

# JOINT FISCAL OFFICE

#### **MEMORANDUM**

To:

James Reardon, Commissioner of Finance & Management

From:

Nathan Lavery, Fiscal Analyst

Date:

November 7, 2008

Subject:

JFO #2340

No Joint Fiscal Committee member has requested that the following item be held for review:

JFO #2340 — \$25,000 grant from the National Endowment of the Humanities to the Department of Housing & Community Affairs, Division for Historic Preservation. These grant funds will be used to develop a prototypical internet program that aims to make Vermont archeological information available to the public. Please note that some funds have been expended in anticipation of JFC approval because the grant requires the recipient to attend a training that was held September 23, 2008. [JFO received 10/08/08]

In accordance with 32 V.S.A. §5, the requisite 30 days having elapsed since this item was submitted to the Joint Fiscal Committee, the Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Bill Noyes, Acting Commissioner

PHONE: (802) 828-2295

FAX: (802) 828-2483

From:

Michael Obuchowski

To:

Nathan Lavery

Date:

10/15/2008 3:43 PM

Subject:

Re: grant questions - 2340

Thanks. Objection filed as to prior expenditure of funds without prior JFC notification or approval.

>>> Nathan Lavery 10/15/2008 3:35 PM >>>

2340:

How is the National Endowment for the Humanities funded?

According to their website (www.neh.gov), the "The National Endowment for the Humanities (NEH) is an independent federal agency created in 1965" and is supported with federal appropriations.

When were we notified of the expenditure?

We learned of this expenditure when the materials were processed at JFO for distribution to the Committee. The data stamp on the packet (October 8, 2008) reflects this date.

The remainder of your questions have been email to the appropriate contact and they were instructed to copy you on the responses, so those will likely arrive in the near future.

Nathan Lavery Fiscal Analyst Legislative Joint Fiscal Office One Baldwin Street Montpelier VT 05633-5301 (802) 828-1488 nlavery@leq.state.vt.us



#### STATE OF VERMONT JOINT FISCAL OFFICE

#### **MEMORANDUM**

To: Joint Fiscal Committee Members

From: Nathan Lavery, Fiscal Analyst

Date: October 8, 2008 Subject: Grant Request

Enclosed please find three (3) requests which the Joint Fiscal Office recently received from the Administration:

JFO #2340 — \$25,000 grant from the National Endowment of the Humanities to the Department of Housing & Community Affairs, Division for Historic Preservation. These grant funds will be used to develop a prototypical internet program that aims to make Vermont archeological information available to the public. Please note that some funds have been expended in anticipation of JFC approval because the grant requires the recipient to attend a training that was held September 23, 2008.

[JFO received 10/08/08]

JFO #2341 — \$10,000 grant from the National Children's Alliance to the Department of State's Attorneys and Sheriffs. These grant monies will cover additional hours for an investigator who works on domestic violence cases in Chittenden County. This investigator currently has a personal services contract with the Department of State's Attorneys and Sheriffs.

[JFO received 10/08/08]

JFO #2342 — \$10,000 grant from the National Alcohol Beverage Control Association to the Department of Liquor Control. These grant monies will cover filming and editing costs for two educational films as well as printing costs of a new student workbook.

[JFO received 10/08/08]

The Joint Fiscal Office has reviewed these submissions and determined that all appropriate forms bearing the necessary approvals are in order.

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Nathan Lavery at 802/828-1488; <a href="mailto:nlavery@leg.state.vt.us">nlavery@leg.state.vt.us</a> or Stephen Klein at 802/828-5769; <a href="mailto:sklein@leg.state.vt.us">sklein@leg.state.vt.us</a>) if you have questions or would like any item held for JFC review. Unless we hear from you to the contrary by <a href="mailto:October 22">October 22</a> we will assume that you agree to consider as final the Governor's acceptance of this request.

cc: James Reardon, Commissioner
Linda Morse, Administrative Assistant
Bill Noyes, Acting Commissioner
Jane Woodruff, Executive Director
Michael Hogan, Commissioner

# STATE OF VERMONT GRANT ACCEPTANCE FORM

GRANT SUMMARY:

This Grant to the Division for Historic Preservation, archeology

program is to do start up work for an Internet-based Vermont

archeology virtual museum.

TITLE OF GRANT:

Creating a Sense of Place through Archeology

FEDERAL CATALOG No.:

45.169

GRANTOR / DONOR:

National Endowment of the Humanities

1100 Pennsylvania Ave. NW Washington, DC 20506

DATE:

9/10/08

DEPARTMENT:

Housing and Community Affairs

**GRANT / DONATION:** 

This project is aimed at making Vermont archeological

information available to scholars, educators, students, and the

general public in a user friendly way.

AMOUNT / VALUE:

\$25,000.00

POSITIONS REQUESTED:

None

**GRANT PERIOD:** 

9/1/08 to 10/31/09

COMMENTS:

DEPARTMENT OF FINANCE AND MANAGEMENT: SECRETARY OF ADMINISTRATION

SENT TO JOINT FISCAL OFFICE:

RECEIVED

OCT 08 2008

JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE FORM AA-(use additional sheets as needed) (Rev. 9-90) Agency: ACCD Department: 2. Housing & Community Affairs Program: Historic Preservation - Archeology **Legal Title of Grant:** Creating a Sense of Place Through Archeology Federal Catalog No.: 45.169 **Grantor and Office Address:** National Endowment of the Humanities 1100 Pennsylvania Ave. NW Washington DC 20506 **Grant Period:** From: 09/01/2008 To: 10/31/2009 Purpose of Grant: (attach additional sheets if needed) To support creation of an Internet-based Vermont archeology virtual "museum. Purpose is to get archeological information - now in hard-tofind technical reports and in boxes at the VT Archeology Heritage Center - out to scholars, educators, students, communities, and the general public. Grant will pay to create a "proof of concept" and prototype. Impact on Existing Programs if Grant is not Accepted: State of Vermont agencies, such as VTrans, and other organizations and developers have invested a great deal of money over several decades to investigate archeological sites. The important discoveries we've made will stay unknown in hard-to-find and hard-to-read reports and in collections boxes unless we move forward with this project. This is a DHP priority. (1st State FY) 10. Budget Information: (2nd State FY) (3rd State FY) FY 2009 FY 2010 FY 2011 EXPENDITURES: Personal Services 19.000.00 \$ 5,000.00 \$ Operating Expenses \$ 1,000.00 \$ Grants **TOTAL** 20,000,00 5,000.00 0.00 REVENUES: State Funds: Cash \$ \$ In-Kind \$ \$ \$ Federal Funds: (Direct Costs) 20,000.00 \$ 5,000.00 \$ (Statewide Indirect) \$ \$ (Department Indirect) \$ Other Funds: (source) Grant \$ TOTAL 20,000.00 \$ 5,000.00 0.00 **Amounts** Appropriation Nos. 711001040 \$ 25,000.00 \$ -over-

Form AA-1			Page 2
11. Will grant monies be spent by on X YES  If YES, signature of appointing aurourrent guidelines on bidding.	N	10	
^_/\_\	700	7—	
12a. Please list any requested Limite	d Service pos	sitions:	
Titles		Number of	Positions
		Hamber of	r Usitions
TOTA	L Positions	0	
12b. <b>Equipment and space for these</b> NA Is presently available.  Can be obtained with available	le funds.		
13. Signature of Appointing Author I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.  *** OTHER THAN THE REQUIRED RE	(Signature  Activitie)	ng Commissiones	9/9/08 (Date)
14. Action by Governor:  Approved Rejected	(Signature	unit 25	9/25/08 (Date)
15. Secretary of Administration:	$\overline{}$		
Request to JFO Information to JFO	(Signature	unda P. Moulnes	9/d2/08 (Date)
16. Action by Joint Fiscal Committee	ee:	(Dat	es)
Request to be placed on JFC Approved (not placed on age Approved by JFC Rejected by JFC Approved by Legislature			
	(Signature	÷)	(Date)

NEH 1092 (06-2002)

## OFFICIAL NOTICE OF ACTION

#### National Endowment for the Humanities

Date Of Action: 09/05/2008 Action Taken: Award Award Recipient State of Vermont Division for Historic Preservation ID Number: HD-50543-08 Institutional Grant Administrator: 10/31/2009 Award Period: From: 09/01/2008 Thru: Ms. Diane L. Ford Financial Administrator Award Amounts Federal Matching Funds Vermont Division for Historic Preservation \$ 25,000.00 Outright National Life Bldg, 2nd Floor Amount \$ 0.00 Offered Montpelier, VT 05620-0201 Federal Match \$ 0.00 Öther \$ 0.00 Offer Expires Project Director: Ms. Giovanna Peebles Total Awarded \$ 25,000.00

#### Project Title:

Creating A Sense of Place Through Archeology: Moving Archeology From Deep Storage Into the Public Eye Through the Internet

#### Remarks:

All terms and conditions that apply to this award will be considered acceptable unless a written objection is submitted within thirty days of the date of this notice. The first request for payment will indicate the recipient's acceptance of the award.

The administration of this grant and the expenditure of funds are subject to the NEH General Terms and Conditions for Awards to Organizations (March 2005). This document incorporates by reference the Uniform Administrative Requirements for Grants and Contracts to State and Local Governments (45 C.F.R. Part 1174), the audit requirements of OMB Circular A-133, and the cost principles of OMB Circular A-87.

The due dates for the required financial and performance reports for this grant appear on the last attachment to this notice.

Forms and publications referenced in this award package, including the financial and performance reporting forms and instructions, are available from our website. Go to www.neh.gov and click on "Grant Management." Printed copies are available by request from the Office of Grant Management, Room 311, 1100 Pennsylvania Avenue, NW, Washington DC 20506. Please see the enclosed "Online Award Material" sheet for more information.

Payment of this grant will be made on an advance basis. Information on requesting payment will be found in the Financial Reporting Requirements (formerly Enclosure 1).

This award was funded by the NEH program described in CFDA section 45.169

**NEH Office of Grant Management Official** 

for Susan G. Dalsey

Director, Office of Grant Management

Award Recipient

## Peebles, Giovanna

From:

Hall, Michael [MHall@neh.gov] Tuesday, August 05, 2008 2:01 PM

Sent: To:

Peebles, Giovanna

Subject:

NEH Digital Humanities Start-Up Grant, HD-50543

#### Dear Giovanna Peebles:

Congratulations. Your application for a Digital Humanities Start-Up Grant to support "Creating a Sense of Place Through Archaeology" has been successful. You will be awarded \$25,000.

I also wanted to alert you to the date for the project directors meeting, which will be held in Washington, DC, on September 23, 2008.

