MEMORANDUM

To: James Reardon, Commissioner of Finance & Management
From: Nathan Lavery, Fiscal Analyst
Date: October 25, 2010
Subject: JFO #2459, #2460, #2461, #2462

No Joint Fiscal Committee member has requested that the following items be held for review:

**JFO #2459** — $17,899 grant from the University of Delaware Research Office to the Agency of Agriculture, Food and Markets. Funding will be used to improve the human resource management skills of managers at Vermont dairy farms through a series of regional workshops.

*JFO received 9/16/10*

**JFO #2460** — $506,000 grant from the U.S. Department of Justice to the Department of Public Safety. Funding will be used upgrade communications equipment and technology, including the purchase of narrow band capable mobile and portable radios for law enforcement officers, related to the interoperable communications platform.

*JFO received 9/16/10*

**JFO #2461** — $6,000 grant from the Brookdale Foundation to the Department of Children and Families – Family Services Division. Funding will be used to increase awareness of relatives who are serving as caregivers and to support the expansion of support groups and training opportunities by implementing a statewide interagency task force.

*JFO received 9/21/10*

**JFO #2462** — $81,459 grant from the University of Southern Maine – Office of Sponsored Research to the Department of Vermont Health Access. Funding will be used to expand the Blueprint for Health, including the use of Health Information Technology infrastructure, to evaluate and improve the quality of health care delivered to children as part of rolling out the Pediatric Blueprint. **The establishment of one (1) limited service position is associated with this request.**

*JFO received 9/21/10*

The Governor’s approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Roger Allbee, Secretary
    Thomas Tremblay, Commissioner
    Stephen Dale, Commissioner
    Susan Besio, Commissioner
MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: September 22, 2010
Subject: Grant Requests

Enclosed please find five (5) requests that the Joint Fiscal Office has received from the administration. These requests include the establishment of two (2) limited service positions.

**JFO #2458** — Request from the Department of Public Service to establish one limited service position. This position will assist with reporting and monitoring requirements associated with ARRA energy initiatives; specifically including the administration of grants from the Clean Energy Development Fund. Funding for this position is available through an award from the American Recovery and Reinvestment Act that was included in the FY10 budget. Only the position requires JFC approval. **Expedited review of this item has been request by the Department. Joint Fiscal Committee members will be contacted by September 30 with a request to waive the statutory review period and accept this item.**

*JFO received 9/16/10*

**JFO #2459** — $17,899 grant from the University of Delaware Research Office to the Agency of Agriculture, Food and Markets. Funding will be used to improve the human resource management skills of managers at Vermont dairy farms through a series of regional workshops.

*JFO received 9/16/10*

**JFO #2460** — $506,000 grant from the U.S. Department of Justice to the Department of Public Safety. Funding will be used upgrade communications equipment and technology, including the purchase of narrow band capable mobile and portable radios for law enforcement officers, related to the interoperable communications platform.

*JFO received 9/16/10*

**JFO #2461** — $6,000 grant from the Brookdale Foundation to the Department of Children and Families – Family Services Division. Funding will be used to increase awareness of relatives who are serving as caregivers and to support the expansion of support groups and training opportunities by implementing a statewide interagency task force.

*JFO received 9/21/10*

**JFO #2462** — $81,459 grant from the University of Southern Maine – Office of Sponsored Research to the Department of Vermont Health Access. Funding will be used to expand the Blueprint for Health, including the use of Health Information Technology infrastructure, to evaluate and improve
the quality of health care delivered to children as part of rolling out the Pediatric Blueprint. The establishment of one (1) limited service position is associated with this request. [JFO received 9/21/10]

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for Joint Fiscal Committee review. Unless we hear from you to the contrary by October 6 we will assume that you agree to consider as final the Governor’s acceptance of these requests.

cc: James Reardon, Commissioner
    David O’Brien, Commissioner
    Roger Allbee, Secretary
    Thomas Tremblay, Commissioner
    Stephen Dale, Commissioner
    Susan Besio, Commissioner
**STATE OF VERMONT**

**FINANCE & MANAGEMENT GRANT REVIEW FORM**

<table>
<thead>
<tr>
<th>Grant Summary:</th>
<th>US Dept of Justice grant to buy communications equipment and mobile &amp; portable radios to support construction of Vermont's VCOMM Lifeline program.</th>
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<tbody>
<tr>
<td>Date:</td>
<td>8/12/2010</td>
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<tr>
<td>Department:</td>
<td>Department of Public Safety</td>
</tr>
<tr>
<td>Legal Title of Grant:</td>
<td>COPS Law Enforcement Technology</td>
</tr>
<tr>
<td>Federal Catalog #:</td>
<td>16.710</td>
</tr>
<tr>
<td>Grant/Donor Name and Address:</td>
<td>Department of Justice, Office of Community Oriented Policing Services (COPS)</td>
</tr>
<tr>
<td>Grant Period:</td>
<td>From: 3/11/2009 To: 3/10/2012</td>
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<tr>
<td>Grant/Donation</td>
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<tr>
<td>SFY 1</td>
<td>SFY 2</td>
</tr>
<tr>
<td>Grant Amount:</td>
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<tr>
<td>Position Information:</td>
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</tr>
<tr>
<td>Additional Comments:</td>
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Department of Finance & Management

Secretary of Administration

Sent To Joint Fiscal Office

Date: 8/23/10

(Initial)
STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION

1. Agency: Public Safety
2. Department: Public Safety
3. Program: COPS Technology
4. Legal Title of Grant: COPS Law Enforcement Technology
5. Federal Catalog #: 16.710

6. Grant/Donor Name and Address:
   U.S. Department of Justice
   Office of Community Oriented Policing Services (COPS)
   1100 Vermont Ave., NW
   Washington, DC 20530


8. Purpose of Grant:
The Department of Public Safety in conjunction with the Vermont Communications Board (VCOMM) is building an interoperable radio communications platform known as the VCOMM Lifeline. Funding from the COPS Tech Grant will be used to upgrade communications equipment and technology and to also purchase narrow band capable mobile and portable radios for law enforcement officers allowing access to the National calling and tactical channels.

9. Impact on existing program if grant is not Accepted:
State funds do not exist to construct this project. A combination of funding solutions will be required to achieve Vermont's interoperable radio communications goal due to varied restrictions placed upon current US Department of Homeland Security and Public Safety Interoperable Communications (PSIC) grant funds. These COPS Tech grant funds are critically needed to complete the "non-construction" portions of the VCOMM Lifeline.

10. BUDGET INFORMATION

<table>
<thead>
<tr>
<th>Expenditures</th>
<th>SFY 1 FY 2011</th>
<th>SFY 2 FY</th>
<th>SFY 3 FY</th>
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<tr>
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<tr>
<td>Grants</td>
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<table>
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<tr>
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<td>(Statewide Indirect)</td>
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<tr>
<td><strong>Total</strong></td>
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<td>$</td>
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**STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE**  (Form AA-1)

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<tbody>
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<td>$506,000</td>
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**PERSONAL SERVICE INFORMATION**

11. Will monies from this grant be used to fund one or more Personal Service Contracts?  
   [ ] Yes  [ ] No
   If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Thomas R. Tremblay  Agreed by:  

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total Positions

13. AUTHORIZATION AGENCY/DEPARTMENT

Signature:  
Title: Commissioner  
Date: 8/1/10

Signature:  
Title:  
Date:

14. ACTION BY GOVERNOR

Check One Box:  
[ ] Accepted  
[ ] Rejected

(Governor’s signature)  
Date: 8/1/10

15. SECRETARY OF ADMINISTRATION

Check One Box:  
[ ] Request to JFO  
[ ] Information to JFO

(Secretary’s signature or designee)  
Date: 8/1/10

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- [ ] Request Memo
- [ ] Notice of Award
- [ ] Dept. project approval (if applicable)
- [ ] Notice of Donation (if any)
- [ ] Grant (Project) Timeline (if applicable)
- [ ] Request for Extension (if applicable)
<table>
<thead>
<tr>
<th>Grant Agreement</th>
<th>Form AA-1PN attached (if applicable)</th>
</tr>
</thead>
</table>

End Form AA-1
Memo

To: David Beatty, Budget & Management Analyst
From: Tracy O’Connell, Financial Manager
Date: 08/10/2010
CC: file
Re: Request for Grant Acceptance

Attached you will find a Request for Grant Acceptance for a COPS Technology Grant received from U.S. Department of Justice.

If you have any questions, please contact me at 802-241-5574 or toconnel@dps.state.vt.us.

Thank you.
September 21, 2009

Colonel Thomas L'Esperance
Vermont State Police
103 South Main Street
Waterbury, VT 05671

Re: Technology Program Grant #2009CKWX0144
ORI#: VTVSPO0

Dear Colonel L'Esperance:

Congratulations! On behalf of Attorney General Eric Holder, I am pleased to inform you that the COPS Office has approved your agency's request for funding in the amount of $506,000 under the COPS Technology Program. Enclosed in this packet is your grant award. The award document must be signed and returned to the COPS Office within 90 days from the date of this letter to officially accept your grant. On the reverse side of the grant award is a list of conditions that apply to your grant. You should read and familiarize yourself with these conditions. In addition, your Grant Owner's Manual and other important information to assist you with the implementation of your award are available online at http://www.cops.usdoj.gov/Default.asp?Item=2200.

The official start date of your grant is March 11, 2009. Therefore, you can be reimbursed for approved expenditures made on or after this date. Please carefully review the Financial Clearance Memorandum included in your award package to determine your approved budget, as some of your requested items may not have been approved by the COPS Office during the budget review process, and grant funds may only be used for approved items. Also, please be aware that any vendor or contractor who participated in drafting your grant application may not receive federal funding for any procurement under this award.

Within a few weeks, you will receive a financial documentation package from the Office of the Chief Financial Officer, Office of Justice Programs. This important package will contain the forms and instructions necessary to begin drawing down funds for your grant.

Once again, congratulations on your Technology Program award. If you have any questions about your grant, please do not hesitate to call your Grant Program Specialist through the COPS Office Response Center at 1.800.421.6770.

Sincerely,

David M. Buchanan
Acting Director
HOW DO I ACCEPT THIS AWARD?

Accepting your COPS award is a simple and straightforward process. The award document to accept your new grant can be found in the award packet. Other important information about your award can be found online at www.cops.usdoj.gov. You should carefully read all award information prior to signing the award document and accepting your grant.

- **COPS Grant Award Document** – To accept your award, this document must be signed by the top law enforcement and government executives or agency executives as indicated on your award document, and then returned to the COPS Office.

WHERE CAN I FIND THE SUPPORTING PAPERWORK FOR MY AWARD, SUCH AS THE GRANT OWNER’S MANUAL?

