MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: April 6, 2015
Subject: Grant Request #2749, #2750, #2751, #2752, #2753, #2754, #2755

Enclosed please find seven (7) items that the Joint Fiscal Office has received from the administration.

  **JFO #2749** – $2,928,051 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used to provide Federal disaster assistance in response to the December 2014 winter storm affecting Addison, Chittenden, Essex, Franklin, Lamoille, Orange, Orleans, Rutland, Washington and Windsor Counties. [JFO received 3/24/15]

  **JFO #2750** – $849,360 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2013 flooding in Andover, Berlin, Eden, Ludlow, Richmond, Springfield, Weathersfield, West Windsor and Vermont Energy Education Program (VEEP) locations. [JFO received 3/24/15]

  **JFO #2751** – $288,574 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2013 flooding in Baltimore, Cabot, Cambridge and Proctor. [JFO received 3/24/15]

  **JFO #2752** – $128,488 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2012 flooding in St. Johnsbury. [JFO received 3/24/15]

  **JFO #2753** – $155,817 grant from the Federal Emergency Management Agency to the Vermont Department of Public Safety. These funds will be used for hazard mitigation projects to mitigate future problems identified during May 2011 flooding in Rutland City. [JFO received 3/24/15]
**JFO #2754** – $169,100 grant from the Northern Border Regional Commission (NBRC) to the Agency of Commerce and Community Development (ACCD). These funds will be used to create digital parcel data of towns in the northern border region. This grant was originally awarded to the Vermont Center for Geographic Information (VCGI), which has subsequently sent a request to NBRC to name ACCD as the grantee in anticipation of the transition of VCGI into ACCD.

[JFO received 3/24/15]

**JFO #2755** – $9,000 grant from the Sears Consumer Protection Education Fund to the Vermont Attorney General. This grant will be used to fund a three month pilot project to assess the impact of posting a warning advertisement on Google to guide Vermont consumers away from sites offering illegal high-interest loans.

[JFO received 3/31/15]

In addition to the above grants, the Administration has also submitted a pre-spending notification (AA-1PN) for $14,000 that has been spent for filling a limited-service position to perform work in anticipation of a large grant from the Natural Resource Conservation Service (NRCS) for the Regional Conservation Partnership Program (RCPP). The position is within the Department of Environmental Conservation.

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by April 20, 2015 we will assume that you agree to consider as final the Governor’s acceptance of these requests.
February 3, 2015

To: David Beatty, Finance & Management Budget Analyst

From: Karen Mae Smith, Grants Management Specialist

Re: AA-1 for Federal Grant Award FEMA-4043-DR-VT

I have prepared the AA-1 grant acceptance form for the Hazard Mitigation Grant Program for Disaster #4043. This grant fund will be made available to mitigate future reoccurring flood problems. The Division of Emergency Management & Homeland Security Recovery and Mitigation Unit have received the 12-month lock-in letter. We have submitted applicants to FEMA for review and plan on applying for State Management Cost. I have attached the letter for your review.

Please let me know if you have any additional questions.

Thank you,

Karen Mae

Cc: Rick Hallenbeck, Assistant Director of Administration/Finance
## STATE OF VERMONT
### FINANCE & MANAGEMENT GRANT REVIEW FORM

<table>
<thead>
<tr>
<th>Grant Summary:</th>
<th>Pass-thru financial assistance from the Federal Emergency Management Agency (FEMA) for hazard mitigation projects to mitigate future problems identified during May 2011 flooding in Rutland City.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
<td>3/16/2015</td>
</tr>
<tr>
<td>Department:</td>
<td>Department of Public Safety - Vermont Emergency Management (VEM)</td>
</tr>
<tr>
<td>Legal Title of Grant:</td>
<td>Hazard Mitigation Grant Program, FEMA-4043-DR-VT</td>
</tr>
<tr>
<td>Federal Catalog #:</td>
<td>97.039</td>
</tr>
<tr>
<td>Grant Period: From: To:</td>
<td>10/15/2013 10/15/2019</td>
</tr>
<tr>
<td>Grant/Donation</td>
<td>$155,817</td>
</tr>
<tr>
<td>Grant Amount:</td>
<td>SFY 1</td>
</tr>
<tr>
<td>----------------</td>
<td>------</td>
</tr>
<tr>
<td>$38,954</td>
<td>$77,908</td>
</tr>
<tr>
<td>Position Information:</td>
<td># Positions</td>
</tr>
<tr>
<td>----------------</td>
<td>---------------</td>
</tr>
<tr>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Additional Comments:</td>
<td>Per FEMA regulations, HMGP management costs are covered at a rate of 4.89% of the HMGP ceiling amount.</td>
</tr>
</tbody>
</table>

Department of Finance & Management  
Secretary of Administration  
Sent To Joint Fiscal Office  

(Initial)  
(Initial)  
Date
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