More official word of the award and all of the necessary documentation will follow from the NEH Office of Grant Management, as well as more details regarding the project directors meeting from the Office of Digital Humanities. Meanwhile, if you have any questions, please be in touch with

Yours truly,

Michael Hall

Michael L. Hall
Division of Education Programs
National Endowment for the Humanities
Washington, DC 20506
<a href="mailto:mhall@neh.gov">mhall@neh.gov</a> - 202/606-8461 (Phone) 202/606-8204 (FAX)



August 15, 2008

Ms. Giovanna Peebles Vermont State Archeologist VT Division for Historic Preservation National Life Building, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

Dear Ms. Peebles:

This letter is in reference to application HD-50543 submitted by the Vermont Division for Historic Preservation for support of the project entitled, "Creating a Sense of Place through Archeology: Moving Archeology from Deep Storage into the Public Eye through the Internet," under your direction.

The Endowment offers to support this project an amount not to exceed \$25,000, subject to the fulfillment of the following conditions:

- 1. It is not acceptable to the Endowment for you to serve as both the project director and the institutional grant administrator. As the proposal indicates that you will direct and participate in all aspects of the project, someone else should serve as the institutional grant administrator. This position should be held by a person who administers awards to your institution to ensure compliance with terms and conditions. Please provide the name and contact information for this official.
- 2. A revised budget that addresses the concerns listed below must be submitted for Endowment approval. Please note that all charges included in the budget must be allowable, allocable to this project, and incurred within the requested grant period.
  - -- Only payroll employees of your institution should be listed in the "Salaries and Wages" category of the NEH budget form. Non-employees should be shown in either the "Services" or "Other Costs" category.
    - -- The names of the consultants should be provided.

The NEH budget form is available on the NEH Web site at <a href="www.neh.gov">www.neh.gov</a>, click on "Grant Management." Any questions about the revised budget should be addressed to Ms. Jerri L. Shepherd in the Office of Grant Management at (202) 606-8604 or jshepherd@neh.gov.

If you wish to accept this offer of support, your response to the above conditions should be submitted to the Endowment as soon as possible, but not later than Friday, September 5, 2008. The material should be addressed to Ms. Jerri L. Shepherd, Office of Grant Management, Room 311, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506. As federal agencies in Washington D.C. continue to experience disruptions in U.S. Mail delivery, we will also accept the material via private delivery services such as FedEx or UPS. Please note that the Endowment's review of the material requested and the preparation of the award documents require approximately four weeks.

You are cautioned that this letter does not constitute an award. Should you have any questions concerning this offer of support, please feel free to contact Ms. Shepherd at (202) 606-8604 (e-mail address: jshepherd@neh.gov).

Sincerely,

Bruce Cole Chairman

Bruce Cola



State of Vermont
Division for Historic Preservation
National Life Building, Floor 2
Montpelier, VT 05620-1201
www.HistoricVermont.org

[phone] 802-828-3211 [fax] 802-828-3206 Agency of Commerce & Community Development

September 2, 2008

Ms. Jerri L. Shepherd Office of Grant management Room 311 National Endowment for the Humanities 1100 Pennsylvania Ave., NW Washington, DC 20506

RE: NEH Application HD-50543

Dear Ms. Shepherd:

This letter and attached documentation respond to Chairman Bruce Cole's letter of August 12, 2008, and follows-up our email and phone conversations. The VT Division for Historic Preservation is pleased to fulfill the requested conditions as follows:

1. Condition 1. Name and Contact of the Division's institutional grant administrator:

Name: Diane Ford

Title: Financial Administrator

Address:

VT Division for Historic Preservation

National Life, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

**Phone**: (802) 828-5203 **FAX**: (802) 828-3206

Email: diane.ford@state.vt.us

- 2. Condition 2. Revised budget:
  - a. Payroll employees should be listed in "Salaries & Wages category, etc.: The revised budget is attached.
  - b. Names of the consultants:

As explained to you via email, pursuant to the VT Secretary of Administration's Bulletin 3.5, all state agencies are required to bid and then contract for services. Thus, no consultant can be hired until funds are available and this competitive process has been completed. Because of this we cannot provide the name of as-yet-unknown consultants. As per your email, we will identify

the consultants and discuss their qualifications in the final performance report, due after the grant has ended.

As I mentioned to you by phone this morning, two of our Agency staff persons originally written into the grant - - Ms. Dana Dean and Mr. Stephen Coble - are no longer with the Agency as a result of recent agency downsizing. To replace their time and expertise, the Agency's Senior Marketing Specialist (and web expert) Ms. Kate Westbrook is now on-board. A copy of her resume is attached.

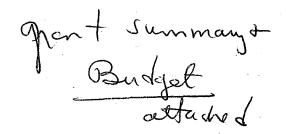
Do not hesitate to call me at (802) 828-3050 or giovanna.peebles@state.vt.us if you have any questions. I look forward to meeting you on September 23.

With best regards,

Giovanna Peebles State Archeologist

VT Division for Historic Preservation

Creating a Sense of Place Page 1 of 9



# Creating a Sense of Place Through Archeology:

Moving Archeology From Deep Storage Into the Public Eye
Through the Internet

# A Digital "Start-Up" Grant Submitted to

The National Endowment for the Humanities

**Submitted By:** 

Giovanna Peebles

VT Division for Historic Preservation

Montpelier, VT

**April 2, 2008** 

## 1.Statement of Significance and Impact.

The Vermont Division for Historic Preservation (VTDHP) seeks a Level I Start-Up Grant to revolutionize current practices of disseminating archeological information to scholars, educators, students, communities, and the general public. It seeks to transform the typical archeological investigation "end products" -- an unpublished technical paper report and one or more boxes full of artifacts and other data -- into an integrated virtual archeology museum. Given the wide and powerful range of currently available technological tools in combination with the Internet, this old way of doing business is just not good enough. This project is the first step towards creating a sustainable Internet-based Vermont archeology virtual museum that we call "A Sense of Place Through Archeology" and will lead the way for other states and organizations to use similar tools and formats. Most of American archeology is funded by federal and state regulatory requirements: each day hundreds of American archeologists, under contract, conduct dozens of archeological studies at various phases of intensity to help government agencies, developers, and other clients comply with a variety of laws. Many important sites are discovered each year. The collected artifacts and other data disappear into collection boxes and the recovered information and interpretations languish in unpublished technical reports. Great stories about our ancient and more recent past are not getting relayed to communities within which the sites are located, to educators, students, and even to other scholars.

While some rare organizations have created terrific Internet sites that display various types of linked archeological information, they are often closed to the public and are not comprehensive. Further, they are unique proprietary applications that are designed for a single site or geographic area. VTDHP is uniquely creating a proof of concept and prototype within which many data sets from scores of archeological sites are linked to timelines and places and can be populated as a standard practice for use by Vermont and other states and organizations. The "Sense of Place" project will create a best practices "template" for using the power of the Internet to exponentially increase access to existing archeological information and will strengthen stewardship of the archeological patrimony in the users' own backyards.

A user can choose a particular time period of interest, or a particular town, to browse the following information for individual sites: images and meanings of artifacts, images of the site and excavations, associated field and laboratory records of investigations, artifact data, GIS maps of the general site area (if not sensitive) or in some cases even exact test units, non-technical summaries about a site's role in understanding history, past cultures, and environments, stories and perspectives about the site and its use from indigenous people and from archeologists and other constituencies, links to PDFs of the technical report(s), links to historic maps and other relevant documents, other information & other links, and opportunities for people to comment and "participate." The grant will fund development of a plan, a proof of concept, and a prototype for a virtual archeology museum that can be used by any interested organization to reveal the information and stories now locked in inaccessible files and storage cabinets.

# NATIONAL ENDOWMENT FOR THE HUMANITIES THREE-YEAR BUDGET FORM

Applicant Organization:	VT Division for Historic Preservation	<u> </u>
Requested Grant Period	From (mo/yr): September 2008	Thru (mo/yr): December 2009
If this is a revised budget	, indicate the NEH application/grant numb	ber: HD-50543

the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper. Click HERE to see the detailed instructions.

# SECTIONA-Year #1

Budget detail for the period FROM (mo/yr): September 2008 THRU (mo/yr): December 2009

When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the budget. For projects that will run less than eighteen months, only the last column of the budget should be completed.

# 1. Salaries and Wages

Provide the names and titles of the principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

Name/Title of Position	No.	Method of Cost Computation (see sample)	1	NEH Fund (a)	S	Cost Shar (b)	ing	Total (c)
Giovanna Peebles, State Archeologist	[]	\$ 259/day X 14 days	\$	0	\$	3,626	\$	3,626
Kate Westbrook, Sr. Marketing Specialist	[ ]	\$ 171/day X 6 days	\$	0	\$	1,026	\$	1,026
David Metraux, Director Web Services	[]	\$ 292/day X 6 days	\$	0	\$	1,752	\$	1,752
Diane Ford, Financial Administrator	[.]	\$ 210/day X 3 days	\$	0	\$	630	_ \$	630
	[]		\$		\$		\$	· .
	[]		\$		\$		\$	
	[]		\$		\$		\$	
		SUBTOTAL	\$	0	\$	7,034	\$	7,034

# 2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

Rate	Salary Base		(a) (b)	(c)
30	% of \$ 7,034	<u> </u>	\$ 2,110	\$ 2,110
	% of \$	\$	\$	\$
	% of \$	\$	\$	\$
	S	UBTOTAL \$ 0	\$ 2,110	\$ 2,110

#### 3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

Name or type of consultant	No. of days on project	Daily rate of compensation	NEH Funds (a)	Cost Sharing (b)	g Total (c)
Design Consultant - Level 1	15	600	\$ 9,000	\$ 0	\$ 9,000
Design Consultant - Level 2	10	1,000	\$ 10,000	\$ 0	\$ 10,000
Archeology Consultant	12.5	400	\$ 5,000	\$ <u>0</u>	\$ 5,000
		· · · · · · · · · · · · · · · · · · ·	\$	\$	\$
		SUBTOTAL	\$ 24,000	\$ <u>0</u>	\$ 24,000

# 4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

# *	Subsistence Costs +	Transportation  Costs =	n (a)	(b)	(c)
[1] [2]	\$ 350.0	\$ 350	\$ 700	<u>  \$ 0                                 </u>	\$ <u>700</u>
[][]	\$	\$	\$	\$	_ \$
[][]	\$	\$	\$	\$	_ \$
[][]	\$	\$	\$	\$	\$
[][]	\$	\$	\$	_ \$	\$
[][]	\$	\$	\$	_ \$	_ \$
Catal twarval d		SUBTOTAL	\$ 700	<u>\$ 0</u>	\$ 700
	[1] [2] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	# * Costs + [1] [2] \$ 350.0 [ ] [ ] \$ [ ] [ ] \$ [ ] [ ] \$	# * Costs + Costs =  [1] [2] \$ 350.0 \$ 350  [] [] \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	# * Costs + Costs = (a) [1] [2] \$ 350.0 \$ 350 \$ 700 [] [] \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	# * Costs + Costs = (a) (b)  [1] [2] \$ 350.0 \$ 350 \$ 700 \$ 0  [ ] [ ] \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$

# 5. Supplies and Materials

Include consumable supplies, materials to be used in the project and items of expendable equipment (i.e., equipment items costing less than \$5,000 and with an estimated useful life of less than a year).

