MEMORANDUM

To: Joint Fiscal Committee Members

From: Nathan Lavery, Fiscal Analyst

Date: July 19, 2013

Subject: Grant Requests

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration, including the establishment of seventeen (17) limited service positions.

JFO #2631 – $1,158,875 grant from the Federal Emergency Management Agency to the Vermont Agency of Transportation. These funds will be used to provide federal disaster assistance for damages caused by the severe storm and flooding on May 26, 2013 in Chittenden County, Lamoille County, and Essex County.
[JFO received 07/18/13]

JFO #2632 – $42,687,000 grant from the U.S. Department of Health and Human Services to the Department of Vermont Health Access. These funds will be used for planning and implementation of the federal mandated health benefits exchange (Vermont Health Connect), including premium processing, call center activities, and integration of existing state health coverage programs into the exchange environment. This request includes establishment of seventeen (17) limited service positions.
[JFO received 07/19/13]

These items will be placed on the agenda for action at the Joint Fiscal Committee’s July 23, 2013 meeting.
STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary: To provide federal disaster assistance for damages from the severe storm and flooding of May 26, 2013 in Chittenden, Lamoille and Essex Counties.

Date: 7/9/2013

Department: AOT - Public Assistance Grant Program 8100005500

Legal Title of Grant: FEMA-4120-DR-VT

Federal Catalog #: 97.036

Grant/Donor Name and Address: Federal Emergency Management Agency

Grant Period: From: 5/22/2013 To: 11/22/2014

Grant/Donation: $1,158,875 based on 75% of initial estimate; amounts to be determined as damage estimates progress.

<table>
<thead>
<tr>
<th>SFY 1</th>
<th>SFY 2</th>
<th>SFY 3</th>
<th>Total</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>$675,000</td>
<td>$483,875</td>
<td>$</td>
<td>$</td>
<td>Estimated</td>
</tr>
</tbody>
</table>

Position Information

<table>
<thead>
<tr>
<th># Positions</th>
<th>Explanation/Comments</th>
</tr>
</thead>
</table>

Additional Comments: The state match of $231,775 (at an estimated percentage of 15%) is not a requirement to receive this grant, but is an outcome of existing state policy.

Department of Finance & Management

Secretary of Administration

Sent To Joint Fiscal Office

RECEIVED JUL 18 2013

JOINT FISCAL OFFICE

(Initial)

(Initial)

JFO Date 7/18/13
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

**BASIC GRANT INFORMATION**

1. Agency: Transportation  
2. Department: Operations  
3. Program: Public Assistance  
4. Legal Title of Grant: FEMA-4120-DR-VT  
5. Federal Catalog #: 97.036  

6. Grant/Donor Name and Address:  
   Federal Emergency Management Agency  
   99 High Street  
   Boston, MA 02109-4595  

7. Grant Period: From: 5/22/2013  
To: 11/22/2014  

8. Purpose of Grant:  
To provide federal disaster assistance for damages caused by severe rain storms and flooding between May 22 and May 26, 2013 in Chittenden, Lamoille, and Essex counties.  

9. Impact on existing program if grant is not Accepted:  
State, local governments and eligible private non-profit organizations may have to bear full cost of damages.  

**10. BUDGET INFORMATION**

<table>
<thead>
<tr>
<th>Expenditures</th>
<th>SFY 1 FY 2014</th>
<th>SFY 2 FY 2015</th>
<th>SFY 3 FY</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Services</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Operating Expenses</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Grants</td>
<td>$900,000</td>
<td>$645,167</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>

| Revenues: | | | | |
| State Funds | $135,000 | $96,775 | | $ |
| Cash | $ | $ | | $ |
| In-Kind | $ | $ | | $ |
| Federal Funds | $675,000 | $483,875 | | $ |
| (Direct Costs) | $ | $ | | $ |
| (Statewide Indirect) | $ | $ | | $ |
| (Departmental Indirect) | $ | $ | | $ |
| Other Funds: | | | | $ |
| Grant (source local match) | $90,000 | $64,517 | | $ |
| **Total** | $900,000 | $645,167 | | $ |

<table>
<thead>
<tr>
<th>Appropriation No:</th>
<th>Amount:</th>
</tr>
</thead>
<tbody>
<tr>
<td>8100005500-59700-20150</td>
<td>$675,000</td>
</tr>
<tr>
<td>8100005500-59700-21555</td>
<td>$135,000</td>
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STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

