

### **MEMORANDUM**

To: Joint Fiscal Committee members

From: Sorsha Anderson, Senior Staff Associate

Date: March 12, 2024

Subject: Grant/LSP Request – JFO #3190

Enclosed please find one (1) item, which the Joint Fiscal Office has received from the Administration.

**JFO** #3190: \$900,000.00 to the Agency of Human Services, Department of Corrections from the U.S. Department of Justice. Funds will enhance the reentry vocational case management of incarcerated individuals who are assessed for moderate and above risk of reoffending. The funds include one (1) limited-service position, Vocational Outreach Project Manager, fully funded through 9/30/2026. [Received March 1, 2024]

Please review the enclosed materials and notify the Joint Fiscal Office (Sorsha Anderson: sanderson@leg.state.vt.us) if you have questions or would like this item held for legislative review. Unless we hear from you to the contrary by **March 27, 2024**, we will assume that you agree to consider as final the Governor's acceptance of this request.

PHONE: (802) 828-2295

FAX: (802) 828-2483



### State of Vermont

Department of Finance & Management 109 State Street, Pavilion Building Montpelier, VT 05620-0401  $Agency\ of\ Administration$ 

[phone] 802-828-2376 [fax] 802-828-2428

|  | FIN    | ANCE    |  | SATE O                        |          |           | T<br>REVIEW F              | ORM      |                             |
|--|--------|---------|--|-------------------------------|----------|-----------|----------------------------|----------|-----------------------------|
| Grant Summary:   |        |         |  | grant of \$90<br>ated, vocati |          |           | o enhance re-              | entry by | y providing                 |
| Date:  |        |         | 1/25/2   | 2024                          |          |           |                            |          |                             |
| Department:  |        |         | Correc   | ctions                        |          |           |                            |          |                             |
| Legal Title of Gra   | nt:    |         |  | ving Statev<br>ont's Depar    |          |           |                            | d Conn   | ections within the State of |
| Federal Catalog #  | :      |         |  | Y23 Secon                     |          |           | mproving Re-               | entry ar | nd                          |
| Grant/Donor Name and Address:                                |        |         | US Dept. of Justice, Office of Justice Programs, Bureau of Justice Assistance, Washington, DC, 20531 |                               |          |           |                            |          |                             |
| Grant Period:  | From:  |         | 10/1/2023 <b>To:</b> 9/30/2026   |                               |          |           |                            |          |                             |
| Grant/Donation   |        |         | \$900,0  | 000                           |          |           |                            |          |                             |
|  | SFY    | 1       | S  | FY 2                          | S        | FY 3      | Total                      |          | Comments                    |
| Grant Amount:  | \$286, | 649     | \$30   | 03,914                        | \$30     | 9,437     | \$900,000                  |          |                             |
|  |        | # Posit | ions   | Explanat                      | ion/Cor  | nmonts    |                            |          |                             |
| <b>Position Informat</b>                                     | ion•   | # F USI | 10118  |                               |          |           | ect Manager                |          |                             |
| Additional Comm  |        |         | L  | Vocation                      | ar Outre | ich i roj | cet Manager                |          |                             |
| Additional Comm  | CHts.  |         |  |                               |          |           |                            |          |                             |
| Department of Finance & Manageme Secretary of Administration |        |         | nt   |                               |          |           | Greshinocustigi<br>Sarah ( | Clark    | Initial)<br>Initial)        |
| Sent To Joint Fiscal Office                                  |        |         |  |                               |          |           |                            |          | Date                        |





State of Vermont
Department of Human Resources
120 State Street, 5<sup>th</sup> Floor
Montpelier, VT 05620-2505
humanresources.vermont.gov

Agency of Administration

[phone] 802-461-9903 [fax] 802-828-3409

### **MEMORANDUM**

**TO:** Tim Metayer, Principal Budget Analyst, Department of Finance and Management

**FROM:** David Fuller, Deputy Director of Classification and Position Management

**DATE:** 1/12/24

**SUBJECT:** DOC Limited Service Position Request

I have reviewed the attached documentation submitted by DOC for one Limited Service position. This position is titled "Vocational Outreach Project Manager." Funding for personnel is specifically noted in the grant award letter. DOC has included a completed AA-1 as well as an org chart showing the requested position. The required RFR is included in this packet and I have discussed the potential need for more information on this form with DOC Management as a similar position in title and duties exists at a pay grade 25.

Please let me know if you have any questions.



State of Vermont Department of Corrections NOB 2 South, 280 State Drive Waterbury, VT 05671-2000 doc.vermont.gov Agency of Human Services

DocuSigned by:

[phone] 802-241-2442 [phone] 802-241-0000 [fax] 802-241-0020

### **MEMORANDUM**

To: Jenney Samuelson, Secretary, Agency of Human Services

Re: Request for Grant Acceptance of Implementing the Second Chance Act Improving

Reentry Education and Employment Outcomes through the Bureau of Justice

Assistance Office of Programs.

Date: 11/02/2023

I am pleased to report that the Department of Corrections has received a grant for Second Chance Act Improving Reentry Education and Employment Outcomes through the Bureau of Justice Assistance Office of Programs promoting our Vocational, prerelease, and post release reentry efforts. The total amount of the federal cash award is \$900,000 and has a project period of 3 years beginning on 10/1/2023 and ending 9/30/2026.

The grant funds will enhance the prerelease and post release reentry vocational case management for sentenced incarcerated individuals who are assessed for moderate and above risk of reoffending. Department of Corrections (DOC) is transitioning its prerelease correctional industry production resources to a vocational training model. DOC is preparing to implement hard skill instruction in employment sectors identified by the Department of Labor and of interest to the incarcerated population. This grant will enhance reentry by providing dedicated vocational support through transition into the community.

The project will require the use of one, limited-service positions. There is no match requirement.

Please find enclosed a Grant Acceptance Request (AA-1) and supporting documents for your review and consideration.

Cc: Richard Donahey, AHS Chief Financial Officer



DACIC CDANT INFORMATION

### **STATE OF VERMONT REQUEST FOR GRANT** (\*) **ACCEPTANCE** (Form AA-1)

| BASIC GRANT INFORMATION   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Human Services  |  |  |  |  |  |  |  |
| Corrections   |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |
| Improving Statewide Employment Services and Connections within the State of           |  |  |  |  |  |  |  |
| Vermont's Department of Corrections   |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |
| BJA FY23 Second Chance Act Improving Reentry and Employment Outcomes                  |  |  |  |  |  |  |  |
| 16.812  |  |  |  |  |  |  |  |
| <u> </u>  |  |  |  |  |  |  |  |
| 6. Grant/Donor Name and Address:  |  |  |  |  |  |  |  |
| ustice, Office of Justice Programs, Bureau of Justice Assistance, Washington DC 20531 |  |  |  |  |  |  |  |
| om:   10/1/2023   To:   9/30/2026   |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |

### 8. Purpose of Grant:

To enhance the prerelease and post release reentry vocational case management for sentenced incarcerated individuals who are assessed for moderate and above risk of reoffending. Department of Corrections (DOC) is transitioning its prerelease correctional industry production resources to a vocational training model. DOC is preparing to implement hard skill instruction in employment sectors identified by the Department of Labor and of interest to the incarcerated population. This grant will enhance reentry by providing dedicated vocational support through transition into the community.