Item	Basis/Method of Cost Computation	(a)	(b)	(c)
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ 0	\$ <u>0</u>	\$ <u>. 0</u>

# 6. Services

Include the cost of duplication and printing, long distance telephone calls, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts, provide an itemization of subcontract costs as an attachment.

Item	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharing (b)	g Total (c)
Elizabeth Chilton, Prof Anthropology	\$370/day X 3 days	\$ 0	\$ 1,110	\$ 1,110
David Pollack, Dir. Kentucky Archeo Survey	\$ 259/day X 3 days	\$ 0	\$ 777	\$ 777
Mara Saule, Dean of Libraries & LS	\$ 400/day X 2 days	\$ 0 .	\$ 800	\$ 800
		\$	\$	\$
		\$	\$·	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ <u>0</u>	\$ 2,687	\$ 2,687

# 7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

[tem	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharing (b)	Total (c)
Long distance phone & teleconf costs	est. 40 calls @ 5.00 each	\$ 200	·\$ 0	\$ 200
color copying	est \$.20/sheet x 500	\$ 100	\$ 0	\$ 100
		\$	\$	\$
		\$	\$	\$
		· \$	\$	\$
		\$	\$	\$
		\$	\$	\$
e. The second second	SUBTOTAL	\$ 300	\$ 0	\$ 300
		· ·		
8. Total Direct Costs (add su	btotals of items 1 to 7)	\$ 25,000	<b>\$</b> 11,831	<b>\$</b> 36,831

# 9. Indirect Costs

CHEC	KTHE		RIATE	BOX BEI				rged to this pro sted. Refer to t		
	Current indirect cost rate(s) has/have been negotiated with federal agency. (Complete items A and B.)									
	Indirect cost proposal has been submitted to a federal agency, but not yet negotiated. (Indicate the name of the agency in Item A and show proposed rate(s) and base(s) and the amount(s) of indirect costs in item B.)									
	Indirect cost proposal will be sent to NEH if application is funded. (Provide in Item B an estimate of the rate that will be used and indicate the base against which it will be charged and the amount of indirect costs.)									
	maxim	um charge	of\$5,000	) per year.	(Under Item	B, enter the	proposed rate	ing items, up i , the base agai ear, whicheve	nst	which
						ponsorship (u costs. (Compl		nization and c	hoo	ses to
Item A	<b>.</b> .	Name of f	ederal ag	gency:		· · · · · · · · · · · · · · · · · · ·				
		Date of ag	reement	:		· ·	· · · · · · · · · · · · · · · · · · ·	·		· ·
Item F	<b>3.</b>	Rate(s)	•	Base(s)			NEH Funds (a)	Cost Sharing (b)	,	Total (c)
							\$	\$	\$	
		<del> </del>			· · · · · · · · · · · · · · · · · · ·	· ·	\$	\$	\$_	·
			_% of	\$	_	<del></del> .	\$	\$	\$_	· · · · · · · · · · · · · · · · · · ·
•				TOTA	L INDIRE	CT COSTS	\$ 0	\$ 0	\$ (	0
		oject Cos Indirect) f		t period.			\$ 25,000	\$ <u>11,831</u>	\$_	36,831

#### **SUMMARY BUDGET**

Transfer from Section A the total costs (column C) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

Budget categories	First year from: september 200 thru: December 200		Third year from: thru:		TOTAL COSTS FOR ENTIRE GRANT PERIOD
1. Salaries and wages	\$_7,034	\$ <u>0</u>	\$ 0	.=	\$ 7,034
2. Fringe benefits	\$ 2,110	\$ 0	\$ <u>0</u>	=	\$ <u>2,110</u>
3. Consultant fees	\$_24,000	\$ <u>0</u>	\$ 0	=	\$ 24,000
4. Travel	\$ 700	\$ <u>0</u>	\$ 0	· =	\$ 700
5. Supplies and materials	\$ <u>0</u>	\$ <u>0</u>	\$ <u>0</u>		\$ 0
6. Services	\$_2,687	\$ <u>0</u>	\$ <u>0</u>	=	\$ <u>2,687</u>
7. Other costs	\$ 300	\$. <u>0</u>	\$ 0	=	\$ <u>300</u>
8 Total direct costs (Items 1-7)	\$ <u>36,831</u>	\$ 0	\$ 0	=	\$ 36,831
9. Indirect costs	\$ <u>0</u>	\$ 0	\$ <u>0</u>	_=	\$ 0
10. Total project costs	\$ 36,831	\$ <u>0</u>	\$ 0		\$ 36,831
(direct and indirect)					

## PROJECT FUNDING FOR ENTIRE GRANT PERIOD

- 1. Indicate the amount of outright and/or federal matching funds that is requested from NEH.
- 2. Indicate the amount of cash contributions that will be made by the applicant and cash and in-kind contributions made by third parties to support project expenses that appear in the budget. Cash gifts that will be raised to release federal matching funds should be included under "Third-party contributions." (Consult the program guidelines for information on cost sharing requirements.) When a project will generate income that will be used during the grant period to support expenses listed in the budget, indicate the amount of income that will be expended on budgeted project activities. Indicate funding received from other federal agencies.
- 3. Total Project Funding should equal Total Project Costs.

1. REQUESTED FROM	NEH	2. COST SHARING	
Outright	\$ 25,000	Applicant's contributions	\$ <u>11,831</u>
Federal Matching	\$	Third-party contributions	\$
	•	Project income	\$
		Other federal agencies	\$
TOTAL NEH FUNDING	\$ 25,000	TOTAL COST SHARING	\$ <u>11,831</u>
3. TOTAL PROJECT FU	\$ 36,831		

# Submission of a Revised Budget

When submitting a revised budget, the Institutional Grant Administrator or Individual Applicant should provide the information requested below. The signature of this person indicates approval of the budget submission and the agreement of the organization/individual to cost share project expenses at the level under "Project Funding."

Name and Title: Diane Ford, Financial Manage	ger	<del></del>		· <del></del>
Telephone: (802) 828-5203	E-mail:	diane.ford@state.vt.us	·	
Signature: Dlane John	· .		Date: 09/02/2008	



#### ACCD PROJECT APPROVAL FORM

<u>1</u> of 3

Department: Housing & Community Affairs

**Division**: Historic Preservation **Making Request**: Giovanna Peebles

Date: April 1, 2008

# Describe briefly the project (if statutory please cite):

DHP is applying to the National Endowment for the Humanities for a \$ 25,000 Digital Start-Up Grant to create a plan (Dave Metraux calls it a "proof of concept") for our "virtual archeology museum." While this grant is a long shot, the project is a priority for the DHP and in Giovanna's 2008 Work Plan. The DHP has proposed a pilot archeology virtual museum for the Quad celebrations and this grant application initiates this project. No match is required. The project basically proposes to take all the amazing archeological information now collected in boxes and in unread reports and put it on the Internet but in a far richer, really interesting way.

Statutory citation: 22 VSA 14 Section 723 (a) (8): DHP shall develop a continuing program of ...archeological research and development to include....interpretation and publication...

# ACCD Staff Involvement and Project Lead:

Lead: Giovanna Peebles

Other ACCD staff (in advisory capacity): Dana Dean, David Metraux, SHPO Jane

Lendway, Steve Coble, and Commissioner Bruce Hyde.

Costs: Approx. \$ 3,100 in staff time

Has your business manager been notified? YES

Sources of Funding: 100 % cash from NEH, ACCD staff time

Space Required: none.

IT Requirements: The project will only create a plan. Once the plan is done, we can

then assess ACCD IT requirements.

Other Requirements: We'll need to write 2 contracts for consultant services. Sustainability: Project will create a plan only – sustainability of the plan to be determined as a next step.

When will it begin? Sept. 2008 End? Sept. 2009 Report Required? All grants have reporting requirements.

What is the Dept./Division/Agency's responsibility? Implement the grant and make sure tasks are completed on schedule and within budget.

Any other Department or Agency involved? In ACCD, IT and Tourism and Marketing.

Other collaborators include UVM, University of Massachusetts, Amherst, the National Park Service, and the State of Kentucky.

How does this serve the Agency Mission? Archeology is an important community asset but most communities are unaware of their amazing archeological heritage. This project will begin to create an opportunity to get archeological information to communities, educators, students, the general public, and to scholars. Increased awareness and appreciation of archeological sites will enormously improve resource protection.

# Can this project serve other Agencies Missions?

Right now we are only creating a plan, but it's our strong belief that a Vermont virtual archeology museum will bring more visitors to Vermont, will enhance educational opportunities at a time when schools have no money for field trips, will provide a whole new dimension to "local history" and will enhance Vermonters' "sense of place." This is the first step of a "legacy project" that will live far beyond any of us once it's up and running some day.

Supervisor's Approval:

Date: March 31, 2008

Commissioner Approval:

Date:

Supervisor's approval must be received for all new initiatives or substantive changes in initiatives that will require more than 8 hours of an Agency staff member's time that is different than customary duties of the position. Additionally, commissioner approval must be received for any new project that will require more than 40 hours of an Agency staff member's time that is different than the customary duties of the position. This would include becoming a member of a new committee, writing and/or executing a grant program or initiating a new project. Involvement in VtShares or writing an EPA grant would be good examples. Once approved, a copy must be sent to the Deputy Secretary and a copy should be on file in the approving authority's files.

# STATE OF VERMONT GRANT ACCEPTANCE FORM

**GRANT SUMMARY:** 

This Grant to the Division for Historic Preservation, archeology

program is to do start up work for an Internet-based Vermont

archeology virtual museum.

TITLE OF GRANT:

Creating a Sense of Place through Archeology

FEDERAL CATALOG No.:

45.169

GRANTOR / DONOR:

National Endowment of the Humanities

1100 Pennsylvania Ave. NW Washington, DC 20506

DATE:

9/10/08

DEPARTMENT:

Housing and Community Affairs

GRANT / DONATION:

This project is aimed at making Vermont archeological

information available to scholars, educators, students, and the

general public in a user friendly way.

