



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: February 27, 2014
Subject: Grant/Position Request

Enclosed please find one (1) item that the Joint Fiscal Office has received from the administration. Sixteen (16) limited service positions are associated with this item.

JFO #2668 – \$36,931,076 grant from the U.S. Department of Education to the Vermont Agency of Human Services. This award is a federal Race to the Top Early Learning Challenge grant. Funds will be used to improve the quality of early learning opportunities and close the achievement gap for children with high needs. **Sixteen (16) limited service positions** are associated with this request. In addition to the attached documents, over 400 pages of supporting documents were submitted with this request. This additional information is available on request from the Joint Fiscal Office. **Expedited review of this item has been requested.** Joint Fiscal Committee members will be contacted by March 14 with a request to waive the balance of the review period and approve this item.

[JFO received 02/20/14]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by March 14 we will assume that you agree to consider as final the Governor's acceptance of these requests.



State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration


JFO 2668

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

Grant Summary:	The Race to the Top Grant will be used to improve the quality of early learning and development and to close the achievement gap for children with high needs.				
Date:	2/10/2014				
Department:	AHS Central Office				
Legal Title of Grant:	Race to the Top – Early Learning Challenge				
Federal Catalog #:	84.412A				
Grant/Donor Name and Address:	US Department of Education, Washington, D.C. 20202				
Grant Period:	From:	1/1/2014	To:	12/31/2017	
Grant/Donation	\$36,931,076				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$1,922,999	\$10,535,331	\$10,241,613	\$22,699,943	An additional \$14,231,133 will be spent in FY17 and FY18.

Position Information:	# Positions	Explanation/Comments
	16	These positions will expire when the grant ends. A request for an additional 2 IT positions may be necessary depending on the method of implementing a Children's Integrated Services data system.
Additional Comments:		A five year budget is included in the packet. The attached email defines high needs children. The agency has requested an expedited review of this grant.

Department of Finance & Management	RECEIVED FEB 20 2014 JOINT FISCAL OFFICE	2/10/14 (Initial) <i>EB</i> 2/10/14
Secretary of Administration		(Initial) <i>ME</i> 02/11/14
Sent To Joint Fiscal Office		Date 2/13/14





Agency of Human Services
Business Office
208 Hurricane Lane, Suite 103
Williston, VT 05495
802-871-3005 fax 802-871-3001

TO: Martha Heath, Chair, Joint Fiscal Committee

FROM: Jeb Spaulding, Secretary, Agency of Administration
Douglas Racine, Secretary, Agency of Human Services

DATE: February 5, 2014

SUBJECT: AA-1 Request for Grant S412A130038
Race to the Top – Early Learning Challenge

A handwritten signature in black ink, appearing to be "J. Spaulding", written over the "FROM:" line.

The Office of the Governor was recently awarded the Race to the Top – Early Learning Challenge by the US Department of Education. This grant award provides funding in the amount of \$36,931,076 to improve the quality of early learning and development and close the achievement gap for children with high needs. We are asking for the expedited approval process for this grant award due to the robust timelines and required completion date for this specific project of December 31, 2017.

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION				
1. Agency:	Agency of Human Services			
2. Department:	Secretary's Office			
3. Program:	Race to the Top - Early Learning Challenge			
4. Legal Title of Grant:	Race to the Top - Early Learning Challenge			
5. Federal Catalog #:	84.412A			
6. Grant/Donor Name and Address: US Department of Education Washington, DC 20202				
7. Grant Period:	From:	1/1/2014	To:	12/31/2017
8. Purpose of Grant: The purpose of the Race to the Top-Early Learning Challenge (RTT-ELC) program is to improve the quality of early learning and development and close the achievement gap for children with high needs.				
9. Impact on existing program if grant is not Accepted: Failure to accept these grant funds will prohibit the state from moving forward with its plan for moving our early childhood system forward by improving quality and access of education and services, supporting and expanding our early childhood workforce and supporting families in need so that they can provide a better start for their children.				
10. BUDGET INFORMATION				
	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2014	FY 2015	FY 2016	
Personal Services	\$1,149,029	\$5,803,798	\$5,641,992	Staff & Contracts
Operating Expenses	\$244,442	\$651,870	\$633,696	Other Expenses
Grants	\$529,528	\$4,079,663	\$3,965,925	
Total	\$1,922,999	\$10,535,331	\$10,241,613	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$1,762,489	\$10,015,930	\$9,736,693	
(Statewide Indirect)	\$40,128	\$129,851	\$126,230	18.5% Indirect
(Departmental Indirect)	\$120,382	\$389,550	\$378,690	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	*See attached sheet
Total	\$1,922,999	\$10,535,331	\$10,241,613	for FY17 & FY18
Appropriation No:	For Federal Funds only	Amount:	\$	
	3400001000		\$490,431	
	3420010000		\$125,000	
	3420021000		\$125,000	
	3440030000		\$1,182,568	