### 9. Impact on existing program if grant is not Accepted:

none

| 10. BUDGET INFORMATION        | 10. BUDGET INFORMATION |           |           |                     |  |  |  |
|-------------------------------|------------------------|-----------|-----------|---------------------|--|--|--|
|                               | SFY 1                  | SFY 2     | SFY 3     | Comments            |  |  |  |
| Expenditures:                 | FY 24                  | FY 25     | FY 26     |                     |  |  |  |
| Personal Services             | \$118,929              | \$122,594 | \$126,179 | Limited Service Pos |  |  |  |
| Operating Expenses            | \$                     | \$9,069   | \$9,069   | Travel Expenses     |  |  |  |
| Grants                        | \$167,720              | \$172,251 | \$174,189 | Subrecipient Grants |  |  |  |
| Total                         | \$286,649              | \$303,914 | \$309,437 |                     |  |  |  |
| Revenues:                     |                        |           |           |                     |  |  |  |
| State Funds:                  | \$                     | \$        | \$        |                     |  |  |  |
| Cash                          | \$                     | \$        | \$        |                     |  |  |  |
| In-Kind                       | \$                     | \$        | \$        |                     |  |  |  |
|                               |                        |           |           |                     |  |  |  |
| Federal Funds:                | \$286,649              | \$303,914 | \$309,437 |                     |  |  |  |
| (Direct Costs)                | \$                     | \$        | \$        |                     |  |  |  |
| (Statewide Indirect)          | \$                     | \$        | \$        |                     |  |  |  |
| (Departmental Indirect)       | \$                     | \$        | \$        |                     |  |  |  |
|                               |                        |           |           |                     |  |  |  |
| Other Funds:                  | \$                     | \$        | \$        |                     |  |  |  |
| Grant (source )               | \$                     | \$        | \$        |                     |  |  |  |
| Total                         | \$286,649              | \$303,914 | \$309,437 |                     |  |  |  |
|                               |                        |           |           |                     |  |  |  |
| <b>Appropriation No:</b> 3480 | 004000                 | Amount:   | \$900,000 |                     |  |  |  |
|                               |                        |           | \$        |                     |  |  |  |
|                               |                        |           | \$        |                     |  |  |  |
|                               |                        |           | \$        |                     |  |  |  |

## STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)

|  |                        | T                       |  | \$                   |                           |  |
|--|------------------------|-------------------------|--|----------------------|---------------------------|--|
|  |                        |                         |  | \$                   |                           |  |
|  |                        |                         |  | \$                   |                           |  |
|  |                        |                         | Total  | \$900,000            |                           |  |
|  |                        |                         |  |                      |                           |  |
| DEDCOMAL CEDVICE   | NEODM ATION            |                         | The state of the s |                      |                           |  |
| PERSONAL SERVICE I                                       |                        |                         | or more Personal Service   | Contracts? V         | es 🗆 No                   |  |
| If "Yes" appointing author                               | rity must initial he   | ere to indic            | eate intent to follow current of   | competitive bidding  | g process/policy.         |  |
| Appointing Authority Nar                                 |                        |                         | (initial)  | •                    |                           |  |
| 12. Limited Service                                      |                        |                         |  |                      |                           |  |
| Position Information:                                    | # Positions            |                         | Title  |                      |                           |  |
|  | 1                      |                         | Vocational Outreach Projec   | t Manager            |                           |  |
|  |                        |                         |  |                      |                           |  |
|  |                        |                         |  |                      |                           |  |
| Total Position   | 26                     |                         |  |                      |                           |  |
|  |                        | I D Is no               | esently available, Car   | n be obtained with   | available funds           |  |
| 12a. Equipment and space positions:                      | e for these            | Is br                   | esentry available.   | ii oc ootamed with   | avanaore runus.           |  |
| 13. AUTHORIZATION  | AGENCY/DEPA            | RTMENT                  |  |                      | The state of the state of |  |
| I/we certify that no funds                               | T at                   |                         |  |                      | Date:14/2023              |  |
| beyond basic application                                 | III.                   | Comice<br>ACF74D878DA94 | AB   |                      | 11/ 14/ 2023              |  |
| preparation and filing costs have been expended or       | little:                |                         |  |                      |                           |  |
| committed in anticipation of                             |                        | Commissi                |  |                      | Dita                      |  |
| Joint Fiscal Committee                                   | Signature:             | 1)                      | . Daloz  |                      | Date: 1/8/2024            |  |
| approval of this grant, unless previous notification was | m' d                   | -8496AFD85AC0           | V  |                      | 1 ' '                     |  |
| made on Form AA-1PN (if                                  | I little:              | outy Secr               |  |                      |                           |  |
| applicable):   |                        |                         |  |                      |                           |  |
| 14 SECRETARY OF AI                                       | <b>MINISTRATIO</b>     | N                       | - DecuSigned by:   |                      | THE RESERVE               |  |
| sc   | (Secretary or design   | nee signature)          | Sarah Clark  |                      | Par 29/2024   8           |  |
| Approved:  |                        |                         | 04ABB32CD55C438  |                      |                           |  |
| 15. ACTION BY GOVE                                       | DNOD A                 |                         |  | DOMESTIC STREET      |                           |  |
| <del></del>  | NO A                   |                         |  |                      |                           |  |
| Check One Box: Accepted                                  | 1 4/12                 |                         |  |                      |                           |  |
| /\ Tecepica  | (Governor's signatu    | ure)                    |  |                      | Date: / /                 |  |
|  | //W// belk             |                         |  |                      | 12/2/21/24                |  |
| Rejected   | 1/. /                  |                         |  |                      | Maye                      |  |
| 16. DOCUMENTATION  |                        |                         |  |                      |                           |  |
|  | Re                     | equired G               | RANT Documentation   |                      |                           |  |
| Request Memo   | (; C 1; 1, 1 - )       |                         | Notice of Donation (if any   |                      |                           |  |
| ☐ Dept. project approval ☐ Notice of Award               | (11 applicable)        | -                       | Grant (Project) Timeline ( Request for Extension (if   |                      |                           |  |
| Grant Agreement  |                        |                         |  |                      |                           |  |
| ☐ Form AA-11 IV attached (If applicable)  ☐ Grant Budget |                        |                         |  |                      |                           |  |
|  | [레르, 1년인)              |                         | d Form AA-1  |                      | ng Blance Steven          |  |
|  |                        |                         | m of money or thing of value to  | o be accepted by any | agency,                   |  |
| department, commission, boa                              | rd, or other part of s | state govern            | ment (see 32 V.S.A. §5).   | 1/5/2024             |                           |  |

20 20

# STATE OF VERMONT REQUEST FOR GRANT (\*) ACCEPTANCE (Form AA-1)

Agency/Department: AHS/Corrections

### STATE OF VERMONT **Joint Fiscal Committee Review Limited Service - Grant Funded Position Request Form**

This form is to be used by agencies and departments when additional grant funded positions are being requested. Review and approval by the Department of Human Resources must be obtained prior to review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report must be attached to this form. Please attach additional pages as necessary to provide enough detail.

| Name and Phone (of the person completing this reques   | st): Kristin Calver, 802-49                                      | 3-3206  |
|--|--|---|
| Request is for:  Positions funded and attached to a new grant.  Positions funded and attached to an existing gr  | ant approved by JFC  | #   |
| 1. Name of Granting Agency, Title of Grant, Grant Fund   | ding Detail (attach gr   | ant documents):   |
| Office of Programs, Bureau of Justice Assistance. BJA FY23 Se  | cond Chance Act Improvi  | g Reentry Education and Employment Outcomes.  |
| <ol><li>List below titles, number of positions in each title, probased on grant award and should match information profinal approval:</li></ol>  |  |   |
| Title* of Position(s) Requested # of Positions Di  | ivision/Program Gr   | ant Funding Period/Anticipated End Date   |
| Vocational Outreach Project Manager 1 Ris  | sk Intervention Services   | 10/1/2023 - 09/30/2026  |
| *Final determination of title and pay grade to be made by the Departr<br>Request for Classification Review.  3. Justification for this request as an essential grant pro   |  | Classification Division upon submission and review of                                       |
| This limited-service position is needed to manage key operational Vermont DOC's responsibilities during the multiyear agreement vocational case management for sentence incarcerated individual These positions are expected to be funded through September 2.  I certify that this information is correct and that necessal applications (required by 22 WSA:Sec. 5(b). | with BJA. The grant funds<br>als who are assessed for n<br>2026. | will enhance the prerelease and post-release reenty noderate and above risk of reoffending. |
| al Cornier Voice W. Dalog  | 1/8/2024   | 11/14/2023  |
| - ASignature of Agency of Department Head  |  | Date  |
| David Fuller   |  | 1/12/2024   |
| Approved/Denied by Department of Human Resources   |  | Date  |
| Adam Greshin Digitally signed by Adam Greshin Date: 2024,01.26 09:32:24 -05'00'  |  |   |
| Approved/Denied by Finance and Wahargement Sarah Clark   |  | Date<br>1/29/2024   8:11:36 EST   |
| Approved/Denied by Secretary of Administration   |  | 2/28/24   |
| Approved/Denied by Governor (required as amended by Comments:  | 2019 Leg. Session)   | Date (  |
| y.   |  | DHR - 08/12/2   |