AMOUNT / VALUE:

\$25,000.00

POSITIONS REQUESTED:

None

**GRANT PERIOD:** 

9/1/08 to 10/31/09

COMMENTS:

DEPARTMENT OF FINANCE AND MANAGEMENT:

SECRETARY OF ADMINISTRATION SENT TO JOINT FISCAL OFFICE:

(INITIAL) 1 1/32/08 (INITIAL) 1 1/32/08 DATE: 9/29/08

RECEIVED

OCT 08 2008

**JOINT FISCAL OFFICE** 

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE FORM AA-(use additional sheets as needed) (Rev. 9-90) Agency: ACCD Department: Housing & Community Affairs Program: Historic Preservation - Archeology Legal Title of Grant: Creating a Sense of Place Through Archeology Federal Catalog No.: 45.169 6. Grantor and Office Address: National Endowment of the Humanities 1100 Pennsylvania Ave. NW Washington DC 20506 **Grant Period:** From: 09/01/2008 To: 10/31/2009 Purpose of Grant: (attach additional sheets if needed) To support creation of an Internet-based Vermont archeology virtual "museum. Purpose is to get archeological information - now in hard-tofind technical reports and in boxes at the VT Archeology Heritage Center - out to scholars, educators, students, communities, and the general public. Grant will pay to create a "proof of concept" and prototype. Impact on Existing Programs if Grant is not Accepted: State of Vermont agencies, such as VTrans, and other organizations and developers have invested a great deal of money over several decades to investigate archeological sites. The important discoveries we've made will stay unknown in hard-to-find and hard-to-read reports and in collections boxes unless we move forward with this project. This is a DHP priority. 10. Budget Information: (1st State FY) (2nd State FY) (3rd State FY) FY 2009 FY 2010 FY 2011 **EXPENDITURES:** Personal Services \$ 19,000.00 5,000.00 \$ \$ Operating Expenses \$ 1,000.00 \$ \$ Grants \$ **TOTAL** 20,000.00 5.000.00 \$ 0.00 **REVENUES:** State Funds: Cash \$ In-Kind \$ \$ \$ Federal Funds: (Direct Costs) 20,000.00 \$ 5,000.00 \$ (Statewide Indirect) \$ (Department Indirect) \$ \$ Other Funds: (source) Grant \$ **TOTAL** 20,000.00 5,000.00 0.00 Appropriation Nos. **Amounts** 711001040 \$ 25,000.00 \$ -over-

Forn	n AA-1							Page 2
11.	Will grant monies be spent by on X YES  If YES, signature of appointing auth current guidelines on bidding.		NO			ts?		
12a.	Please list any requested Limited	Service p	ositions	5:				
	Titles	<b>₹</b>	<b>*******</b> *****************************		Num	ber of Pos	itions	
,								
	TOTAL	Positions		0				
12b.	Equipment and space for these p  NA Is presently available.  Can be obtained with available	funds.						
13.	Signature of Appointing Authorit	y //	1.	$\mathcal{L}$	2		0/0/	_
	tify that no funds have		1 Wy	DV	1		9/9/0	8
antid Com	n expended or committed in cipation of Joint Fiscal mittee approval of this grant.	(Title)	7	· ·	0N 9/23		NGTON DC	(Date)
14.	Action by Governor:	-4						
1-7.			Juni	FR	1		ah	La
	Approved Rejected	(Signati			7		7/25,	(Date)
15.	Secretary of Administration:							
	Request to JFO		Juna	10 P	Med	ma	9/2	2/08
	Information to JFO	(Signati		<u> </u>	7 (			(Date)
16.	Action by Joint Fiscal Committee	<b>):</b>				(Dates)		· · · · · · · · · · · · · · · · · · ·
	Request to be placed on JFC a Approved (not placed on agend Approved by JFC Rejected by JFC Approved by Legislature		ys					
		(Signati	ıre)					(Date)

٠,

(FAX)202 606 8633

P. 001/001

NEH 1092 (06-2002)

## OFFICIAL NOTICE OF ACTION

## National Endowment for the Humanities

Date Of Action: 09/05/2008 Action Taken: Award Award Recipient State of Vermont Division for Historic Preservation ID Number: HD-50543-08 Institutional Grant Administrator: From: 09/01/2008 10/31/2009 Thru: Award Period: Ms. Diane L. Ford Federal Matching Funds Financial Administrator Award Amounts Vermont Division for Historic Preservation Outright \$ 25,000.00 National Life Bldg, 2nd Floor Montpelier, VT 05620-0201 Amount Offered \$ 0.00 \$ 0.00 Federal Match Other \$ 0.00 Offer Expires \$ 25,000,00 Total Awarded Project Director: Ms. Giovanna Peebles

#### **Project Title:**

Creating A Sense of Place Through Archeology: Moving Archeology From Deep Storage Into the Public Eye Through the Internet

#### Remarks:

All terms and conditions that apply to this award will be considered acceptable unless a written objection is submitted within thirty days of the date of this notice. The first request for payment will indicate the recipient's acceptance of the award.

The administration of this grant and the expenditure of funds are subject to the NEH General Terms and Conditions for Awards to Organizations (March 2005). This document incorporates by reference the Uniform Administrative Requirements for Grants and Contracts to State and Local Governments (45 C.F.R. Part 1174), the audit requirements of OMB Circular A-133, and the cost principles of OMB Circular A-87.

The due dates for the required financial and performance reports for this grant appear on the last attachment to this notice.

Forms and publications referenced in this award package, including the financial and performance reporting forms and instructions, are available from our website. Go to www.neh.gov and click on "Grant Management." Printed copies are available by request from the Office of Grant Management, Room 311, 1100 Pennsylvania Avenue, NW, Washington DC 20506. Please see the enclosed "Online Award Material" sheet for more information.

Payment of this grant will be made on an advance basis. Information on requesting payment will be found in the Financial Reporting Requirements (formerly Enclosure 1).

This award was funded by the NEH program described in CFDA section 45.169

**NEH Office of Grant Management Official** 

for Susan G. Daisey

Director, Office of Grant Management

Award Recipient

#### Peebles, Giovanna

From:

Hall, Michael [MHall@neh.gov] Tuesday, August 05, 2008 2:01 PM

Sent: To:

Peebles, Giovanna

Subject:

NEH Digital Humanities Start-Up Grant, HD-50543

#### Dear Giovanna Peebles:

Congratulations. Your application for a Digital Humanities Start-Up Grant to support "Creating a Sense of Place Through Archaeology" has been successful. You will be awarded \$25,000.

I also wanted to alert you to the date for the project directors meeting, which will be held in Washington, DC, on September 23, 2008.

More official word of the award and all of the necessary documentation will follow from the NEH Office of Grant Management, as well as more details regarding the project directors meeting from the Office of Digital Humanities. Meanwhile, if you have any questions, please be in touch with

Yours truly,

Michael Hall

Michael L. Hall
Division of Education Programs
National Endowment for the Humanities
Washington, DC 20506
<a href="mailto:mhall@neh.gov">mhall@neh.gov</a> - 202/606-8461 (Phone) 202/606-8204 (FAX)



August 15, 2008

Ms. Giovanna Peebles Vermont State Archeologist VT Division for Historic Preservation National Life Building, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

Dear Ms. Peebles:

This letter is in reference to application HD-50543 submitted by the Vermont Division for Historic Preservation for support of the project entitled, "Creating a Sense of Place through Archeology: Moving Archeology from Deep Storage into the Public Eye through the Internet," under your direction.

The Endowment offers to support this project an amount not to exceed \$25,000, subject to the fulfillment of the following conditions:

- 1. It is not acceptable to the Endowment for you to serve as both the project director and the institutional grant administrator. As the proposal indicates that you will direct and participate in all aspects of the project, someone else should serve as the institutional grant administrator. This position should be held by a person who administers awards to your institution to ensure compliance with terms and conditions. Please provide the name and contact information for this official.
- 2. A revised budget that addresses the concerns listed below must be submitted for Endowment approval. Please note that all charges included in the budget must be allowable, allocable to this project, and incurred within the requested grant period.
  - -- Only payroll employees of your institution should be listed in the "Salaries and Wages" category of the NEH budget form. Non-employees should be shown in either the "Services" or "Other Costs" category.
    - -- The names of the consultants should be provided.

The NEH budget form is available on the NEH Web site at <a href="www.neh.gov">www.neh.gov</a>, click on "Grant Management." Any questions about the revised budget should be addressed to Ms. Jerri L. Shepherd in the Office of Grant Management at (202) 606-8604 or jshepherd@neh.gov.

If you wish to accept this offer of support, your response to the above conditions should be submitted to the Endowment as soon as possible, but not later than Friday, September 5, 2008. The material should be addressed to Ms. Jerri L. Shepherd, Office of Grant Management, Room 311, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506. As federal agencies in Washington D.C. continue to experience disruptions in U.S. Mail delivery, we will also accept the material via private delivery services such as FedEx or UPS. Please note that the Endowment's review of the material requested and the preparation of the award documents require approximately four weeks.

You are cautioned that this letter does not constitute an award. Should you have any questions concerning this offer of support, please feel free to contact Ms. Shepherd at (202) 606-8604 (e-mail address: jshepherd@neh.gov).

Sincerely,

Bruce Cole

Bruce Cola

Chairman



State of Vermont
Division for Historic Preservation
National Life Building, Floor 2
Montpelier, VT 05620-1201
www.HistoricVermont.org

[phone] 802-828-3211 [fax] 802-828-3206 Agency of Commerce & Community Development

September 2, 2008

Ms. Jerri L. Shepherd Office of Grant management Room 311 National Endowment for the Humanities 1100 Pennsylvania Ave., NW Washington, DC 20506

RE: NEH Application HD-50543

Dear Ms. Shepherd:

This letter and attached documentation respond to Chairman Bruce Cole's letter of August 12, 2008, and follows-up our email and phone conversations. The VT Division for Historic Preservation is pleased to fulfill the requested conditions as follows:

1. Condition 1. Name and Contact of the Division's institutional grant administrator:

Name: Diane Ford

Title: Financial Administrator

Address:

VT Division for Historic Preservation

National Life, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

**Phone**: (802) 828-5203 **FAX**: (802) 828-3206

Email: diane.ford@state.vt.us

- 2. Condition 2. Revised budget:
  - a. Payroll employees should be listed in "Salaries & Wages category, etc.: The revised budget is attached.
  - b. Names of the consultants:

As explained to you via email, pursuant to the VT Secretary of Administration's Bulletin 3.5, all state agencies are required to bid and then contract for services. Thus, no consultant can be hired until funds are available and this competitive process has been completed. Because of this we cannot provide the name of as-yet-unknown consultants. As per your email, we will identify

the consultants and discuss their qualifications in the final performance report, due after the grant has ended.

As I mentioned to you by phone this morning, two of our Agency staff persons originally written into the grant - - Ms. Dana Dean and Mr. Stephen Coble - are no longer with the Agency as a result of recent agency downsizing. To replace their time and expertise, the Agency's Senior Marketing Specialist (and web expert) Ms. Kate Westbrook is now on-board. A copy of her resume is attached.

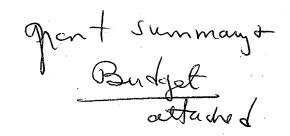
Do not hesitate to call me at (802) 828-3050 or giovanna.peebles@state.vt.us if you have any questions. I look forward to meeting you on September 23.