$ 

$ 

Total $810,000

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? ☐ Yes ☒ No
If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Agreed by: ____________ (initial)

12. Limited Service Position Information: # Positions Title

Total Positions

12a. Equipment and space for these positions: ☐ Is presently available. ☐ Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature:  
Date: 07/27/13

Title: Sec. of Transportation

Signature:  
Date:

Title:

14. SECRETARY OF ADMINISTRATION

☐ Approved:  
(Secretary or designee signature) 
Date: 07/11/13

15. ACTION BY GOVERNOR

☐ Check One Box:  
Accepted  
(Governor’s signature)  
Date: 07/18/13

☐ Rejected

16. DOCUMENTATION REQUIRED

☐ Request Memo  
☐ Dept. project approval (if applicable)  
☐ Notice of Award  
☐ Grant Agreement  
☐ Grant Budget  
☐ Notice of Donation (if any)  
☐ Grant (Project) Timeline (if applicable)  
☐ Request for Extension (if applicable)  
☐ Form AA-1PN attached (if applicable)

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).
THE WHITE HOUSE
WASHINGTON

June 13, 2013

The Honorable Peter Shumlin
Governor of Vermont
Montpelier, Vermont 05609

Dear Governor Shumlin:

I have declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 et seq. (the “Stafford Act”), for the State of Vermont due to damage resulting from severe storms and flooding during the period May 22-26, 2013. I have authorized Federal relief and recovery assistance in the affected area.

Assistance for emergency protective measures (Category B) and permanent work (Categories C-G) under the Public Assistance program and Hazard Mitigation will be provided. Consistent with the requirement that Federal assistance is supplemental, any Federal funds provided under the Stafford Act for Public Assistance and Hazard Mitigation will be limited to 75 percent of the total eligible costs in the designated areas.

The Department of Homeland Security, Federal Emergency Management Agency (FEMA), will coordinate Federal assistance efforts and designate specific areas eligible for such assistance. The Federal Coordinating Officer will be Mr. Mark H. Landry of FEMA. He will consult with you and assist in the execution of the FEMA-State Agreement for disaster assistance governing the expenditure of Federal funds.

Sincerely,

[Signature]
Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

Grant Application - SF424

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION
   Pre-Application
   N/A

2. DATE SUBMITTED
   000-U7DZQ-00

3. DATE RECEIVED BY STATE
   N/A

4. DATE RECEIVED BY FEDERAL AGENCY
   N/A

5. APPLICANT INFORMATION
   Legal Name: VERMONT AGENCY OF TRANSPORTATION /
   Organizational DUNS: 809376296-

   Address
   Street: 103 South Main Street

   City: Waterbury
   County: Washington
   State: VT
   Zip Code: 05671 - 4719
   Country: United States of America

   Organizational Unit: Department:
   Vermont Department of Public Safety
   Division: Vermont Division of Emergency Management and Homeland Security

   Name and telephone number of person to be contacted on matters involving this application (give area code)
   Prefix: Mr
   First Name: Ben
   Middle Initial:
   Last Name: Rose
   Suffix:
   Email: ben.rose@state.vt.us
   Phone Number: 802-685-4719

6. FEDERAL EMPLOYER IDENTIFICATION NUMBER (EIN)
   03-6000274

8. TYPE OF APPLICATION
   Grant Application (SF-424)

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBERS
   CFDA TITLES: PUBLIC ASSISTANCE
   97.036

12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.)
   Chittenden, Essex, Lamoille

13. PROPOSED PROJECT:
   Start Date:
   End Date:

15. ESTIMATED FUNDING
   a. Federal
   $1158875
   b. Applicant
   $0
   c. State
   $193146

14. CONGRESSIONAL DISTRICTS OF:
   a. Applicant:
   b. Project:

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
   N/A

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL
d. Local  $193,146
e. Other  N/A
f. Program Income  $0
g. TOTAL  $154,516.7

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.

a. Name of Authorized Representative  Brett Pierce
b. Title  PAC Crew Leader
c. Telephone Number  207-370-0307
d. Signature of Authorized Representative  Brett Pierce
e. Date Signed  6/27/13

Close Window