MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: February 19, 2019
Subject: Position and Grant Requests – JFO #2951 - 2954

Enclosed please find four (4) items, including three (3) limited-service positions, which the Joint Fiscal Office has received from the Administration.

**JFO #2951** – One (1) limited-service position within the VT Agency of Agriculture, Food and Markets. The position would be titled Agricultural Water Quality Specialist II and would provide additional capacity for the Agency to perform its commitments to the U.S. Environmental Protection Agency (EPA) under the Lake Champlain Total Maximum Daily Load (TMDL). Specifically, this position would support the Conservation Reserve Enhancement Program, which is a program that compensates agricultural landowners for taking land out of production for a period of time and also provides cost-share for the establishment of vegetative buffers between agricultural land and waterways. The position would be funded from two sources: 1) a sub-grant from the Agency of Natural Resources that will leverage 2) grant funding from the U.S. Dept. of Agriculture.

*JFO received 2/15/19*

**JFO #2952** – One (1) limited-service position within the VT Agency of Agriculture, Food and Markets. The position would be titled Agricultural Engineer I and would provide additional capacity for the Agency to perform its commitments to the U.S. Environmental Protection Agency (EPA) under the Lake Champlain Total Maximum Daily Load (TMDL). Specifically, this position would support the agricultural best management practices (BMP) program and the environmental quality incentives program. The position would provide engineering and hydrogeology assistance with agricultural waste management systems, environmental monitoring and other projects aimed at reducing environmental contamination from agricultural operations. The position would be funded by a sub-grant of federal funds from the Agency of Natural Resources.

*JFO received 2/15/19*

**JFO #2953** – $199,160 from the U.S. Dept. of Justice to the VT Dept. of Corrections. The funds would be used to develop a strategic plan for a system-wide approach to enhance employment outcomes of offenders who are re-entering the workforce. The effort would be focused on student assessments and increasing capacity within the culinary program in the corrections kitchen. Funds would be distributed between two personal service contracts, a workforce skills certification system, a prostart culinary trainer certification, and other supplies/packages. The planning effort would be completed through the remainder of State FY2019 and part of FY2020.

*JFO received 2/16/19*
JFO #2954 - $2,295,876 from the U.S. Dept. of Labor to the VT Dept. of Labor (Department). The funding is being provided through Phase I of the Retaining Employment and Talent After Injury/Illness Network (RETAIN) demonstration project. The overall project would be focused on developing early intervention strategies to improve stay-at-work/return-to-work (SAW/RTW) outcomes for individuals who experience a work disability while employed. One (1) limited-service position, titled Grant Manager, is associated with this request. Phase I, which is estimated to last for 18 months, would be focused on project development, while phase II would focus on broader implementation and funding for phase II would be awarded based on the outcomes of phase I. The Department intends for the project to lead to the following outcomes: 1) a program that benefits injured workers, 2) reduced costs for worker’s compensation claims, and 3) encourage suitable employment instead of reliance on programs like social security disability. The project would be 100% federally funded.

[JFO received 2/16/19]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by March 15, 2019 we will assume that you agree to consider as final the Governor’s acceptance of these requests.
**Grant Summary:**
The Second Chance Act Adult Reentry and Employment Strategic Planning Program grant from USDOJ will be used to develop a strategic plan for a system-wide approach to enhance employment outcomes of offenders reentering the community.

**Date:**
2/5/2019

**Department:**
Department of Corrections

**Legal Title of Grant:**
Second Chance Act Reentry Initiative

**Federal Catalog #:**
16.812

**Grant/Donor Name and Address:**
U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance / Washington DC, 20531

**Grant Period:**
From: 10/1/2018 To: 9/30/2019

<table>
<thead>
<tr>
<th>SFY 1</th>
<th>SFY 2</th>
<th>SFY 3</th>
<th>Total</th>
<th>Comments</th>
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<tbody>
<tr>
<td>$199,160</td>
<td>$0</td>
<td>$0</td>
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**Grant/Donation**
$199,160

**Position Information:**

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<tr>
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<th>Explanation/Comments</th>
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**Additional Comments:**
This grant will be used to fund two personal service contracts/subawards. This grant award was received by DOC on 9/28/2018. Due to internal miscommunication at DOC, the DOC business office did not receive the materials to be submitted for review until January 2019.
### BASIC GRANT INFORMATION

1. **Agency:** Human Services  
2. **Department:** Corrections  
3. **Program:** FY18 Second Chance Act Adult Reentry and Employment Strategic Planning Program  
4. **Legal Title of Grant:** FY18 Second Chance Act Adult Reentry and Employment Strategic Planning Program  
5. **Federal Catalog #:** 16.812 (Grant Award # 2018-RQ-BX-0006)  
6. **Grant/Donor Name and Address:**  
   U.S Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, Washington DC, 20531  
7. **Grant Period:**  
   **From:** 10/1/2018  
   **To:** 9/30/2019  

### Purpose of Grant:

The grant recipient will use the funds to develop a strategic plan for a system-wide approach to enhance employment outcomes of offenders reentering the community. The objectives of the work plan are to: (a) establish and engage a cross-disciplinary, executive-level steering committee; (b) coordinate a statewide working group; (c) assess data sets and determine how to best measure outcomes for offenders released to the community; (d) develop a strategic plan for the Vermont Correctional Industries (VCI); (e) create a plan to train hard and soft skills for workforce readiness; and, (f) to improve the use of partnerships to support offenders transitioning into jobs upon release to the community.

