



Mailing Address:
1 Baldwin Street
Drawer 33
Montpelier, Vermont 05633-5701

Tel.: (802) 828-2295
Fax: (802) 828-2483

STATE OF VERMONT
JOINT FISCAL COMMITTEE
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: James Reardon, Commissioner of Finance & Management

From: Rebecca Buck, Staff Associate *RB*

Date: December 28, 2007

Subject: Status of Grant Request

No Joint Fiscal Committee member has requested that the following item be held for review:

JFO #2306 - \$447,115 grant from the U.S. Department of Justice to the Agency of Transportation, Department of Motor Vehicles. These funds will be used to develop and implement a National Motor Vehicle Titling Information System interface which will allow the state to instantly and reliably verify title information.

[JFO received 11/28/07]

In accordance with 32 V.S.A. §5, the requisite 30 days having elapsed since this item was submitted to the Joint Fiscal Committee, the Governor's approval may now be considered final. We ask that you inform the Secretary of Administration and your staff of this action.

cc: Linda Morse
Neale Lunderville
Bonnie Rutledge

From: "Hemond, Ellen" <Ellen.Hemond@state.vt.us>
To: "Rebecca Buck" <rbuck@leg.state.vt.us>
Date: 12/3/2007 2:39 PM
Subject: RE: Questions from Rep. Obuchowski re: JFO #2306

CC: "Michael Obuchowski" <OBIE@leg.state.vt.us>, "Steve Klein" <SKLEIN@leg.s...
In response to your questions:

1. There has been no authorization for work to proceed on this activity as the grant acceptance is pending. Once the grant is approved, we will need to approve a Change Control Request(CCR) form and modify the contract for the additional amount. At that point the vendor will begin work.

2. I can't say for sure when the timeline will start but I can estimate it. If the grant is approved 12/28, we can then approve the CCR and start the contract modification process. This generally takes around 6 weeks which would put the start of work at mid February. Development would begin immediately with system and UAT testing planned for the April/May timeframe. NMVTIS will be piloted at the same time VTDrives is (September/October 08) and implemented with the rest of the modernization system, planned for late November 2008.

If you need additional information, please let me know.

-----Original Message-----

From: Rebecca Buck [mailto:rbuck@leg.state.vt.us]
Sent: Monday, December 03, 2007 2:10 PM
To: Hemond, Ellen
Cc: Michael Obuchowski; Steve Klein; Stevens, JoAnn
Subject: Questions from Rep. Obuchowski re: JFO #2306

Good afternoon Ellen. While you were on vacation JoAnn Stevens in your office provided material and back up information I requested regarding Joint Fiscal Committee approval of JFO #2306 (\$447,115 grant for National Motor Vehicle Titling Information System). This item was mailed last week to the Joint Fiscal Committee members and I now have questions from Representative Michael Obuchowski regarding this item:

1) It is noted that in material provided there is currently a DMV systems modernization project being undertaken. And while an e-mail from JoAnn to me dated 11/28/07 does state that no money has been expended for this NMVTIS grant, Rep. Obuchowski would like to know if any of the work in connection with this grant has been done?

2) In the back up material provided at my request, JoAnn also provided a timeline. This timeline is for the entire VTDrives application and notes there isn't a specific timeline for NMVTIS. Rep. Obuchowski has requested a timeline schedule for NMVTIS.

If you need additional clarification on these questions and/or how the 30 day process works, don't hesitate to give me a call at X 5969.

With regard to these questions, I request that you respond directly to Representative Michael Obuchowski at his above e-mail address and cc me on your response. Thank you. --Becky

From: Rebecca Buck
To: ellen.hemond@state.vt.us
Subject: Questions from Rep. Obuchowski re: JFO #2306

Good afternoon Ellen. While you were on vacation JoAnn Stevens in your office provided material and back up information I requested regarding Joint Fiscal Committee approval of JFO #2306 (\$447,115 grant for National Motor Vehicle Titling Information System). This item was mailed last week to the Joint Fiscal Committee members and I now have questions from Representative Michael Obuchowski regarding this item:

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2) In the back up material provided at my request, JoAnn also provided a timeline. This timeline is for the entire VTDrives application and notes there isn't a specific timeline for NMVTIS. Rep. Obuchowski has requested a timeline schedule for NMVTIS.