FEB 07 2014

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

	\$
	\$
Total	\$1,922,999

Has current fiscal year budget detail been entered into Vantage? Yes No

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Douglas Racine Agreed by: DR (initial)

12. Limited Service Position Information:	# Positions	Title
	16	See attached list
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <u>Dixie Henry Jr</u>	Date: <u>2/10/14</u>
	Title: Douglas Racine, Secretary	
	Signature:	Date:
	Title:	

14. SECRETARY OF ADMINISTRATION

<input checked="" type="checkbox"/>	Approved:	(Secretary or designee signature) <u>[Signature]</u>	Date: <u>02/10/14</u>
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15. ACTION BY GOVERNOR

<input checked="" type="checkbox"/>	Check One Box: Accepted	(Governor's signature) <u>[Signature]</u>	Date: <u>2/12/14</u>
<input type="checkbox"/>	Rejected		

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

<input checked="" type="checkbox"/> Request Memo <input type="checkbox"/> Dept. project approval (if applicable) <input checked="" type="checkbox"/> Notice of Award <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Grant Budget	<input type="checkbox"/> Notice of Donation (if any) <input type="checkbox"/> Grant (Project) Timeline (if applicable) <input type="checkbox"/> Request for Extension (if applicable) <input type="checkbox"/> Form AA-1PN attached (if applicable)
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End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

Vermont's Race to the Top Early Learning Challenge Grant

Executive Summary

2014

Overview

Vermont was awarded **\$36.9 million in federal funding** through its successful Race to the Top Early Learning Challenge (RTT-ELC) grant proposal to build a high-quality and accessible early childhood system in the state. The award is spread over the four years of the grant period beginning in January, 2014, and is administered jointly by the federal Departments of Education and Health and Human Services. Implementation will be led by five participating organizations: The Governor's Office, Agency of Education, Agency of Human Services, particularly Department of Health and Department for Children and Families, and the Building Bright Futures Statewide Advisory Council, in coordination with communities and a broad range of early childhood stakeholders.

This grant provides a tremendous opportunity for Vermont to rapidly advance its larger reform agenda to **realize the promise of every child**. The grant proposal was informed by and supports ongoing efforts, including the Agency of Human Services' Integrated Family Services and the Vermont Early Childhood Framework and Action Plan sponsored by the Governor's Office and developed by a broad coalition over the past 12 months. In order to assure that positive impacts on early learning and development opportunities in states endure beyond the four years of grant funding, the focus of RTT-ELC is on funding for systemic development, not direct services. The Vermont plan focuses on strategies to:

- **Improve quality and access for early learning and development opportunities**
- **Invest in a highly skilled workforce through professional development**
- **Empower communities to support young children and families**
- **Strengthen our capacity to ensure we are making a difference**

Through these strategies Vermont will be able to achieve the absolute priority of the grant, which is to promote school readiness for children with high needs. The grant defines this as children from low-income families or otherwise in need of special assistance and support, including children who have disabilities or developmental delays, who are English learners, migrant, homeless, or in foster care.

Vermont's winning RTT- ELC grant proposal told an important story about our state:

- Vermont is a good place to be a child. *Annie E Casey Foundation's Kids Count Report (2013) ranks Vermont second for overall well-being of its children.*
- Vermont became a good place because of longstanding political and financial investment in children. *Reviewers of Vermont's proposal found that the state demonstrates substantial past commitment, including increasing financial investment in most programs from 2009 – 2013 and responding to the needs of its children through relevant legislation, policies, or practices for the past 20 years.*
- But not all of Vermont's children are thriving. *Some children live in households that are not stable or safe, some experience early trauma or toxic stress, some don't speak English, some are challenged by developmental delays or disabilities and many - 26% of children under age 5 - live in poverty.*
- High quality interventions in early childhood can mitigate the impacts of poverty and other limiting conditions for children. Vermont's RTT-ELC state plan provides an opportunity to further our progress for children who are not thriving in the early years when it really counts – to narrow the opportunity gap between high needs children and their peers before it occurs.

