MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: March 31, 2016
Subject: Grant Request #2812, #2813

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration.

**JFO #2812** – $10,000 grant from the National Alcohol Beverage Control Association to the Department of Liquor Control. The funds will be used by the Department to hold four refusal skills trainings around the State in order to help liquor licensees provide more responsible beverage service to the public. The Department will complete a bid process in order to contract with a refusal skills expert to hold the training.

[JFO received 3/28/16]

**JFO #2813** – $509,805 grant from the Federal Emergency Management Agency (FEMA) to the VT Department of Public Safety. The funds will be used to complete hazard mitigation projects to mitigate future problems identified following a storm in December 2014. The communities that would receive funds from this grant are Athens, Northfield, Barre City and Rutland City. Because FEMA has not yet issued a final lock-in letter to the Department, the total amount of grant funding could change from the amount given above.

[JFO received 3/28/16]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by April 14, 2016 we will assume that you agree to consider as final the Governor’s acceptance of these requests.
STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary: Pass-thru financial assistance from the Federal Emergency Management Agency (FEMA) for hazard mitigation projects to mitigate future problems identified during December 2014 storm. Towns covered are listed on the attached transmittal letter.

Date: 3/18/2016

Department: Department of Public Safety

Legal Title of Grant: Hazard Mitigation Grant Program, FEMA-4207-DR-VT

Federal Catalog #: 97.039


Grant Period: From: 2/3/2015 To: 2/2/2019

Grant/Donation $509,805

<table>
<thead>
<tr>
<th>SFY 1</th>
<th>SFY 2</th>
<th>SFY 3</th>
<th>Total</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>$127,451</td>
<td>$254,903</td>
<td>$127,451</td>
<td>$509,805</td>
<td></td>
</tr>
</tbody>
</table>

Grant Amount: $127,451

Position Information:

<table>
<thead>
<tr>
<th># Positions</th>
<th>Explanation/Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

Additional Comments:

Has Vantage budget detail been reviewed and reconciled? □ Yes □ No □△ □ (Analyst Initial)

Department of Finance & Management (Initial)
Secretary of Administration (Initial)

Sent To Joint Fiscal Office Date 3/24/14
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

1. Agency: 
2. Department: Public Safety
3. Program: Division of Emergency Management & Homeland Security
4. Legal Title of Grant: Hazard Mitigation Grant Program
5. Federal Catalog #: 97.039
6. Grant/Donor Name and Address:
   U.S. Dept. of Homeland Security/FEMA Region I
   99 High St, Sixth Floor
   Boston, MA 02110-2132
7. Grant Period: From: 2/3/2015 To: 2/2/2019
8. Purpose of Grant:
The Hazard Mitigation Grant Program provides State and local governments financial assistance to implement measures that will permanently reduce or eliminate future damages and losses from natural hazards through safer building practices and improving existing structures and supporting infrastructure.
9. Impact on existing program if grant is not Accepted:
The storm that occurred December 2014 resulted in Vermont cities and towns suffering power outage and debris removal. The President declared this a federal disaster (#4207) and made federal aid available to mitigate future reoccurring mitigation problems. The State of VT has applied for $509,805 for home mitigation projects.

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>SFY 1</th>
<th>SFY 2</th>
<th>SFY 3</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Services</td>
<td>FY 2016(25%)</td>
<td>$5,196</td>
<td>$10,391</td>
<td>$5,196</td>
</tr>
<tr>
<td>Operating Expenses</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Grants</td>
<td>$152,819</td>
<td>$305,640</td>
<td>$152,819</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>$158,015</td>
<td>$316,031</td>
<td>$158,015</td>
<td></td>
</tr>
</tbody>
</table>

| Revenues: | |
| State Funds: | $ |
| Cash | $ |
| In-Kind | $ |
| Federal Funds: | $127,451 | $254,903 | $127,451 |
| (Direct Costs) | $ |
| (Statewide Indirect) | $ |
| (Departmental Indirect) | $ |
| Other Funds: | $ |
| Grant (source Local Match) | $30,564 | $61,128 | $30,564 |
| Total | $158,015 | $316,031 | $158,015 |

| Appropriation No: | 2140030000 |
| Amount: | $509,805 |

MAR 8 - 2010
### STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

<table>
<thead>
<tr>
<th>$</th>
<th>$</th>
<th>$</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total</strong></td>
<td><strong>$509,805</strong></td>
<td></td>
</tr>
</tbody>
</table>

**Has current fiscal year budget detail been entered into Vantage?**  
- [ ] Yes  
- [x] No

11. **Will monies from this grant be used to fund one or more Personal Service Contracts?**  
- [ ] Yes  
- [x] No  

If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

- **Appointing Authority Name:**  
- **Agreed by:**  
- **(initial)**

### 12. Limited Service Position Information:

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total Positions**

- **12a. Equipment and space for these positions:**  
  - [ ] Is presently available.  
  - [ ] Can be obtained with available funds.