For your convenience, we have several supporting documents available online at www.cops.usdoj.gov to assist you in implementing your grant. These resources include a Grant Owner’s Manual, Financial Status Report (SF-269A), “Helpful Hints” Guide for Completing an SF-269A, Change of Information Sheet, COPS Publication Request Form, and letter regarding federal civil rights laws.

WHO MUST SIGN THE AWARD DOCUMENT?

The law enforcement and government executives (as indicated on your award document) that have ultimate financial and programmatic authority for the grant must sign the award document. They are generally the highest-ranking officials within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent for law enforcement executives, and Mayor, City Administrator, or equivalent for government executives). For non-law enforcement agencies (universities, private organizations, etc.), the authorized officials are the programmatic and financial officials who have the ultimate signatory authority to sign contracts on behalf of your organization. Typically, these are the same executives who signed the forms located in your application package.

ARE PHOTOCOPIED OR STAMPED SIGNATURES ACCEPTABLE ON THE AWARD DOCUMENT?

No. All signatures on the award document must be original. Stamped or photocopied signatures will not be accepted.

MAY I RETURN A SIGNED PHOTOCOPY OF THE AWARD DOCUMENT?

No. Only a signed, original award document (i.e., the actual document mailed to you by the COPS Office) will be accepted.

HOW LONG DO I HAVE TO RETURN THE SIGNED AWARD DOCUMENT?

Your agency has **90 days from the date listed on the award congratulatory letter** to return your signed award document to the COPS Office. Failure to return your signed award document within the 90-day
time frame will result in your inability to access grant funds, and may result in your agency being withdrawn from your COPS grant program.

**THE GOVERNING BODY IN MY JURISDICTION NEEDS MORE TIME BEFORE GIVING FINAL APPROVAL TO ACCEPT THIS GRANT. WHAT DO I DO?**

If your agency needs more than 90 days to sign and return the award document, please contact your Grant Program Specialist at 1.800.421.6770 to request an extension of the return period. All time extensions for purposes of returning the award document will be considered on a case-by-case basis.

**WHERE DO I MAIL THE SIGNED AWARD DOCUMENT?**

Your signed award document should be mailed to the following address:

For the Technology Program, Methamphetamine Initiative, or Safe Schools Initiative (SSI):
U.S. Department of Justice, COPS Office
ATTN: [Grant Program Name] Control Desk, 9th Floor
1100 Vermont Ave, NW
Washington, DC 20530 (20005 for overnight delivery)

For the COPS Hiring Recovery Program (CHRP), Child Sexual Predator Program (CSPP), Tribal Resources Grant Program (TRGP), or Secure Our Schools (SOS):
U.S. Department of Justice, COPS Office
ATTN: [Grant Program Name] Control Desk, 8th Floor
1100 Vermont Ave, NW
Washington, DC 20530 (20005 for overnight delivery)

Before you can draw down these grant funds, the COPS Office must receive the signed award document from your agency. To ensure that we receive your signed award in a timely manner, we encourage you to submit your signed award by express or overnight delivery service.

**WHAT IF THE GOVERNMENT AND/OR LAW ENFORCEMENT EXECUTIVE INFORMATION ON THE AWARD DOCUMENT HAS CHANGED?**

Please review the information on your award document carefully. If a change in information (address, phone number, etc.) has occurred, **do not change or correct that information on the award document.** Rather, a Change of Information form should be submitted to our office. You may submit a Change of Information online through Account Access at www.cops.usdoj.gov or you may print a fillable form to submit via fax or mail. Complete the relevant part(s) of that document and submit it to the COPS Office. The COPS Office will then update our records to reflect any changes.

If the actual law enforcement or government executive listed on the award document has changed, the new executive in that position should simply sign the award document. Again, **do not alter any executive information shown on your award document, even if it needs to be updated.** Simply complete a Change of Information form as described above in order to reflect the new executive.

**WHERE CAN I FIND A LIST OF CONDITIONS THAT APPLY TO MY GRANT?**

Beginning on the reverse side of your award document, you will find your award's grant terms and conditions. The same conditions can also be found in the Grant Owner's Manual, which is available online at www.cops.usdoj.gov. Please read and familiarize yourself with these conditions.

**I STILL HAVE QUESTIONS ABOUT MY AWARD. WHAT DO I DO?**

If you still have questions, please feel free to call the COPS Office Response Center at 1.800.421.6770 and ask to speak with your Grant Program Specialist.
U. S. Department of Justice  
Community Oriented Policing Services  
Grants Administration Division  
Law Enforcement Technology  

Treasury Account Symbol (TAS) 15X0406

Grant #: 2009CKWX0144  
ORI #: VTVSP00  
Applicant Organization's Legal Name: Vermont State Police  
OJP Vendor #: 036000274  
DUNS#: 809376692

Law Enforcement Executive: Colonel Thomas J. L'Esperance  
Address: 103 South Main Street  
City, State, Zip Code: Waterbury, VT 05671  
Telephone: (802) 241-5260  
Fax: (802) 241-5551

Government Executive: Commissioner Thomas R. Tremblay  
Address: 103 South Main Street  
City, State, Zip Code: Waterbury, VT 05671  
Telephone: (802) 244-8718  
Fax: (802) 241-5377

Award Start Date: 3/11/2009  
Award End Date: 3/10/2012  
Award Amount: $ 506,000.00

By signing this Award Document, the grantee agrees to abide by all 19 Grant Terms and Conditions on the reverse side of this document and the attached pages:

Signature of Law Enforcement Official with the Authority to Accept this Grant Award  
Thomas L'Esperance  
Typed Name and Title of Law Enforcement Official  
Date: 9/24/09

Signature of Government Official with the Authority to Accept this Grant Award  
Thomas R. Tremblay  
Typed Name and Title of Government Official  
Date: 9/25/09

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any remedy available by law to the Federal Government.

Award ID: 95398
**U.S. Department of Justice**  
*Office of Community Oriented Policing Services*  
**Technology Program Grant Terms and Conditions**

By signing the Award Document to accept this Technology Program grant, your agency agrees to abide by the following grant conditions:

1. The grantee agrees to comply with the terms and conditions in this 2009 COPS Technology Program Grant Owner’s Manual; COPS statute (42 U.S.C. § 3796dd, et seq.); 28 C.F.R. Part 65 or 28 C.F.R. Part 70 as applicable (governing administrative requirements for grants and cooperative agreements); 2 C.F.R. Part 225 (OMB Circular A-87), 2 C.F.R. Part 220 (OMB Circular A-21), 2 C.F.R. Part 230 (OMB Circular A-122) and 48 C.F.R. Part 31.000 et seq. (FAR 31.2) as applicable (governing cost principles); OMB Circular A-133 (governing audits); representations made in the COPS Technology Program grant application; and all other applicable program requirements, laws, orders, regulations, or circulars.

2. The grantee acknowledges its agreement to comply with the Assurances and Certifications forms that were submitted as part of its Technology Program application.

3. The funding under this project is for the payment of approved costs for the continued development of technologies and automated systems to assist state, local, and tribal law enforcement agencies in investigating, responding to, and preventing crime. The allowable costs for which your agency’s grant has been approved are limited to those listed on the Financial Clearance Memorandum, which is included in your agency’s award package.

The Financial Clearance Memorandum specifies the costs that your agency is allowed to fund with your Technology grant. It also describes any costs which have been disallowed after review of your proposed budget. Your agency may not use Technology grant funds for any costs that are not identified as allowable in the Financial Clearance Memorandum.

4. Travel costs for transportation, lodging and subsistence, and related items are allowable under the Technology Program with prior approval from the COPS Office. Payment for allowable travel costs will be in accordance with 2 C.F.R. Part 225 (OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments), 2 C.F.R. Part 220 (OMB Circular A-21, Cost Principles for Educational Institutions), 2 C.F.R. Part 230 (OMB Circular A-122, Cost Principles for Non-Profit Organizations), and 48 C.F.R. Part 31.000, et seq. (FAR 31.2, Cost Principles for Commercial Organizations), as applicable.

5. When procuring information-sharing services, hardware, software, or other equipment, the grantee agrees to procure and implement those items in accordance with the applicable standards outlined in the terms and conditions of the Grant Owner’s Manual.

6. State, local, and tribal governments must use Technology Program grant funds to supplement, and not supplant, state, local, or Bureau of Indian Affairs (BIA) funds that are already committed or otherwise would have been committed for grant purposes (hiring, training, purchases, and/or activities) during the grant period. In other words, grantees may not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that would have been dedicated to the COPS-funded item(s) in the absence of the COPS grant.

7. Your agency may request an extension of the grant award period to receive additional time to implement your grant program. Such extensions do not provide additional funding. Only those grantees that can provide a reasonable justification for delays will be granted no-cost extensions. Extension requests must be received prior to the end date of the award. Any extension requests received after an award has expired will be approved only under very limited circumstances.

8. Occasionally, a change in an agency’s fiscal or law enforcement situation necessitates a change in its Technology Program award. Grant modifications under the Technology Program are evaluated on a case-by-case basis. Movement of dollars between approved budget categories (as reflected in the original Financial Clearance Memorandum provided with the Award Document) or other budget modifications are allowed up to ten percent (10%) of the total award amount as last approved by the COPS Office, provided there is no change in project scope. When any cumulative changes exceed ten percent of the total award amount or change the scope of the project, prior approval from the COPS Office is required. The grantee must promptly notify the COPS Office in writing of proposed changes in excess of ten percent of the total award amount, and must obtain written approval from COPS for these changes before incurring the proposed costs. In addition, please be aware that the COPS Office will not approve any modification request that results in an increase of federal funds.

9. The COPS Office may conduct monitoring or sponsor national evaluations of the COPS Technology Program. The grantee agrees to cooperate with the monitors and evaluators.

10. To assist the COPS Office in the monitoring of your award, your agency will be responsible for submitting periodic programmatic progress reports and quarterly financial reports.

11. Federal law requires that law enforcement agencies receiving federal funding from the COPS Office must be monitored to ensure compliance with their grant conditions and other applicable statutory regulations. The COPS Office is also interested in tracking the progress of our programs and the advancement of community policing. Both aspects of grant implementation—compliance and programmatic benefits—are part of the monitoring process coordinated by the U.S. Department of Justice. Grant monitoring activities conducted by the COPS Office include site visits, office-based grant reviews, alleged noncompliance reviews, financial and program reporting, and audit resolution. As a COPS Technology grantee, you agree to cooperate with and respond to any requests for information pertaining to your grant.

12. All recipients of funding from the COPS Office must comply with the federal regulations pertaining to the development and implementation of an Equal Employment Opportunity Plan (28 C.F.R. Part 42 subpart E).

13. Grantees using Technology Program funds to operate an interjurisdictional criminal intelligence system must comply with operating principles of 28 C.F.R. Part 23. The grantee acknowledges that it has completed, signed and submitted with its grant application the relevant Special Condition certifying its compliance with 28 C.F.R. Part 23.