Strategies

All strategies in the grant share three key characteristics:

- **Leverage** current successful efforts
- Produce **positive impacts** that will last beyond the grant period
- Are **achievable** and produce measurable short, medium and long-term results

➤ **Improving Quality and Access**

- **Promote participation in the VT STARS quality rating system to improve and maintain high quality early learning and development opportunities in programs**
 - Goals by 2017 (the end of the grant period) include: 95% of eligible early learning and development programs (EDLP) are participating in the VT STARS program and families will be able to access highly rated VT STARS programs in every community.
- **Validate and strengthen VT STARS system to ensure it accurately and reliably assesses quality**
 - Conduct a rigorous independent evaluation of the VT STARS to ensure that it is an accurate assessment of quality that predicts positive outcomes for children.
- **Create and implement a comprehensive monitoring and technical assistance system to ensure quality of Vermont's publicly funded PreK Programs**
 - Vermont prekindergarten programs are currently not assessed beyond verifying STARS levels and licensed early educations.
 - The grants allows us to establish a system that also looks at programs' curricula and educator practices, the keys to quality and to closing the achievement gap, so we get full value for our dollars from Prek.
- **Provide annual financial rewards for programs for maintaining quality and providing nutritious food**
 - Currently participating ELDPs receive a one-time bonus when they achieve a higher level in VT STARS. RTT-ELC will create an annual awards program for maintaining quality in addition to bonuses for improving.
 - VT STARS programs that offer nutritious meals and snacks will receive additional annual awards and the goal by 2017 is to increase the percentage of ELDPs in VT providing nutritious snacks and meals from 40% to 90%.
- **Expand Strengthening Families grants**
 - RTT-ELC will expand this successful model to support comprehensive services for high needs children in high quality ELDPs that promotes continuity of services and family centered practice.
- **Expand and improve Specialized Child Care Services**
 - A critical component of Children's Integrated Services (CIS), these are an array of services and supports to successfully include children with high needs in high quality early learning and development programs. RTT-ELC will increase local coordination, quality and capacity.
- **Evidence-based home visiting of pregnant women and young children**
 - Effective home visiting has been shown to lead to improved maternal and infant health, decreased accidental and non-accidental injury, decreased domestic violence, increased school readiness, increased economic self- sufficiency for families, and increased connection and referral to community resources. RTT-ELC will provide professional development and technical

assistance to increase the use of evidence based models throughout Vermont, increase coordination of home visiting services, and track and report on services and outcomes.

- The goal is to increase the number of high needs children receiving evidence based home visiting services by 20% during each year of the grant.
- **Universal developmental screening**
 - To achieve universal developmental screening, the first step in Vermont is to align across settings, including medical homes, home visiting programs, Early Head Start, and IDEA Part C and Part B 619, to ensure the use of standardized tools, avoid duplication and share data.
 - By 2017, the goal is to assure that 90% of all children with high needs have had a developmental screening for any developmental and behavioral concerns, followed by appropriate referrals.

➤ **Investing in a Highly Skilled Workforce**

- **Expand Vermont's successful Apprenticeship program for early childhood professionals**
 - Through RTT-ELC, Vermont will expand this very successful and sought after program of free college courses and formal on-the-job mentoring throughout the state.
- **Individualized support for early childhood professionals and programs**
 - RTT-ELC will support relationship –based MATCH [Mentoring, Advising, Teaching, Coaching, Consulting, Helping] and services focused on helping individual practitioners and programs improve skills and competencies to support improving quality in ELDPs.
 - During each funding year, there will be a 20% increase use of documented mentoring and coaching.
- **Invest in Vermont's newly formed TEACH scholarship program**
 - This provides financial and coaching support for early childhood professional to attain credentials and degrees through college coursework.
 - There will be a 15% increase in availability and accessibility of advanced training and coursework for early childhood educators in each year of the grant.
- **New VT Early Learning Standards (VELS): Finalize, Disseminate and Train**
 - In Vermont, the foundation for all ELDPs, including child care licensing regulations and publicly funded Prek, is a set of research-based early learning and development standards.
 - RTT-ELC funds will assist us to complete revising VELs to reflect a full birth through grade 3 continuum. The new VELs are also aligned with the Common Core. This will help children have smoother transitions between their experiences before kindergarten and across K-3.
 - A Family Guide to the VELs will be developed so that families can be engaged in their children's learning and development.
- **Conduct a workforce study**
 - This will assess the characteristics, qualifications, conditions and needs of the professionals working with young children and families to provide a baseline for measuring progress on workforce goals and plan effective cross-sector professional development strategies.
- **Early Childhood Higher Education Workgroup to eliminate barriers to obtaining degrees and educator licensure**
 - The grant will support representatives from higher education institutes to develop a share agenda to work on areas including aligning coursework and articulation agreements.