If you need additional clarification on these questions and/or how the 30 day process works, don't hesitate to give me a call at X 5969.

With regard to these questions, I request that you respond directly to Representative Michael Obuchowski at his above e-mail address and cc me on your response. Thank you. --Becky

CC: Klein, Steve; Obuchowski, Michael; Stevens, JoAnn



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Drawer 33
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STATE OF VERMONT
JOINT FISCAL COMMITTEE
1 Baldwin Street
Montpelier, Vermont 05633-5701

MEMORANDUM

To: Joint Fiscal Committee Members

From: Rebecca Buck, Staff Associate *RB*

Date: November 29, 2007

Subject: Grant Requests

Enclosed please find four (4) requests that the Joint Fiscal Office recently received from the Administration:

JFO #2303 – \$40,000 grant from the U.S. Fish and Wildlife Service to the Department of Forests, Parks & Recreation. These grant funds will be used to support a temporary wetland restoration specialist position over the next two years. The wetland restoration specialist will be housed in Essex office of the U.S. Fish and Wildlife Service and will work with the state and federal offices to restore wetland habitat in the Lake Champlain watershed.

[JFO received 11/21/07]

JFO #2304 - \$33,000 grant from the U.S. Department of Agriculture to the Agency of Agriculture, Food and Markets. These funds will be used by the Agency to enhance chronic wasting disease surveillance and cooperatively aid in the identification of captive cervids.

[JFO received 11/26/07]

JFO #2305 - \$101,397.90 grant from the U.S. Department of Agriculture to the Agency of Agriculture, Food and Markets. These funds will be used by the Agency to enhance the competitiveness of specialty crops produced in Vermont by assisting eligible industry sectors increase sales directly to consumers, to domestic wholesale accounts, and through export channels.

[JFO received 11/26/07]

JFO #2306 - \$447,115 grant from the U.S. Department of Justice to the Agency of Transportation, Department of Motor Vehicles. These funds will be used to develop and implement a National Motor Vehicle Titling Information System interface which will allow the state to instantly and reliably verify title information.

[JFO received 11/28/07]

The Joint Fiscal Office has reviewed these submissions and has determined that all appropriate forms bearing the necessary approvals are in order.

In accordance with the procedures for processing such requests, we ask you to review the enclosed and notify the Joint Fiscal Office (Rebecca Buck at 802/828-5969; rbuck@leg.state.vt.us or Stephen Klein at 802/828-5769; sklein@leg.state.vt.us) if you would like this item held for legislative review. Unless we hear from you to the contrary by December 13 we will assume that you agree to consider as final the Governor's acceptance of this request.

cc: James Reardon, Commissioner
Linda Morse, Administrative Assistant
George Crombie, Secretary
Jonathan Wood, Commissioner
Roger Albee, Secretary
Neale Lunderville, Secretary
Bonnie Rutledge, Commissioner

JFO #
2306

STATE OF VERMONT
GRANT ACCEPTANCE FORM

GRANT SUMMARY: Title: National Motor Vehicle Titling Information System Interface

This is a request for approval of a grant from US DOJ for \$447,115.00 for the development and implementation of a national motor vehicle titling systems interface to improve interstate title information verification and law enforcement for vehicle thefts so identified. This work will be accomplished through a contract amendment to the existing contract for the DMV systems modernization project.