14. Grantees who have been awarded funding for the procurement of an item (or group of items) or service in excess of $100,000 and who plan to seek approval for use of a noncompetitive procurement process must provide a written sole source justification to the COPS Office for approval prior to obligating, expending, or drawing down grant funds for that item.

15. The grantee agrees to submit one copy of all reports and proposed publications resulting from this grant 20 days prior to public release. Any publications (including written, software, visual, or sound, but excluding press releases, newsletters, and issue analyses), whether published at the recipient’s or government’s expense, shall contain the following statement: “This project was supported by Grant #______, awarded by the U.S. Department of Justice, Office of Community Oriented Policing Services. The opinions contained herein are those of the author(s) and do not necessarily represent the official position or policies of the U.S. Department of Justice. References to specific companies, products, or services should not be considered an endorsement by the author(s) or the U.S. Department of Justice. Rather, the references are illustrations to supplement discussion of the issues.”

16. The grantee agrees to complete and keep on file, as appropriate, a Bureau of Citizenship and Immigration Services Employment Eligibility Verification Form (I-9). This form is to be used by recipients of federal funds to verify that persons are eligible to work in the United States.
U.S. Department of Justice
Office of Community Oriented Policing Services
Technology Program Grant Terms and Conditions

17. To facilitate communication among local and state governmental agencies regarding various information technology projects, the grantee agrees to notify the appropriate State Information Technology Point of Contact of the receipt of this grant award. For a list of State Information Technology Points of Contact, visit http://www.it.dio.gov/default.aspx?area=policyAndPractice&page=1046.

18. The grantee agrees to comply with 28 C.F.R. Part 61 (Procedures for Implementing the National Environmental Policy Act).

19. False statements or claims made in connection with COPS grants may result in fines, imprisonment, or debarment from participating in federal grants or contracts, and/or any other remedy available by law.
Memorandum

To: Colonel Thomas J. L'Esperance
Vermont State Police

From: Andrew A. Dorr, Assistant Director for Grants Administration
Cristina Grossi, Grant Program Specialist
Budget Prepared By: Cristina Grossi, Grant Program Specialist

Re: Law Enforcement Technology Financial Clearance Memo
A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

OJP Vendor #: 036000274 ORI #: VTVSP00 DUNS #: 809376692 Grant #: 2009CKWX0144

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<tr>
<th>Budget Category</th>
<th>Proposed Budget</th>
<th>Approved Budget</th>
<th>Adjustments</th>
<th>Disallowed/Adjusted - Reasons/Comments</th>
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</thead>
<tbody>
<tr>
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<td>$0.00</td>
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<tr>
<td>Grand Total</td>
<td>$506,000.00</td>
<td>$506,000.00</td>
<td>$0.00</td>
<td></td>
</tr>
</tbody>
</table>

---

Grand Total: Federal Share: $506,000.00
Applicant Share: $0.00

Cleared Date: 8/3/2009

Overall Comments:
All costs listed in this budget were programmatically approved based on the final Budget Detail Worksheets submitted by your agency to the COPS Office. Maintenance agreements (if applicable) must be purchased and paid in full within the three-year grant period. Prior to the obligation, expenditure or drawdown of grant funds for non-competitive contracts in excess of $100,000, grantee must submit a sole source justification to the COPS Office for review and approval. Prior to the obligation, expenditure, or drawdown of grant funds for consultant fees in excess of $550 per day when the consultant is hired through a noncompetitive bidding process, approval must be obtained from the COPS Office. If the vendor number on this form differs from the EIN number included in your application, then for administrative purposes only, we are assigning a different vendor number to your agency. The reason for this administrative change is that your original EIN number has been assigned to another agency. If this applies to your agency, please use the new vendor number on all financial documents related to this grant award. The vendor number should not be used for IRS purposes and only applies to this grant.
Executive Summary

- **Agency Name:** Vermont Department of Public Safety
- **State:** Vermont
- **Point of Contact and Phone Number:** Lieutenant Michael J. Manning  
  (802) 241-5095
- **Estimated Award Amount:** $506,000.00

The mission of the Vermont Communications (VCOMM) Board is to assess the State’s overall interoperable communications capability, policies and needs to develop strategies to establish a statewide VCALL/UCALL interoperable, accessible radio system for police, fire and emergency service responders. The Lifeline will link local, state and federal agencies in Vermont as well as international and regional agencies in Canada and Vermont’s neighboring states. Interoperable communications will increase law enforcement and other first responders’ capacity for information sharing and is critically important for first responder and civilian life safety and effective and efficient incident management. VCOMM is working with the Vermont Department of Public Safety’s Homeland Security Unit, VCOMM’s financial agent, to build the VCOMM Lifeline.

The VCOMM Lifeline will utilize the National UHF and VHF calling and tactical channels along with equipment and technology upgrades to existing local, county, state and privately owned communications infrastructure to create an intrastate, interstate and international interoperable radio communications platform.

Funding provided from the COPS Tech Grant will be used in conjunction with FY06, FY07, FY08 and FY09 Homeland Security Grant Program funds and Public Safety Interoperable Communications Grant Program funds to build and implement the Lifeline. Associated expenses include technical assistance, engineering and acquisition of interoperable communications equipment and technology for the VCOMM Lifeline Project.
Project Narrative

A. Problem Identification and Justification

An interoperable radio communications platform for Vermont’s law enforcement, first responders and stakeholders does not currently exist. This creates a great risk to life safety and inefficiency in the management of terrorist and all-hazards incidents.

Vermont currently has limited discipline specific shared channels and gateway systems and is migrating towards a "Standards-based Shared System" that will meet the FCC’s narrow band mandate and will be upgradeable to P-25 and digital in the future. Existing communications resources in the state of Vermont include technical staff and facilities capable of maintaining advanced communications networks. The state currently owns, operates and maintains an extensive communications system to include a modern OC3 (155 Mb) digital microwave/fiber optic, SONET backhaul network, multiple analog two-way voice radio systems operating in UHF and VHF frequency bands, physical communications assets strategically located throughout the state equipped with modern towers, buildings and power systems and valid FCC licenses authorizing usage of a considerable amount of bandwidth. To date, many enhancements have been implemented into our networks to ensure security, redundancy and fault tolerance capabilities. Vermont also has strategic communications reserves with multiple Mobile Command Posts, rapid response communications assets, radio caches as well as a Critical Incident Dispatch Team and on-call radio technicians capable of deploying anywhere within the state 24 hours a day / 7 days a week. Vermont’s communications vulnerabilities are continually reviewed by public safety communications staff for potential threats and challenges, and as funding is made available these matters are addressed.

HSPD — 8 established strengthening interoperable and operable communications capabilities as one of the eight National Priorities for all fifty six states and U.S. territories. Vermont’s Governor ordered the creation of the Vermont Homeland Security Unit (VTHSU) in 2002. Once formed under the Vermont Department of Public Safety, VTHSU made interoperable communications for Vermont’s first responders a top priority. The use of U.S. Department of Homeland Security (DHS) grant funding to purchase interoperable communications equipment for many of Vermont’s first response agencies enhance the ability for agencies to communicate with other disciplines.

The Communications Study Group was formed in the spring of 2004 to evaluate Vermont’s communications capabilities. As a result of real life incidents demonstrating challenges in interoperable communications, a number of studies have been conducted in Vermont in recent years. The Communications Study Group hired Macro Corporation in 2004 to conduct a comprehensive study of interoperable communication in Vermont. The results demonstrated weaknesses in the capability of emergency responders to have a reliable and uninterrupted flow of critical information between and among responding and support multi-disciplinary and multi-jurisdictional agencies. This includes the lack of adequate radio systems coverage and
system capacity, not only during routine events, but during major multiple-agency, multi-disciplinary and multi-jurisdictional incidents. There is also a severely limited capacity to effectively communicate in a real-time basis to multiple levels of government.

As the Communications Study Group advanced it was renamed the Vermont Communications (VCOMM) Board through Executive Order in June of 2006. More than 25 entities are listed in the Executive Order, representing over 500 federal, state, local and non-governmental organizations. The VCOMM Board includes members appointed by the Governor who represent a cross section of emergency response organizations.

The overall goal of VCOMM is to provide a strong, common sense and practical interoperable communications system to allow all first responders in Vermont, including local law enforcement, the National Guard and Non-Governmental Organizations to effectively communicate during any multi-agency response whether natural or man-made, planned or unplanned. The interoperable communications platform VCOMM will build using existing local, county, state and private infrastructure. It will utilize the National UHF and VHF calling and tactical channels. The VCOMM Lifeline will leverage the simplicity of Vermont’s current incident level communications resources to create a system that is a model of interoperability.

The enhancement or redesign of the current system poses many challenges. It will require the introduction of new equipment to enhance the current infrastructure. Many different systems are in place for federal law enforcement agencies, Canadian agencies and surrounding state agencies.

In alignment with the National Emergency Communications Plan (NECP) and the Vermont Statewide Interoperable Communications Plan (SICP) the Lifeline System will utilize national calling and tactical channels. This will not replace individual agency’s primary communications channels or discipline specific shared channels but provide statewide hailing and tactical channels. The use of the V-Call/U-Call and V-Tac/U-Tac frequencies will greatly enhance and increase the capabilities of Vermont’s first responders during multi-agency response anywhere within the state of Vermont providing greater life safety for the first responder community, citizens and visitors.

These efforts will enable the public safety communities within Vermont to efficiently communicate with each other in a dramatically changing wireless communications environment by overcoming legacy capability gaps, challenges posed by Vermont’s unique geographical terrain and expectations by the public that emergency service providers can communicate; improving inadequate radio coverage throughout the state, channel congestion and interference issues.
B. Project Goals and Objectives

Vermont’s goal is to build a fiscally responsible statewide interoperable radio communications platform. The VCOMM Lifeline will use the National calling and tactical channels along with equipment and technological upgrades to existing communications sites to create this system.

The objective is to provide all Vermont law enforcement officers, first responders and stakeholders with an interoperable radio communications platform for greater efficiency and safety for incident management. It will provide law enforcement greater efficiency in its mission of combating crime, enhance community policing and quality of life issues and increase crime prevention capabilities.

C. Community Policing Strategies/Crime Prevention Activities

The funding provided through the COPS Tech Grant will aid Vermont with purchasing the necessary equipment and technology for building the VCOMM Lifeline and the purchase of narrowband capable communications equipment for local and state law enforcement allowing for basic communications operability and interoperability.

The Lifeline will support community policing strategies and crime prevention activities by allowing additional communications capabilities for support of multi-law enforcement agency response, prevention activities and community policing events and strategies.

D. Implementation Plan

Milestones
1 – Completion of the current RFP process and securing a contract with a communications vendor for the build out of the system. Date of Completion: 10-13-2009

2 – Federal and state Environmental Planning and Historic Preservation compliance assessment and review processes. Date of Completion: 12-31-2009

3 - Procurement, staging, installation and testing of communications equipment and technology upgrades at forty identified local, county, state and private communications sites. Date of Completion: 12-31-2010
E. Evaluation Plan/Effectiveness of Program

Upon completion of the VCOMM Lifeline, the system will be evaluated using current industry standard tests by VCOMM’s Project Manager and by the Department of Public Safety.

