



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: June 25, 2013
Subject: Grant Requests

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration, including the establishment of two (2) limited service positions.

JFO #2628 – \$878,205 donation (\$690,755 cash, \$173,100) from the estate of Mamie Thurston to the Vermont Department of Fish & Wildlife. These funds will be used to offset declining revenues to the Nongame Fund, and thereby support initiatives focused on endangered and threatened species.
[JFO received 06/19/13]

JFO #2629 – Request to establish **two (2) limited service positions** in the Department of Health. These positions will manage the Childhood Passenger Safety program. The program is funded via a grant to the Department of Public Safety, which has entered into an MOU with the Health Department to manage the program.
[JFO received 06/19/13]

Please review the enclosed materials and notify the Joint Fiscal Office (Nathan Lavery at (802) 828-1488; nlavery@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by July 9 we will assume that you agree to consider as final the Governor's acceptance of these requests.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

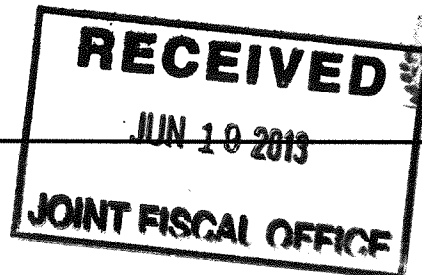
Agency of Administration

SFU 2628

**STATE OF VERMONT
 FINANCE & MANAGEMENT GRANT REVIEW FORM**

| | | | | | |
|---|--------------|--|-----------------------------|--------------|-----------------|
| Grant Summary: | | Donation of \$878,205 from the Mamie H. Thurston estate to the Fish and Wildlife Nongame Fund. | | | |
| Date: | | 6/6/2013 | | | |
| Department: | | ANR- Fish & Wildlife | | | |
| Legal Title of Grant: | | Mamie H. Thurston Donation | | | |
| Federal Catalog #: | | N/A | | | |
| Grant/Donor Name and Address: | | Mamie H. Thurston, 127 Goodnow Road, Berlin, VT | | | |
| Grant Period: | | From: | | To: | |
| Grant/Donation | | \$878,205; of which \$690,755 is cash, \$173,100 real estate and \$14,350 other assets. | | | |
| | SFY 1 | SFY 2 | SFY 3 | Total | Comments |
| Grant Amount: | \$878,205 | \$ | \$ | \$878,205 | |
| Position Information: | | # Positions | Explanation/Comments | | |
| | | 0 | | | |
| Additional Comments: | | | | | |
| Department of Finance & Management | | | 6/10/13 | (Initial) | |
| Secretary of Administration | | | 06/11/13 | (Initial) | |
| Sent To Joint Fiscal Office | | | 6/13/13 | Date 6/13/13 | |

6/6/13



Department of Fish and Wildlife1 National Life Drive, Davis 2
Montpelier, VT 05620-3702www.vtfishandwildlife.com[phone] 802-241-3700
[fax] 802-241-3732*Agency of Natural Resources*

TO: Jeb Spaulding, Secretary
Agency of Administration

THRU: Deborah Markowitz, Secretary *DM*
Agency of Natural Resources

Steve Chadwick, Director *SC for SC*
ANR Admin Services

Jason Aronowitz, Analyst
Department of Finance & Management

FROM: Patrick Berry, Commissioner *RB*
Fish & Wildlife Department

DATE: May 29, 2013

RE: Form AA-1, Mamie H. Thurston Property

Attached is the Department's Request for Grant Acceptance (Form AA-1) for assets from the Mamie H. Thurston Property valued at \$878,205. The executor of the estate has identified these assets and requested that they and the resulting income should be received by the Department's Nongame Fund. This fund is dedicated to the protection of Vermont's rare, threatened, and endangered species, natural communities, and the conservation of Vermont's wildlife heritage. The fund's focus is on wildlife species that are neither fished nor hunted as well as Vermont's native plants. As such, the Nongame Fund provides support for the Department's Wildlife Diversity Program responsible for monitoring and protecting species such as bats, turtles, amphibians, and invertebrates and their habitats. In addition, the program improves nesting sites for nongame birds, assists with local planning efforts, maps important habitats and natural communities, and engages in other supporting initiatives. Many of these species and activities are ineligible for the standard Federal Pittman-Robinson monies, which are limited to the conservation of birds and mammals.