1. Agency: 
2. Department: Public Safety 
3. Program: Division of Emergency Management & Homeland Security 
4. Legal Title of Grant: Hazard Mitigation Grant Program 
5. Federal Catalog #: 97.039 
6. Grant/Donor Name and Address: 
   U.S. Dept. of Homeland Security/FEMA Region I 
   99 High St, Sixth Floor 
   Boston, MA 02110-2132 
7. Grant Period: From: 10/15/2013 To: 10/15/2019 
8. Purpose of Grant: 
The Hazard Mitigation Grant Program provides State and local governments financial assistance to implement measures that will permanently reduce or eliminate future damages and losses from natural hazards through safer building practices and improving existing structures and supporting infrastructure. 
9. Impact on existing program if grant is not Accepted: 
The severe storm that occurred May 2011 resulted in Vermont cities and towns suffering flooding. The President declared this a federal disaster (#4043) and made federal aid available to mitigate future reoccurring mitigation problems. The State of VT has received a Final "lock-in" to receive $155,817 for hazard mitigation projects. 

<table>
<thead>
<tr>
<th>FY 2016</th>
<th>FY 2017</th>
<th>FY 2018</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Services</td>
<td>$1,816</td>
<td>$3,632</td>
<td>$1,816</td>
</tr>
<tr>
<td>Operating Expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grants</td>
<td>$49,517</td>
<td>$99,035</td>
<td>$49,518</td>
</tr>
<tr>
<td>Total</td>
<td>$51,333</td>
<td>$102,667</td>
<td>$51,334</td>
</tr>
</tbody>
</table>

Revenues: 
- State Funds: $ 
- Cash: $ 
- In-Kind: $ 
- Federal Funds: 
  (Direct Costs): $38,954 
  (Statewide Indirect): $ 
  (Departmental Indirect): $ 
- Other Funds: 
  Grant (source Local Match): $12,379 
- Total: $51,333 

Appropriation No: 2140030000 
Amount: $155,817 

MAR - 5 2015
**STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE**  (Form AA-1)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$155,817</td>
</tr>
</tbody>
</table>

Has current fiscal year budget detail been entered into Vantage?  
☐ Yes  ☒ No

### PERSONNEL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts?  
☐ Yes  ☒ No
If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name:  
Agreed by:  
(initial)

<table>
<thead>
<tr>
<th>Limited Service Position Information</th>
<th># Positions</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total Positions

12a. Equipment and space for these positions:  
☐ Is presently available.  ☐ Can be obtained with available funds.

### AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

<table>
<thead>
<tr>
<th>Signature:</th>
<th>Date: 2/21/15</th>
</tr>
</thead>
</table>
| Title:     | Francis X. Aumand III  
VT Department of Public Safety |

<table>
<thead>
<tr>
<th>Signature:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title:</td>
<td></td>
</tr>
</tbody>
</table>

### SECRETARY OF ADMINISTRATION

☑ Approved:  
(Secretary or designee signature)  
Date: 3/18/15

### ACTION BY GOVERNOR

☑ Check One Box:  
Accepted  
Date: 2/20/15

☐ Rejected  
(Governor's signature)  
Date: 

### DOCUMENTATION REQUIRED

Required GRANT Documentation

- ☐ Request Memo
- ☐ Dept. project approval (if applicable)
- ☐ Notice of Award
- ☐ Grant Agreement
- ☐ Grant Budget
- ☐ Notice of Donation (if any)
- ☐ Grant (Project) Timeline (if applicable)
- ☐ Request for Extension (if applicable)
- ☐ Form AA-1PN attached (if applicable)
October 15, 2013

Joe Flynn, Director
VT Division of Emergency Management and Homeland Security
103 South Main Street
Waterbury, VT 05617

Re: 12-Month Final Lock-In
FEMA-4043-DR-VT
Hazard Mitigation Grant Program (HMGP)

Dear Director Flynn:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of $148,553 in Federal funding is available for the HMGP under FEMA-4043-DR-VT. A breakdown of funding is listed below:

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>5% PROJECTS</td>
<td>$7,428</td>
</tr>
<tr>
<td>7% PROJECTS</td>
<td>$10,399</td>
</tr>
<tr>
<td>REGULAR PROJECTS</td>
<td>$130,726</td>
</tr>
<tr>
<td>12-MONTH LOCK-IN</td>
<td>$148,553</td>
</tr>
<tr>
<td>STATE MANAGEMENT COST PROJECTS</td>
<td>$7,264</td>
</tr>
</tbody>
</table>

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4043-DR-VT. Final applications or amendments for new mitigation projects have been submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch prior to May 7, 2013. An SF-424 was received on February 27, 2013 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Ray Doherty, State Hazard Mitigation Officer, VT DEMHS
    Dan McElhinney, Grants Division Director, FEMA Region I
    Lynn Wright, Grants Management Branch Chief, FEMA Region I

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