The effectiveness of the VCOMM Lifeline should be immediate upon completion as the Lifeline may be used for all planned and unplanned multiagency events and response. It will provide law enforcement with a greater capability for effective and efficient means of incident management, community based policing efforts as well as response and prevention activities.
Budget Narrative

A. Sworn Officers
   Not applicable

B. Civilian/Other Personnel
   Not applicable

C. Equipment/Technology
   Not applicable

D. Other

VISION (Statewide Accounting System) $5,500
This is a direct charge associated with all financial transactions, which include accounts payable and receivable transactions. The cost provides access to the statewide integrated financial management system, which allows us to process grant-related transactions and to produce financial reports for the grant.

Single Audit Allocation $500
This is a direct charge associated with Vermont’s statewide Single Audit as required by OMB Circular A-133.

E. Supplies
   Not applicable

F. Travel/Training
   Not applicable
G. Contracts/Consultants

Communications Equipment and Technology Upgrades  $400,000
Vendor TBD

Funding will be used to secure a personal services contract for the purchase of equipment and technology upgrades for the build-out of the VCOMM Lifeline Project. It should be noted that no COPS Tech Funds will be used for construction or renovation costs, telephone lines or new communications towers.

Lifeline shortfalls or trouble spots that are identified during the evaluation phase will also be addressed and the equipment needed to correct them purchased.

Subgrants to Law Enforcement Agencies  $100,000

Funding will be used to Subgrant funds for the purchase of narrowband capable mobile and portable radios for local, county and state law enforcement agencies to provide operable and interoperable radio communications. Portable radios will be purchased as radio caches to support multi-agency, multi-disciplined incident response and management.

H. Indirect
Not applicable
MEMORANDUM

To: Representative William Lippert

From: Nathan Lavery, Fiscal Analyst

Date: September 22, 2010

Subject: JFO #2460

Representative Michael Obuchowski asked that I forward to you a copy of the enclosed grant materials and cover memo. He requests your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski
This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name: VT FY09 COPS Tech Grant - Radios

<table>
<thead>
<tr>
<th>Mandatory Documents</th>
<th>Move Form to Complete</th>
<th>Move Form to Delete</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application for Federal Assistance (SF-424)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>COPS Application Attachment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>COPS Budget</td>
<td></td>
<td></td>
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</table>

<table>
<thead>
<tr>
<th>Optional Documents</th>
<th>Move Form to Submission List</th>
<th>Move Form to Delete</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Attachments Form</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Instructions**

1. **Enter a name for the application in the Application Filing Name field.**
   - This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
   - You can save your application at any time by clicking the "Save" button at the top of your screen.
   - The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.

2. **Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.**
   - It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
   - The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
   - To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <= button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
   - All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.

3. **Click the "Save & Submit" button to submit your application to Grants.gov.**
   - Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
   - Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
   - The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
   - You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.
**Application for Federal Assistance SF-424**

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>1. Type of Submission:</td>
<td>Preapplication, Application Changed/Corrected</td>
</tr>
<tr>
<td>2. Type of Application:</td>
<td>New</td>
</tr>
<tr>
<td>3. Date Received:</td>
<td>Completed by Grants.gov upon submission</td>
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<tr>
<td>4. Applicant Identifier:</td>
<td></td>
</tr>
<tr>
<td>5a. Federal Entity Identifier:</td>
<td></td>
</tr>
<tr>
<td>5b. Federal Award Identifier:</td>
<td></td>
</tr>
<tr>
<td>6. Date Received by State:</td>
<td></td>
</tr>
<tr>
<td>7. State Application Identifier:</td>
<td></td>
</tr>
<tr>
<td>8. APPLICANT INFORMATION:</td>
<td></td>
</tr>
<tr>
<td>a. Legal Name:</td>
<td>Vermont Department of Public Safety</td>
</tr>
<tr>
<td>b. Employer/Taxpayer Identification Number (EIN/TIN):</td>
<td>03-6000274</td>
</tr>
<tr>
<td>c. Organizational DUNS:</td>
<td>809376692</td>
</tr>
<tr>
<td>d. Address:</td>
<td>103 South Main Street, Waterbury, VT, USA: UNITED STATES, 05671</td>
</tr>
<tr>
<td>e. Organizational Unit:</td>
<td></td>
</tr>
<tr>
<td>Department Name:</td>
<td>Public Safety</td>
</tr>
<tr>
<td>Division Name:</td>
<td>Homeland Security</td>
</tr>
<tr>
<td>f. Name and contact information of person to be contacted on matters involving this application:</td>
<td></td>
</tr>
<tr>
<td>Prefix:</td>
<td></td>
</tr>
<tr>
<td>* First Name:</td>
<td>Michael</td>
</tr>
<tr>
<td>Middle Name:</td>
<td>J.</td>
</tr>
<tr>
<td>* Last Name:</td>
<td>Manning</td>
</tr>
<tr>
<td>Suffix:</td>
<td></td>
</tr>
<tr>
<td>Title:</td>
<td>Lieutenant, Homeland Security</td>
</tr>
<tr>
<td>Organizational Affiliation:</td>
<td></td>
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<tr>
<td>* Telephone Number:</td>
<td>802-241-5095</td>
</tr>
<tr>
<td>* Email:</td>
<td><a href="mailto:grantnotification@dps.state.vt.us">grantnotification@dps.state.vt.us</a></td>
</tr>
</tbody>
</table>
### Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:
   - A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

10. Name of Federal Agency:
    - Community Oriented Policing Services

11. Catalog of Federal Domestic Assistance Number:

   CFDA Title:

   * 12. Funding Opportunity Number:
     - COPS-OTHERTECH-2009-2

   * Title:
     - COPS Technology Program

13. Competition Identification Number:

   Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

   Statewide

15. Descriptive Title of Applicant's Project:

   Vermont’s FY09 Radio Communications Systems Grant

Attach supporting documents as specified in agency instructions.
16. Congressional Districts Of:
   * a. Applicant: 00
   * b. Program/Project: 00

   Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
   * a. Start Date: 08/01/2009
   * b. End Date: 07/31/2012

18. Estimated Funding ($):
   * a. Federal: 506,000.00
   * b. Applicant: 0.00
   * c. State: 0.00
   * d. Local: 0.00
   * e. Other: 0.00
   * f. Program Income: 0.00
   * g. TOTAL: 506,000.00

19. Is Application Subject to Review By State Under Executive Order 12372 Process?
   - a. This application was made available to the State under the Executive Order 12372 Process for review on
   - b. Program is subject to E.O. 12372 but has not been selected by the State for review.
   - c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)
   - Yes
   - No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)
   - I AGREE

   ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:
Prefix: 
Middle Name: R.
* Last Name: Tremblay
Suffix: 
* Title: Commissioner
* Telephone Number: 802-244-8718
* Email: grantnotification@dps.state.vt.us
* Signature of Authorized Representative: Completed by Grants.gov upon submission.

* Date Signed: Completed by Grants.gov upon submission.
<table>
<thead>
<tr>
<th>Application for Federal Assistance SF-424</th>
<th>Version 02</th>
</tr>
</thead>
<tbody>
<tr>
<td>* Applicant Federal Debt Delinquency Explanation</td>
<td></td>
</tr>
<tr>
<td>The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.</td>
<td></td>
</tr>
</tbody>
</table>

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>
COPS FUNDING REQUEST

Federal assistance is being requested under the following COPS Office funding category:

Please select the funding category that was selected on the COPS Application Attachment to SF-424.

☐ Tribal Resources Grant Program  ☒ Targeted-Technology Program
☐ Targeted-Methamphetamine Initiative  ☐ Universal Hiring Program
☐ Community Policing Development Programs  ☐ Child Sexual Predator Program
☐ Secure Our Schools

A. Sworn Officer Positions

Instruction: For COPS programs which fund sworn officer positions, you may apply for entry-level salaries and benefits of newly hired, additional law enforcement officers. Please refer to the Application Guide for information on the length of the grant term for the specific program which you are applying.

This worksheet will assist your agency in properly organizing your **maximum estimated** salary and benefit costs and providing the necessary financial details for review by the COPS Office. Please list the entry-level base salary and fringe benefits rounded to the nearest whole dollar for one sworn officer position within your agency. COPS hiring funds may also be used to pay for entry-level salaries and benefits of newly-hired, additional officers who will backfill the positions of locally-funded veteran officers that will be deployed into community policing specialty areas (i.e., School Resource Officers). Do not include employee contributions.

Complete part 1 if you are requesting funds for full-time officer positions.

Officer Positions Requested:

Full-time:

Enter the number of new, entry-level full-time and/or part-time officer positions that are being requested. Do not include any officers already funded (or for which funding has been requested) under any other COPS grants or any positions otherwise funded with state, local, tribal, or BIA funds. Your request should be consistent with your agency's law enforcement needs. Do not request more positions than your agency can support and retain.
### A. Sworn Officer Positions

**Part 1: Full time sworn officer information**

<table>
<thead>
<tr>
<th>Total Entry-Level Base Salary for One Position</th>
<th>$</th>
<th>x</th>
<th>Years</th>
<th>$</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Base Salary Subtotal)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### B. Fringe Benefit Cost

<table>
<thead>
<tr>
<th>Fringe Benefit</th>
<th>Cost</th>
<th>% of Base</th>
<th>Additional Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Security</td>
<td></td>
<td></td>
<td>If Exempt Check Here: □</td>
</tr>
<tr>
<td>Medicare</td>
<td></td>
<td></td>
<td>If Exempt Check Here: □</td>
</tr>
<tr>
<td>Health Insurance</td>
<td></td>
<td>Family Coverage? □ Yes □ No</td>
<td>Fixed Rate: □</td>
</tr>
<tr>
<td>Life Insurance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vacation</td>
<td></td>
<td>Number of Hours Annually:</td>
<td></td>
</tr>
<tr>
<td>Sick Leave</td>
<td></td>
<td>Number of Hours Annually:</td>
<td></td>
</tr>
<tr>
<td>Retirement</td>
<td></td>
<td>Fixed Rate:</td>
<td></td>
</tr>
<tr>
<td>Worker's Comp</td>
<td></td>
<td>If Exempt Check Here: □</td>
<td>Fixed Rate: □</td>
</tr>
<tr>
<td>Unemployment Ins.</td>
<td></td>
<td>If Exempt Check Here: □</td>
<td>Fixed Rate: □</td>
</tr>
</tbody>
</table>

**Total Salary (Part A) + Total Fringe Benefits (Part B) × # of Positions = Sworn Officer Total**

Transfer to Budget Summary Line 1
Applicant Legal Name: Vermont Department of Public Safety

PART 2: SWORN OFFICER POSITION BUDGET SUMMARY (all applicants requesting officer position(s) must complete this section.)

