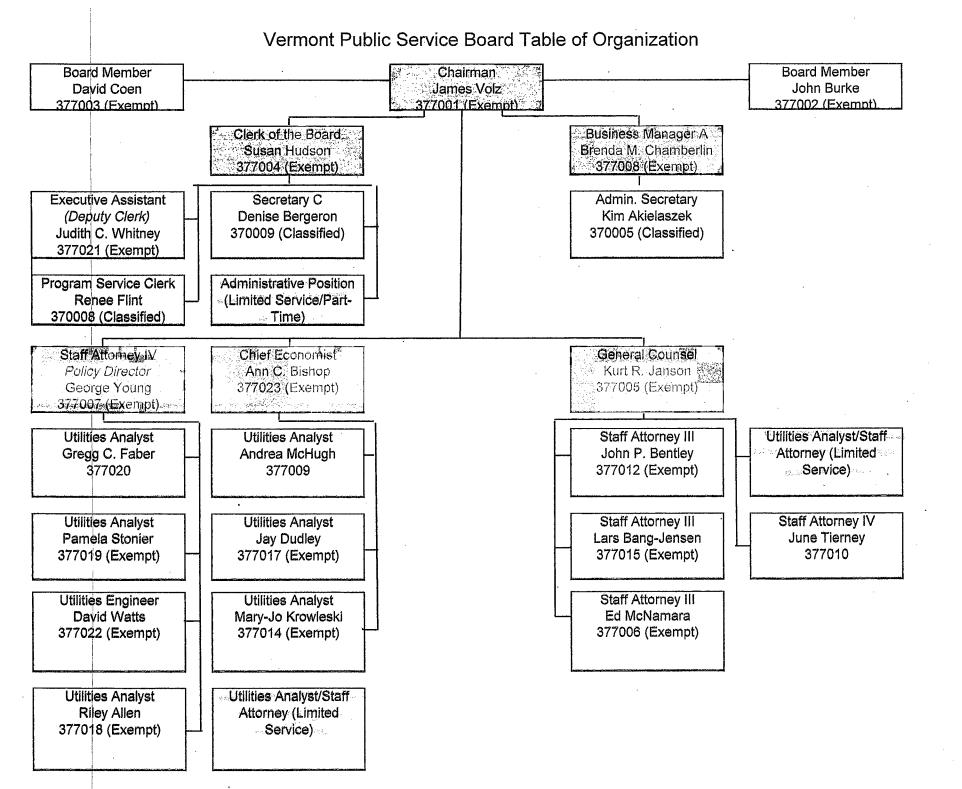
Total Project Cost:\$765,835Project Period:January 1, 2010 – December 31, 2013Organization Type:State Government Agency

To whom it may concern,

In its application for this award, the Vermont Public Service Board asserts that it will comply with the ARRA 2009 prevailing wage assurance provisions (Davis-Bacon Act).

James Volz, Chairman Public Service Board

28-09 Date



Sermont

ARRA Competitive Grant Application Notice

Advanced notice of an agency or department's intent to submit an application for an ARRA Competitive grant is required. If you plan to apply for an ARRA Completive Grant, this form must be submitted to the Governor's Economic Stimulus and Recovery Office (ESR) immediately. Advance notice is required for two purposes: 1) to provide linkages among grant proposals that will strengthen Vermont's competitive position, and 2) to provide the Governor's Office, ESR and F&M with the information necessary for the statewide ARRA reporting database.

1. Agency (ARRA-F):	2. Department (ARRA-F):	Public Service Board 02250		
3. ARRA Activity (ARRA 1-01): State Electricity Regulators Assistance 3a. ARRA Code (ARRA 2-1):							
4. Title of Grant Program: Recovery Act - S Regulators Assis					A # (ARRA-E): 81.122		
5. Federal Funding Age	ncy (ARRA-A):]	Department of Energ	y				
6. Award Amount or B	est Estimate:	\$765,835					
7. Grant Period (ARRA-H	I) From:	1/1/2010	To:	12	2/31/2013		
7a. Estimated Applicati	on Date: 8/31.	/2009					
8. Purpose of Grant/AR	RA Narrativo	e (ARRA 2-02):					
Create capacity on the I	Public Service	Board's staff to m	anage a significa	nt increase	e in regulatory activity resulting		
					covery and Reinvestment Act Of		
2009: energy efficiency	, renewable ei	nergy, energy stoa	rge, smart-grid, (electrin an	d hybrid-electric vehicles,		
demand-response equi	oment, transm	nission, and distrib	oution.				
9. Name of ARRA Activ	vity Manager:						
Kurt Janson							
10. Do you know of an	y other entitid	es in Vermont wh	ich are applying	for funds	under this program? If so, please		
list.							
None							
11. Are entities other th	an state agen	cies eligible to app	ly for funds und	er this prog	gram?		
No	•						

ARRA Code Definitions:

ARRA F: Provide the Agency/Department name and VISION BU Code. Provide the Division or Program Name and VISION DeptID

ARRA-1-01: Provide a brief descriptive title of the project or activity to be funded in whole or in part with Recovery Act funds. If this award funds multiple projects or activities, provide a descriptive title that captures the general focus area, e.g., "community development," "comprehensive community mental health services to adults with a serious mental illness," etc.

ARRA 2-1: For awards primarily funding infrastructure projects or activities, as defined by the awarding agency, provide the North American Industry Classification System (NAICS) code(s) that describe the Recovery Act projects or activities under this award. A searchable code list is at <u>http://www.census.gov/naics/</u>. For all other awards, provide the National Center for Charitable Statistics "NTEE-NPC" code(s) that describe the Recovery Act projects or activities under this award. A searchable code list is at <u>http://nccsdataweb.urban.org/PubApps/nteeSearch</u>.

ARRA-E: Provide Catalog of Federal Domestic Assistance (CFDA) number on the provided by the awarding agency. If this award is being funded through multiple programs, provide each CFDA number.

ARRA-A: Provide the name of the awarding Federal agency and organizational element identified in the application document or otherwise instructed by the agency. The organizational element is a sub agency within an awarding Federal agency.

ARRA-H: Indicate the project/grant period established in the application document during which Federal sponsorship begins and ends. Note: Some agencies award multi-year grants for a project/grant period (e.g., 5 years) that are funded in increments known as budget periods or funding periods. These are typically annual increments. Please provide the total project/grant period, not the individual budget period or funding period.



2-02: A description of the overall purpose and expected outputs and outcomes or results

of the award and first-tier sub award(s), including significant deliverables and, if appropriate, units of measure. For an award that funds multiple projects or activities, such as a formula block grant, the purpose and outcomes or results may be stated in broad terms.

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Request for Classification Action

New or Vacant Positions

EXISTING Job Class/Title ONLY

Position Description Form C/Notice of Action

For Department of Personnel Use Only

			Date Received (Stamp)
Notice of Action #			
Action Taken:		· · · · · · · · · · · · · · · · · · ·	
New Job Title		<u></u>	
Current Class Code	New Class Code	e	
Current Pay Grade	New Pay Grade	÷	
Current Mgt Level B/U	OT CatEEO Cat	FLSA	
New Mgt Level B/U	OT CatEEO Cat	FLSA	
Classification Analyst	Date		Effective Date:
Comments:			Date Processed:
Willis Rating/Components: k V		lental Demands: otal:	Accountability:

Position Information:

Incumbents Vecent or New Desition
Incumbent: Vacant or New Position
Position Number: Current Job/Class Title: Administrative Services Technician II
Agency/Department/Unit: Public Service Board GUC: 37
Pay Group: 01A Work Station: 121 Zip Code: 05620-2701
Position Type: 🗌 Permanent 🛛 Limited Service (end date) 12/31/2011
Funding Source: Core Sponsored Partnership. For Partnership positions provide the funding breakdown (% General Fund, % Federal, etc.) 100% Federal Funds
Supervisor's Name, Title and Phone Number: Susan Hudson, Clerk of the Board, 802-828-2358
Check the type of request (new or vacant position) and complete the appropriate section.
New Position(s):
a. REQUIRED: Allocation requested: Existing Class Code 089180 Existing Job/Class Title: Administrative Services Technician II
b. Position authorized by:

		•
	Request for Classification Action	
	Position Description Form C Page 2	
	Joint Fiscal Office – JFO # Approval Date:	
	Legislature – Provide statutory citation (e.g. Act XX, Section XXX(x), XXXX session)	
	Other (explain) Provide statutory citation if appropriate.	
Vac	cant Position:	
 a.	Position Number:	
	Date position became vacant.	
· · · · · · · · · · · · · · · · · · ·	Current Job/Class Code: Current Job/Class Title:	
	REQUIRED: Requested (existing) Job/Class Code: Requested (existing) Job/Class Title:	
· · ·		
	Are there any other changes to this position; for example: change of supervisor, GUC, work station? Yes 🔲 No 🛄 If Yes, please provide detailed information:	
For All Re	auests:	
1 List the	anticipated job duties and expectations; include all major job duties: See Attached	
		·
2 Provide	a brief justification/evplanation of this request: See ARRA Grant Application Package	,
2. Provide	a brief justification/explanation of this request: See ARRA Grant Application Package	•
3. If the po	e a brief justification/explanation of this request: See ARRA Grant Application Package osition will be supervisory, please list the names and titles of all classified employees reporting to this his information should be identified on the organizational chart as well). N/A	•
3. If the po	osition will be supervisory, please list the names and titles of all classified employees reporting to this	
3. If the po position (th	osition will be supervisory, please list the names and titles of all classified employees reporting to this	
 If the population of the position (the position of the position) Personnel If the result of the population of the pop	osition will be supervisory, please list the names and titles of all classified employees reporting to this nis information should be identified on the organizational chart as well). N/A	
 If the position (the position (position will be supervisory, please list the names and titles of all classified employees reporting to this his information should be identified on the organizational chart as well). N/A I Administrator's Section: equested class title is part of a job series or career ladder, will the position be recruited at different	
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 If the position (the position (the position (the position (the position (the position)) Personnel If the relevels? Ye The nar Who she she she she she she she she she she	Desition will be supervisory, please list the names and titles of all classified employees reporting to this information should be identified on the organizational chart as well). N/A I Administrator's Section: Equested class title is part of a job series or career ladder, will the position be recruited at different des ☐ No⊠ me and title of the person who completed this form: Brenda Chamberlin, Business Manager hould be contacted if there are questions about this position (provide name and phone number): dson, Clerk of the Board	•
 If the position (the position (the position (the position (the position (the position)) Personnel If the relevels? Ye The nar Who she she she she she she she she she she	osition will be supervisory, please list the names and titles of all classified employees reporting to this information should be identified on the organizational chart as well). N/A Administrator's Section: equested class title is part of a job series or career ladder, will the position be recruited at different equested class title of the person who completed this form: Brenda Chamberlin, Business Manager mould be contacted if there are questions about this position (provide name and phone number): dson, Clerk of the Board any other positions are allocated to the requested class title in the department: None s change (new position added/change to vacant position) affect other positions within the on? (For example, will this have an impact on the supervisor's management level designation; will shifted within the unit requiring review of other positions; or are there other issues relevant to the	
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 If the poposition (the position (the position (the position (the position (the position (the position)) Personnel If the relevels? Ye The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar The nar	bisition will be supervisory, please list the names and titles of all classified employees reporting to this in information should be identified on the organizational chart as well). N/A I Administrator's Section: equested class title is part of a job series or career ladder, will the position be recruited at different as ☐ No⊠ me and title of the person who completed this form: Brenda Chamberlin, Business Manager mould be contacted if there are questions about this position (provide name and phone number): dson, Clerk of the Board any other positions are allocated to the requested class title in the department: None s change (new position added/change to vacant position) affect other positions within the on? (For example, will this have an impact on the supervisor's management level designation; will shifted within the unit requiring review of other positions; or are there other issues relevant to the on process.) No	
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 If the poposition (the position (the position (the position (the position (the position (the position)) Personnel If the relevels? Ye The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar Who she is the position (the position) The nar The nar	Desition will be supervisory, please list the names and titles of all classified employees reporting to this information should be identified on the organizational chart as well). N/A I Administrator's Section: Equested class title is part of a job series or career ladder, will the position be recruited at different as No⊠ me and title of the person who completed this form: Brenda Chamberlin, Business Manager nould be contacted if there are questions about this position (provide name and phone number): dson, Clerk of the Board any other positions are allocated to the requested class title in the department: None s change (new position added/change to vacant position) affect other positions within the on? (For example, will this have an impact on the supervisor's management level designation; will shifted within the unit requiring review of other positions; or are there other issues relevant to the on process.) No nts: Organizational charts are required and must indicate where the position reports.	