### Impact on existing program if grant is not Accepted:

None.

### 10. BUDGET INFORMATION

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<td>In-Kind</td>
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<td>(Statewide Indirect)</td>
<td>(Departmental Indirect)</td>
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<tr>
<td>Total</td>
<td>$199,160</td>
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**PERSONAL SERVICE INFORMATION**

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes [ ]  No [x]

If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Michael Touchette

Agreed by: [initial]

12. Limited Service Position Information:

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
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Total Positions

12a. Equipment and space for these positions:

- [ ] Is presently available.
- [x] Can be obtained with available funds.

**13. AUTHORIZATION AGENCY/DEPARTMENT**

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

- Signature: [Signature]
  - Title: Commissioner, Department of Corrections
  - Date: 1/7/19

- Signature: [Signature]
  - Title: Secretary, Agency of Human Services
  - Date: 1/30/19

**14. SECRETARY OF ADMINISTRATION**

- [ ] Approved: [signature]
  - Date: 2/15/19

**15. ACTION BY GOVERNOR**

- [ ] Check One Box: Accepted
  - [ ] Rejected
  - [ ] [Gov't's signature]
  - Date: 2/13/19

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Department of Finance & Management
Version 1.8_6/2016
16. DOCUMENTATION REQUIRED

<table>
<thead>
<tr>
<th>Required GRANT Documentation</th>
<th>(*) The term “grant” refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).</th>
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<tr>
<td>✔ Request Memo</td>
<td>□ Notice of Donation (if any)</td>
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<td>□ Dept. project approval (if applicable)</td>
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<td>✔ Notice of Award</td>
<td>□ Request for Extension (if applicable)</td>
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<td>✔ Grant Agreement</td>
<td>□ Form AA-1PN attached (if applicable)</td>
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<td>✔ Grant Budget</td>
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</table>

End Form AA-1
September 28, 2018

Commissioner Lisa Menard
Vermont Department of Corrections
103 South Main Street
Waterbury, VT 05671-2000

Dear Commissioner Menard:

On behalf of Attorney General Jefferson Sessions III, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 18 Adult Reentry and Employment Strategic Planning Program in the amount of $199,160 for Vermont Department of Corrections.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Zafra Stork, Program Manager at (202) 598-1483; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.oefo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

Matt Dummermuth
Principal Deputy Assistant Attorney General

Enclosures
OCR Letter to All Recipients
September 28, 2018

Commissioner Lisa Menard
Vermont Department of Corrections
103 South Main Street
Waterbury, VT 05671-2000

Dear Commissioner Menard:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of federal funding to compliance with federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) is responsible for ensuring that recipients of financial assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) comply with the applicable federal civil rights laws. We at the OCR are available to help you and your organization meet the civil rights requirements that come with DOJ funding.

Ensuring Access to Federally Assisted Programs

Federal laws that apply to recipients of financial assistance from the DOJ prohibit discrimination on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in employment but also in the delivery of services or benefits. A federal law also prohibits recipients from discriminating on the basis of age in the delivery of services or benefits.

In March of 2013, President Obama signed the Violence Against Women Reauthorization Act of 2013. The statute amends the Violence Against Women Act of 1994 (VAWA) by including a nondiscrimination grant condition that prohibits discrimination based on actual or perceived race, color, national origin, religion, sex, disability, sexual orientation, or gender identity. The new nondiscrimination grant condition applies to certain programs funded after October 1, 2013. The OCR and the OVW have developed answers to some frequently asked questions about this provision to assist recipients of VAWA funds to understand their obligations. The Frequently Asked Questions are available at https://ojp.gov/about/ocr/vawa/faqs.htm.

Enforcing Civil Rights Laws

All recipients of federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to prohibitions against unlawful discrimination. Accordingly, the OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, the OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal opportunity standards.
Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with DOJ guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). See U.S. Department of Justice, Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, 67 Fed. Reg. 41,455 (2002). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website https://www.lep.gov.