With best regards,

Giovanna Peebles State Archeologist

VT Division for Historic Preservation

Creating a Sense of Place Page 1 of 9



# Creating a Sense of Place Through Archeology:

Moving Archeology From Deep Storage Into the Public Eye
Through the Internet

# A Digital "Start-Up" Grant Submitted to

The National Endowment for the Humanities

Submitted By:

Giovanna Peebles

VT Division for Historic Preservation

Montpelier, VT

April 2, 2008

## 1.Statement of Significance and Impact.

The Vermont Division for Historic Preservation (VTDHP) seeks a Level I Start-Up Grant to revolutionize current practices of disseminating archeological information to scholars, educators, students, communities, and the general public. It seeks to transform the typical archeological investigation "end products" - - an unpublished technical paper report and one or more boxes full of artifacts and other data - - into an integrated virtual archeology museum. Given the wide and powerful range of currently available technological tools in combination with the Internet, this old way of doing business is just not good enough. This project is the first step towards creating a sustainable Internet-based Vermont archeology virtual museum that we call "A Sense of Place Through Archeology" and will lead the way for other states and organizations to use similar tools and formats. Most of American archeology is funded by federal and state regulatory requirements: each day hundreds of American archeologists, under contract, conduct dozens of archeological studies at various phases of intensity to help government agencies, developers, and other clients comply with a variety of laws. Many important sites are discovered each year. The collected artifacts and other data disappear into collection boxes and the recovered information and interpretations languish in unpublished technical reports. Great stories about our ancient and more recent past are not getting relayed to communities within which the sites are located, to educators, students, and even to other scholars.

While some rare organizations have created terrific Internet sites that display various types of linked archeological information, they are often closed to the public and are not comprehensive. Further, they are unique proprietary applications that are designed for a single site or geographic area. VTDHP is uniquely creating a proof of concept and prototype within which many data sets from scores of archeological sites are linked to timelines and places and can be populated as a standard practice for use by Vermont and other states and organizations. The "Sense of Place" project will create a best practices "template" for using the power of the Internet to exponentially increase access to existing archeological information and will strengthen stewardship of the archeological patrimony in the users' own backyards.

A user can choose a particular time period of interest, or a particular town, to browse the following information for individual sites: images and meanings of artifacts, images of the site and excavations, associated field and laboratory records of investigations, artifact data, GIS maps of the general site area (if not sensitive) or in some cases even exact test units, non-technical summaries about a site's role in understanding history, past cultures, and environments, stories and perspectives about the site and its use from indigenous people and from archeologists and other constituencies, links to PDFs of the technical report(s), links to historic maps and other relevant documents, other information & other links, and opportunities for people to comment and "participate." The grant will fund development of a plan, a proof of concept, and a prototype for a virtual archeology museum that can be used by any interested organization to reveal the information and stories now locked in inaccessible files and storage cabinets.

# NATIONAL ENDOWMENT FOR THE HUMANITIES THREE-YEAR BUDGET FORM

Project Director: Glovan	na Peebles, VT State Archeologist		·
Applicant Organization:	VT Division for Historic Preservation		
Requested Grant Period	From (mo/yr): September 2008	Thru (mo/yr): December 2009	· -
If this is a revised budget,	indicate the NEH application/grant numb	er: HD-50543	

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper. Click HERE to see the detailed instructions.

# SECTIONA-Year #1

Budget detail for the period FROM (mo/yr): September 2008 THRU (mo/yr): December 2009

When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the budget. For projects that will run less than eighteen months, only the last column of the budget should be completed.

# 1. Salaries and Wages

Provide the names and titles of the principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

Name/Title of Position	No	Method of Cost Computation (see sample)	1	NEH Funds (a)	S	Cost Shari (b)	ng	Total (c)
Giovanna Peebles, State Archeologist	[]	\$ 259/day X 14 days	\$	0	\$	3,626	\$	3,626
Kate Westbrook, Sr. Marketing Specialist	[ ]	\$ 171/day X 6 days	\$	.0	\$	1,026	\$	1,026
David Metraux, Director Web Services	[]	\$ 292/day X 6 days	\$	0	\$	1,752	\$	1,752
Diane Ford, Financial Administrator	[.]	\$ 210/day X 3 days	\$	0	\$	630	\$	630
	[]		\$		\$		\$	
	[]		\$	·	\$	· · · · · · · · · · · · · · · · · · ·	\$	
	[]		\$	·	\$		\$	
		SUBTOTAL	\$	0	\$	7,034	\$	7,034

# 2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

Rate		Salary Base		(a)	(b)	(c)
30	% of	\$ 7,034	·	\$ <u>0</u>	<u>\$ 2,110</u>	\$ 2,110
	% of	\$		\$	\$	\$
	% of	\$		\$	\$	\$
	•		SUBTO	TAL \$ <u>0</u>	<u>\$ 2,110</u>	\$ 2,110

## 3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

Name or type of consultant	No. of days on project	Daily rate of compensation	NEH Funds (a)	Cost Sharing (b)	g Total (c)
Design Consultant - Level 1	15	600	\$ 9,000	\$ <u>0</u>	\$ 9,000
Design Consultant - Level 2	10	1,000	\$ 10,000	\$ 0	\$ 10,000
Archeology Consultant	12.5	400	\$ 5,000	\$ <u>0</u>	\$ 5,000
			\$	\$	\$
		SUBTOTAL	\$ 24,000	\$ <u>0</u> · · ·	\$ 24,000

# 4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

From/To	# *	Subsistence Costs +	Transportation Costs =	on (a)	(b)	(c)
Montpelier -Washington DC	[1] [2] \$	350.0	\$ 350	\$ 700	\$ <u>0</u>	\$ <u>700</u>
	[][]\$	š	\$	\$	\$	\$
· · · · · · · · · · · · · · · · · · ·	[][]\$	}	\$	\$	\$	\$
	[][]\$	S	\$	\$	\$	\$
	[.][].\$	}	\$	\$	\$	\$
·	[][]	3	\$	\$	. \$	_ \$
			SUBTOTAL	\$ 700	\$ 0	\$ 700
# - Number of persons * - T	otal travel day	ys				•

# 5. Supplies and Materials

Include consumable supplies, materials to be used in the project and items of expendable equipment (i.e., equipment items costing less than \$5,000 and with an estimated useful life of less than a year).

Item	Basis/Method of Cost Computation	(a)	(b)	(c)
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ 0	\$ 0	\$ 0

# 6. Services

Include the cost of duplication and printing, long distance telephone calls, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts, provide an itemization of subcontract costs as an attachment.

Item	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharing (b)	g Total (c)
Elizabeth Chilton, Prof Anthropology	\$370/day X 3 days	\$ 0	\$ 1,110	\$ 1,110
David Pollack, Dir. Kentucky Archeo Survey	\$ 259/day X 3 days	\$ 0	\$ 777	\$ <u>777</u>
Mara Saule, Dean of Libraries & LS	\$ 400/day X 2 days	\$ 0	\$ 800	\$ 800
		. \$	\$	\$
		\$	\$	\$
· ·		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ <u>0</u>	<u>\$</u> 2,687	\$ 2,687

#### 7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

[tem	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharing (b)	Total (c)
Long distance phone & teleconf costs	est. 40 calls @ 5.00 each	\$ 200		\$ 200
color copying	est \$.20/sheet x 500	\$ 100	\$ 0	\$ 100
	•	\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ 300	\$ 0	\$ 300
		· .*	•	
8. Total Direct Costs (add su	btotals of items 1 to 7)	\$ 25,000	<b>\$</b> 11,831	\$ 36,831

### 9. Indirect Costs

CHEC	dget item applies only to institutional applicants. If indirect cost <b>KTHE APPROPRIATE BOX BELOW</b> and provide the info ions for explanations of these options.		• •	
	Current indirect cost rate(s) has/have been negotiated with fede	ral agency. (Co	omplete items.	A and B.)
	Indirect cost proposal has been submitted to a federal agency, be name of the agency in Item A and show proposed rate(s) and be costs in item B.)			
	Indirect cost proposal will be sent to NEH if application is fund the rate that will be used and indicate the base against which it v indirect costs.)	•		
	Applicant chooses to use a rate not to exceed 10% of direct commaximum charge of \$5,000 per year. (Under Item B, enter the the rate will be charged, and the computation of indirect costs cless.)  For Public Program projects only: Applicant is a sponsorship (a charge an administrative fee of 5% of total direct costs. (Complete the costs.)	proposed rate or \$5,000 per y imbrella) orga	, the base again ear, whichever	nst which r value is
Item A	. Name of federal agency:			
	Date of agreement:		. ,	· · · · · · · · · · · · · · · · · · ·
				· .
Item B		NEH Funds	Cost Sharing	Total
	Rate(s) Base(s)	(a)	(b)	(c)
	% of \$	\$	\$	\$
	% of \$	\$	\$	\$
•	% of \$		\$	\$
	TOTAL INDIRECT COSTS		\$ 0	\$ 0
10. To	otal Project Costs	\$ 25,000	§ 11,831	\$ 36,831
	rect and Indirect) for budget period.	-	Ť	·

### **SUMMARY BUDGET**

Transfer from Section A the total costs (column C) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

Budget categories	First year from: september 200 thru: December 200	•	Third year from: thru:		TOTAL COSTS FOR ENTIRE GRANT PERIOD
1. Salaries and wages	\$ <u>7,034</u>	\$ <u>0                                     </u>	\$ 0	.=	\$ 7,034
2. Fringe benefits	\$_2,110	\$ <u>0</u>	\$ 0	=	\$ 2,110
3. Consultant fees	\$ 24,000	\$ <u>0</u>	\$ 0	=	\$ 24,000
4. Travel	\$_700	\$ <u>0</u>	\$ 0	. = .	\$ 700
5. Supplies and materials	\$ <u>0</u>	\$ <u>0</u>	\$ <u>0</u>	_	\$ 0
6. Services	\$_2,687	\$ <u>0</u>	\$ <u>0</u>	=	\$ 2,687
7. Other costs	\$ 300	\$. <u>0</u>	\$ 0	=	\$ 300
8 Total direct costs (Items 1-7)	\$ <u>36,831</u>	\$ <u>0</u>	\$ 0	=	\$ 36,831
9. Indirect costs	\$ <u>0</u>	\$ 0	\$ <u>0</u>	=	\$ 0
10. Total project costs	\$ 36,831	\$ <u>0</u>	\$ 0	=	\$ 36,831
(direct and indirect)					

### PROJECT FUNDING FOR ENTIRE GRANT PERIOD

- 1. Indicate the amount of outright and/or federal matching funds that is requested from NEH.
- 2. Indicate the amount of cash contributions that will be made by the applicant and cash and in-kind contributions made by third parties to support project expenses that appear in the budget. Cash gifts that will be raised to release federal matching funds should be included under "Third-party contributions." (Consult the program guidelines for information on cost sharing requirements.) When a project will generate income that will be used during the grant period to support expenses listed in the budget, indicate the amount of income that will be expended on budgeted project activities. Indicate funding received from other federal agencies.
- 3. Total Project Funding should equal Total Project Costs.