Date: 11/2/2023



### **Department of Justice (DOJ)**

### Office of Justice Programs

Bureau of Justice Assistance

Washington, D.C. 20531

Name and Address of Recipient: HUMAN SERVICES VERMONT AGENCY OF

280 STATE DR

City, State and Zip: WATERBURY, VT 05671

Recipient UEI: YLQARK22FMQ1

Project Title: Improving Statewide
Employment Services and Connections
within the State of Vermont's Department

within the State of Vermont's Department of

Corrections

Award Number: 15PBJA-23-GG-02711-SCAX

Solicitation Title: BJA FY 23 Second Chance Act Improving Reentry Education and Employment Outcomes

Federal Award Amount: \$900,000.00 Federal Award Date: 9/25/23

Awarding Agency: Office of Justice Programs

Bureau of Justice Assistance

Funding Instrument Type: Grant

Opportunity Category: D
Assistance Listing:

16.812 - Second Chance Act Reentry Initiative

Project Period Start Date: 10/1/23 Project Period End Date: 9/30/26

Budget Period Start Date: 10/1/23 Budget Period End Date: 9/30/26

### **Project Description:**

Vermont Vocational Reentry Inititative

The Vermont Department of Corrections (VDOC) proposal seeks to enhance the prerelease and post release reentry vocational (Category 2) case management for sentenced incarcerated individuals who are assessed for moderate and above risk of reoffending, have a minimum of twelve months remaining to service and a minimum of six months of community supervision by the VDOC. VDOC is transitioning its prerelease correctional industry production resources to a vocational training model. Current activities are transitioning industry production resources at Northern State Facility to vocational training at this and five other correctional facilities. Infrastructure progress has, through the Adult Reentry and Employment Strategic (ARES)grant, developed standardized model for identifying, assessing and capturing vocational skill attainment in an integrated Participation Report documented by Risk Intervention Services staff. Risk Intervention Services is a division within the VDOC that is tasked with providing risk and need reducing services to the supervised population and operates behavioral, educational, vocational and services coordination. VDOC is preparing to implement hard skill instruction in employment sectors identified by the Department of Labor and of interest to the incarcerated population, such as construction trades, administrative skills (IT), culinary and material handling. VDOC currently uses this infrastructure to explore data sharing in the ARES grant. This proposal will enhance reentry by providing dedicated vocational support through transition into the community. Category 2 program specific priority considerations are found in the narrative pages 7 - 9.

VDOC and subrecipient Vermont Business Industry and Rehabilitation (VABIR) will collaborate to introduce vocational services available to participants in the last ninety days of incarceration and post release. VABIR will meet with participants prerelease, provide a menu of vocational services, support and/or leverage its networking relationships to support continued vocational training, eligibility for Department of Labor approved employer pre apprenticeship and/or registered apprenticeships. VDOC and VABIR provide their respective services and responsibilities statewide. VABIR works closely with local employers to identify labor market needs, coordinate training cohorts and support participants to find employment matching participant and employer interest and needs.

VDOC and VABIR collect participant outcome data through the departments research and data division, VABIR's

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access and use of the Department of Labor's database. Qualitative research by subrecipient Flint Spring Associates positions VDOC and its partners to provide an innovative data informed model useful to inform the field of criminal justice and its employment partners.

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### **Award Letter**

September 25, 2023

Dear Alan Cormier,

On behalf of Attorney General Merrick B. Garland, it is my pleasure to inform you the Office of Justice Programs (OJP) has approved the application submitted by HUMAN SERVICES VERMONT AGENCY OF for an award under the funding opportunity entitled 2023 BJA FY 23 Second Chance Act Improving Reentry Education and Employment Outcomes. The approved award amount is \$900,000.

Review the Award Instrument below carefully and familiarize yourself with all conditions and requirements before accepting your award. The Award Instrument includes the Award Offer (Award Information, Project Information, Financial Information, and Award Conditions) and Award Acceptance. For COPS Office and OVW funding the Award Offer also includes any Other Award Documents.

Please note that award requirements include not only the conditions and limitations set forth in the Award Offer, but also compliance with assurances and certifications that relate to conduct during the period of performance for the award. These requirements encompass financial, administrative, and programmatic matters, as well as other important matters (e.g., specific restrictions on use of funds). Therefore, all key staff should receive the award conditions, the assurances and certifications, and the application as approved by OJP, so that they understand the award requirements. Information on all pertinent award requirements also must be provided to any subrecipient of the award.

Should you accept the award and then fail to comply with an award requirement, DOJ will pursue appropriate remedies for non-compliance, which may include termination of the award and/or a requirement to repay award funds.

Prior to accepting the award, your Entity Administrator must assign a Financial Manager, Grant Award Administrator, and Authorized Representative(s) in the Justice Grants System (JustGrants). The Entity Administrator will need to ensure the assigned Authorized Representative(s) is current and has the legal authority to accept awards and bind the entity to the award terms and conditions. To accept the award, the Authorized Representative(s) must accept all parts of the Award Offer in the Justice Grants System (JustGrants), including by executing the required declaration and certification, within 45 days from the award date.

To access your funds, you will need to enroll in the Automated Standard Application for Payments (ASAP) system, if you haven't already completed the enrollment process in ASAP. The Entity Administrator should have already received an email from ASAP to initiate this process.

Congratulations, and we look forward to working with you.

Amy Solomon
Assistant Attorney General
Office for Civil Rights Notice for All Recipients

The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has been delegated the responsibility for ensuring that recipients of federal financial assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) are not engaged in discrimination prohibited by law. Several federal civil rights laws, such as Title VI of the Civil Rights Act of 1964 and Section 504 of the Rehabilitation Act of 1973, require recipients of federal financial assistance to give assurances that they will comply with those laws. Taken together, these civil rights laws prohibit recipients of federal financial assistance from DOJ from discriminating in services and employment because of race, color, national origin, religion, disability, sex, and, for grants authorized under the Violence Against Women Act, sexual orientation and gender identity. Recipients are also prohibited from discriminating in services because of age. For a complete review of these civil rights laws and nondiscrimination requirements, in connection with DOJ awards, see https://ojp.gov/funding/Explore/LegalOverview/CivilRightsRequirements.htm.

Under the delegation of authority, the OCR investigates allegations of discrimination against recipients from individuals, entities, or groups. In addition, the OCR conducts limited compliance reviews and audits based on regulatory criteria.

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These reviews and audits permit the OCR to evaluate whether recipients of financial assistance from the Department are providing services in a nondiscriminatory manner to their service population or have employment practices that meet equal-opportunity standards.

If you are a recipient of grant awards under the Omnibus Crime Control and Safe Streets Act or the Juvenile Justice and Delinquency Prevention Act and your agency is part of a criminal justice system, there are two additional obligations that may apply in connection with the awards: (1) complying with the regulation relating to Equal Employment Opportunity Programs (EEOPs); and (2) submitting findings of discrimination to OCR. For additional information regarding the EEOP requirement, see 28 CFR Part 42, subpart E, and for additional information regarding requirements when there is an adverse finding, see 28 C.F.R. §§ 42.204(c), .205(c)(5).

The OCR is available to help you and your organization meet the civil rights requirements that are associated with DOJ grant funding. If you would like the OCR to assist you in fulfilling your organization's civil rights or nondiscrimination responsibilities as a recipient of federal financial assistance, please do not hesitate to contact the OCR at askOCR@ojp.usdoj.gov.