After completing Part 1 of this form, answer the following questions. If necessary, attach an explanation of how you computed salaries and benefits for this worksheet. Be sure to answer EVERY question. Missing or erroneous information could significantly delay the review of your agency’s request.

1. If your agency’s second and third-year costs for salaries and/or fringe benefits are greater than the first year, check the reason(s) why in the space below. You must check at least one.

☐ Cost of living adjustment (COLA) ☐ Step Raises ☐ Change in benefit costs
☐ Other - please explain briefly:

2. If an explanation is required for any of the following categories, please provide in the space below: 1) Social Security, 2) Medicare, 3) Health Insurance, 4) Retirement, 5) Workers Compensation, and 6) Unemployment Insurance.

1) Social Security:

2) Medicare:

3) Health Insurance:

4) Retirement:

5) Worker’s Compensation:

6) Unemployment Insurance:
**Applicant Legal Name:**
Vermont Department of Public Safety

**ORI #:** VTVSP00

**B. Civilian/Other Personnel**
No Civilian Personnel Positions Requested

**Instructions:** Each position must be listed and computed separately. On this page you can enter one civilian position and then by adding another Civilian/Other Personnel page, can enter 19 more unique positions for a total of 20. Complete each position in accordance with the instructions.

**Part 1: Total Base Salary and Fringe Benefits for Civilian/Other Personnel**

Civilian/Other Personnel Page 1 of 1

<table>
<thead>
<tr>
<th>Position Title:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Base Salary Computation:</td>
<td>(Annual Base Salary X Percent of Time Devoted to the Project) X Number of Months Devoted to the Project)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Fringe Benefit</th>
<th>Cost</th>
<th>% of Base Salary Subtotal</th>
<th>Additional Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Security</td>
<td>If Exempt Check Here:</td>
<td>Fixed Rate:</td>
<td>Can't Exceed 6.2% of total base salary. If less than 6.2%, exempt or fixed rate, provide an explanation in the &quot;civilian/non-sworn personnel budget summary&quot;.</td>
</tr>
<tr>
<td>Medicare</td>
<td>If Exempt Check Here:</td>
<td>Fixed Rate:</td>
<td>Can't Exceed 1.45% of total base salary. If less than 1.45%, exempt, or fixed rate, provide an explanation in the &quot;civilian/non-sworn personnel budget summary&quot;.</td>
</tr>
<tr>
<td>Health Insurance</td>
<td>Family Coverage? Yes</td>
<td>Fixed Rate:</td>
<td>Can't Exceed 30% of total base salary for individual plans, or 45% for family plans. If it exceeds these rates or is a fixed rate, provide an explanation in the &quot;civilian/non-sworn personnel budget summary&quot;.</td>
</tr>
<tr>
<td>Life Insurance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vacation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sick Leave</td>
<td>Number of Hours Annually:</td>
<td></td>
<td></td>
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<tr>
<td>Retirement</td>
<td>Fixed Rate:</td>
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<tr>
<td>Worker’s Comp</td>
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<td>Fixed Rate:</td>
<td>Can’t Exceed 10% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the &quot;civilian/non-sworn personnel budget summary&quot;.</td>
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<tr>
<td>Unemployment Ins.</td>
<td>If Exempt Check Here:</td>
<td>Fixed Rate:</td>
<td>Can’t Exceed 5% of the total base salary. If exempt or if it exceeds this rate, provide an explanation in the &quot;civilian/non-sworn personnel budget summary&quot;.</td>
</tr>
<tr>
<td>Other</td>
<td>Describe:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>Describe:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total Fringe Benefits:**

**Subtotal Position Salary and Benefits:**

**CIVILIAN/OTHER PERSONNEL TOTAL:**
(Add together all Subtotals per position) Total Civilian/Other Personnel Cost
(Transfer to Budget Summary Line 2)

*Please include a detailed position description for all positions listed in the Budget Narrative*
Part 2: Civilian/Non-Sworn Personnel Budget Summary (all applicants requesting civilian/non-sworn position(s) must complete this section.)

After completing Part 1 of this form, answer the following questions. If necessary, attach an explanation of how you computed salaries and benefits for this worksheet. Be sure to answer EVERY question. Missing or erroneous information could significantly delay the review of your agency's request.

1. If your agency's second and third-year costs for salaries and/or fringe benefits are greater than the first year, check the reason(s) why in the space below. You must check at least one.

   - Cost of living adjustment (COLA)
   - Step Raises
   - Change in benefit costs

   Other - please explain briefly:

2. If an explanation is required for any of the following categories, please provide in the space below: 1) Social Security, 2) Medicare, 3) Health Insurance, 4) Retirement, 5) Workers Compensation, and 6) Unemployment Insurance.

   1) Social Security:

   2) Medicare:

   3) Health Insurance:

   4) Retirement:

   5) Worker's Compensation:

   6) Unemployment Insurance:
Instructions: List non-expendable items that are to be purchased. Non-expendable equipment is tangible property (e.g., technology) having a useful life of more than two years. Expendable items should be included either in the "SUPPLIES" or "OTHER" categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "CONTRACTS/CONSULTANTS" category. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

Pursuant to the Continuing Appropriations Resolution, 2008, (P.L.110-161), be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such voice or data communications equipment should be incorporated into an intra- or interjurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

<table>
<thead>
<tr>
<th>Computation</th>
<th>Unit/Item Description</th>
<th>(# of Items/Units)</th>
<th>Unit Cost</th>
<th>Per Item Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>EQUIPMENT TOTAL:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transfer to Budget Summary Line 3

Please include a detailed description for all items listed in the Budget Narrative.
D. OTHER COSTS

Instructions: List other requested items that will support the project goals and objectives as outlined in your application. Other costs may include items such as overtime and background investigations for law enforcement officer positions and/or civilian positions if allowable under the program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

Pursuant to the Continuing Appropriations Resolution, 2008, (P.L.110-161), be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

<table>
<thead>
<tr>
<th>Unit/Item Description</th>
<th>Computation</th>
<th>Per Item Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>VISION (Statewide Accounting System)</td>
<td>(# of Items/Units X Unit Cost)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>5,500.00</td>
</tr>
<tr>
<td>Single Sudit</td>
<td>1</td>
<td>500.00</td>
</tr>
</tbody>
</table>

OTHER COST TOTAL: 6,000.00

Please include a detailed description for all items listed in the Budget Narrative.
**E. SUPPLIES**

**Instructions:** List items by type (office supplies; postage; training materials; copying paper; books; hand-held tape recorders; etc). Generally, supplies include any materials that are expendable or consumed during the course of the project. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the “Other Attachments” form found in the Grants.gov forms package.

See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying.

<table>
<thead>
<tr>
<th>Computation</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Unit/Item Description</td>
<td>(# of Items/Units</td>
</tr>
<tr>
<td>SUPPLIES TOTAL:</td>
<td></td>
</tr>
</tbody>
</table>

*Transfer to Budget Summary Line 5*

*Please include a detailed description for all items listed in the Budget Narrative*
Applicant Legal Name: Vermont Department of Public Safety

F. TRAVEL/TRAINING

<table>
<thead>
<tr>
<th>Reason for Travel/Training &amp; Location of Travel/Training</th>
<th>Travel/Training Item</th>
<th>Computation</th>
<th># of Days/</th>
<th>Per Item Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(# of Staff X Unit Cost X Trips/Events)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>TRAVEL/TRAINING TOTAL:</td>
</tr>
</tbody>
</table>

Please include a detailed description for all items listed in the Budget Narrative
### G. CONTRACTS/CONSULTANTS

**No Contracts/Consultants Costs Requested** □

**Instructions:** See the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the "Other Attachments" form found in the Grants.gov forms package.

**Contracts:** Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. If awarded, requests for sole source procurements of equipment, technology or services in excess of $100,000 must be submitted to the COPS Office for prior approval.

<table>
<thead>
<tr>
<th>Contract Description</th>
<th>Per Contract Subtotal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Communications Equipment and Technology Upgrades</td>
<td>400,000.00</td>
</tr>
<tr>
<td>Subgrants to Law Enforcement Agencies</td>
<td>100,000.00</td>
</tr>
</tbody>
</table>

**Contracts Subtotal:** 500,000.00 (G1)

**Consultant Fees:** For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Unless otherwise approved by the COPS Office, approved consultant rates will be based on the salary a consultant receives from his or her primary employer. Consultant fees in excess of $550 per day require additional written justification in the Budget Narrative and must be pre-approved in writing by the COPS Office.

<table>
<thead>
<tr>
<th>Consultant Name/Title</th>
<th>Service Provided</th>
<th>Computation (Cost X # Days or # Hours)</th>
<th>Per Consultant Fee Subtotal</th>
</tr>
</thead>
</table>

**Consultant Fees Subtotal:** □ (G2)

**Consultant Expenses:** List all expenses to be paid from the grant to the individual consultants separate from their consultant fees (e.g., travel, meals, lodging).

<table>
<thead>
<tr>
<th>Consultant Name/Title</th>
<th>Service Provided</th>
<th>Computation (Cost X # of Days)</th>
<th>Per Consultant Fee Subtotal</th>
</tr>
</thead>
</table>

**Consultant Subtotal:** □ (G3)

**CONTRACTS/CONSULTANTS TOTAL:**

Contracts (G1) + Consultant Fees (G2) + Consultant Expenses (G3) = 500,000.00

*Please include a detailed description for all contracts listed in the Budget Narrative.*
Applicant Legal Name: Vermont Department of Public Safety

H. INDIRECT COSTS

Instructions: Indirect costs are allowed under a very limited number of specialized COPS Training and Technical Assistance programs. Please see the COPS Application Guide for a list of allowable/unallowable costs for the particular program for which you are applying. If additional budget information is required to be entered for this category please complete the information in an electronic format and attach the document using the “Other Attachments” form found in the Grants.gov forms package.

If indirect costs are requested, a copy of the agency's fully-executed, negotiated Federal Rate Approval Agreement must be attached to this application.

<table>
<thead>
<tr>
<th>Indirect Cost Description</th>
<th>Computation</th>
<th>Per Indirect Cost Subtotal</th>
</tr>
</thead>
</table>

INDIRECT COSTS TOTAL: _______________________

Transfer to Budget
Summary Line 8
BUDGET SUMMARY

Instructions: When you have completed the Budget Detail Worksheets, please transfer the category totals to the spaces below. Please compute the Total Project Amount, Total Federal Share Amount, and Total Local Share (if applicable). Please see the Application Guide for information on the maximum federal share and local matching requirements for the grant for which you are applying.