Request for Classification Action Position Description Form C Page 3

For new positions, include copies of the language authorizing the position, or any other information that would help us better understand the program, the need for the position, etc.

Other supporting documentation such as memos regarding department reorganization, or further explanation regarding the need to reallocate a vacancy (if appropriate).

Supervisor's Signature (required)*

Appointing Authority or Authorized Representative Signature (required)*

* Note: Attach additional information or comments if appropriate.

1Ô

Date

0-1-1-

10.28.09

Date



STATE OF VERMONT JOINT FISCAL OFFICE

MEMORANDUM

- To: Representative Tony Klein Senator Virginia Lyons
- From: Nathan Lavery, Fiscal Analyst
- Date: November 24, 2009
- Subject: JFO #2416

In accordance with Sec. E.129 of Act 1 of the 2009 Special Session, Representative Michael Obuchowski asked that I forward to you a copy of the enclosed American Recovery and Reinvestment Act grant materials and cover memo (JFO #2416). He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski Stephen Klein 112 State Street 4th Floor Montpelier, VT 05620-2701 TEL: 802-828-2358



TTY/TDD (VT): 800-253-0191 FAX: 802-828-3351 E-mail: psb.clerk@state.vt.us Internet: http://www.state.vt.us/psb

JFO Z416

State of Vermont Public Service Board

MEMORANDUM

To: Joint Fiscal Committee

From: James Volz, Chairman

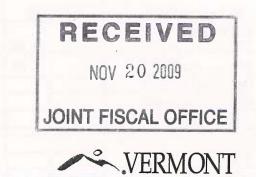
Re: Request for Expedited ARRA Approval

Date: November 3, 2009

The Public Service Board's ARRA grant allows the Board to increase personnel to manage significant increases in regulatory activity in electricity-related topical areas, such as renewable energy, energy efficiency, demand-response, smart grid, and energy storage.

The Board requests expedited approval of our ARRA funds because we have already begun to experience an increase in our workload due in part to the recent enactment of legislation designed to encourage the development of renewable energy. To help meet this increase, we would like to start the process of hiring as soon as possible. The hiring of utility analysts historically takes a significant amount of time due to the specialized nature of the job requirements. As we approach the holiday season that time frame is likely to extend even further.

Thank you for your consideration of this matter.



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ARRA ACTIVITY AC	BRPTANC	TIEST.	ARRA Competi	tivo (ant	Othon AD	Real And And Anna Constant and a 200
			(Alternate Forn		Other AR	· · · · · · · · · · · · · · · · · · ·
Revision? 🗌 Yes	Revision Dat	<u>e:</u>	(Anemate Form	• AA-1)		AA-1 Process)
	n) add goon of d 21 DW ORANGO C	ZÚZ RRZ DIN ADI O ZÚZ DR	uis cuntes and 2 (10) a steam 2 Toanija (10) a 3 Toanija (10) a	and Asiants and		
NOTE: Incomplete	and the second secon	hanna i shekalariki birtari basi da sa kiris	CARLES OF CONTRACTOR STREET, ST	<u>- 2 2 2 2 2 2 2 2 2 2 </u>	ى يېڭ <u>ى دىدۇ</u> تارىخى ئەتلەر ئەتلەر ئىزى ئىلىدىنى ئىلىنى بىلىن تارىخى تەركى <u>تەركى تە</u> تلەر	176
BASIC ARRAINFORM	natation ,	Section and the section of the secti	2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2			
1. Agency (ARRA-F):		DBA: Verm	ent (ARRA-F): Verm ont Public Service 4 th Floor, Montpel	Board, 112	3. DUNS # (ARR	A-C): 103396540
4. Office Location:	City/	town: Montpeli	er	County: W	ashington	······································
5. ARRA Activity (ARR				6. ARRA C	Code (ARRA 2-1): 926	130
7. Legal Title of Gran				·····		
8. Federal Agency Aw	ard # (ARRA-B):	DE-0E0000161		9. CFDA #	(ARRA-E): 8 1.122	<u> </u>
10. Federal Funding A	gency's US Tre			(if provided by	the federal funding ag	ency)
			OE/NETL			
11. Federal (or VT) Fi		ARRA-A): 626 Co PO Bo	rrgh Campus ochrans Mill Road ox 10940 rrgh, PA 15236-094	40	12. Award Date	: 11/1/2009
13. Award Amount \$7	65,835		ligh, 171 15250 05-		f this amount is an	1 estimate:
15. Grant Period (ARRA		11/1/2009	To:		1/2013	
16. Date by which ARI	RA funds must b	e: 🗌 Obliga	ted by Date:	and/or 🛛	Spent by Date: 16)/31/2013
to manage an ar topical areas stin based renewable equipment, tran	acity of the Vern nticipated signific mulated by the A e energy, energy smission, and dis consideration by ed topical areas.	nont Public Serv ant increase in r merican Recove storage, smart gr tribution. v the Board of do	egulatory activity ry and Reinvestme id, electric and hy ockets and requests	resulting from ent Act of 2009 brid-electric ve s for regulatory	mission for the Sta the following elect energy efficiency ehicles, demand-res action pertaining	ricity-related , electricity- sponse
19. Impact on existing						
It will take mat	erially longer for	the Board to pro	cess and review a		ARRA electricity-r	elated topical
			smart grid project			
20. BUDGET INFORMA						
Column Reference		B	<u> </u>	D	E	F
	Sta	ate Fiscal Year	→ SFY 2011 &	<i>←</i>	Federal Fiscal Year	→ SFY 2011 &
Fiscal Year	SFY 2009	SFY 2010	Beyond	FFY 2009	FFY 2010	Beyond
Expenditures: Personnel Costs	\$0	\$101,885	\$493,920	\$0	\$161,318	\$434,487
3 rd Party Contracts	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses	. \$	\$37,600	\$132,430	\$	\$55,576	\$114,454
Grants/Sub-Awards	\$	\$.	\$	\$	\$	\$
Total Expenditures	\$	\$139,485	\$626,350	\$	\$216,894	\$548,941
Revenues:						
State Funds:	\$ \$ \$	<u>\$</u> \$	\$ \$	\$	\$ \$	<u>\$</u> \$