The Department has historically relied almost solely upon Federal State Wildlife Grant (SWG) funds, Section 6 monies, and the Nongame Fund (conservation license plate sales and donations) to support the Wildlife Diversity Program. This grant to the Nongame Fund will provide sustainable funding for mission critical programs at a time when other funding sources for rare, threatened and endangered species have declined significantly. During the past three years the Department's Federal SWG funding has been reduced from a high of \$764,000 in FY10 to \$471,000 in FY13 (a 39% decline in 3 years). In addition, Section 6 monies have declined, while contributions to the Nongame Fund also decreased from \$205,000 in FY10 to an estimated \$150,000 in FY13 (a 27% decline). Meeting the Department's mission in the face of these funding declines is further challenged by increased threats related to climate change, habitat alteration/destruction, and disease. Without these additional funds, important initiatives aimed to meet these needs would have to be curtailed or eliminated.



During the next two to three years, this grant will allow the Department to offset at least a portion of the reduced funding and maintain the current capacity of the existing projects in the following ways:

- Fully fund ongoing SWG jobs that otherwise might have to be terminated
- Focus attention on reptile, amphibian, and invertebrate populations, some of which have disease concerns
- Update the Wildlife Action Plan for Species of Greatest Conservation Need as required by the Federal US Fish and Wildlife Service in order to maintain eligibility for future SWG funds
- Develop climate change resiliency priorities
- Map rare and irreplaceable natural communities and critical habitats.

Please let me know if you have any questions or need further information. Thank you for your assistance.

Cc: Steve Gomez



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

BASIC GRANT INFORMATION

| | | | |
|--|-------------------|--|------------|
| 1. Agency: | Natural Resources | | |
| 2. Department: | Fish & Wildlife | | |
| 3. Program: | Nongame Fund | | |
| 4. Legal Title of Grant: | | | |
| 5. Federal Catalog #: | | | |
| 6. Grant/Donor Name and Address: Mamie H. Thurston, 127 Goodnow Road, Berlin, VT | | | |
| 7. Grant Period: | From: | | To: |
| 8. Purpose of Grant: The Department of Fish & Wildlife Nongame Fund has been identified as a recipient of \$878,205 from the Mamie H. Thurston estate. These funds will be used to help offset the continuing decline of federal funds and the State's conservation plate program. This donation will allow the Department to continue funding nongame initiatives that are focused on endangered and threatened species including bats, turtles, birds, fish, lynx, marten, and invertebrates. These funds can be used to match existing federal dollars thus potentially increasing capacity. | | | |
| 9. Impact on existing program if grant is not Accepted: The Department's nongame funding options have decreased steadily over the past couple of years. The available federal funding has been reduced from a high of \$764,000 in FY10 to \$471,000 in FY13. In addition, Section 6 funds that are normally applied to the conservation of federally endangered species in Vermont were also significantly cut this year. Conservation license plate sales and donations to the nongame fund have likewise decreased in the last three years. If this grant is not accepted the Department will have to eliminate and/or reduce the capacity of numerous non-game initiatives including, but not limited to: <ul style="list-style-type: none"> • The adequate conclusion of ongoing SWG jobs, • The focus on reptile, amphibian, and invertebrate populations some of which have disease concerns • Updating the Wildlife Action Plan for Species of Greatest Conservation Need as required by the Federal US Fish and Wildlife Service in order to maintain eligibility for future SWG funds • Developing climate change resiliency priorities • Mapping rare and irreplaceable natural communities and critical habitats. | | | |

10. BUDGET INFORMATION

| | SFY 1 | SFY 2 | SFY 3 | Comments |
|----------------------|------------------|------------------|------------------|----------|
| | FY 14 | FY 15 | FY 16 | |
| Expenditures: | | | | |
| Personal Services | \$248,200 | \$248,200 | \$248,200 | |
| Operating Expenses | \$14,367 | \$14,367 | \$14,367 | |
| Grants | \$30,168 | \$30,168 | \$30,168 | |
| Total | \$292,735 | \$292,735 | \$292,735 | |
| Revenues: | | | | |
| State Funds: | \$ | \$ | \$ | |
| Cash | \$ | \$ | \$ | |
| In-Kind | \$ | \$ | \$ | |
| Federal Funds: | \$ | \$ | \$ | |

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

| | | | |
|-------------------------|-----------|-----|-----|
| (Direct Costs) | \$ | \$ | \$ |
| (Statewide Indirect) | \$ | \$ | \$ |
| (Departmental Indirect) | \$ | \$ | \$ |
| Other Funds: | \$ | \$ | \$ |
| Grant (source Donation) | \$878,205 | \$ | \$ |
| Total | \$878,205 | \$0 | \$0 |

| | | |
|--------------------------|----------------|----|
| Appropriation No: | Amount: | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | | \$ |
| | Total | \$ |

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: Patrick Berry Agreed by: PKB (initial)

| | | |
|--|--------------------|--------------|
| 12. Limited Service Position Information: | # Positions | Title |
| | | |
| | | |
| | | |
| Total Positions | | |