1. REQUESTED FROM	NEH	2. COST SHARING	
Outright	\$ 25,000	Applicant's contributions	\$ 11,831
Federal Matching	\$	Third-party contributions	\$
		Project income	\$
		Other federal agencies	\$
TOTAL NEH FUNDING	\$ 25,000	TOTAL COST SHARING	\$ <u>11,831</u>
3. TOTAL PROJECT FU	\$ 36,831		

### Submission of a Revised Budget

When submitting a revised budget, the Institutional Grant Administrator or Individual Applicant should provide the information requested below. The signature of this person indicates approval of the budget submission and the agreement of the organization/individual to cost share project expenses at the level under "Project Funding."

Name and Title: Diane Ford, Financial Manage	ger .			
Telephone: (802) 828-5203	E-mail:	diane.ford@state.vt.us	<u>·                                      </u>	
Signature: Dlake John	• •		Date: 09/02/2008	



### ACCD PROJECT APPROVAL FORM

Department: Housing & Community Affairs

**Division**: Historic Preservation **Making Request**: Giovanna Peebles

Date: April 1, 2008

### Describe briefly the project (if statutory please cite):

DHP is applying to the National Endowment for the Humanities for a \$ 25,000 Digital Start-Up Grant to create a plan (Dave Metraux calls it a "proof of concept") for our "virtual archeology museum." While this grant is a long shot, the project is a priority for the DHP and in Giovanna's 2008 Work Plan. The DHP has proposed a pilot archeology virtual museum for the Quad celebrations and this grant application initiates this project. No match is required. The project basically proposes to take all the amazing archeological information now collected in boxes and in unread reports and put it on the Internet but in a far richer, really interesting way.

Statutory citation: 22 VSA 14 Section 723 (a) (8): DHP shall develop a continuing program of ...archeological research and development to include....interpretation and publication...

### ACCD Staff Involvement and Project Lead:

Lead: Giovanna Peebles

Other ACCD staff (in advisory capacity): Dana Dean, David Metraux, SHPO Jane Lendway, Steve Coble, and Commissioner Bruce Hyde.

Costs: Approx. \$ 3,100 in staff time

Has your business manager been notified? YES

Sources of Funding: 100 % cash from NEH, ACCD staff time

Space Required: none.

IT Requirements: The project will only create a plan. Once the plan is done, we can

then assess ACCD IT requirements.

Other Requirements: We'll need to write 2 contracts for consultant services. Sustainability: Project will create a plan only – sustainability of the plan to be determined as a next step.

When will it begin? Sept. 2008 End? Sept. 2009 Report Required? All grants have reporting requirements.

What is the Dept./Division/Agency's responsibility? Implement the grant and make sure tasks are completed on schedule and within budget.

Any other Department or Agency involved? In ACCD, IT and Tourism and Marketing.

Other collaborators include UVM, University of Massachusetts, Amherst, the National Park Service, and the State of Kentucky.

How does this serve the Agency Mission? Archeology is an important community asset but most communities are unaware of their amazing archeological heritage. This project will begin to create an opportunity to get archeological information to communities, educators, students, the general public, and to scholars. Increased awareness and appreciation of archeological sites will enormously improve resource protection.

### Can this project serve other Agencies Missions?

Right now we are only creating a plan, but it's our strong belief that a Vermont virtual archeology museum will bring more visitors to Vermont, will enhance educational opportunities at a time when schools have no money for field trips, will provide a whole new dimension to "local history" and will enhance Vermonters' "sense of place." This is the first step of a "legacy project" that will live far beyond any of us once it's up and running some day.

bu Order

Supervisor's Approval:

\_\_

Date: March 31, 2008

Commissioner Approval:

Date:

Supervisor's approval must be received for all new initiatives or substantive changes in initiatives that will require more than 8 hours of an Agency staff member's time that is different than customary duties of the position. Additionally, commissioner approval must be received for any new project that will require more than 40 hours of an Agency staff member's time that is different than the customary duties of the position. This would include becoming a member of a new committee, writing and/or executing a grant program or initiating a new project. Involvement in VtShares or writing an EPA grant would be good examples. Once approved, a copy must be sent to the Deputy Secretary and a copy should be on file in the approving authority's files.



STATE OF VERMONT JOINT FISCAL OFFICE

### **MEMORANDUM**

To:

Representative Helen Head

From:

Nathan Lavery, Fiscal Analyst

Date:

October 8, 2008

Subject:

JFO #2340 (Vermont Archeological Virtual Museum)

Representatives Michael Obuchowski and Shap Smith asked that I forward to you a copy of the enclosed request and cover memo. They are requesting you provide them with your observations regarding the enclosed item.

cc: Rep. Michael Obuchowski Rep. Shap Smith Stephen Klein PHONE: (802) 828-2295

FAX: (802) 828-2483

## STATE OF VERMONT GRANT ACCEPTANCE FORM

**GRANT SUMMARY:** 

This Grant to the Division for Historic Preservation, archeology

program is to do start up work for an Internet-based Vermont

archeology virtual museum.

TITLE OF GRANT:

Creating a Sense of Place through Archeology

FEDERAL CATALOG No.:

45.169

GRANTOR / DONOR:

National Endowment of the Humanities

1100 Pennsylvania Ave. NW Washington, DC 20506

DATE:

9/10/08

DEPARTMENT:

Housing and Community Affairs

**GRANT / DONATION:** 

This project is aimed at making Vermont archeological

information available to scholars, educators, students, and the

general public in a user friendly way.

AMOUNT / VALUE:

\$25,000.00

POSITIONS REQUESTED:

None

**GRANT PERIOD:** 

9/1/08 to 10/31/09

COMMENTS:

DEPARTMENT OF FINANCE AND MANAGEMENT:

SECRETARY OF ADMINISTRATION SENT TO JOINT FISCAL OFFICE:

(INITIAL) 1 15/08 (INITIAL) 1807 9/22/08 DATE: 9/29/08

RECEIVED

OCT 08 2008

JOINT FISCAL OFFICE

STATE OF VERMONT REQUEST FOR GRANT ACCEPTANCE FORM AA-(use additional sheets as needed) (Rev. 9-90) ACCD Agency: Department: Housing & Community Affairs 3. Program: Historic Preservation - Archeology **Legal Title of Grant:** Creating a Sense of Place Through Archeology 5. Federal Catalog No.: 45.169 6. Grantor and Office Address: National Endowment of the Humanities 1100 Pennsylvania Ave. NW Washington DC 20506 **Grant Period:** From: 09/01/2008 To: 10/31/2009 Purpose of Grant: (attach additional sheets if needed) To support creation of an Internet-based Vermont archeology virtual "museum. Purpose is to get archeological information - now in hard-tofind technical reports and in boxes at the VT Archeology Heritage Center - out to scholars, educators, students, communities, and the general public. Grant will pay to create a "proof of concept" and prototype. Impact on Existing Programs if Grant is not Accepted: State of Vermont agencies, such as VTrans, and other organizations and developers have invested a great deal of money over several decades to investigate archeological sites. The important discoveries we've made will stay unknown in hard-to-find and hard-to-read reports and in collections boxes unless we move forward with this project. This is a DHP priority. 10. Budget Information: (1st State FY) (2nd State FY) (3rd State FY) FY 2009 FY 2010 FY 2011 **EXPENDITURES:** Personal Services \$ 19,000.00 \$ 5,000.00 \$ \$ Operating Expenses 1,000.00 \$ \$ Grants \$ \$ TOTAL 20,000.00 5,000.00 \$ 0.00 **REVENUES:** State Funds: \$ \$ \$ Cash In-Kind \$ \$ \$ Federal Funds: (Direct Costs) \$ 20.000.00 \$ 5.000.00 \$ (Statewide Indirect) \$ \$ \$ \$ (Department Indirect) \$ \$ Other Funds: (source) Grant \$ \$ TOTAL 20,000.00 \$ 5,000.00 \$ 0.00 Appropriation Nos. **Amounts** 711001040 \$ 25,000.00 \$ \$ -over-

NEH 1092 (06-2002)

### OFFICIAL NOTICE OF ACTION

### National Endowment for the Humanities

Date Of Action: 09/05/2008 Action Taken: Award Award Recipient State of Vermont Division for Historic Preservation ID Number: HD-50543-08 Institutional Grant Administrator: From: 09/01/2008 Thru: 10/31/2009 Award Period: Ms. Diane L. Ford Federal Matching Funds Financial Administrator Award Amounts Vermont Division for Historic Preservation \$ 25,000.00 Outright Amount National Life Bldg, 2nd Floor \$ 0.00 Offered Montpelier, VT 05620-0201 \$ 0.00 Federal Match Other \$ 0.00 Offer Expires Total Awarded \$ 25,000.00 Project Director: Ms. Giovanna Peebles

### Project Title:

Creating A Sense of Place Through Archeology: Moving Archeology From Deep Storage Into the Public Eye Through the Internet

#### Remarks:

All terms and conditions that apply to this award will be considered acceptable unless a written objection is submitted within thirty days of the date of this notice. The first request for payment will indicate the recipient's acceptance of the award.

The administration of this grant and the expenditure of funds are subject to the NEH General Terms and Conditions for Awards to Organizations (March 2005). This document incorporates by reference the Uniform Administrative Requirements for Grants and Contracts to State and Local Governments (45 C.F.R. Part 1174), the audit requirements of OMB Circular A-133, and the cost principles of OMB Circular A-87.

The due dates for the required financial and performance reports for this grant appear on the last attachment to this notice.

Forms and publications referenced in this award package, including the financial and performance reporting forms and instructions, are available from our website. Go to www.neh.gov and click on "Grant Management." Printed copies are available by request from the Office of Grant Management, Room 311, 1100 Pennsylvania Avenue, NW, Washington DC 20506. Please see the enclosed "Online Award Material" sheet for more information.

Payment of this grant will be made on an advance basis. Information on requesting payment will be found in the Financial Reporting Requirements (formerly Enclosure 1).

This award was funded by the NEH program described in CFDA section 45.169

**NEH Office of Grant Management Official** 

for Susan G. Daisey

Director, Office of Grant Management

Award Recipient

### Peebles, Giovanna

From:

Hall, Michael [MHall@neh.gov]

Sent:

Tuesday, August 05, 2008 2:01 PM

To:

Peebles, Giovanna

Subject:

NEH Digital Humanities Start-Up Grant, HD-50543

### Dear Giovanna Peebles:

Congratulations. Your application for a Digital Humanities Start-Up Grant to support "Creating a Sense of Place Through Archaeology" has been successful. You will be awarded \$25,000.

I also wanted to alert you to the date for the project directors meeting, which will be held in Washington, DC, on September 23, 2008.