### Memorandum Regarding NEPA

### **NEPA Letter Type**

OJP - Categorical Exclusion

### **NEPA** Letter

Awards under this program will use funding to develop more effective and evidence-based reentry programs. None of the following activities will be conducted whether under the Office of Justice Programs federal action or a related third-party action:

- (1) New construction
- (2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or
- (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species
- (3) A renovation that will change the basic prior use of a facility or significantly change its size
- (4) Research and technology whose anticipated and future application could be expected to have an effect on the environment
- (5) Implementation of a program involving the use of chemicals (including the identification, seizure, or closure of clandestine methamphetamine laboratories)

Additionally, the proposed action is neither a phase nor a segment of a project that when reviewed in its entirety would not meet the criteria for a categorical exclusion. Consequently, the subject federal action meets the Office of Justice Programs' criteria for a categorical exclusion as contained in paragraph 4(b) of Appendix D to Part 61 of Title 28 of the Code of Federal Regulations.

Questions about this determination may be directed to your grant manager or Orbin Terry, Environmental Coordinator for the Bureau of Justice Assistance.

NEPA Coordinator First Name Orbin

Middle Name

Last Name Terry

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### Award Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

### Recipient Information

**Recipient Name** 

HUMAN SERVICES VERMONT AGENCY OF

UEI

YLQARK22FMQ1

Street 1

280 STATE DR

Street 2

City State/U.S. Territory

WATERBURY Vermont

**Zip/Postal Code**05671

Country

United States

County/Parish Province

**Award Details** 

Federal Award Date Award Type

9/25/23 Initial

Award Number Supplement Number

15PBJA-23-GG-02711-SCAX

Federal Award Amount Funding Instrument Type

\$900,000.00 Grant

16.812 Second Chance Act Reentry Initiative

### **Statutory Authority**

Pub. L. No. 90-351, Title I, Sec. 3041 (codified at 34 U.S.C. 10741); Pub. L. No. 110-115, Sec. 115 (codified at 34 U.S.C. 60511); Department of Justice Appropriations Act, 2023 (Pub. L. No. 117-328; 136 Stat. 4459, 4536)

[X]

I have read and understand the information presented in this section of the Federal Award Instrument.

### **Project Information**

This award is offered subject to the conditions or limitations set forth in the Award Information, Project

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Information, Financial Information, and Award Conditions.

Solicitation Title

**Awarding Agency** 

OJP

2023 BJA FY 23 Second Chance Act Improving Reentry Education and Employment Outcomes

**Program Office**BJA

Application Number

GRANT13838489

**Grant Manager Name** 

Zafra Stork

**Phone Number** 

202-598-1483

E-mail Address

Zafra.Stork@usdoj.gov

**Project Title** 

Improving Statewide Employment Services and Connections within the State of Vermont's Department of Corrections

**Performance Period Start** 

Date Performance Period End Date

10/01/2023 09/30/2026

Budget Period Start Date Budget Period End Date

10/01/2023 09/30/2026

### **Project Description**

Vermont Vocational Reentry Inititative

The Vermont Department of Corrections (VDOC) proposal seeks to enhance the prerelease and post release reentry vocational (Category 2) case management for sentenced incarcerated individuals who are assessed for moderate and above risk of reoffending, have a minimum of twelve months remaining to service and a minimum of six months of community supervision by the VDOC. VDOC is transitioning its prerelease correctional industry production resources to a vocational training model. Current activities are transitioning industry production resources at Northern State Facility to vocational training at this and five other correctional facilities. Infrastructure progress has, through the Adult Reentry and Employment Strategic (ARES)grant, developed standardized model for identifying, assessing and capturing vocational skill attainment in an integrated Participation Report documented by Risk Intervention Services staff. Risk Intervention Services is a division within the VDOC that is tasked with providing risk and need reducing services to the supervised population and operates behavioral, educational, vocational and services coordination. VDOC is preparing to implement hard skill instruction in employment sectors identified by the Department of Labor and of interest to the incarcerated population, such as construction trades, administrative skills (IT), culinary and material handling. VDOC currently uses this infrastructure to explore data sharing in the ARES grant. This proposal will enhance reentry by providing dedicated vocational support through transition into the community. Category 2 program specific priority considerations are found in the narrative pages 7 - 9.

VDOC and subrecipient Vermont Business Industry and Rehabilitation (VABIR) will collaborate to introduce vocational services available to participants in the last ninety days of incarceration and post release. VABIR will meet with participants prerelease, provide a menu of vocational services, support and/or leverage its networking relationships to support continued vocational training, eligibility for Department of Labor approved employer pre apprenticeship and/or registered apprenticeships. VDOC and VABIR provide their respective services and responsibilities statewide. VABIR works closely with local employers to identify labor market needs, coordinate training cohorts and support participants to find employment matching participant and employer interest and needs.

VDOC and VABIR collect participant outcome data through the departments research and data division, VABIR's access and use of the Department of Labor's database. Qualitative research by subrecipient Flint Spring Associates positions VDOC and its partners to provide an innovative data informed model useful to inform the field of criminal justice and its employment partners.

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I have read and understand the information presented in this section of the Federal Award Instrument.

### **Financial Information**

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

| sa | m | D | le | text |
|----|---|---|----|------|

| Year 1       | Year 2       | Year 3    | Total     |
|--------------|--------------|-----------|-----------|
| Personnel    |              |           |           |
| \$118,929    | \$122,594    | \$126,179 | \$367,702 |
| Fringe Ben   | efits        |           |           |
| \$0          | \$0          | \$0       | \$0       |
| Travel       |              |           |           |
| \$0          | \$9,069      | \$9,069   | \$18,138  |
| Equipment    |              |           |           |
| \$0          | \$0          | \$0       | \$0       |
| Supplies     |              |           |           |
| \$0          | \$0          | \$0       | \$0       |
| Construction | on           |           |           |
| \$0          | \$0          | \$0       | \$0       |
| SubAwards    |              |           |           |
| \$167,720    | \$172,251    | \$174,189 | \$514,160 |
| Procuromo    | nt Contracts |           |           |
| Tocurente    | in Contracts |           |           |

| cacigii Envelopi | 5 ID. 107 D2007 (7100) | 3 4000 / (120 1 B01 10E1/100B |           |
|------------------|------------------------|-------------------------------|-----------|
| \$0              | \$0                    | \$0                           | \$0       |
| Other Cost       | s                      |                               |           |
| \$0              | \$0                    | \$0                           | \$0       |
| Total Direc      | t Costs                |                               |           |
| \$286,649        | \$303,914              | \$309,437                     | \$900,000 |
| Indirect Co      | sts                    |                               |           |
| \$0              | \$0                    | \$0                           | \$0       |
| Total Proje      | ect Costs              |                               |           |
| \$286,649        | \$303,914              | \$309,437                     | \$900,000 |
| Federal          |                        |                               |           |
| \$286,649        | \$303,914              | \$309,437                     | \$900,000 |
| Non-Feder        | al                     |                               |           |
| \$0              | \$0                    | \$0                           | \$0       |

### **Budget Totals**

|                    | Total     | Percentage                |
|--------------------|-----------|---------------------------|
| Total Project Cost | \$900,000 |                           |
| Federal Funds      | \$900,000 | 100.00~symbolPlaceholder~ |
| Non-Federal Amount | \$0       | 0.00~symbolPlaceholder~   |
| Match Amount       | \$0       | 0.00~symbolPlaceholder~   |
| Program Income     | \$        |                           |
|                    | 0         |                           |

0.00~symbolPlaceholder~

**Empty Space** 

**Please note:** After completing this budget detail summary, please confirm that the following final values entered in this section are identical to those entered in the corresponding estimated cost section of the Standard Applicant Information. Specifically, the following must be equivalent. If they are not, you will not be able to submit this application until they are

updated to be equivalent.

| Standard Applicant Information            | Equals |                       |
|---|--------|-----------------------|
| Total Estimated Funding                   | =      | Total Project Costs   |
| Federal Estimated Funding (federal share) | =      | Federal Funds         |
| Applicant Estimated Funding (non-federal  | =      | Match Amount          |
| share)                                    |        |                       |
| Program Income Estimated Funding          | =      | Program Income Amount |

# Budget Category Personnel Fringe Benefits Travel Equipment Supplies Construction SubAwards Procurement Contracts Other Costs Indirect Costs

### **Award Conditions**

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

I have read and understand the information presented in this section of the Federal Award Instrument.