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Category Total</th>
<th>Line #</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Sworn Officer Positions</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>B. Civilian/Other Personnel</td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>C. Equipment/Technology</td>
<td></td>
<td>3</td>
</tr>
<tr>
<td>D. Other Costs</td>
<td>6,000.00</td>
<td>4</td>
</tr>
<tr>
<td>E. Supplies</td>
<td></td>
<td>5</td>
</tr>
<tr>
<td>F. Travel/Training</td>
<td></td>
<td>6</td>
</tr>
<tr>
<td>G. Contracts/Consultants</td>
<td>500,000.00</td>
<td>7</td>
</tr>
<tr>
<td>H. Indirect Costs</td>
<td></td>
<td>8</td>
</tr>
</tbody>
</table>

Total Project Amount: 506,000.00

Total Federal Share Amount: 506,000.00

Total Local Share Amount (If applicable): 0.00

Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

Authorized Official's Typed Name:
Prefix: 
First Name: Tracy
Middle Name: E
Last Name: O'Connell
Suffix: 
Title: Financial Administrator
Phone: 802-241-5574 Fax: 802-241-5553
E-mail Address: grantnotification@dps.state.vt.us

PAPERWORK REDUCTION ACT NOTICE

The public reporting burden for this collection of information is estimated to be up to 2 hours per response, depending upon the COPS program being applied for, including the time for reviewing instructions, searching existing data sources, gathering the budget data needed, and completing the worksheets. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 1100 Vermont Avenue, N.W., Washington, D.C. 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is 1103-0097 and the expiration date is 5/31/2011.
COPS Application Attachment to SF-424

General Instructions:

The COPS Application Attachment to SF-424 is used in conjunction with all COPS program applications. Please ensure that you have completed all of the required sections. If a section is not applicable, please check the not applicable checkbox.

Section 1: COPS PROGRAM REQUEST

Federal assistance is being requested under the following COPS program:

Select the COPS Office grant program for which you are requesting federal assistance. Please DO NOT use this form to apply for multiple grants at one time. A separate application must be completed for each COPS program for which you are applying. Please ensure that you read, understand, and agree to comply with the applicable grant terms and conditions as outlined in the COPS Application Guide before finalizing your selection.

CHECK ONE PROGRAM OPTION ONLY

☐ Targeted-Tribal Resources Grant Program
☐ Targeted-Methamphetamine Initiative
☐ Community Policing Development
☐ Secure our Schools

☐ Targeted-Technology Program
☐ Universal Hiring Program
☐ Child Sexual Predator Program

Section 2: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the grant could delay the review of your application, or remove your application from consideration.

A. Applicant ORI Number:
   \[\text{VTVP00}\]

The ORI number is assigned by the FBI and is your agency's unique identifier. The first two letters are your state abbreviation, the next three numbers are your county's code, and the final two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your grant.

☐ Check here if your agency has not been assigned an ORI #.
B. Law Enforcement Executive/Program Official Information:

For Law Enforcement Agencies: Enter the law enforcement executive's name and contact information. This is the highest-ranking official within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent). For Non-Law Enforcement Agencies: Enter the program official's name and contact information. If the grant is awarded, this position would be responsible for the programmatic implementation of the award. If your agency is a "start-up" this section can remain blank.

Title: Colonel
Prefix: 
First Name: Thomas
Middle Name: J
Last Name: L'Esperance
Suffix: 

Agency Name: Vermont Department of Public Safety
Street 1: 103 South Main Street
Street 2: 
City: Waterbury
County: 
State: VT: Vermont
Province: 
Zip / Postal Code: 05671
Country: USA: UNITED STATES

Telephone: 802-241-5260
Fax: 
E-mail: grantnotification@dps.state.vt.us

Type of Agency: State
New Startup* (please specify): 
Other* (please specify): 

Agency types that have an asterisk next to them and that are applying for COPS hiring grants must provide additional information. Please refer to the COPS Application Guide: Agency Supplemental Information section for the questions that you will need to address. Please attach this information below:
C. Government Executive/Financial Official Information:

**For Government Agencies:** Enter the government executive’s name and contact information. This is the highest-ranking official within your jurisdiction (Mayor, City Administrator, Tribal Chairman, or equivalent). **For Non-Government Agencies:** Enter the financial official’s name and contact information. If the grant is awarded, this position would be responsible for the financial management of the award. Please note that information for non-executive positions (e.g., clerk, trustees, etc., are not acceptable).

- **Title:** Commissioner
- **Prefix:**
- **First Name:** Thomas
- **Middle Name:** R
- **Last Name:** Tremblay
- **Suffix:**

**Name of Government Entity/Financial Entity:**
- Vermont Department of Public Safety

**Street 1:** 103 South Main Street
- **Street 2:**
- **City:** Waterbury
- **County:**
- **State:** VT: Vermont
- **Province:**
- **Zip / Postal Code:** 05671
- **Country:** USA: UNITED STATES

**Telephone:** 802-244-8718
- **Fax:**
- **E-mail:** grantnotification@dps.state.vt.us

**Type of Government Entity:**
- State
Section 3: GENERAL AGENCY INFORMATION

A. General Applicant Information

1. Cognizant Federal Agency: 
   Enter the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget.

2. Fiscal Year:     to     (mo/da/yr) 

3. Population served as of the 2000 US Census:  

4. If the population served is not represented by the U.S. census figures, please indicate the size of the population served:  

B. Law Enforcement Agency Information

1. Is your agency contracting for law enforcement services? Contractual arrangements for law enforcement services are not fundable under the Universal Hiring Program.  
   ☐ Yes    ☐ No 
   
   If "yes," the Legal Name and address information listed on the SF-424 under section 8 (Applicant Information) should be for the jurisdiction that will be contracting to receive law enforcement services, and NOT the law enforcement agency that will actually provide those services. Also, be sure to enter the name and agency information of the contract law enforcement department under section 2, part B (law enforcement executive information) of this document. In all contracting arrangements, the jurisdiction that is applying for assistance is ultimately responsible for ensuring compliance with all grant requirements. For additional clarification on contracting guidelines, please see the program-specific section of the COPS Application Guide.

   If you are a tribal law enforcement agency, instead of providing your own law enforcement services, does your tribe exclusively contract with a non-BIA local law enforcement agency for services?  
   ☐ Yes    ☐ No 
   
   If "Yes," please refer to the program-specific section of the COPS Application Guide for additional eligibility information.

2. Population Served By Law Enforcement Agency

   Do officers have primary law enforcement authority for the population to be served?  
   ☐ Yes    ☐ No 
   
   An agency with primary law enforcement authority is defined as the first responder to calls for service, and has ultimate and final responsibility for the prevention, detection, and/or investigation of crime within its jurisdiction.

   If yes, what is the actual population for which your department has primary law enforcement authority? [In other words, the 2000 Census population minus the incorporated towns and cities that have their own police departments.]  

If no, please explain. Include the date by which your agency anticipates having primary law enforcement authority for this population. [Please limit your response to a maximum of 250 words.]

3. Current Budgeted Sworn Force Strength as of the Date of this Application:

<table>
<thead>
<tr>
<th>Full Time</th>
<th>Part Time</th>
</tr>
</thead>
</table>

Enter the budgeted sworn force strength. The budgeted sworn force strength is the number of sworn officer positions your department has allocated within its budget, including state, Bureau of Indian Affairs, and locally-funded vacancies. Do not include unpaid/reserve officers or detention staff.

4. Current Actual Sworn Force Strength as of the Date of this Application:

<table>
<thead>
<tr>
<th>Full Time</th>
<th>Part Time</th>
</tr>
</thead>
</table>

Enter the actual sworn force strength. The actual sworn force strength is the actual number of sworn officer positions employed by your department as of the date of application. Do not include vacant positions or unpaid/reserve positions.
Section 4: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

COPS Office grants must be used to reorient the mission and activities of law enforcement agencies toward the community policing philosophy or enhance their involvement in community policing. The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving.

Community policing is a philosophy that promotes organizational strategies, which support the systematic use of partnerships and problem-solving techniques, to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.

The COPS Office has completed the development of a comprehensive community policing self-assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub-elements of community policing. Please refer to the COPS Office website (www.cops.usdoj.gov) for further information regarding these sub-elements.

Community Partnerships:
Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.

- Other Government Agencies
- Community Members/Groups
- Non-Profits/Service Providers
- Private Businesses
- Media

Organizational Transformation:
The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

- Agency Management
  - Climate and culture
  - Leadership
  - Labor relations
  - Decision-making
  - Strategic planning
  - Policies
  - Organizational evaluations
  - Transparency
- Organizational Structure
  - Geographic assignment of officers
  - Despecialization
  - Resources and finances
- Personnel
  - Recruitment, hiring and selection
  - Personnel supervision/evaluations
  - Training
- Information Systems (Technology)
  - Communication/access to data
  - Quality and accuracy of data

Problem Solving:
The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.

- Scanning: Identifying and prioritizing problems
- Analysis: Analyzing problems
- Response: Responding to problems
- Assessment: Assessing problem-solving initiatives
- Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)
COMMUNITY POLICING PLAN

COPS grants must be used to initiate or enhance community policing. Please complete the following questions to describe the types of community policing activities that will be initiated or enhanced as a result of COPS funding. You may find more detailed information about community policing at the COPS Office web site (www.cops.usdoj.gov).

Community Partnerships

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to develop collaborative partnerships with individual and organizational stakeholders in communities to increase trust and to develop shared solutions to community problems.

If awarded funding, my organization will implement or enhance:

P1- Sharing of relevant crime and disorder information with community members.
- [ ] Yes
- [ ] No
- [ ] Not Sure

P2- Seeking input from the community to identify and prioritize neighborhood problems.
- [ ] Yes
- [ ] No
- [ ] Not Sure

P3- Engagement with the community in the development of responses to community problems.
- [ ] Yes
- [ ] No
- [ ] Not Sure

P4- Collaboration with other agencies that deliver public services (e.g., parks and recreation, social services, public health, mental health, code enforcement).
- [ ] Yes
- [ ] No
- [ ] Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance community partnerships if awarded grant funding (150 word maximum):

The Vermont Communications Board (VCOMM) is building an interoperable radio communications platform known as the VCOMM Lifeline. Funding from the COPS Tech Grant will be used to purchase narrow band capable mobile and portable radios for law enforcement officers allowing access to the National calling and tactical channels which will provide greater capacity for real time information sharing and enhance incident response and management with community members and stakeholders.

Problem Solving

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to use problem solving. Problem solving is an analytical process for systematically 1) identifying and prioritizing problems, 2) analyzing problems, 3) responding to problems, and 4) evaluating problem-solving initiatives. Problem solving involves an agency-wide commitment to go beyond traditional police responses to crime to proactively address a multitude of problems that adversely affect quality of life.

If awarded funding, my organization will implement or enhance:

PS1- Integration of problem solving into patrol work.
- [ ] Yes
- [ ] No
- [ ] Not Sure
PS2-Identification and prioritization of crime and disorder problems by examining patterns and trends involving repeat victims, offenders, and locations.