More official word of the award and all of the necessary documentation will follow from the NEH Office of Grant Management, as well as more details regarding the project directors meeting from the Office of Digital Humanities. Meanwhile, if you have any questions, please be in touch with

Yours truly,

Michael Hall

Michael L. Hall
Division of Education Programs
National Endowment for the Humanities
Washington, DC 20506
<a href="mailto:mhall@neh.gov">mhall@neh.gov</a> - 202/606-8461 (Phone) 202/606-8204 (FAX)



August 15, 2008

Ms. Giovanna Peebles Vermont State Archeologist VT Division for Historic Preservation National Life Building, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

Dear Ms. Peebles:

This letter is in reference to application HD-50543 submitted by the Vermont Division for Historic Preservation for support of the project entitled, "Creating a Sense of Place through Archeology: Moving Archeology from Deep Storage into the Public Eye through the Internet," under your direction.

The Endowment offers to support this project an amount not to exceed \$25,000, subject to the fulfillment of the following conditions:

- 1. It is not acceptable to the Endowment for you to serve as both the project director and the institutional grant administrator. As the proposal indicates that you will direct and participate in all aspects of the project, someone else should serve as the institutional grant administrator. This position should be held by a person who administers awards to your institution to ensure compliance with terms and conditions. Please provide the name and contact information for this official.
- 2. A revised budget that addresses the concerns listed below must be submitted for Endowment approval. Please note that all charges included in the budget must be allowable, allocable to this project, and incurred within the requested grant period.
  - -- Only payroll employees of your institution should be listed in the "Salaries and Wages" category of the NEH budget form. Non-employees should be shown in either the "Services" or "Other Costs" category.
    - -- The names of the consultants should be provided.

The NEH budget form is available on the NEH Web site at <a href="www.neh.gov">www.neh.gov</a>, click on "Grant Management." Any questions about the revised budget should be addressed to Ms. Jerri L. Shepherd in the Office of Grant Management at (202) 606-8604 or jshepherd@neh.gov.

If you wish to accept this offer of support, your response to the above conditions should be submitted to the Endowment as soon as possible, but not later than Friday, September 5, 2008. The material should be addressed to Ms. Jerri L. Shepherd, Office of Grant Management, Room 311, National Endowment for the Humanities, 1100 Pennsylvania Avenue, N.W., Washington, D.C. 20506. As federal agencies in Washington D.C. continue to experience disruptions in U.S. Mail delivery, we will also accept the material via private delivery services such as FedEx or UPS. Please note that the Endowment's review of the material requested and the preparation of the award documents require approximately four weeks.

You are cautioned that this letter does not constitute an award. Should you have any questions concerning this offer of support, please feel free to contact Ms. Shepherd at (202) 606-8604 (e-mail address: jshepherd@neh.gov).

Sincerely,

Bruce Cole

Bruce Cola

Chairman



State of Vermont
Division for Historic Preservation
National Life Building, Floor 2
Montpelier, VT 05620-1201
www.HistoricVermont.org

[phone] 802-828-3211 [fax] 802-828-3206 Agency of Commerce & Community Development

September 2, 2008

Ms. Jerri L. Shepherd Office of Grant management Room 311 National Endowment for the Humanities 1100 Pennsylvania Ave., NW Washington, DC 20506

RE: NEH Application HD-50543

Dear Ms. Shepherd:

This letter and attached documentation respond to Chairman Bruce Cole's letter of August 12, 2008, and follows-up our email and phone conversations. The VT Division for Historic Preservation is pleased to fulfill the requested conditions as follows:

1. Condition 1. Name and Contact of the Division's institutional grant administrator:

Name: Diane Ford

Title: Financial Administrator

Address:

VT Division for Historic Preservation

National Life, 2<sup>nd</sup> Floor Montpelier, VT 05620-0201

**Phone**: (802) 828-5203 **FAX**: (802) 828-3206

Email: diane.ford@state.vt.us

- 2. Condition 2. Revised budget:
  - a. Payroll employees should be listed in "Salaries & Wages category, etc.: The revised budget is attached.
  - b. Names of the consultants:

As explained to you via email, pursuant to the VT Secretary of Administration's Bulletin 3.5, all state agencies are required to bid and then contract for services. Thus, no consultant can be hired until funds are available and this competitive process has been completed. Because of this we cannot provide the name of as-yet-unknown consultants. As per your email, we will identify

the consultants and discuss their qualifications in the final performance report, due after the grant has ended.

As I mentioned to you by phone this morning, two of our Agency staff persons originally written into the grant - - Ms. Dana Dean and Mr. Stephen Coble - are no longer with the Agency as a result of recent agency downsizing. To replace their time and expertise, the Agency's Senior Marketing Specialist (and web expert) Ms. Kate Westbrook is now on-board. A copy of her resume is attached.

Do not hesitate to call me at (802) 828-3050 or giovanna.peebles@state.vt.us if you have any questions. I look forward to meeting you on September 23.

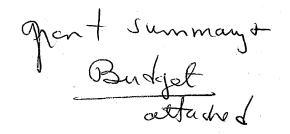
With best regards,

Giovanna Peebles

State Archeologist

VT Division for Historic Preservation

Creating a Sense of Place Page 1 of 9



# Creating a Sense of Place Through Archeology:

Moving Archeology From Deep Storage Into the Public Eye
Through the Internet

# A Digital "Start-Up" Grant Submitted to

The National Endowment for the Humanities

**Submitted By:** 

Giovanna Peebles

VT Division for Historic Preservation

Montpelier, VT

**April 2, 2008** 

### 1. Statement of Significance and Impact.

The Vermont Division for Historic Preservation (VTDHP) seeks a Level I Start-Up Grant to revolutionize current practices of disseminating archeological information to scholars, educators, students, communities, and the general public. It seeks to transform the typical archeological investigation "end products" - - an unpublished technical paper report and one or more boxes full of artifacts and other data - - into an integrated virtual archeology museum. Given the wide and powerful range of currently available technological tools in combination with the Internet, this old way of doing business is just not good enough. This project is the first step towards creating a sustainable Internet-based Vermont archeology virtual museum that we call "A Sense of Place Through Archeology" and will lead the way for other states and organizations to use similar tools and formats. Most of American archeology is funded by federal and state regulatory requirements: each day hundreds of American archeologists, under contract, conduct dozens of archeological studies at various phases of intensity to help government agencies, developers, and other clients comply with a variety of laws. Many important sites are discovered each year. The collected artifacts and other data disappear into collection boxes and the recovered information and interpretations languish in unpublished technical reports. Great stories about our ancient and more recent past are not getting relayed to communities within which the sites are located, to educators, students, and even to other scholars.

While some rare organizations have created terrific Internet sites that display various types of linked archeological information, they are often closed to the public and are not comprehensive. Further, they are unique proprietary applications that are designed for a single site or geographic area. VTDHP is uniquely creating a proof of concept and prototype within which many data sets from scores of archeological sites are linked to timelines and places and can be populated as a standard practice for use by Vermont and other states and organizations. The "Sense of Place" project will create a best practices "template" for using the power of the Internet to exponentially increase access to existing archeological information and will strengthen stewardship of the archeological patrimony in the users' own backyards.

A user can choose a particular time period of interest, or a particular town, to browse the following information for individual sites: images and meanings of artifacts, images of the site and excavations, associated field and laboratory records of investigations, artifact data, GIS maps of the general site area (if not sensitive) or in some cases even exact test units, non-technical summaries about a site's role in understanding history, past cultures, and environments, stories and perspectives about the site and its use from indigenous people and from archeologists and other constituencies, links to PDFs of the technical report(s), links to historic maps and other relevant documents, other information & other links, and opportunities for people to comment and "participate." The grant will fund development of a plan, a proof of concept, and a prototype for a virtual archeology museum that can be used by any interested organization to reveal the information and stories now locked in inaccessible files and storage cabinets.

# NATIONAL ENDOWMENT FOR THE HUMANITIES THREE-YEAR BUDGET FORM

Project Director: Giovanna Peebles, VT State Archeologist	
Applicant Organization: VT Division for Historic Preservation	
Requested Grant Period From (mo/yr): September 2008	Thru (mo/yr): December 2009
If this is a revised budget, indicate the NEH application/grant numb	er: HD-50543

The three-column budget has been developed for the convenience of those applicants who wish to identify the project costs that will be charged to NEH funds and those that will be cost shared. FOR NEH PURPOSES, THE ONLY COLUMN THAT NEEDS TO BE COMPLETED IS COLUMN C. The method of cost computation should clearly indicate how the total charge for each budget item was determined. If more space is needed for any budget category, please follow the budget format on a separate sheet of paper. Click HERE to see the detailed instructions.

### SECTIONA-Year #1

Budget detail for the period FROM (mo/yr): September 2008 THRU (mo/yr): December 2009

When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the budget. For projects that will run less than eighteen months, only the last column of the budget should be completed.

### 1. Salaries and Wages

Provide the names and titles of the principal project personnel. For support staff, include the title of each position and indicate in brackets the number of persons who will be employed in that capacity. For persons employed on an academic year basis, list separately any salary charge for work done outside the academic year.

Name/Title of Position	No.	Method of Cost Computation (see sample)	1	NEH Fund: (a)	S	Cost Shari (b)	ng	Total (c)
Giovanna Peebles, State Archeologist	[]	\$ 259/day X 14 days	\$	0	\$	3,626	\$	3,626
Kate Westbrook, Sr. Marketing Specialist	[ ]	\$ 171/day X 6 days	\$	.0	\$	1,026	\$	1,026
David Metraux, Director Web Services	[]	\$ 292/day X 6 days	\$	0	\$	1,752	\$	1,752
Diane Ford, Financial Administrator	.[.]	\$ 210/day X 3 days	\$	0	\$	630	\$	630
	[]		\$		\$		\$	
	[ ]		\$	·	\$		\$	
	[]		\$		\$		\$	· · · · · · · · · · · · · · · · · · ·
		SUBTOTAL	\$	0	\$	7,034	\$	7,034

### 2. Fringe Benefits

If more than one rate is used, list each rate and salary base.

Rate		Salary Base		(a)	(b)	(c)
30	% of \$	7,034	·	\$ <u>0</u>	\$ 2,110	\$ 2,110
	% of \$	\$		\$	\$	\$
	% of \$	S	· · · · · · · · · · · · · · · · · · ·	\$	\$	_ \$
	•		SUBTOTAL	\$ 0	\$ 2,110	\$ 2,110

### 3. Consultant Fees

Include payments for professional and technical consultants and honoraria.