ARRA Activity Acceptance_Form ESR-2.dot_v1.4 \mathcal{PRSGRM} \mathcal{DR} ょうつ

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In-Kind	· · · · · ·	\$	\$	\$	\$		\$
ARRA Federal Funds:	\$. \$	\$	\$	\$		\$
(Direct Costs)	\$	\$139,485	\$626,350	\$		6,894	\$548,941
(Statewide Indirect)	\$	\$	\$	\$	\$		\$
(Dept'l Indirect)	\$	\$	\$	\$	\$		\$
Sub-total ARRA Funds	\$	\$	\$	\$	\$		\$
Other Funds:	\$	\$	\$	\$	\$		\$
(Other Federal)	\$	\$	\$	\$	\$		\$
(list source)	\$	\$	\$	\$	\$		\$
Total Revenues	\$	\$139,485	\$626,350	\$	\$216	5,894	\$548941
Comments about expendit	ures or revenues	may be made in th	le space provid	ed below:	• • •		
21, VISION Tracking Infor	mation:						
DeptID/Appropriation:	Oth	er VISION Chartfi		Total	· ·	ommer	its
· · · ·	(funds	, programs or proj	ects)	Amount			
00500000004700		A	· · ····	(all FYs)			
225000000/21709	ARRA Elect Re	eg Asst		\$765,835	1		
		· · · · · · · · · · · · · · · ·		\$ \$			
				\$			
				\$			
			· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	This Total ML		
			Total	\$765,835	total of Item 1 above	10, colun	nns A+B+C
PERSONAL SERVICE IN	FODMATION					en e	4.19.1 9.1.1
22. Will monies from this g				<u>1124494</u>	<u>v. Mar</u>		
If "Yes", appointing authority Appointing Authority Nar	y must initial here t					icy.	
			````````````````````````````````				
23. State Position Informati	on and Title(s):	# Existing Positions Retained	Est. Annu Regular Ho		ositions ted (New)		. Annual Ilar Hours
Utilities Analyst/Staff Atte		0	0		2		4,160
Administrative Services	,	0	0		-		1,248
Authinistrative Services					I		
				· .			<u> </u>
						· .	
	<b>Total Positions</b>	0	0		3		5,408
24. Is the appropriate Positi		-					
	YES – Form	attached or	<u> </u>	w positions c	reated		
25. Equipment and space these positions:		resently available.					
26. Does this qualify as "I	olegeo		)	If Yes c	complete next	line:	
<ul> <li>27. Infrastructure Ration</li> <li>1. To Preserve &amp; create jo</li> <li>2. To assist those most im</li> <li>3. To provide investment</li> <li>4. To invest in transportat</li> <li>5. To stabilize State &amp; loc counterproductive state &amp; loca</li> </ul>	bbs & promote econ pacted by the recess needed to increase ion, environmental al government bud	nomic recovery. ssion. economic efficiency protection, & other	infrastructure th	at will provide	long-term econ	nomic be	
28. AUTHORIZATION AG		MENT SIGNATUR	ES				
I/we certify that no funds beyond application preparation and filing	basic AKRA Ac	tivity Manager:	-	<u>en andre en der henden beiden.</u>		Da	te: 10/30/2009
	/ Xu	Jum	-				

ARRA Activity Acceptance_Form ESR-2.dot_v1.4

Page 2 of 3

a	$\sim$	Form ESR-2
have been expended or committed in	ame: Kurt Janson	Title: General Counsel
anticipation of Joint Fiscal Committee		Date:
approval of this grant, unless previou notification was made on Form AA-		11-6-09
(if applicable). I/we further certify the		Title: Chairman
these funds will be used only in	Agency Secretary (if required):	1
accordance with the federal America		Date:
Recovery & Reinvestment Act and a federal and state rules and regulation		,
pertaining thereto:	Name:	Title:
29. REVIEW BY FINANCE &	MANAGEMENT (continue on separate sheet if necess	arv)
V To Release Spending	FY 2010 STED approval will a C 139,485 more priate postible	
Authority in VISION:	1391 185 10001010 005	•
Analyst Date:	N-6-09 Management	
and the second		
	signed ESR Signature: The Cut of the Second	Date:
* * * Section 30 throug	1 33 are required ONLY when Form ESR-2	is used in lieu of Form AA-1 * * *
<b>30. SECRETARY OF ADMIN</b>		
	tary's signature or designee)	Date:
Accepted	Ja pel	11/10/09
		Date:
Rejected	Ar an	
<b>31. ACTION BY GOVERNOR</b>	$\sim$ $\sim$ $\sim$ $\sim$	
	rnor's signature or designee	Date: 12/09
Request to JFO		
Rejected		Date:
32. SENT TO JFO		
		Date:
Sent to JFO		
	* * * Section 33 is a required section *	
33. ARRA FORM ESR-2 DOC	IMENTATION CHECK LIST (check all that apply):	
<ul> <li>Notice of Award or Proof of Aw (REQUIRED)</li> <li>Request Memo</li> <li>Grant Agreement</li> </ul>	Governor's Certification (if applicable)	rant (Project) Timeline (if applicable) equest for Extension (if applicable) orm AA-1PN attached (if applicable)

### NOT SPECIFIED /OTHER

### ASSISTANCE AGREEMENT

I. Award No.	· · ·	2. Modifica	tion No.	3. Effective D		4. CFDA No.	
DE-OE0000161	·		• •	11/01/200	)9	81.122	
5. Awarded To		6	5. Sponsoring C	Office			7. Period of Performance
VERMONT, STATE OF	. ·	. τ	J.S. DOE/NE	TL			11/01/2009
Attn: BRENDA CHAMBERLIN		M	laureen Dav	vison, Cor	ntract Spe	cialist	through
112 STATE STREET		6	526 Cochran	ns Mill Ro	ad		10/31/2013
4TH FLOOR		P	O Box 1094	10			
MONTPELIER VT 056202701		P	ittsburgh	PA 15236-	0940		
· · · · ·				•			
	• ,						
3. Type of Agreement 9. Author	it <u>y</u>				10. Purchas	se Request or Fu	inding Document No.
X Grant 31 USC	6304 - al	.so seè p	age 2	•	100E0000	53	
Cooperative Agreement 10 USC	2358			·.			
Other					· ·	· . ·	
11. Remittance Address	· · · · · · · · · · · · · · · · · · ·		12. Total Amou	int	·····	13. Funds Obl	igated
JERMONT, STATE OF			Govt. Shar	e: \$765,8	35.00	This actio	on: \$765,835.00
Attn: BRENDA CHAMBERLIN			Cost Share			Total	: \$765,835.00
112 STATE STREET			Total	: \$765,8	35.00		•
4TH FLOOR					•	· · .	•
IONTPELIER VT 056202701				•	•	· ·	· · · ·
			· *.		•		
	· ·						
14. Principal Investigator	15. Program	Manager			16. Administrat	tor	
James Volz, 802-828-2358	Joseph L	Hanna		· · · ·	U.S. DOE/N	IETL	
james.volz@state.vt.us	Phone: 30		82		Pittsburgh		
						ns Mill Roa	ad
			· · · · · ·		PO Box 109	40 .	· · · · · · · · · · · · · · · · · · ·
					Pittsburgh	PA 15236-0	0940
17. Submit Payment Requests To		18. Paying (	Office	<u>1</u>	· · · · ·	19. Submit	Reports To
Payment - Direct Payment						See Rep	orting
from U.S. Dept of Treasury						Require	2
	· .		· · .			1	
							•
				••			
							· .
0. Accounting and Appropriation Data		• . • •					·
e. Hoodaning and Appropriation Data		•		•			
<ol> <li>Research Title and/or Description of Project</li> </ol>							
TATE ELECTRICITY REGULATORS AS	SSISTANCE	(VT)		•		× .	
For the Recipient	- 	·····			For the United	States of Americ	a
For the Recipient	- 	·····	25. Signatu	ire of Grants/A	For the United greements Offi		a
For the Recipient	- 		25. Signátu	re of Grants/A			a
For the Recipient		Date Signed					27. Date Signed
For the Recipient 2. Signature of Person Authorized to Sign د		Date Signed	26. Name c				

## NOT SPECIFIED /OTHER

CONTINU	JATION SHEET	REFERENCE NO. OF DOCUMENT BI DE-OE0000161		D		- <u></u>		PAGE 2	OF 2	
	FFEROR OR CONTRACTO	R	:			• •	•			•
	, STATE OF			<del></del>		· · · · · · · · · · · · · · · · · · ·	·			
ITEM NO.		SUPPLIES/SERVICES	•	QUANTITY				AMOUNT		
(A)		(B)	· · · · · · · · · · · · · · · · · · ·	(C)	(D)	(E)		(F)		
· .	DUNS Number: 1									
	DOE Award Admin		•							
	Maureen Davison	· · ·								
	412-386-5163			· . · .						
	Maureen.Davison	Cnetl.doe.gov			1			. I		
						-	· ·		:	
•		ess Point of Contact:	• .	1	1					
	Brenda Chamberl.	in								
	802-828-1152		:	ļ	1					
	brenda.chamberl:	in@state.vt.us		<b> </b> .						
		· · ·								
	Project Period of			l			5. 1			
	11/01/2009 thru	10/31/2013								
							· ·	•	•	
		ty: PL 95-91 DOE Organi		· .					•	
	1	erican Recovery and Rein	vestment							
	Act, of 2009	· · · · · ·				· · ·	· .			
	Award under DE-H					•	ſ	•		
	Recovery Act - S	State Electricity Regula	tors			•	ĺ		•	
	Assistance									
•	State of Vermont	<b>:</b>						•		
		· · · · ·					а ¹	•		
			D COMP							
		Competed: NOT AVAIL FO	R COMP							
	Davis-Bacon Act:							· · · ·		
	Delivery: 11/01/							• . •		
	Delivery Locatio	on Code: 02601		· ·		•	•			
	U.S. DOE/NETL			· · .						
	Pittsburgh Campu							•	•	
	626 Cochrans Mil	I ROAD		1				·	•	
	PO Box 10940				·			•		
	Pittsburgh PA 15	236-0940		· [		•				
				•				•		
	Payment:		- 14 - 14 - 14 - 14 - 14 - 14 - 14 - 14				•			
		Direct Payment								
· .		ept of Treasury						•		
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· . ·		bject Class: 41000 Progr		•						
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	0000000 TAS Agen	cy: 89 TAS Account: 0328	\$							
										•
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#### ATTACHMENT 2

#### STATEMENT OF PROJECT OBJECTIVES (SOPO)

#### A. OBJECTIVES

The objectives of this initiative are to: 1) increase the capacity of state PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas; 2) facilitate timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas; and 3) create jobs.

The initiative focuses on building state PUC capacity to ensure timely consideration by appropriate regulatory processes for ARRA electricity-related topical areas. Electricity-related ARRA topical areas include, but are not necessarily limited to: energy efficiency, electricity-based renewable energy, energy storage, smart grid, electric and hybrid-electric vehicles, and demand response equipment, coal with carbon capture and storage, and transmission.

### B. SCOPE OF WORK

The following activities are addressed:

- Increasing the capacity of the State PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas;
- Facilitating timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas

The projects will be assessed according to the following performance metrics:

- Number of electricity specialists hired by the PUC,
- Number of PUC electricity specialists trained in ARRA electricityrelated topical areas, and
- Number of ARRA electricity-related dockets managed by the PUC

#### C. TASKS TO BE PERFORMED

Note: These are tasks that will be included in the Grant award; however subtasks may be added to the Project Management Plan, if needed, to help better describe the project approach.

#### Task 1.0 - Project Management Plan

The Recipient will prepare a Project Management Plan that details the work elements (e.g., administration of the grant, opening and managing dockets, conducting workshops or other proceedings on topics relevant to this FOA, etc.), required to manage and report on activities in accordance with the ARRA and grant requirements. This Plan will also document the project plan and budget for carrying out all Tasks and completing all Deliverables under this Grant. With the understanding that PUC workload is at times unpredictable, it is anticipated that this document will be periodically revised during the performance period, but at all times will provide sufficient detail to plan, carry out and monitor all project activities.

#### Task 2.0 – Workforce Development Plan

The Recipient will prepare and follow a Workforce Development Plan that results in development of in-house expertise within the PUC funded by this FOA. The Plan will address acquiring/hiring, retaining, and training personnel in electricity topic areas.

### Task 3.0 – ARRA Case Monitoring Reports

The Recipient will provide quarterly reports summarizing the status of all ARRA electricityrelated PUC activities (e.g., typical PUC work products, such as dockets, final orders, legal findings, workshops, etc.) being serviced by staff supported with funding under this FOA. The reports shall include key dates for the PUC work products, as well as the work products themselves. Actual work products may not necessarily be included if a summary of each with a URL link to the PUC website for the full work product is provided. For example, the name of any docket and a link to the docket itself may be provided.

#### D. DELIVERABLES

Note: These are the deliverables that will be included in the Grant award; however additional deliverables may be added to the Project Management Plan, if needed, to help better describe the project approach.

**Deliverable 1.0** – Project Management Plan (Plan due 60 days after the award and revised as necessary throughout the performance period.)

**Deliverable 2.0** – Workforce Development Plan (Plan due 90 days after the award and revised periodically if necessary throughout the performance period.)

**Deliverable 3.0** – ARRA Case Monitoring Reports (The initial Report is due six months after the award and subsequent reports are due thereafter on 3 month intervals.)

2

Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

## ATTACHMENT 4 - Budget Information - Non Construction Programs - Summary

·					• *			OMB Approval No. 0348-004
ection A - Budget Summary	Catalog of Federal	Estimated Unobl		d Funds		<u> </u>	New or Revised Budget	
Grant Program Function or Activity	Domestic Assistance Number	Federal	Ŭ	Non-Federal		Federal	Non-Federal	Total
(a)	(b) ´	(c)		(d)		(e)	(f)	(g)
ARRA State Regulators 1. Assistance	81.122					\$765,835	\$0	\$765,83
2.								\$
3.	· · ·							\$
4.			i.					\$
5. Totals		\$0		\$0	· .	\$765,835	\$0	\$765,83
Section B - Budget Categories				Grant Program		and a second		
6. Object Class Categories		(1)	(2)	Glaitt Flografi	(3)		(4)	Total (5)
a. Personnel		\$369,563		· · · · · · · · · · · · · · · · · · ·			· · · · · · · · · · · · · · · · · · ·	\$369,56
b. Fringe Benefits		\$226,219		· · · ·		. <u></u>		\$226,21
c. Travel		\$71,213		· · ·				\$71,21
d. Equipment	· · ·	\$0						\$
e. Supplies		\$7,799		······································		· · · · · · · · · · · · · · · · · · ·		\$7,79
f. Contractual	· · · · ·	\$87,216	╉╶┿╸	· · · ·		•		\$87,21
g. Construction	· · ·	\$0	╉┯┿╸					\$
h. Other		\$0	╈╋	· · · · · · · · · · · · · · · · · · ·		<u></u>		\$
i. Total Direct Charges (sum of	f 6a-6h)	\$762,010		\$0			\$0	\$762,01
j. Indirect Charges		\$3,825	++				······	\$3,82
k. Totals (sum of 6i-6j)		\$765,835	+-+-	\$0			\$0	
7. Program Income		in and the second second states and the second s		Page 1 of 4	<u> </u>	1 <b>-81.1</b> 57/11.151		
, royran moulle	· · · · · · · · · · · · · · · · · · ·	\$0	1				L	

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ection C - Non-Federal Resources					
(a) Grant Program	:: <u>.</u>	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
ARRA State Regulators Assistance		\$0	\$0	\$0	\$0
	•	· · ·	· .		\$0
).					\$0
l				· · · ·	\$0
2. Total (sum of lines 8 - 11)		\$0	\$0	\$0	\$0
ection D - Forecasted Cash Needs		and the base of the second			
3. Federal	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
1. Non-Federal	\$0	\$0	\$0	\$0	\$0
5. Total (sum of lines 13 and 14)	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
ection E - Budget Estimates of Federal Funds Needed for	Balance of the Project		Euturo Eu	nding Periods (Years)	
(a) Grant Program		(b) First	(c) Second	(d) Third	(e) Fourth
6. ARRA State Regulators Assistance		\$283,828	\$273,453	\$127,692	\$80,864
7.					· · · ·
B.					······································
9.	······				
0. Total (sum of lines 16-19)		\$283,828	\$273,453	\$127,692	100.001
ection F - Other Budget Information		4200,020	φ213,433	\$121,032	\$80,864
1. Direct Charges		22. Indirect Charges		n an	
3. Remarks					
revious Edition Usable	Auth	Drized for Local Repr		Pre	SF-424A (Rev. 4-92) escribed by OMB Circular A-102
		Page 2 of 4			· · · · · · · · · · · · · · · · · · ·

#### provided by the sponsoring agency.

#### **General Instructions**

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

#### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5-Show the totals for all columns used.

#### Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i--Show the totals of Lines 6a to 6h in each column.

Line 6j—Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

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#### Section C. Non-Federal Resources

Lines 8-11—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a)**—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)-Enter the contribution to be made by the applicant.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19—Enter in Column (a) the same grant program titles shown in Column

Page (a) 4Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the succeeding areate

**Column (c)**—Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

**Column (d)**—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)-Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

#### Section D. Forecasted Cash Needs

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Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15—Enter the totals of amounts on Lines 13 and 14.

runds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

#### Section F. Other Budget Information

Line 21—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

**Line 22**—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23—Provide any other explanations or comments deemed necessary.

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Page 4 of 4

#### SF-424A (Rev. 4-92 Prescribed by OMB Circular A-102

#### Applicant Name: Vermont Public Service Board

#### Award Number: FOA-DE-0000100

## ATTACHMENT 4 - Budget Information - Non Construction Programs -Year 1

		-				OMB Approval No. 0348-0044
Section A - Budget Summary		. Hill Street .		Mar 2012-0		
Grant Program Function or	Catalog of Federal		Unobligated Funds		New or Revised	
Activity	Domestic Assistance	Federal	<ul> <li>Non-Federal</li> </ul>	Federal	Non-Federal	Total
(a)	(b)	. (c)	(d)	(e)	(f) .	(9)
						•
1. ARRA State Regulators Assistance	81.122			\$283,828	\$0	\$283,828
I. AAAA State Regulators Assistance	01.122			. \$203,020	.*•	\$203,020
4	· ·					
2.					1	\$0
3.					1 · · · · ·	\$0
4.						\$0
5. Totals		\$0	\$0	\$283,828	\$0	\$283.828
Section B - Budget Categories		<u></u>	12.007.205.1.27.94			
	COM		Grant Program, F	unction or Activity		
6. Object Class Categories		(1)	(2)	(3)	(4)	Total (5)
a. Personnel	•••••	\$137,218	<u>, , , , , , , , , , , , , , , , , , , </u>	1-7 -		\$137,218
b. Fringe Benefits		\$83,534		f ·		\$83,534
c. Travel	·····	\$24,725	· · · · · · · · · · · · · · · · · · ·			\$24,725
d. Equipment		<u> </u>				\$0
e. Supplies		\$7,800				\$7,800
f. Contractual	· · ·	\$26,726				\$26,726
g. Construction						\$20,720
		\$0				
h. Other		\$0	<b>*</b> ^			\$0
i. Total Direct Charges (sum of 6a	i-on)	\$280,003	\$0	\$0	\$0	\$280,003
j. Indirect Charges	· · · · ·	\$3,825				\$3,825
k. Totals (sum of 6i-6j)		\$283,828	\$0	\$0	THE REPORT OF TH	\$283.828
			· State of the second second	and the second states and		
7. Program income	· · ·	\$0				\$ <u>0</u>
						SF-424A (Rev. 4-92)
Previous Edition Usable		· . : .				Prescribed by OMB Circular A-102
	and the second second	Authorized	for Local Reproducti	on		
			·		-	
Section C - Non-Federal Resources	<u>.</u>		and the second		a start and a start of the	
	Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) Totals
8 ARRA State Regulators Assistance			\$0	\$0	\$0	\$0
9.						\$0
10.				· · · · ·	· ·	. \$0
11.	· · · · · · · · · · · · · · · · · · ·					\$0
12. Total (sum of lines 8 - 11)			. \$0	\$0	\$0	<u> </u>
Section D - Forecasted Cash Needs						
· .		Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
13. Federal		\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
14. Non-Federal		\$0	\$0	\$0	\$0	\$0
15. Total (sum of lines 13 and 14)	·	\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
Section E - Budget Estimates of Federal Funds	Needed for Balance of	the Project			1.1	
		L		······································	ng Periods (Years)	·
(a) Grant P		· [	(b) First	(c) Second	(d) Third	(e) Fourth
16. ARRA State Regulators Assistance	9		\$283,828	\$273,453	\$127,692	\$80,864
17.	· · · ·					
18.			l		· .	

 19.
 20.
 Total (sum of lines 16-19)
 \$283,828
 \$273,453
 \$127,692
 \$80,864

 Section F - Other Budget Information
 21. Direct Charges
 22. Indirect Charges
 23.

23. Remarks

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SF-424A (Rev. 4-92) Prescribed by OMB Circular A-102 Applicant Name: Vermont Public Service Board

### Award Number: FOA-DE-0000100

Budo	et Informatio	n - Non	Construction	Programs -	<ul> <li>Year</li> </ul>	r 2
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	Duuget	morniación * Non		-	-	OMB Approval No. 0348-0044
Section A - Budget Summary						
Grant Program	Catalog of Federal	Estimated Unob	ligated Funds		New or Revised	
Function or Activity	Domestic Assistance	Federal	Non-Federal	Federal	Non-Federal	Total
(a)	(b)	(c)	(d)	(e)	(1)	(g)
1, ARRA State Regulators Assistance	81.122			\$273,453	\$0	
2.		·				\$0
3.						\$0
4.						\$0
5. Totals		\$0			\$0	
Section B - Budget Categories						
C. Object Class Categoria	<b>.</b> .		Grant Program, Functi			Total (5)
6. Object Class Categorie	s	(1)	(2)	(3)	(4)	
a. Personnel		\$137,617				\$137,617
b. Fringe Benefits		\$90,248				\$90,248
c. Travel		\$19,294	· · · · ·			\$19,294
d. Equipment		\$0		· · · · · · · · · · · · · · · · · · ·		\$0
e. Supplies	·	\$0		· · ·		\$0
f. Contractual		\$26,294			· .	\$26,294
g. Construction		\$0		•		\$0
h. Other		\$0				\$0
I. Total Direct Charges	(sum of 6a-6h)	\$273,453	\$0	\$0	\$0	\$273,453
j. Indirect Charges		\$0				\$0
k. Totals (sum of 6i-6j)		\$273,453	\$0	\$0	\$0	\$273,453
The second second		and an				
7. Program Income		. \$0	· · ·			\$0

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Section	C - Non-Federal Resources					
	(a) Grant Program	<u>מ</u>	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
.8.	ARRA State Regulators Assistance		\$0	\$0	\$0	\$0
9.		· · · · · · · · · · · · · · · · · · ·				\$0
10.		· · ·				\$0
11.	· · · · · · · · · · · · · · · · · · ·					\$0
12.	Total (sum of lines 8 - 11)		\$0	\$0	\$0	\$0
Section	D - Forecasted Cash Needs			يىرى ئىرىلىدەن بىلىدەن بىلىدەن		
		Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
13.	Federal	\$273,453	\$64,349	\$75,628	\$74,392	\$59,084
14.	Non-Federal	\$0	\$0	\$0	\$0	\$0
15.	Total (sum of lines 13 and 14)	\$273,453	\$64,349	\$75,628	\$74,392	\$59,084
	E - Budget Estimates of Federal Funds Needed for	Balance of the Project				
		•		Future Fund	ing Periods (Years)	
	(a) Grant Program		(b) First	(c) Second	(d) Third	(e) Fourth
16.	ARRA State Regulators Assistance		\$273,453	\$127,692	\$80,864	
17.			- N - A			
18.	· · · · · · · · · · · · · · · · · · ·					·
19.				·		•
20.	Total (sum of lines 16-19)	· · · · · · · · · · · · · · · · · · ·	\$273,453	\$127,692	\$80,864	\$0
	F • Other Budget Information					
	t Charges		22. Indirect Charges			

23. Remarks

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### Applicant Name: Vermont Public Service Board

Award Number: FOA-DE-0000100

## Budget Information - Non Construction Programs -Year 3

Section A - Budget Summa	TV I					OMB Approval No. 0348-0044
		and the second second		SECTOR S	1	
<ul> <li>Grant Prog</li> </ul>		Estimated Unot	oligated Funds		New or Revised	
Function or /	Activity Domestic Assistance	Federal	Non-Federal	Federal	Non-Federal	Total
. (a)	(b)	(C).	(d)	(e)	()	(g).
1. ARRA State Re Assistance	gulators 81.122			\$127,692	2 \$0	\$127,692
Assistance				<b>.</b>		\$0
3.						\$0
4.				1		\$0
5. Totals		\$0	\$0	\$127,692	\$0	\$127,692
Section B - Budget Categ						
		· (	Grant Program, Function	n or Activity		
6. Object Class C	ategories	(1)	(2)	(3)	(4)	Total (5)
a. Personnel		\$63,008		·		\$63,008
b. Fringe Bene	efits	\$34,958		· ·		\$34,958
c. Travel		\$13,863				\$13,863
d. Equipment	· · ·	\$0				\$0
e. Supplies		\$0				\$0
f. Contractual		\$15,863				\$15,863
g. Constructio	n ·	\$0				\$0
h. Other		\$0	•			\$0
i. Total Direct	Charges (sum of 6a-6h)	\$127.692	\$0	\$0	\$0	\$127,692
j. Indirect Cha		\$0				\$0
k. Totals (sur		\$127.692	\$0	\$0	\$0	\$127,692
	All All Calence H. Con L		Car an Incore	and the second		Store Dogo Sar
7. Program Incom		\$0		T		
					<u> </u>	\$0
Previous Edition Usabl		Authorized for Loc	cal Reproduction	I	l I Presc	\$0 SF-424A (Rev. 4-92) ribed by OMB Circular A-102
Previous Edition Usabl	9				I Presc	SF-424A (Rev. 4-92)
	9	Authorized for Loc		(c) State		SF-424A (Rev. 4-92)
Section C - Non-Federal Res	e iources	Authorized for Loc			(d) Other Sources	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals \$0
Section C - Non-Federal Res	e ources (a) Grant Program	Authorized for Loc	(b) Applicant	(c) State	(d) Other Sources	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals \$0 \$0