- **Create advanced professional development opportunities**
 - Creates an in-depth study courses on topics most pertinent to supporting children with high needs and offers a week-long Summer Institute focused on educators' practices
- **Health and safety consultation for early care and education programs**
 - RTT-ELC will increase the number of early childhood educators who are trained and supported in promoting health and wellness standards. Healthy eating habits, improved nutrition, expanded physical activity, providing information and guidance to families to promote healthy habits at home and participation with CACFP will be key areas of focus.
- **Training on using the new Kindergarten Readiness Survey (KRS)**
 - The KRS is being revised to more accurately record children's kindergarten readiness. Professional development will be provided so Kindergarten teachers who complete the tool understand the items and rating scales fully in order to provide good data and so ELDP professionals can use the data to help them fully prepare their students for Kindergarten.
- **Implement and sustain an Early Multi-tiered System of Supports (MTSS) framework at the state, regional and local level for social and emotional development, as well as literacy and numeracy skills.**
 - Early VT/MTSS utilizes a tiered framework of universal promotion, prevention and intervention for delivering a comprehensive range of evidence based practices to early childhood leaders, practitioners and families with the goal of improving learning and development for all infants, toddlers and young children.
 - Regional BBF Councils will be provided grants to build capacity, implement and sustain Early MTSS within their regions
- **Increase educators' reliable use of TS GOLD as an effective formative assessment from birth to grade 3 and use results to inform practices**
 - When educators have good information on children's early learning and development strengths and challenges, they can provide experiences that match individual children's development and families can become partners in supporting their children's growth.
 - The grant will fund trainings and onsite coaching for educators serving children birth – grade 3.
- **Provide a comprehensive system of training in the Classroom Assessment Scoring System (CLASS)**
 - CLASS assesses the quality of the adult-child interactions and child-child interactions, key factors in supporting child's learning and development
 - The system will cover educators of the birth-grade 3 span and will provide feedback and strategies for improving educators' practices.

➤ **Empowering Communities**

- **Engage and support Promise Communities**
 - Invite rural communities to mitigate achievement gaps for children by working to transform every aspect of the environment they grow up in. RTT-ELC will provide human, informational and financial resources to help citizens and stakeholders plan, imagine and work together across sectors to do "whatever it takes" to significantly improve the educational and developmental outcomes for children in their communities.
- **Pilot a Prek-Grade 3 approach that has been shown to narrow the opportunity gap for children with high needs**

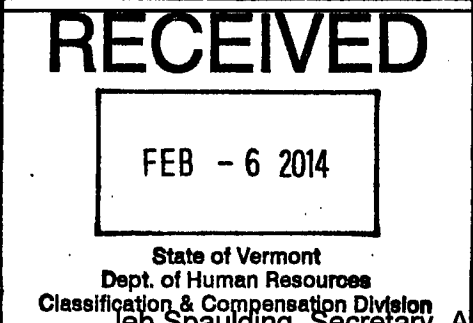
- Through an intensive three year relationship with First School, Prek-grade 3 communities from 3-4 supervisory unions with high percentages of high needs children will learn to use early learning and development practices that are aligned and effective.
- Create a cadre of Prek-grade 3 experts to work with other schools across the state.
- **Early Childhood Education Leadership Institute**
 - RTT-ELC will develop and implement an intensive learning experience for a diverse set of stakeholders engaged in transforming early learning and development in Vermont. Participants from across the state will be given an opportunity to understand the science and research that must inform action in this arena, grow relationships, and develop skills in leadership and cross-sector collaboration.
- **Vermont will use its existing governance structure in Building Bright Futures to assure broad partner and stakeholder participation and collaboration in the management and implementation of the reform agenda throughout the State**
 - Provide BBF the resources to be an effective and consistent leader and connector throughout the state to build up and support each local system of early care, health and education that conforms to statewide standards and reflects the unique characteristics of each region.