Ensuring Equal Treatment of Faith-Based Organizations and Safeguarding Constitutional Protections Related to Religion

The DOJ regulation, Partnerships with Faith-Based and Other Neighborhood Organizations, 28 C.F.R. pt. 38, updated in April 2016, prohibits all recipient organizations, whether they are law enforcement agencies, governmental agencies, educational institutions, houses of worship, or faith-based organizations, from using financial assistance from the DOJ to fund explicitly religious activities. Explicitly religious activities include worship, religious instruction, or proselytization. While funded organizations may engage in non-funded explicitly religious activities (e.g., prayer), they must hold them separately from the activities funded by the DOJ, and recipients cannot compel beneficiaries to participate in them. The regulation also makes clear that organizations participating in programs funded by the DOJ are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion, religious belief, a refusal to hold a religious belief, or a refusal to attend or participate in a religious practice. Funded faith-based organizations must also provide written notice to beneficiaries, advising them that if they should object to the religious character of the funded faith-based organization, the funded faith-based organization will take reasonable steps to refer the beneficiary to an alternative service provider. For more information on the regulation, please see the OCR's website at https://ojp.gov/about/ocr/partnerships.htm.

SAAs and faith-based organizations should also note that the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, as amended, 34 U.S.C. § 10228(c); the Victims of Crime Act of 1984, as amended, 34 U.S.C. § 20110(e); the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, 34 U.S.C. § 11182(b); and VAWA, as amended, 34 U.S.C. § 12291(b)(13), contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the DOJ has concluded that it may construe the Religious Freedom Restoration Act (RFRA) on a case-by-case basis to permit some faith-based organizations to receive DOJ funds while taking into account religion when hiring staff, even if the statute that authorizes the funding program generally forbids recipients from considering religion in employment decisions. Please consult with the OCR if you have any questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment.

Using Arrest and Conviction Records in Making Employment Decisions

The OCR issued an advisory document for recipients on the proper use of arrest and conviction records in making hiring decisions. See Advisory for Recipients of Financial Assistance from the U.S. Department of Justice on the U.S. Equal Employment Opportunity Commission's Enforcement Guidance: Consideration of Arrest and Conviction Records in Employment Decisions Under Title VII of the Civil Rights Act of 1964 (June 2013), available at https://ojp.gov/about/ocr/pdfs/UseofConviction_Advisory.pdf. Recipients should be mindful that the misuse of arrest or conviction records to screen either applicants for employment or employees for retention or promotion may have a disparate impact based on race or national origin, resulting in unlawful employment discrimination. In light of the Advisory, recipients should consult local counsel in reviewing their employment practices. If warranted, recipients should also incorporate an analysis of the use of arrest and conviction records in their Equal Employment Opportunity Plans (EEOPs) (see below).

Complying with the Safe Streets Act

An organization that is a recipient of financial assistance subject to the nondiscrimination provisions of the Safe Streets Act, must meet two obligations: (1) complying with the federal regulation pertaining to the development of an EEOP (see 28 C.F.R. pt. 42, subpt. E) and (2) submitting to the OCR findings of discrimination (see 28 C.F.R. §§ 42.204(c), 205(c)(3)).
Meeting the EEOP Requirement

An EEOP is a comprehensive document that analyzes a recipient's relevant labor market data, as well as the recipient's employment practices, to identify possible barriers to the participation of women and minorities in all levels of a recipient's workforce. As a recipient of DOJ funding, you may be required to submit an EEOP Certification Report or an EEOP Utilization Report to the OCR. For more information on whether your organization is subject to the EEOP requirements, see https://ojp.gov/about/ocrieeop.htm. Additionally, you may request technical assistance from an EEOP specialist at the OCR by telephone at (202) 616-1771 or by e-mail at EEOPforms@usdoj.gov.

Meeting the Requirement to Submit Findings of Discrimination

If in the three years prior to the date of the grant award, your organization has received an adverse finding of discrimination based on race, color, national origin, religion, or sex, after a due-process hearing, from a state or federal court or from a state or federal administrative agency, your organization must send a copy of the finding to the OCR.

Ensuring the Compliance of Subrecipients

SAAs must have standard assurances to notify subrecipients of their civil rights obligations, written procedures to address discrimination complaints filed against subrecipients, methods to monitor subrecipients' compliance with civil rights requirements, and a program to train subrecipients on applicable civil rights laws. In addition, SAAs must submit to the OCR every three years written Methods of Administration (MOA) that summarize the policies and procedures that they have implemented to ensure the civil rights compliance of subrecipients. For more information on the MOA requirement, see https://ojp.gov/funding/Explore/StateMethodsAdmin-FY2017update.htm.

If the OCR can assist you in any way in fulfilling your organization's civil rights responsibilities as a recipient of federal financial assistance, please contact us.