Section C - Non-Federal Res 8. ARRA State Re	e ources (a) Grant Program	Authorized for Loc	(b) Applicant	(c) State	(d) Other Sources	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals \$0 \$0 \$0
Section C - Non-Federal Res 8. ARRA State Re 9.	e ources (a) Grant Program	Authorized for Loc	(b) Applicant	(c) State \$0	(d) <u>Other Sources</u> \$0	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Totals (c) \$0 (c) \$0 (c) \$0 (c) \$0 (c) \$0
Section C - Non-Federal Res 8. ARRA State Re 9. 10.	e rources (a) Grant Program gulators Assistance	Authorized for Loc	(b) Applicant	(c) State	(d) Other Sources	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Totals (c) SO (c) SO (c) SO (c) SO (c) SO (c) SO
Section C - Non-Federal Res           8.         ARRA State Res           9.         10.           11.         11.	e ources (a) Grant Program gulators Assistance nes 8 - 11)	Authorized for Loc	(b) Applicant \$0 \$0	(c ) State \$0 \$0 \$0	(d) Other Sources \$0 \$0 \$0	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of Il Section D - Forecasted Cash	e ources (a) Grant Program gulators Assistance nes 8 - 11)	Authorized for Loc	(b) Applicant \$0 \$0 \$0 1st Quarter	(c) State \$0 \$0 \$0 200 200 200 200 200 200 200 20	(d) Other Sources \$0 \$0 \$0 30 3rd Quarter	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum offil Section D - Forecasted Cash 13. Federal	e ources (a) Grant Program gulators Assistance nes 8 - 11)	Authorized for Loc	(b) Applicant \$0 \$0 \$0 1st Quarter \$28,375	(c) State \$0 \$0 2nd Quarter \$37,971	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$36,738	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of line) Section D - Forecasted Cash 13. Federal 14. Non-Federal	e cources (a) Grant Program igulators Assistance nes 8 - 11) Needs	Authorized for Loc	(b) Applicant \$0 \$0 1st Quarter \$28,375 \$0	(c) State \$0 \$0 2nd Quarter \$37,971 \$0	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$36,738 \$0	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of linger	e iources (a) Grant Program gulators Assistance nes 8 - 11) Needs	Authorized for Loc	(b) Applicant \$0 \$0 \$0 1st Quarter \$28,375 \$0 \$28,375	(c) State \$0 \$0 2nd Quarter \$37,971	(d) Other Sources \$0 \$0 3rd Quarter \$36,738 \$0 \$36,738	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of linger	e cources (a) Grant Program igulators Assistance nes 8 - 11) Needs	Authorized for Loc	(b) Applicant \$0 \$0 1st Quarter \$28,375 \$0	(c) State \$0 \$0 2nd Quarter \$37,971 \$0 \$37,971	(d) Other Sources \$0 \$0 \$0 \$0 \$3 3rd Quarter \$36,738 \$0 \$36,738	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of linger	e iources (a) Grant Program gulators Assistance nes 8 - 11) Needs nes 13 and 14) s of Federal Funds Needed for B	Authorized for Loc	(b) Applicant \$0 \$0 1st Quarter \$28,375 \$0 \$28,375	(c) State \$0 \$0 2nd Quarter \$37,971 \$0 \$37,971 Future Fundir	(d) Other Sources \$0 \$0 3rd Quarter \$36,738 \$0 \$36,738 g Periods (Years)	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (e) Totals (c) Totals (c) \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$
Section C - Non-Federal Res 8. ARRA State Re 9. 10. 11. 12. Total (sum of lin Section D - Forecasted Cash 13. Federal 14. Non-Federal 15. Total (sum of lin Section E - Budget Estimated	e iources (a) Grant Program gulators Assistance nes 8 - 11) Needs	Authorized for Loc	(b) Applicant \$0 \$0 \$0 1st Quarter \$28,375 \$0 \$28,375	(c) State \$0 \$0 2nd Quarter \$37,971 \$0 \$37,971	(d) Other Sources \$0 \$0 \$0 \$0 \$3 3rd Quarter \$36,738 \$0 \$36,738	SF-424A (Rev. 4-92) ribed by OMB Circular A-102 (e) Totals (c) Tot

23. Remarks

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# Applicant Name: Vermont Public Service Board

#### Award Number: FOA-DE-0000100

## Budget Information - Non Construction Programs -Year 4

O	No. of the second s	第445-111-111-1111-1111-1111-1111-1111-11	ಜಾನಗಳು ನಿರ್ಮಾಮಕ ಕಾಳಿತಿದ್			OMB Approval No. 0348-0044
Section A - Budget Summary	Ostalas of Fastanal			<u>in an an</u>	Navy on Device	A COMPANY OF A COM
Grant Program	Catalog of Federal	Estimated Unob		ļ	New or Revised	
Function or Activity (a)	Domestic Assistance (b)	Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
ADDA Case Development						
Assistance	81.122			\$80,864	\$0	
2.	·				<u>`</u>	\$0
3.	· · · · · · · · · · · · · · · · · · ·				· · · · · · · · ·	\$0
4.	······································					\$0
5. Totals	ал тап 1. (67 . Айгээ Сах э. тээ	\$0	\$0	\$80,864		
Section B · Budget Categories		presidente the state			- ಕ್ರಮ ಪ್ರಜ್ಞಾನವ	
6. Object Class Categories	5		rant Program, Function		(A)	Total (5)
a. Personnel			(2).	(3)	(4)	¢24 700
b. Fringe Benefits	· · · ·	\$31,720			· · · · · ·	\$31,720
c. Travel		\$17,479 \$13,332				\$17,479
			·····			\$13,332
d., Equipment		\$0	· · · · · · · · · · · · · · · · · · ·			\$0
e. Supplies		\$0				\$0
f. Contractual	·	\$18,333				\$18,333
g. Construction	·	\$0				\$0
h. Other		\$0				\$0
i. Total Direct Charges	(sum of 6a-6h)	\$80,864	\$0	\$0	\$0	\$80,864
j. Indirect Charges	· · · ·	\$0				\$0
k. Totals (sum of 6i-6j)		\$80,864	\$0	\$0	\$0	\$80,864
7. Program Income	A DESCRIPTION AND A DESCRIPTION			に定義を使われたの思い		
Previous Edition Usable		\$0	·····		Presc	\$0 SF-424A (Rev. 4-92) ribed by OMB Circular A-102
Previous Edition Usable		Authorized for Loca	al Reproduction		Presc	·····
		4-10-10-10-10-10-10-10-10-10-10-10-10-10-	al Reproduction		Presc	SF-424A (Rev. 4-92)
Section C - Non-Federal Resources	(a) Grant Program	4-10-10-10-10-10-10-10-10-10-10-10-10-10-	al Reproduction		· · · · · · · · · · · · · · · · · · ·	SF-424A (Rev. 4-92)
Section C - Non-Federal Resources 8. ARRA State Regulators .		4-10-10-10-10-10-10-10-10-10-10-10-10-10-				SF-424A (Rev. 4-92) pribed by OMB Circular A-102 (e) Totals
Section C - Non-Federal Resources 8. ARRA State Regulators . 9.		4-10-10-10-10-10-10-10-10-10-10-10-10-10-	(b) Applicant	(c) State	(d) Other Sources	SF-424A (Rev. 4-92) pribed by OMB Circular A-102 (e) Totals \$0 \$0
Section C - Non-Federal Resources 8. ARRA State Regulators / 9. 10.		4-10-10-10-10-10-10-10-10-10-10-10-10-10-	(b) Applicant	(c) State	(d) Other Sources	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (g) \$0 \$0 \$0
Section C - Non-Federal Resources 8. ARRA State Regulators , 9. 10. 11.	Assistance	4-10-10-10-10-10-10-10-10-10-10-10-10-10-	(b) Applicant \$0	(c ) State \$0	(1) Other Sources \$0	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (c) Totals (c) \$0 (c) \$0 (c) \$0 (c) \$0 (c) \$0
Section C - Non-Federal Resources 8. ARRA State Regulators , 9. 10. 11. 12. Total (sum of lines 8 - 11	Assistance	4-10-10-10-10-10-10-10-10-10-10-10-10-10-	(b) Applicant	(c) State\$0 \$0 \$0	(d) Other Sources	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (g) \$0 \$0 \$0
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11.	Assistance	Authorized for Loca	(b) Applicant \$0 \$0	(c) State\$0 \$0 \$0	(d) Other Sources \$0 \$0 \$0	SF-424A (Rev. 4-92) cribed by OMB Circular A-102
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs	Assistance	Authorized for Loca	(b) Applicant \$0 \$0 \$0 \$0 1st Quarter	(c) State \$0 \$0 2nd Quarter	(d) Other Sources \$0 \$0 \$0 3rd Quarter	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal	Assistance	Authorized for Loca Total for 1st Year \$80,864	(b) Applicant \$0 \$0 \$0 1st Quarter \$26,492	(c ) State \$0 \$0 \$0 2nd Quarter \$39,039	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$11,333	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal 14. Non-Federal	Assistance	Authorized for Loca Total for 1st Year \$80,864 \$0	(b) Applicant \$0 \$0 \$0 1st Quarter \$26,492 \$0	(c ) State \$0 \$0 2nd Quarter \$39,039 \$0	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$11,333 \$0	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources           8.         ARRA State Regulators .           9.         10.           11.         12.           12.         Total (sum of lines 8 - 11           Section D - Forecasted Cash Needs         13.           13.         Federal           14.         Non-Federal           15.         Total (sum of lines 13 and 13.	Assistance I) d 14)	Authorized for Loca Total for 1st Year \$80,864 \$0 \$80,864	(b) Applicant \$0 \$0 \$0 \$1 \$1 \$26,492 \$0 \$26,492	(c.) State \$0 \$0 2nd Quarter \$39,039 \$0 \$39,039	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$11,333 \$0 \$11;333	SF-424A (Rev. 4-92) pribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal 14. Non-Federal	Assistance I) d 14)	Authorized for Loca Total for 1st Year \$80,864 \$0 \$80,864	(b) Applicant \$0 \$0 \$0 1st Quarter \$26,492 \$0	(c.) State \$0 2nd Quarter \$39,039 \$0 \$39,039	(d) Other Sources \$0 \$0 \$0 \$0 3rd Quarter \$11,333 \$0 \$11,333	SF-424A (Rev. 4-92) cribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources 8. ARRA State Regulators , 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal 14. Non-Federal 15. Total (sum of lines 13 an Section E - Budget Estimates of Fede	Assistance I) d 14) ral Funds Needed for Bal	Authorized for Loca Total for 1st Year \$80,864 \$0 \$80,864	(b) Applicant \$0 \$0 \$0 1st Quarter \$26,492 \$0 \$26,492	(c ) State \$0 2nd Quarter \$39,039 \$0 \$39,039 Future Fundin	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$11,333 \$0 \$11,333 \$0 \$11,333 \$0 \$11,333	SF-424A (Rev. 4-92) cribed by OMB Circular A-102
Section C - Non-Federal Resources 8. ARRA State Regulators , 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal 14. Non-Federal 15. Total (sum of lines 13 an Section E - Budget Estimates of Fede	Assistance I) Id 14) ral Funds Needed for Bal a) Grant Program	Authorized for Loca Total for 1st Year \$80,864 \$0 \$80,864	(b) Applicant \$0 \$0 \$0 \$1 \$1 Quarter \$26,492 \$0 \$26,492	(c) State \$0 \$0 2nd Quarter \$39,039 \$0 \$39,039 \$0 \$39,039 Future Fundin (c) Second	(d) Other Sources \$0 \$0 \$0 \$0 3rd Quarter \$11,333 \$0 \$11,333	SF-424A (Rev. 4-92) pribed by OMB Circular A-102 (e) Totals (c) To
Section C - Non-Federal Resources 8. ARRA State Regulators . 9. 10. 11. 12. Total (sum of lines 8 - 11 Section D - Forecasted Cash Needs 13. Federal 14. Non-Federal 15. Total (sum of lines 13 an Section E - Budget Estimates of Fede (a) 16. ARRA State Regulators .	Assistance I) Id 14) ral Funds Needed for Bal a) Grant Program	Authorized for Loca Total for 1st Year \$80,864 \$0 \$80,864	(b) Applicant \$0 \$0 \$0 1st Quarter \$26,492 \$0 \$26,492	(c ) State \$0 2nd Quarter \$39,039 \$0 \$39,039 Future Fundin	(d) Other Sources \$0 \$0 \$0 3rd Quarter \$11,333 \$0 \$11,333 \$0 \$11,333 \$0 \$11,333	SF-424A (Rev. 4-92) bribed by OMB Circular A-102
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23. Remarks

Previous Edition Usable

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SF-424A (Rev. 4-92) Prescribed by OMB Circular A-102

## STATE OF VERMONT Joint Fiscal Committee Review Limited Service - Grant Funded Position Request Form

014

This form is to be used by agencies and departments when additional grant funded positions are being requested. Review and approval by the Department of Human Resources <u>must</u> be obtained <u>prior to</u> review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report <u>must</u> be attached to this form. Please attach additional pages as necessary to provide enough detail.

Agency/Department: Public Service Board Date: 10/09/2009

Name and Phone (of the person completing this request): Brenda Chamberlin 802-828-1152

Request is for:

 $\boxtimes$  Positions funded and attached to a new grant.

Positions funded and attached to an existing grant approved by JFO #_____

1. Name of Granting Agency, Title of Grant, Grant Funding Detail (attach grant documents):

US Department of Energy - Recovery Act - State Electricity Regulators Assistance

2. List below titles, number of positions in each title, program area, and limited service end date (information should be based on grant award and should match information provided on the RFR) position(s) will be established <u>only</u> after JFC final approval:

Title* of Position(s) Requested	<u># of Positions</u>	Division/Program	Grant Funding Period/Anticipated End Date