1

Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards

Consistent with Executive Order 14074, "Advancing Effective, Accountable Policing and Criminal Justice Practices To Enhance Public Trust and Public Safety," OJP has prohibited the use of federal funds under this award for purchases or transfers of specified equipment by law enforcement agencies. In addition, OJP requires the recipient, and any subrecipient ("subgrantee") at any tier, to put in place specified controls prior to using federal funds under this award to acquire or transfer any property identified on the "controlled equipment" list. The details of the requirement are posted on the OJP web site at https://www.ojp.gov/funding/explore/prohibited-and-controlled-equipment (Award condition:

Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards), and are incorporated by reference here.

2

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

3

Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

4

Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2022 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2022 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2022 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at https://ojp.gov/funding/Part200UniformRequirements.htm.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.334.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

5

Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ),

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including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

6

Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

7

Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at https://ojp.gov/financialguide/DOJ/index.htm), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

8

Compliance with general appropriations-law restrictions on the use of federal funds (FY 2022)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2022, are set out at https://www.ojp.gov/funding/Explore/FY22AppropriationsRestrictions.htm, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

9

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at https://www.ecfr.gov/cgi-bin/ECFR?page=browse), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

10

Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform

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Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

### 11

Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (ojp.gov/funding/Explore/LegalNotices-AwardReqts.htm), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

### 12

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

### 13

Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

### 14

Employment eligibility verification for hiring under the award

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- 1. The recipient (and any subrecipient at any tier) must--
- A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).
- B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--
- (1) this award requirement for verification of employment eligibility, and
- (2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.
- C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).
- D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.
- 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

- 4. Rules of construction
- A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify (www.e-verify.gov), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

- C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.
- D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.
- E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (https://www.e-verify.gov/) or email E-Verify at E-Verify@dhs.gov. E-Verify employer agents can email E-Verify at E-VerifyEmployerAgent@dhs.gov.

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Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

### 15

OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at https://www.ojp.gov/funding/implement/training-guiding-principles-grantees-and-subgrantees.

### 16

Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at https://ojp.gov/funding/Explore/Interact-Minors.htm (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

### 17

Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

### 18

Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at https://onlinegfmt.training.ojp.gov/. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

### 19

Restrictions and certifications regarding non-disclosure agreements and related matters

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No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

- 1. In accepting this award, the recipient--
- a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.
- 2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--
- a. it represents that--
- (1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
- b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

### 20

Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

21

Requirement to report actual or imminent breach of personally identifiable information (PII)

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The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

### 22

Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at OJP.ComplianceReporting@ojp.usdoj.gov. For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

### 23

Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

### 24

All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at https://ojp.gov/funding/Explore/SubawardAuthorization.htm (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

### 25

Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

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### 26

Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

### 27

Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

### 28

Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at https://oig.justice.gov/hotline/contact-grants.htm (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at https://oig.justice.gov/hotline.

### 29

Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at https://www.sam.gov/. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at https://ojp.gov/funding/Explore/SAM.htm (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

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This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 30

Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 31

The recipient understands that, in accepting this award, the Authorized Representative declares and certifies, among other things, that he or she possesses the requisite legal authority to accept the award on behalf of the recipient entity and, in so doing, accepts (or adopts) all material requirements that relate to conduct throughout the period of performance under this award. The recipient further understands, and agrees, that it will not assign anyone to the role of Authorized Representative during the period of performance under the award without first ensuring that the individual has the requisite legal authority.

### 32

Verification and updating of recipient contact information

The recipient must verify its Grant Award Administrator, Financial Manager, and Authorized Representative contact information in JustGrants, including telephone number and e-mail address. If any information is incorrect or has changed, the award recipient's Entity Administrator must make changes to contact information through DIAMD. Instructions on how to update contact information in JustGrants can be found at https://justicegrants.usdoj.gov/training/training-entity-management.

### 33

Confidentiality of data

The recipient (and any subrecipient at any tier) must comply with all confidentiality requirements of 34 U.S.C. 10231 and 28 C.F.R. Part 22 that are applicable to collection, use, and revelation of data or information. The recipient further agrees, as a condition of award approval, to submit a Privacy Certificate that is in accord with requirements of 28 C.F.R. Part 22 and, in particular, 28 C.F.R. 22.23.

### 34

The recipient agrees to notify BJA of any change in the status or duties of the collaborating agency partners or key individuals involved in implementing the activities under this award.

### 35

Recipient understands and agrees that neither it nor any subrecipient may engage in activities constituting organizational conflicts of interest, such as awarding contracts (to be paid in whole or in part with grant funds) to

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Department of Justice-funded training and technical assistance (TTA) providers that guided project specifications as part of the provision of training and technical assistance to the recipient (or any subrecipient) of this award. The Procurement Standards in 2 C.F.R. Part 200 (the Part 200 Uniform Requirements) and the DOJ Grants Financial Guide describe actions that would or may give rise to organizational conflicts or other potential conflict of interest concerns under the award. Prior approval from the grant manager is required for any work with a Department of Justice-funded TTA provider.

### 36

FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at https://ojp.gov/funding/Explore/FFATA.htm (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 37

The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA's/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).

### 38

Any written, visual, or audio publications funded in whole or in part under this award, with the exception of press releases, shall contain the following statements: "This project was supported by Grant No. <AWARD\_NUMBER> awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the DOJ Grants Financial Guide provides guidance on allowable printing and publication activities.

### 39

The recipient agrees that no funds under this grant award (including via subcontract or subaward, at any tier) may be used for unmanned aircraft systems (UAS), which includes unmanned aircraft vehicles (UAV), or for any accompanying accessories to support UAS.

### 40

The recipient may incur obligations, expend, and draw down funds in an amount not to exceed \$100,000 for the sole purpose of establishing an action plan within 180 days of receiving final approval of the project's budget from OCFO. The grantee is not authorized to incur any additional obligations, make any additional expenditures, or drawdown any additional funds until BJA has reviewed and approved the grant recipient's completed action plan and has issued an Award Condition Modification (ACM) removing this condition.

41

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The recipient agrees to submit a final report at the end of this award documenting all relevant project activities during the entire period of support under this award. This report will include detailed information about the project(s) funded, including, but not limited to, information about how the funds were actually used for each purpose area, data to support statements of progress, and data concerning individual results and outcomes of funded projects reflecting project successes and impacts. The final report is due no later than 120 days following the close of this award period or the expiration of any extension periods. This report will be submitted to the Office of Justice Programs, on-line through the Internet at https://justgrants.usdoj.gov/

### 42

Limit on use of grant funds for grantees' employees' salaries

With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.

### 43

Recipient integrity and performance matters: Requirement to report information on certain civil, criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at https://ojp.gov/funding/FAPIIS.htm (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

### 44

Withholding of funds: Disclosure of lobbying

The recipient may not obligate, expend, or draw down any funds under this award until it has provided to the grant manager for this OJP award a complete Disclosure of Lobbying Activities (SF-LLL) form, and OJP has issued an Award Condition Modification (ACM) to remove this award condition.

### 45

Withholding of funds: Research and evaluation independence and integrity

The recipient may not obligate, expend, or draw down any award funds until: (1) it has provided to the grant manager for this OJP award information regarding research and evaluation independence and integrity in accordance with the detailed instructions in the program solicitation, (2) OJP has completed its review of the information provided and of any supplemental information it may request, (3) the recipient has made (or agreed to) any adjustments to the award (including as to amount) that OJP may require to prevent, eliminate, mitigate, or otherwise adequately address any actual or apparent personal or financial conflict of interest on the part of the investigators or other staff/consultants engaged in the research/evaluation or organizational conflict of interest on the part of the recipient entity, and (4) Award Condition Modification (ACM) has been issued to remove this condition.