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### I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

- **Signature:**  
- **Date:** 02/08/2016

**Title:** Commissioner, Deputy Commissioner

- **Signature:**  
- **Date:**

**Title:**

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### FUNDING AUTHORIZATION

**Approved:**  
(Secretary or designee signature)  
**Date:** 03/19/16

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**Check One Box:**

- [ ] Accepted  
- [ ] Rejected

(Governor's signature)  
**Date:** 03/24/16

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### Required GRANT Documentation

- [ ] Request Memo  
- [ ] Dept. project approval (if applicable)  
- [ ] Notice of Award  
- [ ] Grant Agreement  
- [ ] Grant Budget  
- [ ] Notice of Donation (if any)  
- [ ] Grant (Project) Timeline (if applicable)  
- [ ] Request for Extension (if applicable)  
- [ ] Form AA-1PN attached (if applicable)

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).
June 9, 2015

Joe Flynn, Director
Vermont Division of Emergency Management and Homeland Security
103 South Main Street
Waterbury, VT 05671

Re: Period of Performance Establishment Amended
DR-4207-VT
CFDA 97.039 Hazard Mitigation Grant Program

Dear Director Flynn:

As you are aware, the U.S. Department of Homeland Security's Federal Emergency Management Agency (FEMA), Region I, approved your application for federal assistance for the above referenced disaster declaration. In accordance with 2 CFR §200.210, this letter serves as notification of the period of performance established for completion of the approved projects for the Hazard Mitigation Grant Program (HMGP) under this disaster declaration.

- Period of Performance for Approved Projects:
  - Start Date: 02/03/2015
  - End Date: 02/02/2019

The Period of Performance (POP) is the time during which the non-Federal entity may incur new obligations to carry out the work authorized under the Federal award. Only allowable costs incurred during the POP may be charged to the Federal award, unless pre-award costs were authorized by the Federal awarding agency. The POP does not include the ninety (90) day reporting period, and costs incurred during that period are not chargeable to the FEMA award. The POP for HMGP begins with the opening of the application period and ends no later than thirty (36) months from the close of the application period.

- Application Period:
  - Start Date: 02/03/2015
  - End Date: 02/02/2016

The application period for HMGP begins with the declaration date and ends no later than twelve (12) months from the declaration date.

With your acceptance of the award, you assume certain administrative and financial responsibilities including the timely submission of all financial and programmatic reports, resolution of all interim findings, and compliance with cash management requirements. Should you not adhere to these responsibilities, you will be in violation of the terms of your agreement.
The Program Officer for the above mentioned grant can assist you with any programmatic questions. For administrative questions, please email R1-DisasterGrants@fema.dhs.gov and the grants management staff will assist you.

Sincerely,

[Signature]

James N. Russo
Federal Coordinating Officer
Disaster Recovery Manager

cc: Rob Schell, SCO
Ray Doherty, State Hazard Mitigation Officer
Ben Rose, Recovery and Mitigation Section Chief, DEMHS
Marie Hayward, Administrative Services Division, DEMHS
R1 Recovery Division
Official Grant File
Hi David,

The amount in the letter is a preliminary estimate and will continue to change until we receive a final lock in letter for this disaster. Here are the DPS estimates below based on what we currently see in the FEMA system “EMMIE”.

<table>
<thead>
<tr>
<th>Percentages for Award</th>
<th>Category</th>
<th>Dollar Amount</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>75%</td>
<td>Federal</td>
<td>$489,022</td>
<td>Estimated</td>
</tr>
<tr>
<td>25%</td>
<td>Local Share ($489,022 X 25%)</td>
<td>$122,256</td>
<td>Estimated</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$611,278</td>
<td>Estimated Total Project Grant Cost</td>
</tr>
<tr>
<td></td>
<td>Management Costs</td>
<td>$20,783</td>
<td>Estimated Total of Personal Services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$489,022+$20,783=$509,805</td>
<td>Estimated Total Federal Portion of the Award</td>
</tr>
</tbody>
</table>

Neither the amount in the letter or the AA1 is certain as they are both estimates. If you would like us to match the amounts in the letter we can do that. Just let me know.

Thanks,
Rick