➤ **Ensure that we are Making a Difference**

- **Enhance Vermont's ability to measure its improvements in instruction, practices, services, and policies that support the health, development, and learning of children and their families by building a coordinated, integrated 21st Century early learning data system.**
- **Complete the Early Childhood Data and Reporting System (ECDRS) by the end of 2016 to address the needs of early learning programs, early childhood educators, parents, and the public-at-large for accessible, timely, and accurate data:**
 - ECDRS is a searchable integrated online repository and toolbox holding care, education, health, and program quality datasets about the well-being of young children, their families, and their communities
- **Close a critical data gap by developing and implementing a case management and data collection system for Children's Integrated Services (CIS)**
 - This will include integration of Home Visiting programs as well as other integrated services for young children and families.
- **Expand the State Longitudinal Data System (SLDS) to Include Early Childhood Data**
 - There are many separate databases that only provide a fragmented picture of how are children are doing. This strategy will link and integrate key databases to the SLDS for a complete picture.
- **Creation of a Birth through Grade 12 Data Governance Council**
 - Address the practical need to ensure that the State of Vermont has an enhanced coordinated, early learning data system across the Agency of Education, Agency of Human Services, and the Building Bright Futures State Advisory Council, Inc.
 - Develop and establish policies and procedures regarding transparency, security, the exchange of data, and an identify management system to enable the assignment of unique identifies when needed to protect privacy of individuals when needed and the matching of records among data systems.



VERMONT



Agency of Human Services
Business Office
208 Hurricane Lane, Suite 103
Williston, VT 05495
802-871-3005 fax 802-871-3001

TO: State of Vermont
Dept. of Human Resources
Classification & Compensation Division
Job Spaulding, Secretary, Agency of Administration

THROUGH: Molly Ordway-Paulger, Director of Classification, Compensation & HRIS
Department of Personnel

FROM: Douglas Racine, Secretary, Agency of Human Services

DATE: February 5, 2014

SUBJECT: AA-1 Request for Grant S412A130038
Race to the Top – Early Learning Challenge

The Office of the Governor was recently awarded the Race to the Top – Early Learning Challenge by the US Department of Education. This grant award provides funding in the amount of \$36,931,076 to improve the quality of early learning and development and close the achievement gap for children with high needs.

With this funding, Vermont plans four major investment strategies for these funds:

- Capitalize on the broad and deep professional linkages and relationship in a small, rural state to maximize service reach and effectiveness, and the efficient implementation of the State Plan
<http://governor.vermont.gov/sites/governor/files/VT%20ECH%20Framework%202010-29-13%20%282%29.pdf>;
- Invest in people through expanded personal and professional development to drive effectiveness and where needed, change;
- Improve standards, assessment and data integration to drive increased program quality and improvement and;
- Expand supports and services to improve outcomes for children in our highest need rural areas.

Associated with those tasks the Governor's Office, AHS and Education must hire the appropriate staff to facilitate and manage these responsibilities. The grant includes funds for positions at the Governor's Office (2), AHS (1-CO, 8-DCF, 3-VDH) and Education (2). Of the total positions, 16 limited service positions have been identified to hire which will be located in the Governor's Office, AHS (including CO, DCF and VDH) and Education. AHS Central Office will be the lead fiscal unit and will be responsible for the financial management of the grant. This work cannot currently be done by existing staff as the complexities and demands of

successfully implementing this grant require dedicated professional full-time focus. Please note that a decision is pending about the best way to proceed in building a web-based Children Integrated Services data system. The outcome of that decision may necessitate a future request of up to two additional IT positions.

Within the proposal was funding for Building Bright Futures, a Participating State Partner. As BBF is not a State agency or department, these costs should be re-allocated to contractual costs. The current budget has the costs for BBF in personnel, fringe benefits, etc. We have communicated with our Federal partner to reclassify, and they have supported the move from salaries to contractual with our required re-budget submission.