Sincerely,

Michael L. Alston
Director

cc: Grant Manager
Financial Analyst
U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

1. RECIPIENT NAME AND ADDRESS (Including Zip Code)
   Vermont Department of Corrections
   163 South Main Street
   Waterbury, VT 05671-2000

2a. GRANTEE IRS/VENDOR NO.
       036000276

2b. GRANTEE DUNS NO.
       809376155

3. PROJECT TITLE
   An Integrated Approach to Employing Reentering Offenders in Vermont

4. AWARD NUMBER:
   2018-RQ-8X-0006

5. PROJECT PERIOD:
   FROM 10/01/2018 TO 09/30/2019

6. AWARD DATE
   09/28/2018

7. AGENCY USE ONLY
   PAGE 1 OF 11

8. SUPPLEMENT NUMBER
   00

9. PREVIOUS AWARD AMOUNT
   $0

10. AMOUNT OF THIS AWARD
    $199,160

11. TOTAL AWARD
    $199,160

12. SPECIAL CONDITIONS
    THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).

13. STATUTORY AUTHORITY FOR GRANT
    This project is supported under FY18 (BJA – SCA Reentry and Employment Planning) 34 USC 10681; Pub. L. No. 115-141, 132 Stat 348, 421

14. CATALOG OF DOMESTIC FEDERAL ASSISTANCE (CFDA Number)
    16.812 - Second Chance Act Reentry Initiative

15. METHOD OF PAYMENT
    GPRS

16. TYPED NAME AND TITLE OF APPROVING OFFICIAL
    Matt Dummemoth
    Principal Deputy Assistant Attorney General

17. SIGNATURE OF APPROVING OFFICIAL
    [Signature]

18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL
    Lisa Word
    Commissioner

19. SIGNATURE OF AUTHORIZED GRANTEE OFFICIAL
    [Signature]

19A. DATE
    11/13/18

20. ACCOUNTING CLASSIFICATION CODES
    | FISCAL YEAR | FUND CODE | BUD ACT | OPC | DIV | REQ | SUB | POMS | AMOUNT |
    |-------------|-----------|---------|-----|-----|-----|-----|------|--------|
    | X           | B         | RQ      | 80  | 00  | 00  |     |      | 199160 |

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.
SPECIAL CONDITIONS

1. Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any certifications or assurances submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Failure to comply with any one or more of these award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or a certification or assurance related to conduct during the award period -- may result in the Office of Justice Programs ("OJP") taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. The Department of Justice ("DOJ"), including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or unenforceable, such provision shall be deemed severable from this award.

2. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2018 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2018 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2018 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at https://ojp.gov/funding/Part200UniformRequirements.htm.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.
SPECIAL CONDITIONS

3. Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at https://ojp.gov/financialguide/DOJ/index.htm), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

4. Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

5. Required training for Point of Contact and all Financial Points of Contact

Both the Point of Contact (POC) and all Financial Points of Contact (FPOCs) for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after January 1, 2016, will satisfy this condition.

In the event that either the POC or an FPOC for this award changes during the period of performance, the new POC or FPOC must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after—(1) the date of OJP's approval of the "Change Grantee Contact" GAN (in the case of a new POC), or (2) the date the POC enters information on the new FPOC in GMS (in the case of a new FPOC). Successful completion of such a training on or after January 1, 2016, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at https://www.ojp.gov/training/fmtrain.htm. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

6. Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(e), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.
SPECIAL CONDITIONS

7. Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.

8. Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at https://www.sam.gov. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP website at https://ojp.gov/funding/Explore/SAM.htm (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

9. Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- 1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or 2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

10. All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP website at https://ojp.gov/funding/Explore/SubawardAuthorization.htm (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.
SPECIAL CONDITIONS

1. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed $150,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, $150,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed $150,000)), and are incorporated by reference here.

2. Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

13. Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

14. Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

15. OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at https://ojp.gov/funding/implement/TrainingPrinciplesForGrantees-Subgrantees.htm.
SPECIAL CONDITIONS

16. Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

17. Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

18. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

19. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

20. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38, specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38 also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of the regulation, now entitled "Partnerships with Faith-Based and Other Neighborhood Organizations," is available via the Electronic Code of Federal Regulations (currently accessible at https://www.ecfr.gov/cgi-bin/EFR?Page=browse), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.
SPECIAL CONDITIONS

21. Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

22. Compliance with general appropriations-law restrictions on the use of federal funds (FY 2018)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statues. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2018, are set out at https://ojp.gov/funding/Explore/FY18AppropriationsRestrictions.htm, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

23. Reporting Potential Fraud, Waste, and Abuse, and Similar Misconduct

The recipient and any subrecipients ("subgrantees") must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award -- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by -- (1) mail directed to: Office of the Inspector General, U.S. Department of Justice, Investigations Division, 1425 New York Avenue, N.W. Suite 7100, Washington, DC 20530; and/or (2) the DOJ OIG hotline: (contact information in English and Spanish) at (800) 869-4499 (phone) or (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at https://oig.justice.gov/hotline.
24. Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient—

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both—

a. it represents that—

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.
25. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOS awarding agency (OJP or OVW, as appropriate) for guidance.

26. Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOS encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

27. Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at OJP.ComplianceReporting@ojp.usdoj.gov. For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

28. The award recipient agrees to participate in a data collection process measuring program outputs and outcomes. The data elements for this process will be outlined by the Office of Justice Programs.

29. Protection of human research subjects

The recipient (and any subrecipient at any tier) must comply with the requirements of 28 C.F.R. Part 46 and all OJP policies and procedures regarding the protection of human research subjects, including obtaining of Institutional Review Board approval, if appropriate, and subject informed consent.