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

| | | |
|--|--|----------------------|
| I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable): | Signature: <u>Richard D. Pagan</u> | Date: <u>5/30/13</u> |
| | Title: <u>Special Asst. to the Commissioner</u> | |
| | Signature: <u>Paul M. A.</u> | Date: <u>5/31/13</u> |
| | Title: <u>SECRETARY, AGENCY OF NATURAL RESOURCES</u> | |

14. SECRETARY OF ADMINISTRATION

Approved: [Signature] (Secretary or designee signature) Date: 06/11/13

15. ACTION BY GOVERNOR

| | | |
|-----------------------------------|---|----------------------|
| <input type="checkbox"/> Accepted | | |
| <input type="checkbox"/> Rejected | <u>[Signature]</u> (Governor's signature) | Date: <u>6/13/13</u> |

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

- | | |
|---|---|
| <input type="checkbox"/> Request Memo | <input type="checkbox"/> Notice of Donation (if any) |
| <input type="checkbox"/> Dept. project approval (if applicable) | <input type="checkbox"/> Grant (Project) Timeline (if applicable) |
| <input type="checkbox"/> Notice of Award | <input type="checkbox"/> Request for Extension (if applicable) |
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Form AA-1PN attached (if applicable) |
| <input type="checkbox"/> Grant Budget | |

End Form AA-1

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

| Mamie H. Thurston Property | | |
|-----------------------------------|-------------------------|---------------|
| March 31, 2013 | | |
| <u>Bank/Fund/Account</u> | <u>Account/Fund No.</u> | <u>Amount</u> |
| Northfield - savings | 1000031698 | \$29,571 |
| Northfield - checking | 6050116919 | \$5,512 |
| TD Bank - CD | 3600785 | \$101,818 |
| VSECU - savings | | \$13,070 |
| VSECU - CD | 59610701 | \$106,058 |
| VSECU - CD | 101061328 | \$76,222 |
| VSECU - CD | 101129796 | \$97,277 |
| MFS Investment | 5961000 | \$5,077 |
| U.S. Savings Bonds | various | \$20,109 |
| U.S. Treasury | 1000-102-6897 | \$40,000 |
| U.S. Treasury | 1000-106-1333 | \$40,000 |
| Vanguard - 98920161 | Fund # 549 | \$58,248 |
| (Acct 98920161) | Fund # 571 | \$97,793 |
| SUBTOTAL | | \$690,755 |
| Real Estate | Home - Berlin, VT | \$173,100 |
| <u>Other Property</u> | <u>Description</u> | <u>Amount</u> |
| Auto | Toyota Camray | \$7,500 |
| Farm Tractor | Ford 3600 (2990 hrs) | \$5,000 |
| Garden Tractor | JD LT155 - 15 hp | \$400 |
| Firearms | | \$450 |
| Furniture, appliances | various | \$1,000 |
| | | \$14,350 |
| TOTAL | | \$878,205 |

Last Will and Testament

OF
MAMIE THURSTON

I, Mamie Thurston, of Berlin, County of Washington and State of Vermont, being of sound mind and memory do MAKE, PUBLISH and DECLARE this to be my last Will and Testament, hereby revoking all previous Wills and Codicils heretofore made by me.

FIRST: I hereby direct my Executrix, hereinafter named, to pay all my legally enforceable debts and funeral expenses, including the expense of my last illness and the expense of administration as soon as convenient after my decease.

SECOND: I hereby give, devise and bequeath to my friend Patricia Griffin, currently of Calais, Vermont, the sum of FIVE THOUSAND (\$5,000.00) DOLLARS.

THIRD: While I am mindful of my five nieces, it is my conscious decision to refrain from leaving them anything.

FOURTH: The rest, residue and remainder of my property, be it real, personal or mixed, of whatsoever kind and wheresoever situated, I hereby give, devise and bequeath to the Vermont Fish and Wildlife Department.

FIFTH: I hereby nominate and appoint my friend, Patricia Griffin, currently of Calais, Vermont, as Executrix of this, my Last Will and Testament. It is my desire that my Executrix should serve without bond or signing of surety.

IN WITNESS WHEREOF, I HEREUNTO SET MY HAND AND SEAL THIS
8th DAY OF June 2011.

Mamie Thurston
Mamie Thurston

Signed, sealed, published and declared by said Mamie Thurston to be her Last Will and Testament, in the presence of us, who, at her request and in her presence and in the presence of each other have hereunto subscribed our names as witnesses, we and each of us believing the said Mamie Thurston to be of sound and disposing mind and memory.

[Signature] residing at Williamstown, VT

[Signature] residing at Montpelier, VT

[Signature] residing at Montpelier, VT