☐ Yes ☑ No ☐ Not Sure

PS3-Exploring the underlying factors and conditions that contribute to crime and disorder problems.

☐ Yes ☑ No ☐ Not Sure

PS4-Developing tailored responses to crime and disorder problems that address the underlying conditions that contribute to them.

☐ Yes ☐ No ☑ Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance problem-solving activities if awarded grant funding (150 word maximum):

Interoperable communications will allow for greater efficiencies in problem prioritization, analysis, response and evaluation. It will provide law enforcement and community partners with greater capabilities for problem solving.

Organizational Transformation

The COPS Office is interested in determining if your organization will use the grant to assist in increasing the capacity to transform organizational environment, organizational structure, personnel, practices, and policies to support the community policing philosophy and community policing activities.

If awarded funding, my organization will implement or enhance:

OC1-Institutionalization of organizational changes that support the implementation of community policing strategies.

☐ Yes ☐ No ☑ Not Sure

OC2-Incorporation of community policing principles into the agency’s mission/vision statement and strategic plan

☐ Yes ☐ No ☑ Not Sure

OC3-Institutionalization of community policing principles into a corresponding set of policies, practices and procedures.

☐ Yes ☐ No ☑ Not Sure

OC4-Institutionalization of community policing agency-wide.

☐ Yes ☐ No ☑ Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance organizational alignment towards community policing if awarded grant funding (150 word maximum):

The VCOMM Lifeline will enhance law enforcement's capabilities to effectively respond to and manage all challenges. It will allow for law enforcement policies and practices to continue to move forward in support of community-based policing philosophies and practices.
Technology

The COPS Office is interested in determining if your organization will use the grant to assist in increasing technological capacity to better prevent and/or respond to crime and disorder incidents.

If awarded funding, my organization will implement or enhance:

T1- Ensuring that agency staff have proper access to relevant data (e.g., calls for service, incident and arrest data, etc.).

☐ Yes  ☐ No  ☐ Not Sure

T2- Analysis and understanding of problems in the community.

☐ Yes  ☐ No  ☐ Not Sure

T3- Improvements to the agency's overall efficiency and effectiveness.

☐ Yes  ☐ No  ☐ Not Sure

T4- Providing officers with necessary equipment to better prevent and/or respond to crime and disorder incidents.

☐ Yes  ☐ No  ☐ Not Sure

Please provide specific examples of the types of activities you plan to engage in to enhance alignment of technology towards community policing if awarded grant funding (150 word maximum):

This technology will enhance law enforcement capabilities for problem identification and problem solving, community and stakeholder partnerships as well as incident response and management.

It will provide a greater ability for real time information sharing addressing multi-jurisdictional and multi-disciplines communications limitations. Interoperable communications will provide for greater life safety for law enforcement and the public by allowing for more effective and efficient prevention and response to crime and disorder incidents.

If your organization receives this COPS grant funding, it should use your responses to these questions as your organization's community policing plan. Your organization may be audited or monitored to ensure that it is initiating or enhancing community policing in accordance with this plan.

We understand that your community policing needs may change during the life of your COPS grant (if awarded), and we welcome minor changes to this plan without prior approval. We also recognize that this plan may incorporate a broad range of possible community policing strategies and activities, and that your agency may implement particular community policing strategies from the plan on an as-needed basis throughout the life of the grant. If your agency's community policing plan changes significantly, however, you must submit those changes in writing to the COPS Office for approval. Changes are “significant” if they deviate from the range of possible community policing activities identified and approved in this original community policing plan submitted with your application.

Section 5: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

Retention for COPS Hiring Grants

This section is applicable to applicants applying for sworn officer positions.

☐ Check here if not applying under the Universal Hiring Program.
Hiring grantees are required to retain all additional officer positions awarded for at least one full local budget cycle following the expiration of COPS grant funding for each COPS-funded officer position. The additional officer positions should be added to your agency’s law enforcement budget with state, local, or tribal funds for at least one full local budget cycle, over and above all other locally-funded officer positions (including other school resource officers) that would have existed regardless of the grant, from the time that the thirty-six (36) months of grant funding for each COPS position expires. Absorbing COPS-funded officers through attrition (rather than adding the extra positions to your budget with additional funding) does not meet the retention requirement. Please be aware that if your agency has additional sworn officer hiring grants that are active when one hiring grant expires, the officer positions that were awarded under the expired grant are added to your baseline of locally-funded officer positions and must be maintained throughout the implementation of all additional hiring grants.

Use the space below to explain how your agency currently plans to retain any additional officer positions awarded. Please be as specific as possible about the source(s) of retention funding (General Fund revenues, local ballot item, etc.) your agency plans to utilize. A missing or incomplete response could affect your ability to receive funding. Please limit your response to a maximum of 250 words.

Section 6: NEED FOR FEDERAL ASSISTANCE

All applicants are required to provide a brief explanation of their agency’s public safety needs and an explanation of their agency’s inability to implement this project and/or address these public safety needs without federal assistance.

In the space below, please provide a brief explanation of your agency’s inability to implement this project without federal assistance. [Please limit your response to a maximum of 250 words.]

Strengthening interoperable and operable communications for all first responders and stakeholders is one of the eight National Priorities established through Homeland Security Presidential Directive (“HSPD”) – 8. This is also a priority of Congress and Vermont’s Governor - James H. Douglas. Federal assistance is needed to aid the Vermont Department of Public Safety, the Vermont Communications (VCOMM) Board and local law enforcement in building a statewide, multi-disciplinary interoperable radio communications system known as the VCOMM Lifeline. The Lifeline will use the National UHF and VHF calling and tactical channels along with equipment and technology upgrades to existing local, county, state and privately owned communications infrastructures to build this interoperable communications platform. The Lifeline will provide an intrastate, interstate and international interoperable radio communications system for Vermont’s law enforcement community, its first responders and stakeholders.

State funds do not exist to construct this project. A combination of funding solutions will be required to achieve Vermont’s interoperable radio communications goal due to varied restrictions placed upon current US Department of Homeland Security and Public Safety Interoperable Communications (PSIC) grant funds. These COPS Tech grant funds are critically needed to complete the "non-construction" portions of the VCOMM Lifeline.
Section 7: WAIVERS OF THE LOCAL MATCH

Please refer to the Application Guide for information on whether waivers of the local match, are available under the grant program for which you are applying.

☐ Check here if not applicable

Are you requesting a waiver of the local match based upon severe fiscal distress?

☐ Yes    ☐ No

If requesting a waiver, you are required to attach a detailed waiver justification below. Please refer to the COPS Application Guide – "Waiver of the Local Match" section for information on what to include in your justification, as well as the program-specific portion of the Guide to review the local match requirements for the grant program under which you are applying.

Section 8: EXECUTIVE SUMMARY

This section is applicable to COPS applicants applying under the COPS Methamphetamine Initiative, Secure Our Schools, Child Sexual Predator Program, Technology Program and Community Policing Development Programs.

☐ Check here if not applicable

Please attach a brief summary of how your agency will use this federal funding. Be sure to include a description of how you expect this grant to impact public safety and/or crime prevention in your community. Please refer to the COPS Application Guide for clarification on specific information to include in your summary. The Executive Summary may be used to keep Congress or other executive branch agencies informed on law enforcement strategies to deter crime in your community. [Please limit your response to a maximum of 400 words.]

Section 9: PROJECT DESCRIPTION (NARRATIVE)

This section is applicable to all COPS applicants.

Please attach to your application an in-depth narrative response detailing your proposed project. Please refer to the program-specific section of the COPS Application Guide: "How to Apply" section for information on what should be included in your response, as well as any additional formatting requirements and page length limitations.
Section 10: BUDGET NARRATIVE
(EXCLUDING SWORN OFFICER POSITIONS)

This section is applicable to COPS applicants applying under the Community Policing Development Programs, the Methamphetamine Initiative, Secure Our Schools, the Tribal Resources Grant Program, Child Sexual Predator Program and the Technology Program.

☐ Check here if not applicable

Please attach a budget narrative describing each item proposed for purchase, its purpose, and how the items relate to the overall project. Like items may be grouped together for ease of reporting. The structure of the Budget Narrative must mirror the structure of the Budget Detail Worksheet included in this application. In other words, each item reported in the Budget Narrative must fall under one of the following budget categories: Civilian/Other Personnel, Equipment/Technology, Other Costs, Supplies, Travel/Training, Contracts/Consultants, and Indirect Costs. For your information, a sample Budget Narrative and a sample Budget Detail Worksheet are included in the COPS Application Guide. Every item included on the Budget Detail Worksheet must be included in the Budget Narrative.

Note that allowable/unallowable costs will vary widely between different COPS grant programs and cooperative agreements. Please ensure that you refer to the program-specific portion of the COPS Application Guide – “Federal Funding: Allowable & Unallowable Costs” section for a complete list of the allowable and unallowable costs associated with the particular program for which you are applying. Including unallowable items on your application may delay the processing of your application and could ultimately result in the denial of your request.

Radio Budget Narrative.doc  Add Attachment  Delete Attachment  View Attachment

Section 11: MEMORANDUM OF UNDERSTANDING

☐ Check here if not applicable

Please attach a Memorandum of Understanding (MOU) to your application that defines the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific portion of the Guide for a complete description of information pertaining to the required MOU.

Add Attachment  Delete Attachment  View Attachment
Section 12: OFFICIAL PARTNER(S) CONTACT INFORMATION

☐ Check here if not applicable.

An official "partner" under the grant may be a governmental or private entity that has established a legal, contractual, or other agreement with the applicant for the purpose of supporting and working together for mutual benefits of the grant. Please refer to the Application Guide for a complete description of partnership requirements under the grant program for which you are applying.

<table>
<thead>
<tr>
<th>Official Partner 1 of 1</th>
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</thead>
<tbody>
<tr>
<td><strong>Title:</strong></td>
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<tr>
<td><strong>Prefix:</strong></td>
</tr>
<tr>
<td><strong>First Name:</strong></td>
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<tr>
<td><strong>Middle Name:</strong></td>
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<tr>
<td><strong>Last Name:</strong></td>
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<tr>
<td><strong>Suffix:</strong></td>
</tr>
</tbody>
</table>

Name of Partner Agency (e.g., School District)

Type of Partner Agency (e.g., School District)

Partner Agency Address

| **Street 1:**          |
|                       |
| **Street 2:**         |
|                       |
| **City:**             |
|                       |
| **County:**           |
|                       |
| **State:**            |
|                       |
| **Province:**         |
|                       |
| **Zip / Postal Code:**|
|                       |
| **Country:**          |

Telephone:

Fax:

E-mail:
Section 13: INCIDENT DATA

Incident data is required for the Secure Our Schools grant program. The data reported should cover the time period of September 1, 2006 to August 31, 2007 and should only include incidents that took place in and around the partnering schools. Please refer to the program guide for specific information and instructions regarding the data required for this submission.