If this award is a "discretionary" award (i.e., not an award under a statutory "formula" program), the recipient

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understands and agrees that if it does not provide an adequate research and evaluation independence and integrity submission (as determined by OJP), or if it fails to implement (as determined by OJP) any safeguard included in its submission or required by OJP, this award may be terminated (without right of appeal), upon thirty (30) calendar days advance written notice by OJP.

[X]

I have read and understand the information presented in this section of the Federal Award Instrument.

### Award Acceptance

### Declaration and Certification to the U.S. Department of Justice as to Acceptance

By checking the declaration and certification box below, I--

- A. Declare to the U.S. Department of Justice (DOJ), under penalty of perjury, that I have authority to make this declaration and certification on behalf of the applicant.
- B. Certify to DOJ, under penalty of perjury, on behalf of myself and the applicant, to the best of my knowledge and belief, that the following are true as of the date of this award acceptance: (1) I have conducted or there was conducted (including by applicant's legal counsel as appropriate and made available to me) a diligent review of all terms and conditions of, and all supporting materials submitted in connection with, this award, including any assurances and certifications (including anything submitted in connection therewith by a person on behalf of the applicant before, after, or at the time of the application submission and any materials that accompany this acceptance and certification); and (2) I have the legal authority to accept this award on behalf of the applicant.
- C. Accept this award on behalf of the applicant.
- D. Declare the following to DOJ, under penalty of perjury, on behalf of myself and the applicant: (1) I understand that, in taking (or not taking) any action pursuant to this declaration and certification, DOJ will rely upon this declaration and certification as a material representation; and (2) I understand that any materially false, fictitious, or fraudulent information or statement in this declaration and certification (or concealment or omission of a material fact as to either) may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the applicant to civil penalties and administrative remedies under the federal False Claims Act (including under 31 U.S.C. §§ 3729-3730 and/or §§ 3801-3812) or otherwise.

### Agency Approval

Title of Approving Official

Name of Approving Official

**Signed Date And Time** 

Assistant Attorney General

Amy Solomon

9/19/23 11:58 AM

### Authorized Representative

**Declaration and Certification** 

**Entity Acceptance** 

Title of Authorized Entity Official

Chief of Operations

Name of Authorized Entity Official

Alan Cormier

Signed Date And Time

10/13/2023 2:03 PM

| DocuSig | n Envelope ID: 107D2 | 09A-A309-4065-A126- | -7D5716E7A80D |  |  |  |
|---------|----------------------|---------------------|---------------|--|--|--|
|         |                      |                     |               |  |  |  |
|         |                      |                     |               |  |  |  |

RFR Form A October 2003

# VERMONT DEPARTMENT OF PERSONNEL Request for Classification Review Position Description Form A

- ➤ This form is to be used by managers and supervisors to request classification of a position (filled or vacant) when the duties have changed, and by managers and supervisors to request the creation of a new job class/title (for a filled, vacant, or new position), and by employees to request classification of their position.
- This form was designed in Microsoft Word to download and complete on your computer. This is a form-protected document, so information can only be entered in the shaded areas of the form.
- If you prefer to fill out a hard copy of the form, contact your Personnel Officer.
- > To move from field to field use your mouse, the arrow keys or press Tab. Each form field has a limited number of characters. Use your mouse or the spacebar to mark and unmark a checkbox.
- Where additional space is needed to respond to a question, you might need to attach a separate page, and number the responses to correspond with the numbers of the questions on the form. Please contact your Personnel Officer if you have difficulty completing the form.
- The form <u>must be complete</u>, including required attachments and signatures or it will be returned to the department's personnel office. All sections of this form are required to be completed unless otherwise stated.

**INSTRUCTIONS:** Tell us about the job. The information you provide will be used to evaluate the position. It will not be used in any way to evaluate an employee's performance or qualifications.

Answer the questions carefully. The information you give will help ensure that the position is fairly evaluated. Here are some suggestions to consider in completing this questionnaire:

- > Tell the facts about what an employee in this position is actually expected to do.
- > Give specific examples to make it clear.
- Write in a way so a person unfamiliar with the job will be able to understand it.
- Describe the job as it is now; not the way it was or will become.
- Before answering each question, read it carefully.

**To Submit this Request for Classification Review:** If this is a filled position, the employee must sign the original\* and forward to the supervisor for the supervisor's review and signature. The Personnel Officer and the Appointing Authority must also review and sign this request before it is considered complete. The effective date of review is the beginning of the first pay period following the date the complete Request for Classification Review is date stamped by the Classification Division of the Department of Personnel.

\*An employee may choose to sign the form, make a copy, submit original to supervisor as noted above, while concurrently sending the copy to the Classification Division, 144 State Street, Montpelier, with a cover note indicating that the employee has submitted the original to the supervisor and is submitting the copy as a **Concurrent** filing.

If this is a request (initiated by employees, VSEA, or management) for review of all positions in a class/title please contact the appropriate Classification Analyst or the Classification Manager to discuss the request prior to submitting.

# Request for Classification Review Position Description Form A

For Department of Personnel Use Only

| For Department of Personner Use Only  |                                       |
|---|---------------------------------------|
| Notice of Action #  | Date Received (Stamp)                 |
| Action Taken:   |                                       |
| New Job Title   |                                       |
| Current Class Code New Class Code   |                                       |
| Current Pay Grade New Pay Grade   |                                       |
| Current Mgt Level B/U OT Cat EEO Cat FLSA   |                                       |
| New Mgt Level B/UOT CatEEO CatFLSA  |                                       |
| Classification AnalystDate  | Effective Date:                       |
| Comments:   | Date Processed:                       |
| Willis Rating/Components: Knowledge & Skills: Mental Demands: Working Conditions: Total:                              | Accountability:                       |
| Incumbent Information:  |                                       |
| Employee Name: Employee Number:   |                                       |
| Position Number: Current Job/Class Title:   |                                       |
| Agency/Department/Unit: Work Station: Zip Code:   |                                       |
| Supervisor's Name, Title, and Phone Number:   |                                       |
| How should the notification to the employee be sent:  — employee's work address, please provide mailing address:  ——— | location or other                     |
| New Position/Vacant Position Information:   |                                       |
| New Position Authorization: Request Job/Class Title: Vocational O   | utreach Project Manager               |
| Position Type: ☐ Permanent or ⊠ Limited / Funding Source: ☐ Core, ☐   | Partnership, or   Sponsored           |
| Vacant Position Number: Current Job/Class Title:  |                                       |
| Agency/Department/Unit: AHS DOC Risk Intervention Services Work S   | tation: WSOC Zip Code:                |
| Supervisor's Name, Title and Phone Number:  |                                       |
| Type of Request:  |                                       |
| Management: A management request to review the classification of an new job class.                                    | existing position, class, or create a |
| Employee: An employee's request to review the classification of his/her   | current position.                     |

### 1. Job Duties

This is the *most critical* part of the form. Describe the activities and duties required in your job, **noting changes (new duties, duties no longer required, etc.) since the last review.** Place them in order of importance, beginning with the single most important activity or responsibility required in your job. The importance of the duties and expected end results should be clear, including the tolerance that may be permitted for error. Describe each job duty or activity as follows:

- What it is: The nature of the activity.
- **How** you do it: The steps you go through to perform the activity. Be specific so the reader can understand the steps.
- Why it is done: What you are attempting to accomplish and the end result of the activity.

For example a Tax Examiner might respond as follows: **(What)** Audits tax returns and/or taxpayer records. **(How)** By developing investigation strategy; reviewing materials submitted; when appropriate interviewing people, other than the taxpayer, who have information about the taxpayer's business or residency. **(Why)** To determine actual tax liabilities.

What: This position is responsible for the community facing partnerships interfacing with the facility vocational training and support systems for the incarcerated transitioning to community. The position also manages core deliverable of the grant award. The position will do this by convening a transition process, conducting outreach with local employers, workforce development coordinators and probation and parole officers during transition.