30. Confidentiality of data

The recipient (and any subrecipient at any tier) must comply with all confidentiality requirements of 42 U.S.C. 3789g and 28 C.F.R. Part 22 that are applicable to collection, use, and revelation of data or information. The recipient further agrees, as a condition of award approval, to submit a Privacy Certificate that is in accord with requirements of 28 C.F.R. Part 22 and, in particular, 28 C.F.R. 22.23.
SPECIAL CONDITIONS

31. Grantee agrees that assistance funds awarded under this grant will not be used to support any inherently religious activities, such as worship, religious instruction, or proselytization. If the grantee refers participants to, or provides, a non-Federally funded program or service that incorporates such religious activities, (1) any such activities must be voluntary for program participants, and (2) program participants may not be excluded from participation in a program or otherwise penalized or disadvantaged for any failure to accept a referral or services. If participation in a non-Federally funded program or service that incorporates inherently religious activities is deemed a critical treatment or support service for program participants, the grantee agrees to identify and refer participants who object to the inherently religious activities of such program or service to, or provide, a comparable secular alternative program or service.

32. The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.

33. Justification of consultant rate

Approval of this award does not indicate approval of any consultant rate in excess of $650 per day. A detailed justification must be submitted to and approved by the OJP program office prior to obligation or expenditure of such funds.

34. FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of $25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at https://ojp.gov/funding/Explore/FFATA.htm (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to—(1) an award of less than $25,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

35. Verification and updating of recipient contact information

The recipient must verify its Point of Contact (POC), Financial Point of Contact (FPOC), and Authorized Representative contact information in GMS, including telephone number and e-mail address. If any information is incorrect or has changed, a Grant Adjustment Notice (GAN) must be submitted via the Grants Management System (GMS) to document changes.

36. The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of all award(s).
**SPECIAL CONDITIONS**

37. The recipient agrees to submit to BJA for review and approval any curricula, training materials, proposed publications, reports, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee’s or government’s expense, shall contain the following statements: “This project was supported by Grant No. 2018-RQ-BX-0006 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice’s Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice.” The current edition of the DOJ Grants Financial Guide provides guidance on allowable printing and publication activities.

38. The recipient may not expend or drawdown funds until BJA has received sufficient documentation (such as a detailed, executed contract) of an agreement the local research partner, on-site project coordinator, and partner agencies that clearly outlines their respective roles and responsibilities, and has issued a Grant Adjustment Notice (GAN) releasing this special condition.

39. The recipient agrees to notify BJA of any change in the status or duties of the collaborating agency partners or key individuals involved in implementing the activities under this award.

40. The recipient may not obligate, expend or drawdown funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.

41. Recipient may not obligate, expend, or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has reviewed and approved the Budget Narrative portion of the application and has issued a Grant Adjustment Notice (GAN) informing the recipient of the approval.

42. Withholding of funds: Disclosure of pending applications

The recipient may not obligate, expend, or drawdown any award funds until: (1) it has provided to the grant manager for this OJP award either an “applicant disclosure of pending applications” for federal funding or a specific affirmative statement that no such pending applications (whether direct or indirect) exist, in accordance with the detailed instructions in the program solicitation, (2) OJP has completed its review of the information provided and of any supplemental information it may request, (3) the recipient has made any adjustments to the award that OJP may require to prevent or eliminate any inappropriate duplication of funding (e.g., budget modification, project scope adjustment), (4) if appropriate adjustments to a discretionary award cannot be made, the recipient has agreed in writing to any necessary reduction of the award amount in any amount sufficient to prevent duplication (as determined by OJP), and (5) a Grant Adjustment Notice has been issued to remove this condition.
Memorandum To: Official Grant File

From: Orbin Terry, NEPA Coordinator

Subject: Categorical Exclusion for Vermont Department of Corrections

Awards under this program will be used to develop more effective and evidence-based reentry programs. None of the following activities will be conducted whether under the Office of Justice Programs' federal action or a related third party action:

(1) New construction.

(2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species.

(3) A renovation which will change the basic prior use of a facility or significantly change its size.

(4) Research and technology whose anticipated and future application could be expected to have an effect on the environment.

(5) Implementation of a program involving the use of chemicals.

Additionally, the proposed action is neither a phase nor a segment of a project which when reviewed in its entirety would not meet the criteria for a categorical exclusion. Consequently, the subject federal action meets the Office of Justice Programs' criteria for a categorical exclusion as contained in paragraph 4(b) of Appendix D to Part 61 of the Code of Federal Regulations.
This project is supported under FY18(BJA - SCA Reentry and Employment Planning) 34 USC 10681; Pub. L. No. 115-141, 132 Stat 348, 421

### 1. STAFF CONTACT (Name & telephone number)
Zafra Stork
(202) 598-1483

### 2. PROJECT DIRECTOR (Name, address & telephone number)
Kim Bushy
Program Services Director
280 State Street
NOR 2 South
Waterbury, VT 05671
(802) 241-0009