Name or type of consultant	No. of days on project	Daily rate of compensation	NEH Funds (a)	Cost Sharing (b)	g Total (c)
Design Consultant - Level 1	<u>15</u>	600	\$ 9,000	\$ 0	\$_9,000
Design Consultant - Level 2	10	1,000	\$ 10,000	\$ 0	\$ 10,000
Archeology Consultant	12.5	400	\$ _5,000	\$ 0	\$ _5,000
			\$	\$	\$
		SUBTOTAL	\$ 24,000	\$ 0	\$ 24,000

### 4. Travel

For each trip, indicate the number of persons traveling, the total days they will be in travel status, and the total subsistence and transportation costs for that trip. When a project will involve the travel of a number of people to a conference, institute, etc., these costs may be summarized on one line by indicating the point of origin as "various." All foreign travel must be listed separately.

From/To	# *	Subsistence Costs +	Transportatio Costs =	n (a)	(b)	(c)
Montpelier -Washington DC	[1] [2]	\$ 350.0	\$ 350	\$ 700	\$ <u>0</u>	\$ <u>700</u>
	[][]:	\$	\$	\$	\$	\$
	[][]:	\$	\$	\$	_ \$	_ \$
	[][];	\$	\$	\$	\$	\$
	[][]	\$	\$	\$	_ \$	_ \$
	[][];	\$	\$	\$	_ \$	_ \$
# - Number of persons * - T	otal travial da	****	SUBTOTAL	\$ 700	\$ <u>0</u>	\$ 700

### 5. Supplies and Materials

Include consumable supplies, materials to be used in the project and items of expendable equipment (i.e., equipment items costing less than \$5,000 and with an estimated useful life of less than a year).

Item	Basis/Method of Cost Computation	(a)	(b)	(c)
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
·		\$	\$	\$
		\$	\$	_ \$
	SUBTOTAL	\$ 0	\$ 0	\$ <u>0</u>

### 6. Services

Include the cost of duplication and printing, long distance telephone calls, equipment rental, postage, and other services related to project objectives that are not included under other budget categories or in the indirect cost pool. For subcontracts, provide an itemization of subcontract costs as an attachment.

Item	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharin (b)	g Total (c)
Elizabeth Chilton, Prof Anthropology	\$370/day X 3 days	\$ 0	\$ 1,110	\$ 1,110
David Pollack, Dir. Kentucky Archeo Survey	\$ 259/day X 3 days	\$ 0 .	\$ 777	\$ <u>777</u>
Mara Saule, Dean of Libraries & LS	\$ 400/day X 2 days	\$ 0	\$ 800	\$ 800
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ O	\$ 2,687	\$ 2,687

### 7. Other Costs

Include participant stipends and room and board, equipment purchases, and other items not previously listed. Please note that "miscellaneous" and "contingency" are not acceptable budget categories. Refer to the budget instructions for the restriction on the purchase of permanent equipment.

Item	Basis/Method of Cost Computation	NEH Funds (a)	Cost Sharing (b)	Total (c)
Long distance phone & teleconf costs	est. 40 calls @ 5.00 each	\$ 200	\$ 0	\$ 200
color copying	est \$.20/sheet x 500	\$ 100	\$ 0	\$ 100
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
	SUBTOTAL	\$ 300	\$ 0	\$ 300
8. Total Direct Costs (add su	btotals of items 1 to 7)	\$ 25,000	<b>\$</b> 11,831	<b>\$</b> 36,831

### 9. Indirect Costs

CHECI	dget item applies only to institutional applicants. If indirect cos KTHE APPROPRIATE BOX BELOW and provide the info ons for explanations of these options.			•					
	Current indirect cost rate(s) has/have been negotiated with federal agency. (Complete items A and B.)								
	Indirect cost proposal has been submitted to a federal agency, but not yet negotiated. (Indicate the name of the agency in Item A and show proposed rate(s) and base(s) and the amount(s) of indirect costs in item B.)								
-	Indirect cost proposal will be sent to NEH if application is fund the rate that will be used and indicate the base against which it v indirect costs.)								
· :	Applicant chooses to use a rate not to exceed 10% of direct comaximum charge of \$5,000 per year. (Under Item B, enter the the rate will be charged, and the computation of indirect costs (less.)	proposed rate	, the base agai	nst which					
	For Public Program projects only: Applicant is a sponsorship (charge an administrative fee of 5% of total direct costs. (Comp	lete Item B.)	nization and c	hooses to					
Item A.	Name of federal agency:  Date of agreement:		<u> </u>	· · · · · · · · · · · · · · · · · · ·					
Item B.	Rate(s) Base(s)	NEH Funds (a)	Cost Sharing (b)	Total (c)					
÷	% of \$ % of \$		\$ \$	\$					
	% of \$	\$	\$	φ					
	TOTAL INDIRECT COSTS		\$ 0	\$ 0					
		<del></del>	-	• , ————					
10. To	otal Project Costs	\$ 25,000	\$ 11,831	\$ 36.831					
	ect and Indirect) for budget period.	-							

### **SUMMARY BUDGET**

Transfer from Section A the total costs (column C) for each category of project expense. When the proposed grant period is eighteen months or longer, project expenses for each twelve-month period are to be listed separately and totaled in the last column of the summary budget. For projects that will run less than eighteen months, only the last column of the summary budget should be completed.

Budget categories	First year from: september 2000 thru: December 200		Third year from: thru:		TOTAL COSTS FOR ENTIRE GRANT PERIOD
1. Salaries and wages	\$ 7,034	\$ <u>0</u>	\$ 0	.=	\$ 7,034
2. Fringe benefits	\$ 2,110	\$ 0	\$ 0	=	\$ 2,110
3. Consultant fees	\$ 24,000	\$ <u>0</u>	\$ 0	=	\$ 24,000
4. Travel	\$ 700	\$ 0	\$ 0		\$ 700
5. Supplies and materials	\$ <u>0</u>	\$ <u>0</u>	\$ 0	=	\$ <u>0</u>
6. Services	\$ 2,687	\$ <u>0</u>	\$ 0	=	\$ 2,687
7. Other costs	\$_300	\$ <u>0</u>	\$ 0	=	\$ 300
8 Total direct costs (Items 1-7)	\$ 36,831	\$ 0	\$ 0	=	\$ 36,831
9. Indirect costs	\$ <u>0</u>	\$ 0	\$ 0	_=	\$ 0
10. Total project costs	\$ 36,831	\$ <u>0</u>	\$ 0	=	\$ 36,831
(direct and indirect)		<i>:</i>			•

### PROJECT FUNDING FOR ENTIRE GRANT PERIOD

- 1. Indicate the amount of outright and/or federal matching funds that is requested from NEH.
- 2. Indicate the amount of cash contributions that will be made by the applicant and cash and in-kind contributions made by third parties to support project expenses that appear in the budget. Cash gifts that will be raised to release federal matching funds should be included under "Third-party contributions." (Consult the program guidelines for information on cost sharing requirements.) When a project will generate income that will be used during the grant period to support expenses listed in the budget, indicate the amount of income that will be expended on budgeted project activities. Indicate funding received from other federal agencies.
- 3. Total Project Funding should equal Total Project Costs.

1. REQUESTED FROM	NEH	2. COST SHARING	
Outright	\$ 25,000	Applicant's contributions	\$ <u>11,831</u>
Federal Matching	\$	Third-party contributions	\$
		Project income	\$
		Other federal agencies	\$
TOTAL NEH FUNDING	\$ 25,000	TOTAL COST SHARING	\$ <u>11,831</u>
3. TOTAL PROJECT FU	\$ 36.831		

### Submission of a Revised Budget

When submitting a revised budget, the Institutional Grant Administrator or Individual Applicant should provide the information requested below. The signature of this person indicates approval of the budget submission and the agreement of the organization/individual to cost share project expenses at the level under "Project Funding."

Name and Title: Diane Ford, Financial Manager					
Telephone: (802) 828-5203	E-mail:	diane.ford@state.vt.us			
Signature: Dlake Fold			Date: 09/02/2008		



### ACCD PROJECT APPROVAL FORM

\_**1**\_ of \_3\_

Department: Housing & Community Affairs

**Division**: Historic Preservation **Making Request**: Giovanna Peebles

Date: April 1, 2008

### Describe briefly the project (if statutory please cite):

DHP is applying to the National Endowment for the Humanities for a \$ 25,000 Digital Start-Up Grant to create a plan (Dave Metraux calls it a "proof of concept") for our "virtual archeology museum." While this grant is a long shot, the project is a priority for the DHP and in Giovanna's 2008 Work Plan. The DHP has proposed a pilot archeology virtual museum for the Quad celebrations and this grant application initiates this project. No match is required. The project basically proposes to take all the amazing archeological information now collected in boxes and in unread reports and put it on the Internet but in a far richer, really interesting way.

Statutory citation: 22 VSA 14 Section 723 (a) (8): DHP shall develop a continuing program of ...archeological research and development to include....interpretation and publication...

### ACCD Staff Involvement and Project Lead:

Lead: Giovanna Peebles

Other ACCD staff (in advisory capacity): Dana Dean, David Metraux, SHPO Jane Lendway, Steve Coble, and Commissioner Bruce Hyde.

Costs: Approx. \$ 3,100 in staff time

Has your business manager been notified? YES

Sources of Funding: 100 % cash from NEH, ACCD staff time

Space Required: none

IT Requirements: The project will only create a plan. Once the plan is done, we can

then assess ACCD IT requirements.

Other Requirements: We'll need to write 2 contracts for consultant services. Sustainability: Project will create a plan only – sustainability of the plan to be determined as a next step.

When will it begin? Sept. 2008 End? Sept. 2009 Report Required? All grants have reporting requirements.

What is the Dept./Division/Agency's responsibility? Implement the grant and make sure tasks are completed on schedule and within budget.

Any other Department or Agency involved?

In ACCD, IT and Tourism and Marketing.

Other collaborators include UVM, University of Massachusetts, Amherst, the National Park Service, and the State of Kentucky.

How does this serve the Agency Mission? Archeology is an important community asset but most communities are unaware of their amazing archeological heritage. This project will begin to create an opportunity to get archeological information to communities, educators, students, the general public, and to scholars. Increased awareness and appreciation of archeological sites will enormously improve resource protection.

### Can this project serve other Agencies Missions?

Right now we are only creating a plan, but it's our strong belief that a Vermont virtual archeology museum will bring more visitors to Vermont, will enhance educational opportunities at a time when schools have no money for field trips, will provide a whole new dimension to "local history" and will enhance Vermonters' "sense of place." This is the first step of a "legacy project" that will live far beyond any of us once it's up and running some day.

Supervisor's Approval: \_

Date: March 31, 200

Commissioner Approval:

Date:

Supervisor's approval must be received for all new initiatives or substantive changes in initiatives that will require more than 8 hours of an Agency staff member's time that is different than customary duties of the position. Additionally, commissioner approval must be received for any new project that will require more than 40 hours of an Agency staff member's time that is different than the customary duties of the position. This would include becoming a member of a new committee, writing and/or executing a grant program or initiating a new project. Involvement in VtShares or writing an EPA grant would be good examples. Once approved, a copy must be sent to the Deputy Secretary and a copy should be on file in the approving authority's files.