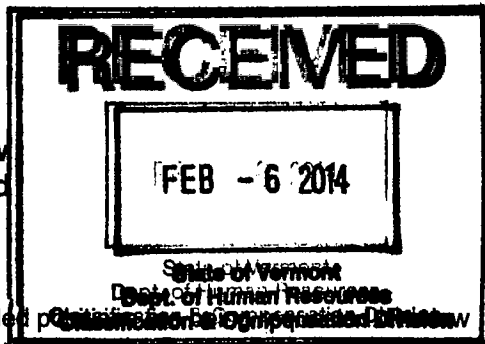
All required and relevant grant documentation has been attached to this request. Please note a supplemental excel sheet has been included to reflect all projected State fiscal year budgets relating to this grant. Also, note the budget reflected in the AA-1 is 98.6% of the proposed budget and noted on the Grant Award Notification. As part of the requirements of the grant award notification, detailed final scopes of work including timelines and budgets will be due within 90 calendar days of grant award (12/26/2013). If you have any questions or need further information, please contact Alyson Richards at (802) 828-3333. Thank you for your prompt attention to this matter.

Budget Categories	TOTAL	1/1/14-6/30/14 SFY14	7/1/14-6/30/15 SFY15	7/1/15-6/30/16 SFY16	7/1/16-6/30/17 SFY17	7/1/17-12/31/17 SFY18
Personnel	5,910,185	272,526	1,696,597	1,649,297	1,395,546	896,219
Fringe	1,649,388	109,035	463,554	450,630	381,299	244,870
Travel	474,500	58,550	125,176	121,686	102,964	66,124
Equipment	86,800	42,225	13,414	13,040	11,034	7,086
Supplies	397,777	87,537	93,364	90,761	76,797	49,319
Contractual	10,988,583	606,959	3,124,246	3,037,144	2,569,867	1,650,367
Training Stipends	-	-	-	-	-	-
Other	1,451,477	56,130	419,916	408,209	345,404	221,818
Total Direct	20,958,710	1,232,961	5,936,267	5,770,768	4,882,912	3,135,802
Indirect	1,886,438	160,510	519,401	504,920	427,236	274,371
Funds to Other	13,496,316	504,528	3,909,749	3,800,748	3,215,987	2,065,305
Tech. Assistance	589,612.00	25,000	169,914	165,177	139,764	89,756
Total Grant Funded	36,931,076	1,922,999	10,535,331	10,241,613	8,665,899	5,565,234
In-Kind	-	-	-	-	-	-
Ttl Grant & In-Kind	36,931,076	1,922,999	10,535,331	10,241,613	8,665,899	5,565,234

AA-1						
Expenses:						
Personal Services	1,149,029	5,803,798	5,641,992	4,773,949	3,065,826	
Oper. Expenses	244,442	651,870	633,696	536,199	344,347	
Grants	529,528	4,079,663	3,965,925	3,355,751	2,155,061	
Revenues:						
Direct	1,762,489	10,015,930	9,736,693	8,238,663	5,290,863	
Statewide Indirect	40,128	129,851	126,230	106,809	68,593	
Dept Indirect	120,382	389,550	378,690	320,427	205,778	

Appropriation No.	3400001000	490,431
	3420010000	125,000
	3420021000	125,000
	3440030000	1,182,568
	TOTAL NEED FY14	1,922,999

**STATE OF VERMONT
Joint Fiscal Committee Review
Limited Service - Grant Funded
Position Request Form**



This form is to be used by agencies and departments when additional grant funded positions are requested and approval by the Department of Human Resources must be obtained prior to review by the Department of Finance and Management. The Department of Finance will forward requests to the Joint Fiscal Office for JFC review. A Request for Classification Review Form (RFR) and an updated organizational chart showing to whom the new position(s) would report must be attached to this form. Please attach additional pages as necessary to provide enough detail.

Agency/Department: Agency of Human Services (on behalf of AHS, AOA & ED) Date: 1/17/2014

Name and Phone (of the person completing this request): Diane Cummings 802-871-3079

Request is for:

- Positions funded and attached to a new grant.
- Positions funded and attached to an existing grant approved by JFO # _____

1. Name of Granting Agency, Title of Grant, Grant Funding Detail (attach grant documents):

US Department of Education
Race to the Top-Early Learning Challenge
Grants Documents attached

2. List below titles, number of positions in each title, program area, and limited service end date (information should be based on grant award and should match information provided on the RFR) position(s) will be established only after JFC final approval:

Title* of Position(s) Requested # of Positions Division/Program Grant Funding Period/Anticipated End Date

See attached list of 16 new positions
Grant Period: begins 1/1/2014, ends 12/31/2017

*Final determination of title and pay grade to be made by the Department of Human Resources Classification Division upon submission and review of Request for Classification Review.