How: The VOMP will attend the State Workforce Developent Board, regional and local Creative Workforce Solution meeting and other identified local convening of vocational support partners to meet partners and develop a good understanding of available resources and hiring partners. The VOMP will meet regularly with the Workforce Development Coordinators to identify current transitioning incarcerated individuals and stand up transition process with core partners like VABIR and probation and parole. The VOMP will maintain information of local employers who are interested in hiring formerly incarcerated individuals and who may be interested in low barrier hiring. The VOMP will develop a system for tracking infromation and collecting information from key partners regarding released individuals. The VOMP will ensure the workforce experience courses within the Department of Corrections are realvant to the employer needs. The position will manage and collect data/reports from core partners, who may be contracted with the VDOC

Why: Reentry is a critical period for formerly incarcerated individuals and vocational supports are critical for their successful transition. This position will assist the VDOC in understanding and developing key relationship which can continue to support those individuals in successful transition into meaningful, liveable wage positions and support improved community safety through their successful reentry.

### 2. Key Contacts

This question deals with the personal contacts and interactions that occur in this job. Provide brief typical examples indicating your primary contacts (**not** an exhaustive or all-inclusive list of contacts) other than those persons to whom you report or who report to you. If you work as part of a team, or if your primary contacts are with other agencies or groups outside State government describe those interactions, and what your role is. For example: you may *collaborate, monitor, guide, or facilitate change*.

Risk Intervention Services Central and Local teams - Risk Intervention Services Coordinators, Clinical Leadership, Correction Educaiton and Workforce Development Coordinator and VCI program Coordinator. VOMP will use this structure for the identification of individuals in services, nearing transition and participation information supporting employability.

Vermont Association of Business Industry and Rehabilitation (VABIR): VABIR is a primary vocational support partner with multiple resources which can be leverage to support vocational needs. VOMP will work closely with VABIR staff to assure that individual have supports and to track what resources the populaiton is accessing and outcomes.

Probation and Parole officers: the VOMP will coordinate the sharing of information during transition with key partners, including knowing the supervision requirements of transitioning individuals are essential for the individual, potential employers and others to know in order to coordinate services.

Employers: VOMP will be the point of contact for employers to reach to the VDOC regarding vocational training needs, and how the VDOC might support this development.

# 3. Are there licensing, registration, or certification requirements; or special or unusual skills necessary to perform this job?

Include any special licenses, registrations, certifications, skills; (such as counseling, engineering, computer programming, graphic design, strategic planning, keyboarding) including skills with specific equipment, tools, technology, etc. (such as mainframe computers, power tools, trucks, road equipment, specific software packages). Be specific, if you must be able to drive a commercial vehicle, or must know Visual Basic, indicate so.

The VOMP must have good organziational and documentation skills, VOMP must be able to work with diverse groups of individuals both internal to the VDOC and external partnerships. The VOMP must be able to communicate the capacity of the newly developing vocational training division with stakeholders while communicating community priorities to the VDOC. The VOMP should have strong project management skills and the ability to meet federal and state grant management requirements.

### 4. Do you supervise?

In this question "supervise" means if you direct the work of others where you are held **directly** responsible for assigning work; performance ratings; training; reward and discipline or effectively recommend such action; and other personnel matters. List the names, titles, and position numbers of the classified employees reporting to you:

Yes, 1 VCI program Coordinator - workforce experience

### 5. In what way does your supervisor provide you with work assignments and review your work?

This question deals with how you are supervised. Explain how you receive work assignments, how priorities are determined, and how your work is reviewed. There are a wide variety of ways a job can be supervised, so there may not be just one answer to this question. For example, some aspects of your work may be reviewed on a regular basis and in others you may operate within general guidelines with much independence in determining how you accomplish tasks.

Work will be assigned through development of plan for implementation in phases. The work will be supervised through biweekly meetings

### 6. Mental Effort

This section addresses the mental demands associated with this job. Describe the most mentally challenging part of your job or the most difficult typical problems you are expected to solve. Be sure to give a specific response and describe the situation(s) by example.

- For example, a purchasing clerk might respond: In pricing purchase orders, I frequently must find the cost of materials not listed in the pricing guides. This involves locating vendors or other sources of pricing information for a great variety of materials.
- Or, a systems developer might say: Understanding the ways in which a database or program will be used, and what the users must accomplish and then developing a system to meet their needs, often with limited time and resources.

Working with Incarcerated individuals barriers

### 7. Accountability

This section evaluates the job's expected results. In weighing the importance of results, consideration should be given to responsibility for the safety and well-being of people, protection of confidential information and protection of resources.

What is needed here is information not already presented about the job's scope of responsibility. What is the job's most significant influence upon the organization, or in what way does the job contribute to the organization's mission?

Provide annualized dollar figures if it makes sense to do so, explaining what the amount(s) represent.

### For example:

- A social worker might respond: To promote permanence for children through coordination and delivery of services;
- A financial officer might state: Overseeing preparation and ongoing management of division budget: \$2M Operating/Personal Services, \$1.5M Federal Grants.

this position will be accountable to a 900K grant and to promote and align workforce experience withing DOC to the employer needs

### 8. Working Conditions

The intent of this question is to describe any adverse conditions that are routine and expected in your job. It is <u>not</u> to identify special situations such as overcrowded conditions or understaffing.

a) What significant mental stress are you exposed to? All jobs contain some amount of stress. If your job stands out as having a significant degree of mental or emotional pressure or tension associated with it, this should be described.

| Туре   | How Much of the Time? |
|--|-----------------------|
| Mental demands working with incarcerated Individuals breaking barriers | 30%                   |
| Creating networks with community partners with competing goals         | 40%                   |
|  |                       |

| b)                           | What hazards, special conditions or discomfort are you exposed to? (Clarification of hazards include such things as potential accidents, illness, chronic health conditions harm. Typical examples might involve exposure to dangerous persons, including pot violent customers and clients, fumes, toxic waste, contaminated materials, vehicle accidisease, cuts, falls, etc.; and discomfort includes exposure to such things as cold, di rain or snow, heat, etc.) |                                     |  |  |
|------------------------------|--|-------------------------------------|--|--|
|                              | Туре   |                                     | How Much of the Time?  |  |
|                              | exposure to dangerous persons  |                                     | 30%  |  |
| c)                           | c) What weights do you lift; how much do they weigh and how much time per day/week spend lifting?  |                                     |  |  |
|                              | Туре   | How Heavy?                          | How Much of the Time?  |  |
| d)                           | What working positions (sitting, standing driving) are required?   | g, bending, rea                     | aching) or types of effort (hiking, walking                                |  |
|                              | Туре   |                                     | How Much of the Time?  |  |
|                              | sitting  |                                     | 75%  |  |
|                              | standing   |                                     | 25%  |  |
| understanding has some union | ew your job description responses so far.  g your job that you haven't clearly describ<br>que aspects or characteristics that weren<br>this space, add any additional comments   | ped, use this sp<br>i't brought out | pace for that purpose. Perhaps your job<br>by your answers to the previous |  |
| Employee's S                 | ignature <b>(required)</b> :   |                                     | Date:  |  |

### **Supervisor's Section:**

Carefully review this completed job description, but **do not** alter or eliminate any portion of the original response. Please answer the questions listed below.

1. What do you consider the most important duties of this job and why?

Creating a network to assist incarcerated individuals prior to release, through transition, and job placement. Assiting the Director in making the work the DOC is currently doing realvant to employer needs. Assisting in collection of data to evaluate the efficaicy of the practices and strategies employed to support incarcerated individuals prior to release to the community.

| strategies employed to support incarcerated individuals prior to release to the community.   |  |  |
|--|--|--|
|  |  |  |
| 2. What do you consider the most important knowledge, skills, and abilities of an employee in this job (not necessarily the qualifications of the present employee) and why? |  |  |
| workforce development knowledge, knowledge of community services, ability to handle large project management, Networking skills  |  |  |
| 3. Comment on the accuracy and completeness of the responses by the employee. List below any missing tems and/or differences where appropriate.                              |  |  |
| 4. Suggested Title and/or Pay Grade:   |  |  |
| Vocational Outreach Project Manager PG 27  |  |  |
| Supervisor's Signature (required):Gerald SchartnerDate:11/27/2023  |  |  |
| Personnel Administrator's Section:   |  |  |
| Please complete any missing information on the front page of this form before submitting it for review.  |  |  |
| Are there other changes to this position, for example: Change of supervisor, GUC, work station?  Yes No If yes, please provide detailed information.                         |  |  |
| Attachments:   |  |  |
| ☑ Organizational charts are <b>required</b> and must indicate where the position reports.  |  |  |
| ☐ Draft job specification is <b>required</b> for proposed new job classes.   |  |  |
| ☐ Draft job specification is <b>required</b> for proposed new job classes.   |  |  |

Will this change affect other positions within the organization? If so, describe how, (for example, have duties been shifted within the unit requiring review of other positions; or are there other issues relevant to the classification review process).