### 3a. TITLE OF THE PROGRAM
BJA FY 18 Adult Reentry and Employment Strategic Planning Program

### 3b. POMS CODE (SEE INSTRUCTIONS ON REVERSE)

### 4. TITLE OF PROJECT
An Integrated Approach to Employing Reentering Offenders in Vermont

### 5. NAME & ADDRESS OF GRANTEE
Vermont Department of Corrections
103 South Main Street
Waterbury, VT 05671-2000

### 6. NAME & ADDRESS OF SUBGRANTEE

### 7. PROGRAM PERIOD
FROM: 10/01/2018 TO: 09/30/2019

### 8. BUDGET PERIOD
FROM: 10/01/2018 TO: 09/30/2019

### 9. AMOUNT OF AWARD
$ 199,160

### 10. DATE OF AWARD
09/28/2018

### 11. SECOND YEAR’S BUDGET PERIOD

### 12. SECOND YEAR’S BUDGET AMOUNT

### 13. THIRD YEAR’S BUDGET PERIOD

### 14. THIRD YEAR’S BUDGET AMOUNT

### 15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)
The Second Chance Act (Public Law 110-199) seeks a comprehensive response for incarcerated adults who are released from prison or jail, and returning to their communities. Over 2.1 million individuals are incarcerated in federal and state prisons, and millions of people cycle through local jails every year. Ninety-five percent of all offenders incarcerated in state prisons will eventually be released.

Securing meaningful employment can facilitate successful reentry for offenders leaving correctional facilities. However, there are many barriers that offenders with criminal records encounter as they attempt to re-enter both the community and the workforce. Improving employment outcomes for this population may contribute to midlevel reduction and increased public safety. However, some communities simply do not have enough resources for corrections, reentry, and workforce development to provide every adult offender leaving incarceration with services that may assist them in reentering the community.
The purpose of the Adult Reentry and Employment Strategic Planning Program is to fund the development of strategic plans that are comprehensive, collaborative, and multisystemic in their approach to increase public safety by reducing recidivism and improving employability in offenders returning to communities from incarceration. These plans should include an assessment of the current system and propose solutions to make assessment-driven referrals to ensure successful transition from correctional facilities to the community.

The recipient will use award funds to plan an integrated approach to employing reentering offenders in Vermont. The project will align the workforce stakeholder across sectors to understand the barriers, challenges, and opportunities for employing people reentering communities after incarceration. At the conclusion of the grant, Vermont will have baseline data that will be used to inform future programming needs, a statewide system designed to address the specific needs of the incarcerated population, and expanded capacity to train inmates in correctional facilities.

CA/NCF
### Budget Detail - Year 1

Does this budget contain conference costs which is defined broadly to include meetings, retreats, seminars, symposia, and training activities? - Y/N

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Computation</th>
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</thead>
<tbody>
<tr>
<td>List each name, if known.</td>
<td>List each position, if known.</td>
<td>Show annual salary rate &amp; amount of time devoted to the project for each name/position.</td>
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<th>Salary Rate</th>
<th>Time Worked</th>
<th>Percentage of Time</th>
<th>Total Cost</th>
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<th>Federal Request</th>
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**Total(s)**: $0 $0 $0

**Narrative**
### B. Fringe Benefits

**Name**

List each grant-supported position receiving fringe benefits.

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<tr>
<th>Base</th>
<th>Rate</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
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**Total(s)**

$0 $0 $0

**Narrative**


### C. Travel

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<th>Location</th>
<th>Type of Expense</th>
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<th>Computation</th>
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<td>Instate lunch reimbursement</td>
<td>Locations across Vermont</td>
<td>Meals</td>
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<tr>
<td>Industry Advisory Board Travel</td>
<td>Locations across Vermont</td>
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<td>Mile</td>
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<tr>
<td>Instate travel to meetings</td>
<td>Locations across Vermont</td>
<td>Mileage</td>
<td>Mile</td>
<td>$0.55</td>
</tr>
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</table>

**Total(s)** $4,955 $0 $4,955

**Narrative**

VDOC will participate in the Statewide Work Force Investment Board Meetings, cross disciplinary teams, and advisory groups. When available, staff can use State of Vermont Fleet Vehicles. If a fleet vehicle is not available, staff will use their own transportation to attend these meetings. It is expected that staff will travel to 2-3 meetings a month. As part of the planning process, VDOC will reinstate its Industry Advisory Board. This Board is statutorily created in Title 28 Section 761. The board can be reimbursed for travel expenses to meetings or other duties (such as visiting a correctional facility). Mileage reimbursement at the state rate is included for this purpose. Additionally, as some board activity could be over lunch, an item for lunch at the state rate is included and would be subject to state guidelines regarding reimbursable items.
## D. Equipment

<table>
<thead>
<tr>
<th>Item</th>
<th>Computation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unit and describe each item of equipment that will be purchased</td>
<td>Compute the cost (e.g., the number of each item to be purchased ( \times ) the cost per item)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th># of Items</th>
<th>Unit Cost</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td><strong>Total(s)</strong></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

**Narrative**
### E. Supplies

#### Supply Items

Provide a list of the types of items to be purchased with grant funds.