3. Justification for this request as an essential grant program need:

The purpose of the Race to the Top-Early Learning Challenge (RTT-ELC) program is to improve the quality of early learning and development and close the achievement gap for children with high needs. Failure to accept these grant funds will prohibit the state from moving forward with its plan for moving our early childhood system forward by improving quality and access of education and services.

I certify that this information is correct and that necessary funding, space and equipment for the above position(s) are available (required by 32 VSA Sec. 5(b)).

[Signature] 2/6/14
Signature of Agency or Department Head Date

[Signature] 2/6/14
Approved/Denied by Department of Human Resources Date

[Signature] 2/10/14
Approved/Denied by Finance and Management Date

[Signature] 02/18/14
Approved/Denied by Secretary of Administration Date

Comments:

FEB 07 2014

Race To The Top - Early Learning Challenge

Grant Performance Period 1/1/2014 - 12/31/2017

Dept	RFR Position Title (Proposal Title)	Pay Grade	% to Project
Governor's Office	Contract & Grant Administrator (Grant Manager)	24	100%
Governor's Office	Administrative Assistant A (Administrative Assistant)	17	100%
AHS	Financial Manager II (Fiscal Manager)	26	100%
DCF	Enterprise Business Analyst	26	100%
DCF	Systems Developer III	26	100%
DCF	Child Development Division Administrator (Contracts & Payment Specialist)	25	100%
DCF	Home Visiting Coordinator (Home Visiting Coordinator)	26	100%
DCF	Promise Communities Project Director (Promise Communities Project Manager)	28	100%
DCF	Promise Communities Specialist (Promise Communities Coaches)	22	100%
DCF	Promise Communities Specialist (Promise Communities Coaches)	22	100%
DCF	Promise Communities Specialist (Promise Communities Coaches)	22	100%
VDH	Public Health Analyst III (Home Visiting Data Analyst)	25	100%
VDH	Infant & Child Health Nurse Coordinator (HCCVT Service Coordinator)	25	100%
VDH	Child Development Coordinator (Help Me Grow Program Manager)	25	100%
ED	Education Consultant 1 (Project Coordinator)	22	100%
ED	Education Consultant 1 (RTT-ELC Assessment Coordinator)	22	50%
Total Positions - Limited Service Personnel			16.00

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BUDGET PART I: SUMMARY

BUDGET PART I - TABLES

OVERALL STATEWIDE BUDGET					
Budget Table I-1: Budget Summary by Budget Category					
(Evidence for selection criterion (A)(4)(b))					
Budget Categories	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1. Personnel	1,773,139	1,820,833	1,303,952	1,317,493	6,215,417
2. Fringe Benefits	437,033	451,771	454,919	458,281	1,802,004
3. Travel	126,500	121,000	113,500	113,500	474,500
4. Equipment	43,200	23,600	20,000	0	86,800
5. Supplies	128,560	106,159	81,529	81,529	397,777
6. Contractual	2,763,125	3,790,550	2,944,813	1,490,095	10,988,583
7. Training Stipends	0	0	0	0	0
8. Other	371,829	350,912	379,548	349,188	1,451,477
9. Total Direct Costs (add lines 1-8)	5,643,386	6,664,825	5,298,261	3,810,086	21,416,558
10. Indirect Costs*	563,046	551,187	450,803	406,104	1,971,140
11. Funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs, and other partners	1,589,949	3,252,176	4,404,551	4,249,640	13,496,316
12. Funds set aside for participation in grantee technical assistance	215,632	124,660	124,660	124,660	589,612
13. Total Grant Funds Requested (add lines 9-12)	8,012,013	10,592,848	10,278,275	8,590,490	37,473,626
14. Funds from other sources used to support the State Plan	16,216,675	15,217,327	14,957,755	15,207,626	61,599,383

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15. Total Statewide Budget (add lines 13-14)	24,228,688	25,810,175	25,236,030	23,798,116	99,073,009
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Columns (a) through (d): For each grant year for which funding is requested, show the total amount requested for each applicable budget category.

Column (e): Show the total amount requested for all grant years.

Line 6: Show the amount of funds allocated through contracts with vendors for products to be acquired and/or professional services to be provided. A State may apply its indirect cost rate only against the first \$25,000 of each contract included in line 6.

Line 10: If the State plans to request reimbursement for indirect costs, complete the Indirect Cost Information form at the end of this Budget section. Note that indirect costs are not allocated to line 11.