☐ Check here if not applicable.

<table>
<thead>
<tr>
<th>Type of Incident</th>
<th># of Incidents Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>Homicide</td>
<td></td>
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<tr>
<td>Sexual Offenses</td>
<td></td>
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<tr>
<td>Aggravated/Major Assaults—for example, an attack with hands, fist, feet, or weapons on an individual.</td>
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<tr>
<td>Simple/Minor Assaults—stalking, intimidation/bullying/coercion, etc.</td>
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<tr>
<td>Thefts (Includes Reports of Stolen Property)</td>
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<tr>
<td>Possession/Sale of Illegal Weapons</td>
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<tr>
<td>Vandalism/Destruction of Property</td>
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<tr>
<td>Alcohol-Related Offenses</td>
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<tr>
<td>Possession, Use or Sale of Drugs</td>
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<tr>
<td>Disorderly Conduct</td>
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</table>

<table>
<thead>
<tr>
<th>School Data</th>
<th>Totals</th>
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<tr>
<td>Truancy</td>
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<td>Detentions</td>
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<td>Suspensions</td>
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<td>Expulsions</td>
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<tr>
<td>Threats to School Property</td>
<td></td>
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<tr>
<td># of schools involved in project</td>
<td></td>
</tr>
<tr>
<td>Total Student Population for Involved Schools</td>
<td></td>
</tr>
</tbody>
</table>
Section 14: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems
You must answer this question regardless of the type of COPS grant you are applying for. Please review the COPS Application Guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

☐ Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

☒ No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.

The signatures of the applicant's Authorized Organizational Representative (on-line applications only), Law Enforcement Executive/Program Official and Government Executive/Financial Official, and any applicable program partners on the Certification of Review and Representation of Compliance with Requirements:

1) Assures the COPS Office that the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; AND

2) Attests to the accuracy of the information submitted with this application (including the Budget Detail Worksheets).

The signatures below must be made by the actual executives named on this application unless there is an officially documented authorization for a delegated signature. If your jurisdiction has such an official document, it must be attached to this application. Applications with missing, incomplete, or inaccurate signatures or responses may not be considered for funding. Stamped or electronic signatures (unless applying online via Grants.gov) also will not be accepted. Original signatures are required. Faxed copies will not be accepted. Applications postmarked after the final application deadline date may not be considered for funding.

Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

Please be advised that a hold may be placed on this application if it is deemed that the applicant agency is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a COPS Office compliance investigation concerning a current grant award.

By signing below, I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

Authorized Organizational Representative's Signature: [Signature]
Date: [Completed Upon Submission to Grants.gov]
Law Enforcement Executive/Program Official:
Prefix: 
First Name: Thomas
Middle Name: J
Last Name: L'Esperance
Suffix: 
Signature: ____________________________ Date: Completed Upon Submission to Grants.gov

Government Executive/Financial Official:
Prefix: 
First Name: Thomas
Middle Name: R
Last Name: Tremblay
Suffix: 
Signature: ____________________________ Date: Completed Upon Submission to Grants.gov

Assurances & Certifications Click here to read and print

Signing this page also assures the COPS Office that you have read, understand, and agree, if awarded, to abide by the grant terms and conditions as outlined in the Assurances and Certifications. The signed hard copy of the Assurances and Certifications should be kept in the agency’s files and furnished upon request.
GRANTS.GOV NOTE:

When applying online via Grants.gov, the Authorized Organizational Representative’s signature will be the only signature submitted online. However, the Law Enforcement Executive/Program Official and the Government Executive/Financial Official signatures, as well as any applicable program partners' signatures, are MANDATORY and a hard copy of the Certification of Review and Representation of Compliance with Requirements should be kept in the agency’s files and furnished upon request. Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

PAPERWORK REDUCTION ACT NOTICE

The public reporting burden for this collection of information is estimated to be up to eight average hours per response, depending upon the COPS program being applied for including time for searching existing data sources, gathering the data needed, and completing and reviewing the application. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 1100 Vermont Avenue, N.W., Washington, D.C. 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is 1103-0098 and the expiration date is 08/31/2011.
SECTION 15: ASSURANCES

Several provisions of federal law and policy apply to all grant programs. We (the Office of Community Oriented Policing Services) need to secure your assurance that the applicant will comply with these provisions. If you would like further information about any of these assurances, please contact your state's COPS Grant Program Specialist at (800) 421-6770.

By the applicant's authorized representative's signature, the applicant assures that it will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds. In particular, the applicant assures us that:

1. It has been legally and officially authorized by the appropriate governing body (for example, mayor or city council) to apply for this grant and that the persons signing the application and these assurances on its behalf are authorized to do so and to act on its behalf with respect to any issues that may arise during processing of this application.

2. It will comply with the provisions of federal law, which limit certain political activities of grantee employees whose principal employment is in connection with an activity financed in whole or in part with this grant. These restrictions are set forth in 5 U.S.C. § 1501, et seq.

3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.

4. It will establish safeguards, if it has not done so already, to prohibit employees from using their positions for a purpose that is, or gives the appearance of being, motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.

5. It will give the Department of Justice or the Comptroller General access to and the right to examine records and documents related to the grant.

6. It will comply with all requirements imposed by the Department of Justice as a condition or administrative requirement of the grant, including but not limited to: the requirements of 28 CFR Part 66 and 28 CFR Part 70 (governing administrative requirements for grants and cooperative agreements); 2 CFR Part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR Part 230 (OMB Circular A-122) and 48 CFR Part 31.000, et seq. (FAR 31.2) (governing cost principles); OMB Circular A-133 (governing audits) and other applicable OMB circulars; the applicable provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended; 28 CFR Part 36.1; the current edition of the COPS Grant Monitoring Standards and Guidelines; the applicable COPS Grant Owners Manuals; and with all other applicable program requirements, laws, orders, regulations, or circulars.

7. If applicable, it will, to the extent practicable and consistent with applicable law, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.

8. It will not, on the ground of race, color, religion, national origin, gender, disability or age, unlawfully exclude any person from participation in, deny the benefits of or employment to any person, or subject any person to discrimination in connection with any programs or activities funded in whole or in part with federal funds. These civil rights requirements are found in the non-discrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. § 3789 (d)); Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000d); the Indian Civil Rights Act (25 U.S.C. §§ 1301-1303); Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794); Title II, Subtitle A of the Americans with Disabilities Act (ADA) (42 U.S.C. § 12101, et seq.); the Age Discrimination Act of 1975 (42 U.S.C. § 6101, et seq.); and Department of Justice Non-Discrimination Regulations contained in Title 28, Parts 35 and 42 (subparts C, D, E and G) of the Code of Federal Regulations.

A. In the event that any court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability or age against the applicant after a due process hearing, it agrees to forward a copy of the finding to the Office of Civil Rights, Office of Justice Programs, 810 7th Street, NW, Washington, D.C. 20531.

B. Grantees that have 50 or more employees and grants over $500,000 (or over $1,000,000 in grants over an eighteen-month period), must submit an acceptable Equal Employment Opportunity Plan ("EEOP") or EEOP short form (if grantee is required to submit an EEOP under 28 CFR 42.302), that is approved by the Office of Justice Programs, Office for Civil Rights, 810 7th Street, NW, Washington, D.C. 20531. Grantees of less than $25,000 are not subject to the EEOP requirement.

9. Pursuant to Department of Justice guidelines (June 18, 2002 Federal Register (Volume 67, Number 117, pages 41455-41472)), under Title VI of the Civil Rights Act of 1964, it will ensure meaningful access to its programs and activities by persons with limited English proficiency.

10. It will ensure that any facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify us if advised by the EPA that a facility to be used in this grant is under consideration for such listing by the EPA.
11. If the applicant's state has established a review and comment procedure under Executive Order 12372 and has selected this program for review, it has made this application available for review by the state Single Point of Contact.

12. It will submit all surveys, interview protocols, and other information collections to the COPS Office for submission to the Office of Management and Budget for clearance under the Paperwork Reduction Act of 1995 if required.

13. It will comply with the Human Subjects Research Risk Protections requirements of 28 CFR Part 46 if any part of the funded project contains non-exempt research or statistical activities which involve human subjects and also with 28 CFR Part 22, requiring the safeguarding of individually identifiable information collected from research participants.

14. Pursuant to Executive Order 13043, it will enforce on-the-job seat belt policies and programs for employees when operating agency-owned, rented or personally-owned vehicles.

15. It will not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that otherwise would be made available for the purposes of this grant, as applicable.

16. If the awarded grant contains a retention requirement, it will retain the increased officer staffing level and/or the increased officer redeployment level, as applicable, with state or local funds for a minimum of one full local budget cycle following expiration of the grant period.

17. It will not use any federal funding directly or indirectly to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law ratification, policy or appropriation whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy or appropriation as set forth in the Anti-Lobby Act, 18 U.S.C. 1913.

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Signature of Law Enforcement Executive (or Official with Programmatic Authority, as applicable)  
Date

Signature of Government Executive (or Official with Financial Authority, as applicable)  
Date
SECTION 16: CERTIFICATIONS

Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements
Coordination with Affected Agencies

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek your agency's certification regarding certain matters. Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 28 CFR Part 67, "Government-Wide Debarment and Suspension (Nonprocurement)," 28 CFR Part 83 Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over $100,000, as defined at 28 CFR Part 69, the applicant certifies that:

A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;

B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. Debarment, Suspension and Other Responsibility Matters
(Direct Recipient)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Part 2867, Section 2867.437 -

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

(i) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(ii) Establishing an on-going drug-free awareness program to inform employees about -
(a) The dangers of drug abuse in the workplace;

(b) The grantee's policy of maintaining a drug-free workplace;

(c) Any available drug counseling, rehabilitation and employee assistance programs; and

(d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(iii) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);

(iv) Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -

(a) Abide by the terms of the statement; and

(b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(v) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: COPS Office, 1100 Vermont Ave., NW, Washington, D.C. 20530. Notice shall include the identification number(s) of each affected grant.

(vi) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement or other appropriate agency;

(vii) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (b), (iii), (iv), (v) and (vi).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of performance (street address, city, county, state, zip code)

Check ☐ if there are workplaces on file that are not identified here.

4. Coordination

The Public Safety Partnership and Community Policing Act of 1994 requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

Grantee Agency Name and Address:

Vermont Department of Public Safety
103 South Main Street; Waterbury, VT 05671

Grantee IRS/ Vendor Number: 03-6000274

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Typed Name and Title of Law Enforcement Executive (or Official with Programmatic Authority, as applicable):

Thomas J. L'Esperance
Colonel, Vermont State Police

Signature: Date: 6/24/09

Typed Name and Title of Government Executive (or Official with Financial Authority, as applicable):

Thomas R. Tremblay
Commissioner, Vermont Department of Public Safety

Signature: Date: 6/24/09