Utilities Analyst/Staff Attorney			
(Exempt as per PSB Pay Plan)	1		3.5 years/June 30, 2012
Utilities Analyst/Staff Attorney	1		2 years/December 31, 2011
(Exempt as per PSB Pay Plan)			
Administrative Technician II	1 (3/5 t	ime)	2 years/December 31, 2011

*Final determination of title and pay grade to be made by the Department of Human Resources Classification Division upon submission and review of Request for Classification Review.

3. Justification for this request as an essential grant program need:

Primary purposes of initiative are to: increase the capacity of state PUCs to manage a significant increase in dockets and other regulatory actions resulting from ARRA electricity-related topical areas; facilitate timely consideration by PUCs of regulatory actions pertaining to ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; facilitate timely consideration by PUCs of electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas; create jobs; and train electricity specialists in ARRA electricity-related topical areas.

I certify that this information is correct and that necessary funding, space and equipment for the above position(s) are available (required by 32 VSA Sec. |5(p).

	10-25-09
Signature of Agency or Department Head	Date
Molly Paul ye	10/29/09
Approved/Denied by Department of Human Resources	Date
for kender	115/09
Approved/Denied by Finance and Management DB 11-4-09	Date
In the . Warded	11/5/09
	BRANN 0 12009 DHR - 11/7/05

#### Administrative Services Technician II

Assist the Clerk of the Board and the Deputy Clerk in the processing of Section 248 applications/petitions as they relate to renewable energy projects. Duties shall include the following:

- Maintain tracking log of Sec 248 applications
- Maintain Docket Entry Log for Sec 248 petitions (involves making daily entries of filings, and actions taken by the Board – a verbatim record is required under 30 VSA Sec. 6)
- Create master file, in hard copy & on computer system
- Prepare notices for newspaper publications (requires contact with newspapers for publication dates & deadlines, and drafting the notice)
- Prepare official notice to statutory parties for Sec 248(j) petitions (involves drafting the notice, and creating a service list of parties and interested persons)
- Prepare & send hearing notices for Sec 248 petitions (involves contacting parties to coordinate hearing dates, securing a location for the hearings, drafting the notice, and creating an official service list)
- Type orders and certificates of public good, and other correspondence as required
- --- Prepare final orders for issuance to parties and interested persons (requires attention to detail and accuracy)
- Conduct research needed to establish an historical/statistical data base of past Section 248 petitions, and maintain ongoing data base info

It is expected that the person in this position will have the ability to work independently, to understand and follow complex instructions, be able to deal with the pressure of meeting deadlines, and be able to communicate effectively.

#### Announcement: DE-FOA-0000100

Activity Description: ARRA State Regulatory Assistance

Applicant: The State of Vermont Vermont Public Service Board 112 State Street Montpelier, VT 05620

Date: August 31, 2009 Funding Request: \$765,835 Total Project Cost: \$765,835 Project Period: January 1, 2010 – December 31, 2013 Organization Type: State Government Agency

Technical Point of Contact: Kurt Janson General Counsel 802-828-2358 kurt.janson@state.vt.us Business Point of Contact: Brenda Chamberlin Business Manager 802-828-2358 brenda.chamberlin@state.vt.us Other Key Contact: Ann Bishop Chief Economist 802-828-2358 ann.bishop@state.vt.us

#### **Project Narrative**

### A. Objectives

1. Increase the capacity of the Vermont Public Service Board ("Board"), the public utility commission for the State of Vermont, to manage an anticipated significant increase in regulatory activity resulting from the following electricity-related topical areas stimulated by the American Recovery and Reinvestment Act of 2009 ("ARRA"): energy efficiency, electricity-based renewable energy, energy storage, smart grid, electric and hybrid-electric vehicles, demand-response equipment, transmission, and distribution.

2. Facilitate timely consideration by the Board of dockets and requests for regulatory action pertaining to the listed electricity-related topical areas.

3. Create three new jobs, one full-time for three-and-one-half years, one full-time for two years, and one 3/5-time for two years.

4. Train sixteen Board staff in ARRA electricity-related topical areas so that regulators have the information needed for effective and timely regulatory decision-making.

#### B. Scope of Work

The Board has broad regulatory authority regarding electricity-related matters. For example, the Board supervises the rates, quality of service, and overall financial management of Vermont's twenty electric utilities. The Board is the siting authority for generation and transmission facilities, and it oversees the delivery of energy efficiency services by Vermont's statewide Energy Efficiency Utility.

Because of the Board's broad regulatory authority, the Board anticipates a significant increase in its workload in ARRA electricity-related topical areas over the next four years. Some highlights of the anticipated additional workload are:

1

- Siting of new renewable energy projects. The Board expects to receive hundreds of applications for new renewable energy system installations that will require Board review and approval. Most will be small systems installed at customers' homes or businesses. As a result, in addition to the work associated with reviewing applications, the Board expects significant resources will be required to assist applicants with completing applications, and responding to questions from members of the public about proposed projects.
- <u>Smart grid</u>. Vermont's electric utilities are working collaboratively to develop two ARRA smart grid applications. Full implementation of a smart grid in Vermont will require Board review and approval of: plans for large-scale deployments of Automated Metering Infrastructure systems; debt issuances; rate increases; and new rate designs. Smaller-scale implementation would likely require Board review and approval of some of these elements.
- <u>Energy efficiency</u>. It is necessary for the Board to ensure that new ARRA-funded energy efficiency programs are coordinated with, and build on, the state's existing energy efficiency programs. As a result, the Board expects to engage interested parties in policy discussions which could lead to Board proceedings or to changes by the Board to aspects of Vermont's Energy Efficiency Utility program.

#### Hiring New Staff

The Board plans to use the ARRA State Electricity Regulators Assistance to hire three new staff: two utilities analysts¹ and one administrative support person. The Board will comply with the ARRA 2009 prevailing wage assurance (Davis-Bacon Act). We anticipate that the duties of the new staff would be as follows:

Utilities Analysts – The first utilities analyst, which is anticipated to be a three-and-onehalf year full-time position, would function similarly to existing Board utilities analysts/hearing officers, with specific responsibility for applications for projects within ARRA electricity-related topical areas. This means that the first utilities analyst would: review applications and any responsive comments; conduct site visits, procedural conferences, workshops, public hearings, and evidentiary hearings; and draft procedural and substantive orders.

The second utilities analyst, which is anticipated to be a two-year full-time position, would primarily serve a very different role. Given the expected influx of new applications, many of which are anticipated to be filed by individuals with no or limited prior experience in submitting such applications, the addition of a "permit assistance specialist" utilities analyst position to work on projects within ARRA electricity-related topical areas will result in a much more efficient process, and will provide a substantial benefit to applicants and the public in navigating the Board's review process. This position would work with potential applicants (especially for smaller projects, in recognition of the limited resources and experience typical of such applicants) to assist in identifying the information necessary for the Board's review and in

¹ While this document refers only to utilities analysts, consistent with its past practice, the Board intends to advertise for Utilities Analysts/Staff Attorneys. If a new hire is an attorney, he/she will be a Staff Attorney. If a new hire is not an attorney, he/she will be a Utilities Analyst. Regardless of whether staff attorneys or utilities analysts are hired, the Board expects the positions' responsibilities will be largely the same.

answering applicants' questions about the application and the review process. This position would also be responsible for responding to inquiries from the public.

The Board anticipates that both utilities analysts would also work on other Board activities involving ARRA electricity-related topical areas, and that the duties and functions of both utilities-analyst positions may evolve over time because the nature of work on ARRA electricity-related topical areas may itself evolve.

Administrative Support – The administrative-support position, which is anticipated to be a two-year 3/5-time position, would be responsible for providing administrative support related to projects within ARRA electricity-related topical areas.

#### Training for New and Existing Staff

Training will greatly facilitate the Board's activities in ARRA electricity-related topical areas. It will expand the Board's capabilities in areas where additional workload is expected (such as reviewing siting applications for new renewable energy projects), as well as increase the Board's knowledge of such important and cutting-edge areas as energy efficiency and smart grid.

The Board intends to provide training to all existing and new electricity staff as follows: 16 staff in each of the first two years of this project, 15 staff in the third year, and 14 staff in the final year. This training will include a mixture of group training sessions at the Board by electricity experts brought in for that purpose, and individual training at appropriate courses around the country.

#### C. Tasks to Be Performed and Deliverables

The Board will prepare a Project Management Plan, a Workforce Development Plan, and quarterly ARRA Case Monitoring Reports as required by the Statement of Project Objectives included in the Funding Opportunity Announcement. These plans and reports will be provided to the U.S. Department of Energy's National Energy Technology Laboratory in accordance with the timeframes set forth in the Statement of Project Objectives.

#### **D.** Performance Metrics

The Board's performance under this award will be assessed according to the following performance metrics:

- Number of electricity specialists hired by the Board Three; one full-time for threeand-one-half years, one full-time for two years, and one 3/5 time for two years.
- Number of Board electricity specialists trained in ARRA electricity-related topical areas Expected to be 16 in the first two years of the award, 15 in the third year, and 14 in the final year; some will receive multiple training opportunities in a year.
- Number of ARRA electricity-related dockets and other official proceedings managed by the Board – 600 over the four-year award period; the actual number may be substantially smaller or larger, depending in large part on the number and type of ARRA-funded projects filed with the Board for approval.

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## Budget Information - Non Construction Programs - Summary