<table>
<thead>
<tr>
<th>Item Description</th>
<th># of Items</th>
<th>Unit Cost</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office Supplies</td>
<td>6</td>
<td>$200.00</td>
<td>$1,200</td>
<td>$1,200</td>
<td></td>
</tr>
<tr>
<td>Comprehensive Student Assessment (CASAS) Workforce Skills Certification System</td>
<td>1900</td>
<td>$35.00</td>
<td>$66,500</td>
<td>$66,500</td>
<td></td>
</tr>
<tr>
<td>ProStart Teacher Packages</td>
<td>3</td>
<td>$80.00</td>
<td>$240</td>
<td>$240</td>
<td></td>
</tr>
<tr>
<td>ProStart Student Package</td>
<td>5</td>
<td>$80.00</td>
<td>$400</td>
<td>$400</td>
<td></td>
</tr>
<tr>
<td>NCCER OSHA General Industry Card</td>
<td>125</td>
<td>$8.00</td>
<td>$1,000</td>
<td>$1,000</td>
<td></td>
</tr>
<tr>
<td>ServSafe Food Handler Book</td>
<td>125</td>
<td>$6.50</td>
<td>$813</td>
<td>$813</td>
<td></td>
</tr>
<tr>
<td>ServSafe Food Manager exam answer sheet</td>
<td>125</td>
<td>$41.20</td>
<td>$5,150</td>
<td>$5,150</td>
<td></td>
</tr>
<tr>
<td>NCCER Core Curriculum Trainee Guide</td>
<td>125</td>
<td>$71.00</td>
<td>$9,000</td>
<td>$9,000</td>
<td></td>
</tr>
<tr>
<td>ProStart Culinary Trainer Certification</td>
<td>6</td>
<td>$5,000.00</td>
<td>$30,000</td>
<td>$30,000</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>6</strong></td>
<td><strong>$114,205</strong></td>
<td><strong>$114,205</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
</tr>
</tbody>
</table>

#### Computation

Describe the item and compute the costs. Computation: The number of each item to be purchased × the cost per item.

#### Narrative

The core activities of the planning grant will focus on student assessments and building capacity for the culinary program in the corrections kitchen. First, to fully understand the educational needs of inmates, CHEVT will expand administration of the CASAS Workforce Skills Assessment. This assessment WSCS allows us to document and develop employer-validated academic and soft skills for youth and adults entering the workforce, transitioning to work, incumbent workers, and dislocated workers and facilitate transition to the workforce. Expanded use of this assessment will be supported with grant funds in order to establish a baseline understanding of the students coming through the school each year. The grant will support 1,900 new assessments. Each assessment costs $35. Results from the assessments will inform future program development. Second, the funds will be used to expand the number of kitchen workers who can achieve the ServSafe Handler Certification. The funds will be used to support up to 125 kitchen workers across all 6 Vermont correctional facilities. Each worker requires a card, book, exam, and guide book. The cost of these items per worker is $120.70. Third, VDC will pilot the ProStart Culinary Program in 3 facilities (Northern State Correctional Facility, Northwest State Correctional Facility, and Chittenden Regional Correctional Facility (women only)). Grant funds will be used to train 6 staff (2 at each facility) as trainer/teachers. These staff will support 5 kitchen workers to go through the program. Grant funds will pay for the student and teacher packages to implement ProStart. VDC will monitor the process and learn about the challenges and barriers to implementing ProStart on a larger scale. Grant funds will also be used to purchase basic office supplies to support the programs. Office supplies will be purchased as needed.
### Purpose Area #4

**F. Construction**

<table>
<thead>
<tr>
<th>Purpose</th>
<th>Description of Work</th>
<th>Computation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide the purpose of the construction</td>
<td>Describe the construction project(s)</td>
<td>Compute the costs (e.g., the number of each item to be purchased x the cost per item)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th># of Items</th>
<th>Cost</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td><strong>Total(s)</strong></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

**Narrative**
### G. Subawards (Subgrants)

<table>
<thead>
<tr>
<th>Description</th>
<th>Purpose</th>
<th>Consultant?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide a description of the activities to be carried out by subrecipients.</td>
<td>Describe the purpose of the subaward (subgrant)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Systems and Data Mapping Consultation</th>
<th>VDOC will contract with a consultant to assist in data mapping, integration, and analysis.</th>
<th>$50,000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Industrial Kitchen Consultation</td>
<td>VDOC will contract with an industrial kitchen consultant to review the current kitchen facilities as they relate to training in commercial kitchen settings.</td>
<td>$30,000</td>
</tr>
</tbody>
</table>