N/A

| Suggested Title and/or Pay Grade:  |                 |
|--|-----------------|
| As determined by classification & AHS classification committee   |                 |
| Personnel Administrator's Signature (required):  Docusigned by:  Chitric Tombley More  9A90C0836D1046F | Date: 12/1/2023 |
| Appointing Authority's Section:  |                 |
| Please review this completed job description but <b>do not alter</b> or eliminate a                    | •               |
| clarifying information and/or additional comments (if necessary) in the space                          | e below.        |
| Suggested Title and/or Pay Grade:  |                 |
|  |                 |
| — DocuSigned by:   |                 |
| al Cormier   | 11/7/2023       |
| Appointing Authority or Authorized Representative Signature (required)                                 | Date            |

### **Vermont Vocational Reentry Initiative**

Job Specification: Vocational Outreach Project Manager

Occupation Category: Administrative Services, Fiscal Operations

Class Definition: Development, administrative, coordinating and monitoring work for the Department of Corrections at a professional level to oversee a state wide grant project to improve transitional vocational services and coordination for incarcerated individuals released to the community across twelve probation and parole sites. Position works closely with a broad range of local, regional, state and federal stakeholders. Duties are performed with independent judgement and professional expertise. Work is performed under the general supervision of the Program Services Director.

All employees of the Agency of Human Services perform their respective functions adhering to the four key practices: customer service, holistic service, strength based relationships and result orientation.

Examples of Work: This position oversees all grant activities and ensures coordination between multiple demonstration sites. Develops and collaborates with a grant steering committee and a Stakeholder Cross Disciplinary Team to implement grant activities in alignment with VT Department of Corrections Vocational Strategic Plan. Coordinates and develops integrated transitional services with relevant stakeholders. Coordinates with stakeholders to identify data points for program evaluation and management purposes. May negotiate and administer grant/contract agreements. Oversees the the allocation of the grant budget. Completes timely grant reporting in consultation with the Program Services Director and Steering Committee. Convenes and facilitates key discussions and planning with agency and stakeholder leadership. Provides input on the design of any programmatic and training elements of the project. Oversees the development of work plans aligned to project timelines and staff capacity. Monitors the project to ensure that it is meeting stated goals and objectives. Supervises project sub-contractors to deliver measurable, cost effective results. Supervises Vermont correctional industry and related workforce readiness related courses, Other duties as assigned.

**Environmental Factors**: Duties are performed primarily in a standard office setting but will include frequent interaction and observation within correctional facilities and probation and parole sites, including individuals currently incarcerated and/or under supervision. May include travel and observation of partner/contract execution of duties related to grant/contract agreement. Interaction with correctional staff, other state agency staff and partner representatives is expected. Frequent travel may be necessary for which private means of transportation should be available. Intermittent evening or weekend work may be required.

Knowledge, Skills and Abilities: Working knowledge of grant administration and compliance monitoring, considerable knowledge of the principles and practices of program management, including accounting and program evaluation. Awareness of computer applications to support program management and grant administration. Ability to prepare, present and interpret data and financial data. Knowledge and skills and project management. Ability to develop and negotiate contract agreements. Ability to communicate effective orally and in writing. Knowledge of best and evidence based practices related to criminal justice and vocational training/employment support. Critical, strategic and detail

level thinking. Excellent communication skills and network development. Interpersonal professionalism.

**Minimum Qualifications:** Bachelor Degree in public health, administration services or equivalent with five years experience at professional level that includes leading teams and managing complex projects and reviewing the work of project participants.

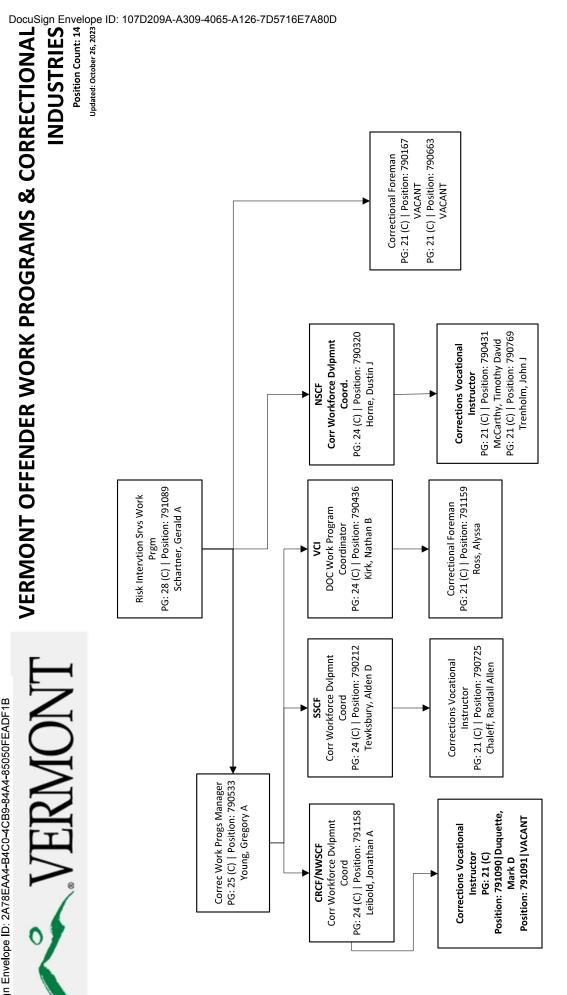
Or

Associates Degree in public health, administration services or equivalent with 7 years at a professional level that includes leading team, managing complex projects, and reviewing the work of project participants.

Or

High School Diploma with 10 years at a professional level that includes leading teams and managing complex projects and reviewing the work of project participants.

**Special requirements**: Candidates must pass any level of back ground investigation applicable to the position. In accordance with AHS Policy 4.02. Vermont and national criminal record checks, as well as DMV and adult and child abuse registry checks, as appropriate to the position under recruitment will be conducted on candidates.



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PG: 28 (C) | Position Count: 14 Dosition Count: 14 Dosition Count: 14 Dosition Count: 16 Dosition Count: 17 Dosition Count: 18 Dosition Count: Correctional Foreman PG: 21 (C) | Position: 790167 PG: 21 (C) | Position: 790663 VACANT VACANT PG: 24 (C) | Position: 790320 PG: 21 (C) | Position: 790769 PG: 21 (C) | Position: 790431 McCarthy, Timothy David Corr Workforce Dvlpmnt Corrections Vocational Trenholm, John J Horne, Dustin J Instructor NSCF Coord. PG: 24 (C) | Position: 790436 PG: 21 (C) | Position: 791159 Correctional Foreman **DOC Work Program** Kirk, Nathan B Coordinator Ross, Alyssa  $\overline{c}$ PG: 24 (C) | Position: 790212 Tewksbury, Alden D PG: 21 (C) | Position: 790725 Chaleff, Randall Allen Corr Workforce Dvlpmnt Corrections Vocational Instructor Coord SSCF PG: 25 (C) | Position: 790533 Correc Work Progs Manager Young, Gregory A PG: 24 (C) | Position: 791158 Position: 791090 | Duquette, Position: 791091 | VACANT Corr Workforce Dvlpmnt **Corrections Vocational** Leibold, Jonathan A CRCF/NWSCF PG: 21 (C) Instructor Mark D Coord