Section A - Budget Summary		a statistic providence and the statistic providence of the		۰ ۱۹۹۹ - ۲۰۰۰ ۲۰۰۰ ۲۰۰۰ ۲۰۰۰ ۲۰۰۰ ۲۰۰۰ ۲۰۰۰		OMB Approval No. 0348-0044
Grant Program Function or	Catalog of Federal	Estimated Unob			New or Revised Budget	
Activity	Domestic Assistance Number	Federal	Non-Federal	Federal	Non-Federal	Total
(a)	(b)	(c)	(d)	(e)	(f)	(g)
1. ARRA State Regulators 1. Assistance	81.122			\$765,835	\$0	\$765,835
2.		· · · · · · · · · · · · · · · · · · ·		· · · ·		\$0
3.				· · · · · · · · · · · · · · · · · · ·		\$0
4.						\$0
5. Totals		\$0	\$0	\$765,835	\$0	\$765,835
Section B - Budget Categories		and the state of the		, Function or Activity	ele-strangener i strangener strangener som	
6. Object Class Categories		(1)	1	(3)	(4)	Totai (5)
a. Personnel	· · · · · · · · · · · · · · · · · · ·	\$369,563				\$369,563
b. Fringe Benefits	· · · · · · · · · · · · · · · · · · ·	\$226,219				\$226,219
c. Travel		\$71,213		· · · · · · · · · · · · · · · · · · ·		\$71,213
d. Equipment	· · · · · · · · · · · · · · · · · · ·	\$7,799				\$7,799
e. Supplies		\$0				\$0
f. Contractual		\$87,216				\$87,216
g. Construction		\$C				\$0
h. Other		\$0	•			\$0
i. Total Direct Charges (sum of	6a-6h)	\$762,010	\$0		\$0	\$762,010
j. Indirect Charges		\$3,825	)			\$3,825
k. Totals (sum of 6i-6j)		\$765,835			\$0	\$765,835
7. Program Income	<u></u>	\$0	) Page 1 of 4	ingeneration of the second statements of the second second second second second second second second second se In the second		\$0

Alle State				
	(b) Applicant	(c) State	(d) Other Sources	(e) Totals
	<b>\$</b> 0	\$0	\$0	\$0
				\$0
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· · · · · · · · · · · · · · · · · · ·	\$0	\$0	\$0	\$0
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Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th quarter
\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
\$0	\$0	\$0	. \$0	\$0
\$283,828	\$66,743	\$72,743	\$77,409	\$66,933
lance of the Project			n an	
	<u> </u>	Future Fur		
	(b) First	(c) Second	(d) Third	(e) Fourth
	\$283,828	\$273,453	\$127,692	\$80,864
	• .	· .		
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•	\$283,828	\$273,453	\$127,692	\$80,864
And Andrew Park				
	22. Indirect Charges			
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Auth	norized for Local Repr	oduction		
•	Page 2 of 4	· ·		
insti	ructions for the SF-42	4A		
	Total for 1st Year \$283,828 \$0 \$283,828 alance of the Project	(b) Applicant \$0 \$0 Total for 1st Year 1st Quarter \$283,828 \$66,743 \$0 \$283,828 \$66,743 \$0 \$283,828 \$66,743 alance of the Project (b) First \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$283,828 \$294,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,828 \$295,82	(b) Applicant         (c) State.           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$0         \$0           \$283,828         \$66,743           \$283,828         \$66,743           \$283,828         \$66,743           \$283,828         \$66,743           \$283,828         \$273,453           \$283,828         \$273,453           \$22. Indirect Charges         \$22. Indirect Charges	(b) Applicant         (c) State         (d) Other Sources           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$0         \$0         \$0         \$0           \$283,828         \$66,743         \$72,743         \$77,409           \$0         \$0         \$0         \$0         \$0           \$283,828         \$66,743         \$72,743         \$77,409           \$1ance of the Project         \$77,409         \$77,409         \$127,692           \$1ance of the Project         \$283,828         \$273,453         \$127,692           \$283,828         \$273,453         \$127,692         \$127,692           \$22. Indirect Charges         \$283,828         \$273,453         \$127,692           \$22. Indirect Charges         \$283,828         \$273,453         \$127,692

Public Reporting Burden for this collection of information is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address

provided by the monsoring agency.

#### General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the later case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

#### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a **single** Federal grant program (Federal Domestic Assistance Catalog number) and **not requiring** a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a **single** program **requiring** budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in **Column** (a) and the respective catalog number on each line in Column (b).

For applications pertaining to **multiple** programs where one or more programs **require** a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

**For new applications,** leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5-Show the totals for all columns used.

#### Section B. Budget Categories

In the column headings (a) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i-Show the totals of Lines 6a to 6h in each column.

Line 6j—Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

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#### Section C. Non-Federal Resources

**Lines 8-11**—Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a)**—Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b)-Enter the contribution to be made by the applicant.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19—Enter in Column (a) the same grant program titles shown in Column

Page (a),4Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funde for the surrent year of existing grants.

**Column (c** Inter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

**Column (d)**—Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e)-Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

#### Section D. Forecasted Cash Needs

Line 13—Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14—Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15—Enter the totals of amounts on Lines 13 and 14.

runos for the current year of existing grants.

ore than four lines are needed to list the program titles, submit add al scnedules as necessary.

Line 20—Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

#### Section F. Other Budget Information

Line 21—Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22—Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23—Provide any other explanations or comments deemed necessary.

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Vermont Public Service Board

Budget explanation details

Direct Labor							Explanation	
· ·	Hours		Rate					
New Staff - Utilities Analyst/Staff Attorney		7,280		29		214,744	1 Utilities Analyst/Staff Attorney-\$61K per year	
New Staff - Utilities Analyst/Staff Attorney		4,160		26		109,990	1 Utilities Analyst/Staff Attorney-\$55K per year	
New Staff - Administrative Position Total Direct Labor		2,496		18	۴	44,828	1 Administrative Position-\$22K 3/5 time	
Total Direct Labor					\$	369,563	· · · · · · · · · · · · · · · · · · ·	
Fringe Benefits (based on actual costs)				. · · ·		226,219		
Overhead	•	-		-				•
Subtotal					\$	226,219		
Other Direct Costs (Justification for Equipme	nt, Trav	el, and	Contra	ctual)				
Contractual								
Training Expenses - Experts brought to Board		4		6,250		25,000	On-site expert expenses	
Training Expenses - Tuition		52		1,196		62,216	Off-site tuition expenses	
Travel Support for Trainees and Site Visits								
(Lodging, Transportation)		52		1,370		71,214		
Office equipment		3		2,600		7,799	office equipment for new staff	•
Other		-		2,000 -		-	once equipment for new statt	
Total Other Direct Costs					\$	166,229	· · ·	
Total Direct			·			762,010		
						·		
State Administrative Fee (.5% per OMB) G&A				3,825		3,825	·	
Uan .				-		-	· · ·	
Total					\$	765,835		
				• .				

## Year 1 2010

Direct Labor	· _· _·			 - <u></u>	Explanation
	Hours	R	ate		•
New Staff - Utilities Analyst/Staff Attorney		2,080	29	60,008	Expection of hire by 1/15/2010
New Staff - Utilities Analyst/Staff Attorney		2,080	26	54,995	Expection of hire by 1/15/2010
New Staff - Administrative Position		1,248	18	22,214	Expection of hire by 1/15/2010
Total Direct Labor		•		\$ 137,218	·
Fringe Benefits (based on actual costs)				83,534	
Overhead		-	-	-	
Subtotal				\$ 83,534	
Other Direct Costs (Justification for Equip	ment, Trave	I, and Cont	ractual)		
Contractual					
Contractual Training Expenses - Experts brought to Boa	ırd	1	5,000	5,000	10 PSB staff to be trained
	ırd	1 16	5,000 1,358	5,000 21,726	
Training Expenses - Experts brought to Boa Training Expenses - Tuition	Ird	1 16		•	
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits	ırd	1 16 16		•	10 PSB staff to be trained PSB staff may receive multiple training
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation)	ırd		1,358	21,726	,
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment	ırd	16	1,358 1,545	21,726 24,725	,
Training Expenses - Experts brought to Boa	ırd	16	1,358 1,545	\$ 21,726 24,725	,
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other <b>Total Other Direct Costs</b>	ırd	16	1,358 1,545	\$ 21,726 24,725 7,800	,
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other <b>Total Other Direct Costs</b>	ırd	16	1,358 1,545	\$ 21,726 24,725 7,800 - <b>59,251</b>	,
Training Expenses - Experts brought to Boa Training Expenses - Tuition Travel Support for Trainees and Site Visits (Lodging, Transportation) Office equipment Other <b>Total Other Direct Costs</b> Total Direct	ırd	16	1,358 1,545 2,600	\$ 21,726 24,725 7,800 <b>59,251</b> 280,003	,

Year 2 - 2011

New Staff - Utilities Analyst/Staff Attorney New Staff - Utilities Analyst/Staff Attorney New Staff - Administrative Position	ours F 2,080 2,080 1,248	Rate 29 26		60,008	··
New Staff - Utilities Analyst/Staff Attorney New Staff - Administrative Position	2,080			60 009	
New Staff - Administrative Position		- 26		00,000	· · ·
	1 248			54,995	
	1,240	18		. 22,614	
Total Direct Labor			\$	137,617	
Fringe Benefits (based on actual costs)				90,248	
Overhead	· • •	-		· _	
Subtotal			\$	90,248	
Other Direct Costs (Justification for Equipment	, Travel, and	d Contrac	tual)		· · · · · · · · · · · · · · · · · · ·
Contractual					
Training Expenses - Experts brought to Board	1	10,000		10,000	10 PSB staff trained
Training Expenses - Tuition	16	1,018		16;294	PSB staff may receive multiple training
Travel Support for Trainees and Site Visits					
(Lodging, Transportation)	16	1,206		19,294	
Office equipment	-	-		-	
Other	-	-	· .	-	
Total Other Direct Costs			\$	45,588	
Total Direct				273,453	·
	•	1			· · · · · · · · · · · · · ·
State Administrative Fee (.5% per OMB) G&A	 -	-		-	
Total		, 11 (A) ( 11 ( 11 ( 10 ( A)))	\$	273,453	•

## Year 3 - 2012

Direct Labor						Explanation
	Hours	Ra	te			·· -
New Staff - Utilities Analyst/Staff Attorney	2,08	30	30		63,008	
New Staff - Utilities Analyst/Staff Attorney		-	-		-	
New Staff - Administrative Position		-	-		-	
Total Direct Labor				\$	63,008	
Fringe Benefits (based on actual costs) Overhead		_			34,958	
Subtotal				\$	34,958	
Other Direct Costs (Justification for Equipm	ent, Travel	, and (	Contrac	tual)		
Contractual	•				·	
Training Expenses - Experts brought to Board		1	5,000		5.000	10 PSB staff trained
Training Expenses - Tuition		10	1,086		10,863	PSB staff may receive multiple trainings
Travel Support for Trainees and Site Visits	•		,		•	
(Lodging, Transportation)		10	1,386		13,863	
Office equipment		-	-		-	
Other		-	-		-	
Total Other Direct Costs				\$	29,726	
Total Direct					127,692	
State Administrative Fee (.5% per OMB)						
G&A				•		
Total				\$	127,692	•
			•			
						· · ·

Direct Labor				· · · · · · · · · · · · · · · · · · ·	Explanation
. Но	urs l	Rate ·			-
New Staff - Utilities Analyst/Staff Attorney	1,040	31		31,720	1 position full-time for 6 months
New Staff - Utilities Analyst/Staff Attorney		· –		-	
New Staff - Administrative Position	-	-		-	
Total Direct Labor			\$	31,720	
Fringe Benefits (based on actual costs)				17,479	
Overhead	.    -	-		-	
Subtotal			\$	17,479	