**Total(s)**: $80,000

<table>
<thead>
<tr>
<th>Consultant Travel (if necessary)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Purpose of Travel</strong></td>
<td><strong>Location</strong></td>
</tr>
<tr>
<td>Indicate the purpose of each trip or type of trip (training, advisory group meeting)</td>
<td>Indicate the travel destination.</td>
</tr>
<tr>
<td><strong>Type of Expense</strong></td>
<td><strong>Computation</strong></td>
</tr>
<tr>
<td>Hotel, airfare, per diem</td>
<td>Compute the cost of each type of expense x the number of people traveling.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cost</th>
<th>Duration or Distance</th>
<th># of Staff</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0</td>
<td></td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
</tbody>
</table>

**Total**: $0

**Narrative**

1. VDOC will contract with a consultant with expertise in data and systems mapping, results based accountability, and qualitative analysis to support the planning process. The consultant will assist in exploring the data needs and developing an evaluation plan. 2. VDOC will contract with an industrial kitchen consultant to review the current kitchen facilities as they relate to training in commercial kitchen settings. The consultant will provide detailed feedback and recommendations to make corrections kitchens a space to learn and develop skills. The pilot program in culinary arts requires the kitchens to adhere to commercial standards as best as can be accommodated. VDOC will adhere to the State of Vermont Bulletin 3.5 Procurement and Contracting Procedures to enter into these agreements, which include processes such as Request for Information; Simplified Bid request and/or Request for Proposals. At this time, neither consultant have been selected nor awarded.

### H. Procurement Contracts

<table>
<thead>
<tr>
<th>Description</th>
<th>Purpose</th>
<th>Consultant?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide a description of the products or services to be procured by contract and an estimate of the costs. Applicants are encouraged to promote fair and open competition in awarding contracts. A separate justification must be provided for sole source procurements in excess of the Simplified Acquisition Threshold (currently $150,000).</td>
<td>Describe the purpose of the contract</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Purpose of Travel</th>
<th>Location</th>
<th>Type of Expense</th>
<th>Computation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicate the purpose of each trip or type of trip (training, advisory group meeting)</td>
<td>Indicate the travel destination.</td>
<td>Hotel, airfare, per diem</td>
<td>Compute the cost of each type of expense x the number of people traveling.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cost</th>
<th>Duration or Distance</th>
<th># of Staff</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0</td>
<td></td>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
</tr>
</tbody>
</table>

**Total**: $0

**Narrative**

1. VDOC will contract with a consultant with expertise in data and systems mapping, results based accountability, and qualitative analysis to support the planning process. The consultant will assist in exploring the data needs and developing an evaluation plan. 2. VDOC will contract with an industrial kitchen consultant to review the current kitchen facilities as they relate to training in commercial kitchen settings. The consultant will provide detailed feedback and recommendations to make corrections kitchens a space to learn and develop skills. The pilot program in culinary arts requires the kitchens to adhere to commercial standards as best as can be accommodated. VDOC will adhere to the State of Vermont Bulletin 3.5 Procurement and Contracting Procedures to enter into these agreements, which include processes such as Request for Information; Simplified Bid request and/or Request for Proposals. At this time, neither consultant have been selected nor awarded.
<table>
<thead>
<tr>
<th>Description</th>
<th>Computation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**I. Other Costs**

List and describe items that will be paid with grant funds (e.g., rent, reproduction, telephone, janitorial, or security services, and investigative or confidential funds).

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Basis</th>
<th>Cost</th>
<th>Length of Time</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$0</td>
<td></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

**Total(s)**

$0  $0  $0
<table>
<thead>
<tr>
<th>Description</th>
<th>Computation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Compute the indirect costs for those portions of the program which allow such costs.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Base</th>
<th>Indirect Cost Rate</th>
<th>Total Cost</th>
<th>Non-Federal Contribution</th>
<th>Federal Request</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Total(s) $0 $0 $0

Narrative
### Budget Summary

Note: Any errors detected on this page should be fixed on the corresponding Budget Detail tab.

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Year 1 (Federal Request)</th>
<th>Year 2 (Non-Federal Request)</th>
<th>Year 3 (Federal Request)</th>
<th>Year 4 (Non-Federal Request)</th>
<th>Year 5 (Federal Request)</th>
<th>Year 5 (Non-Federal Request)</th>
<th>Total(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Personnel</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>B. Fringe Benefits</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>C. Travel</td>
<td>$4,955</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$4,955</td>
</tr>
<tr>
<td>D. Equipment</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>E. Supplies</td>
<td>$114,205</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$114,205</td>
</tr>
<tr>
<td>F. Construction</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>G. Subawards (Subgrants)</td>
<td>$80,000</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$80,000</td>
</tr>
<tr>
<td>H. Procurement Contracts</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>I. Other</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td><strong>Total Direct Costs</strong></td>
<td><strong>$199,160</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$199,160</strong></td>
</tr>
<tr>
<td>J. Indirect Costs</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td><strong>Total Project Costs</strong></td>
<td><strong>$199,160</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$199,160</strong></td>
</tr>
</tbody>
</table>

Does this budget contain conference costs which is defined broadly to include meetings, retreats, seminars, symposia, and training activities? Y/N

No