Line 11: Show the amount of funds to be distributed to localities, Early Learning Intermediary Organizations, Participating Programs, and other partners through contracts, interagency agreements, MOUs or any other subawards allowable under State procurement law. States are not required to provide budgets for how the localities, Early Learning Intermediary Organizations, Participating Programs, and other partners will use these funds. However, the Departments expect that, as part of the administration and oversight of the grant, States will monitor and track all expenditures to ensure that localities, Early Learning Intermediary Organizations, Participating Programs, and other partners spend these funds in accordance with the State Plan.

Line 12: The State must set aside \$400,000 from its grant funds for the purpose of participating in RTT-ELC grantee technical assistance activities facilitated by ED or HHS. This is primarily to be used for travel and may be allocated to Participating State Agencies evenly across the four years of the grant.

Line 13: This is the total funding requested under this grant.

OVERALL STATEWIDE BUDGET					
Budget Table I-2: Budget Summary by Participating State Agency					
(Evidence for selection criterion (A)(4)(b))					
Agency Name	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
Office of the Governor	532,532	336,156	338,897	346,750	1,554,335
Agency of Human Services	193,739	195,429	199,763	204,383	793,314
Agency of Education	1,889,596	1,689,745	3,508,490	2,365,569	9,453,400
Department of Children and Families	17,254,138	20,446,409	19,342,220	19,064,942	76,107,709

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Department of Health	2,113,044	1,101,172	650,672	650,672	4,515,560
Building Bright Futures	2,245,639	2,041,264	1,195,988	1,165,800	6,648,691
Total Statewide Budget	24,228,688	25,810,175	25,236,030	23,798,116	99,073,009

OVERALL STATEWIDE BUDGET					
Budget Table I-3: Budget Summary by Project					
(Evidence for selection criterion (A)(4)(b))					
Project	Grant Year 1 (a)	Grant Year 2 (b)	Grant Year 3 (c)	Grant Year 4 (d)	Total (e)
1: Governing and Managing	564,408	572,727	589,235	606,099	2,332,469
2: Supporting BBF Regional Councils	1,562,725	1,370,850	1,000,800	1,000,800	4,935,175
3: Building ECE Leadership	201,000	150,000	150,000	150,000	651,000
4: Expand Strengthening Families Child Care Programs	1,143,162	1,233,162	1,448,162	1,388,162	5,212,648
5: Annual STARS awards	923,500	1,005,895	1,122,263	1,289,401	4,341,059
6: Validating and Evaluation of STARS	79,625	154,625	129,625	54,625	418,500
7: Rating and Monitoring Early Learning and Development	372,133	404,796	444,090	438,217	1,659,236
8: Children's Integrated Services Specialized Child Care	2,984,580	3,087,144	3,189,708	3,292,272	12,553,704
9: Revised VELS & Dissemination/Training	352,682	236,684	157,697	128,643	875,706
10: Comprehensive Assessment Strategies	343,002	314,104	309,671	271,973	1,238,750

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11: Home Visiting	11,483,828	10,418,908	9,968,908	9,888,908	41,760,552
12: Health Care Consultation	374,332	366,206	366,576	366,576	1,473,690
13: Developmental Screening (Help Me Grow)	178,059	174,713	173,843	173,843	700,458
14: MTSS Supporting Socio-Emotional Development	331,585	347,793	370,025	324,922	1,374,325
15: Apprenticeship	375,030	385,700	394,204	411,379	1,566,313
16: Evaluate Implement of MATCH in VT	87,500	87,500	87,500	87,500	350,000
17: TEACH / Higher Ed	320,242	379,291	462,460	507,548	1,669,541
18: Workforce Study	184,625	104,625	0	0	289,250
19: Kindergarten Readiness Survey	170,436	90,655	22,573	10,938	294,602
20: CIS Data System	557,291	2,057,291	557,291	169,136	3,341,009
21: ECDRS	682,914	670,414	195,188	165,000	1,713,516
22: Data Governance	82,625	82,625	3,000	3,000	171,250
23: SLDS Pre-K Data	0	0	885,375	885,375	1,770,750
24: KRS Data	0	0	195,850	0	195,850
25: Part B Data	0	0	195,850	0	195,850
26: Interface SLDS and TS Gold	0	0	346,575	0	346,575

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27: Head Start / Early Head Start					285,850
28: Sustaining Program Effects into Early Elementary Grades					1,304,585
29: Promise Communities					6,050,796
Total Statewide Budget	21,269,651	20,223,307	19,814,807	19,785,820	99,073,009