Other Direct Costs (Justification for Equipment,	Fravel, and	Contra	ctual)		· · · · · ·
Contractual	•		•		
Training Expenses - Experts brought to Board	1	5,000		5,000	10 PSB staff trained
Training Expenses - Tuition	10	1,333		13,333	PSB staff may receive multiple training
Travel Support for Trainees and Site Visits					
(Lodging, Transportation)	10	1,333		[.] 13,332	
Office equipment	-	-		-	
Other	· -	-		-	
Total Other Direct Costs			\$	31,665	
Total Direct		•		80,864	
State Administrative Fee (.5% per OMB) G&A			•		
Total			\$	80,864	-
			•	<b>,</b>	

# Year 4 - (2013)

### **ARRA** Prevailing Wage Statement

Announcement:DE-FOA-0000100Activity Description:ARRA State Regulatory AssistanceApplicant:The State of Vermont<br/>Vermont Public Service Board<br/>112 State Street<br/>Montpelier, VT 05620Technical Point of Contact:Kurt Janson, General Counsel<br/>Brenda Chamberlin, Business ManagerDate:August 31, 2009

DateAugust 31, 2009Funding Request:\$765,835Total Project Cost:\$765,835Project Period:January 1, 2010 – December 31, 2013Organization Type:State Government Agency

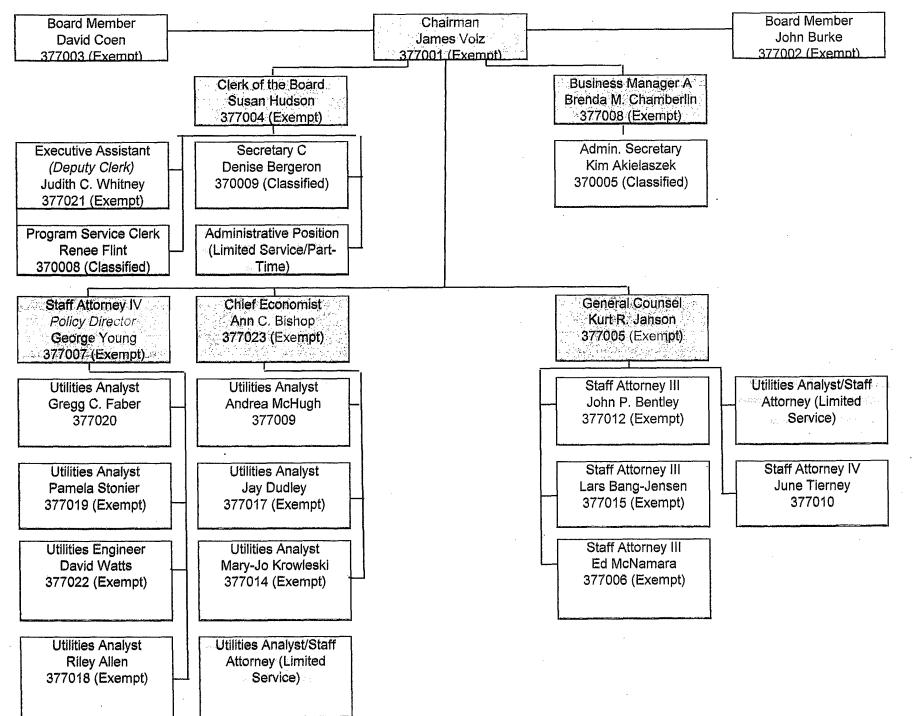
To whom it may concern,

In its application for this award, the Vermont Public Service Board asserts that it will comply with the ARRA 2009 prevailing wage assurance provisions (Davis-Bacon Act).

James Volz, Chairman Public Service Board

28-09 Date

## Vermont Public Service Board Table of Organization





Form ESR-3

## **ARRA Competitive Grant Application Notice**

Advanced notice of an agency or department's intent to submit an application for an ARRA Competitive grant is required. If you plan to apply for an ARRA Completive Grant, this form must be submitted to the Governor's Economic Stimulus and Recovery Office (ESR) immediately. Advance notice is required for two purposes: 1) to provide linkages among grant proposals that will strengthen Vermont's competitive position, and 2) to provide the Governor's Office, ESR and F&M with the information necessary for the statewide ARRA reporting database.

1. Agency (ARRA-F):	·····		2. Department	(ADD A E).	Public Service Board 02250
3. ARRA Activity (ARRA	1.01). State El		······································	·	
4. Title of Grant Progra		Recovery Act - State Electricity Regulators Assistance		3a. ARRA Code (ARRA 2-1):           4a. CFDA # (ARRA-E):         81.122	
5. Federal Funding Age	ncy (ARRA-A):	Department of Energy			
6. Award Amount or Be	st Estimate:	\$765,835			
7. Grant Period (ARRA-H	) From:	1/1/2010	To:	1	12/31/2013
7a. Estimated Applicati	on Date: 8/31	/2009			
8. Purpose of Grant/AR	<b>RA</b> Narrativ	e (ARRA 2-02):			
from the following elect	ricity-related renewable e	l topical areas stim nergy, energy stoai	ulated by the Ar ge, smart-grid,	nerican R	se in regulatory activity resulting ecovery and Reinvestment Act Of nd hybrid-electric vehicles,
9. Name of ARRA Activ	ity Manager:	:			
Kurt Janson					
	v other entition	es in Vermont whi	ch are applying	g for fund	s under this program? If so, please
list.					
None			·····	·	
<b>11. Are entities other the</b> No	an state agen	cies eligible to appl	y for funds und	er this pro	ogram?

#### **ARRA Code Definitions:**

**ARRA F:** Provide the Agency/Department name and VISION BU Code. Provide the Division or Program Name and VISION DeptID

**ARRA-1-01:** Provide a brief descriptive title of the project or activity to be funded in whole or in part with Recovery Act funds. If this award funds multiple projects or activities, provide a descriptive title that captures the general focus area, e.g., "community development," "comprehensive community mental health services to adults with a serious mental illness," etc.

**ARRA 2-1:** For awards primarily funding infrastructure projects or activities, as defined by the awarding agency, provide the North American Industry Classification System (NAICS) code(s) that describe the Recovery Act projects or activities under this award. A searchable code list is at <u>http://www.census.gov/naics/</u>. For all other awards, provide the National Center for Charitable Statistics "NTEE-NPC" code(s) that describe the Recovery Act projects or activities under this award. A searchable code list is at <u>http://nccsdataweb.urban.org/PubApps/nteeSearch</u>.

**ARRA-E:** Provide Catalog of Federal Domestic Assistance (CFDA) number on the provided by the awarding agency. If this award is being funded through multiple programs, provide each CFDA number.

**ARRA-A:** Provide the name of the awarding Federal agency and organizational element identified in the application document or otherwise instructed by the agency. The organizational element is a sub agency within an awarding Federal agency.

**ARRA-H:** Indicate the project/grant period established in the application document during which Federal sponsorship begins and ends. Note: Some agencies award multi-year grants for a project/grant period (e.g., 5 years) that are funded in increments known as budget periods or funding periods. These are typically annual increments. Please provide the total project/grant period, not the individual budget period or funding period.

# VERMONT

ARRA 2-02: A description of the overall purpose and expected outputs and outcomes or results

of the award and first-tier sub award(s), including significant deliverables and, if appropriate, units of measure. For an award that funds multiple projects or activities, such as a formula block grant, the purpose and outcomes or results may be stated in broad terms.

## **Request for Classification Action**

## New or Vacant Positions

## **EXISTING Job Class/Title ONLY**

## **Position Description Form C/Notice of Action**

For Department of Personnel Use Only

· · · · · · · · · · · · · · · · · · ·			Date Received (Stamp)
Notice of Action # Action Taken:	A A A A A A A A A A A A A A A A A A A	<u></u>	
New Job Title			and a second
Current Class Code	New Class Code		
Current Pay Grade	New Pay Grade	· · ·	
Current Mgt Level B/U	OT CatEEO Cat	FLSA	
New Mgt Level B/U	OT CatEEO Cat	FLSA	
Classification Analyst	Date _		Effective Date:
Comments:	• •		Date Processed:
Willis Rating/Components: Ki W		ental Demands: otal:	Accountability:

## **Position Information:**

Incumbent: Vacant or New Position
Position Number: Current Job/Class Title: Administrative Services Technician II
Agency/Department/Unit: Public Service Board GUC: 37
Pay Group: 01A Work Station: 121 Zip Code: 05620-2701
Position Type: 🗌 Permanent 🛛 Limited Service (end date ) 12/31/2011
Funding Source: Core Sponsored Partnership. For Partnership positions provide the funding breakdown (% General Fund, % Federal, etc.) 100% Federal Funds
Supervisor's Name, Title and Phone Number: Susan Hudson, Clerk of the Board, 802-828-2358
Check the type of request (new or vacant position) and complete the appropriate section.
New Position(s):
a. REQUIRED: Allocation requested: Existing Class Code 089180 Existing Job/Class Title: Administrative Services Technician II

b. Position authorized by:

· .	Request for Classification Action Position Description Form C
	Page 2
	Legislature – Provide statutory citation (e.g. Act XX, Section XXX(x), XXXX session)
	Other (explain) Provide statutory citation if appropriate.
	Vacant Position:
	a. Position Number:
	b. Date position became vacant.
P Léandean an ann 16 dh	c. Current Job/Class Code: Current Job/Class Title:
	d. REQUIRED: Requested (existing) Job/Class Code: Requested (existing) Job/Class Title:
	e. Are there any other changes to this position; for example: change of supervisor, GUC, work station? Yes 🔲 No 🛄 If Yes, please provide detailed information:
For A	Il Requests:
1 Lie	
т. <b>LI</b> З	t the anticipated job duties and expectations; include all major job duties: See Attached
,	t the anticipated job duties and expectations; include all major job duties: [See Attached] by ide a brief justification/explanation of this request: See ARRA Grant Application Package
<ol> <li>2. Pro</li> <li>3. If the second s</li></ol>	
<ol> <li>2. Pro</li> <li>3. If the position</li> </ol>	by by the position will be supervisory, please list the names and titles of all classified employees reporting to this on (this information should be identified on the organizational chart as well). $N/A$
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> </ol>	by b
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the position</li> </ol>	by by the position will be supervisory, please list the names and titles of all classified employees reporting to this on (this information should be identified on the organizational chart as well). $N/A$
<ol> <li>Pro</li> <li>If the position</li> <li>Perso</li> <li>If the levels</li> </ol>	by b
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels for the second second</li></ol>	by ide a brief justification/explanation of this request: See ARRA Grant Application Package the position will be supervisory, please list the names and titles of all classified employees reporting to this bon (this information should be identified on the organizational chart as well). $N/A$ <b>Internal Administrator's Section:</b> the requested class title is part of a job series or career ladder, will the position be recruited at different ? Yes $\Box$ No $\boxtimes$
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels of the</li></ol>	by ide a brief justification/explanation of this request: See ARRA Grant Application Package the position will be supervisory, please list the names and titles of all classified employees reporting to this for (this information should be identified on the organizational chart as well). $N/A$ <b>Innel Administrator's Section:</b> the requested class title is part of a job series or career ladder, will the position be recruited at different ? Yes $\square$ No $\boxtimes$ the name and title of the person who completed this form: Brenda Chamberlin, Business Manager the should be contacted if there are questions about this position (provide name and phone number):
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels</li> <li>The second seco</li></ol>	by b
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels of the</li></ol>	by b
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels of the</li></ol>	by de a brief justification/explanation of this request: See ARRA Grant Application Package the position will be supervisory, please list the names and titles of all classified employees reporting to this on (this information should be identified on the organizational chart as well). N/A <b>nnel Administrator's Section:</b> the requested class title is part of a job series or career ladder, will the position be recruited at different ? Yes No e name and title of the person who completed this form: Brenda Chamberlin, Business Manager to should be contacted if there are questions about this position (provide name and phone number): 1 Hudson, Clerk of the Board w many other positions are allocated to the requested class title in the department: None If this change (new position added/change to vacant position) affect other positions within the zation? (For example, will this have an impact on the supervisor's management level designation; will be shifted within the unit requiring review of other positions; or are there other issues relevant to the irication process.) No
<ol> <li>Pro</li> <li>If the position</li> <li>Person</li> <li>If the levels of the</li></ol>	by b

Request for Classification Action Position Description Form C Page 3

For new positions, include copies of the language authorizing the position, or any other information that would help us better understand the program, the need for the position, etc.

Other supporting documentation such as memos regarding department reorganization, or further explanation regarding the need to reallocate a vacancy (if appropriate).

-13 13 10 Supervisor's Signature (required)* Date 28.09 Appointing Authority or Authorized Representative Signature (required)* Date * Note: Attach additional information or comments if appropriate.