DATE: October 31, 2007

DEPARTMENT: *AOT*

GRANT / DONATION: \$447,115.00

FEDERAL CATALOG No.: 2007-DD-BX-0707

GRANTOR / DONOR: US DOJ

AMOUNT / VALUE: \$447,115.00

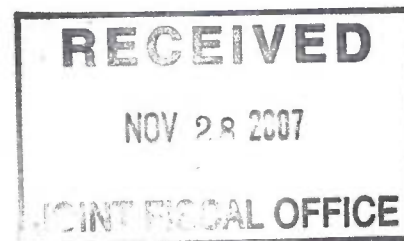
POSITIONS REQUESTED: None.

GRANT PERIOD: 10/01/2007 – 9/30/2008.

COMMENTS: See attachments.

DEPARTMENT OF FINANCE AND MANAGEMENT: *A*
SECRETARY OF ADMINISTRATION
SENT TO JOINT FISCAL OFFICE:

(INITIAL) *[Signature]*
(INITIAL) *[Signature]*
DATE: *11/15/07*



STATE OF VERMONT
REQUEST FOR GRANT ACCEPTANCE
(use additional sheets as needed)

FORM AA-1
(Rev. 9-90)

1. Agency: Transportation
2. Department: Motor Vehicles
3. Program: Registration & Title
4. Legal Title of Grant: National Motor Vehicle titling Information System Interface
5. Federal Catalog No.: 16.580
6. Grantor and Office Address:

Department of Justice
Office of Justice Program
810 Seventh St NW 5th Floor
Washington DC 20531

7. Grant Period: From: 10/1/2007 To: 9/30/2008

8. Purpose of Grant: (attach additional sheets if needed)
VT DMV will use the grant funds to develop and implement a National Motor Vehicle Titling Information System (MNVITIS) interface that will allow the State to instantly and reliably verify information on the paper title with the electronic data from the state that issued the title. MNVITIS is a tool for law enforcement to investigate vehicles thefts identified during the titling process.

9. Impact on Existing Programs if Grant is not Accepted:
Valuable service improvement will not occur.

| 10. Budget Information: | (1st State FY) FY 2008 | (2nd State FY) FY 2009 | (3rd State FY) FY 2010 |
|-------------------------|---------------------------|---------------------------|---------------------------|
| EXPENDITURES: | | | |
| Personal Services | \$ 200,000.00 | ### 247,115.00 | \$ |
| Operating Expenses | \$ | \$ | \$ |
| Grants | \$ | \$ | \$ |
| TOTAL | \$ 200,000.00 | \$ 247,115.00 | \$ 0.00 |
| REVENUES: | | | |
| <u>State Funds:</u> | | | |
| Cash | \$ | \$ | \$ |
| In-Kind | \$ | \$ | \$ |
| <u>Federal Funds:</u> | | | |
| (Direct Costs) | \$ 200,000.00 | \$ 247,115.00 | \$ |
| (Statewide Indirect) | \$ | \$ | \$ |
| (Department Indirect) | \$ | \$ | \$ |
| <u>Other Funds:</u> | | | |
| (source) Grant | \$ | \$ | \$ |
| TOTAL | \$ 200,000.00 | \$ 247,115.00 | \$ 0.00 |

| | |
|---------------------------|----------------|
| <u>Appropriation Nos.</u> | <u>Amounts</u> |
| 8100002100 20165 | \$ 447,115 |
| | \$ |
| | \$ |

-over-

REC'D OCT 01 2007

11. Will grant monies be spent by one or more personal service contracts?

YES NO

If YES, signature of appointing authority here indicates intent to follow current guidelines on bidding.

X Bonnie L Rutledge

12a. Please list any requested Limited Service positions:

| Titles | Number of Positions |
|------------------------|---------------------|
| Not applicable | |
| TOTAL Positions | 0 |

12b. Equipment and space for these positions:

- Is presently available.
 Can be obtained with available funds.

13. Signature of Appointing Authority

I certify that no funds have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant.

Bonnie L Rutledge 9/27/07
 (Signature) (Date)
Commissioner
 (Title)

14. Action by Governor:

- Approved
 Rejected

[Signature] 11/14/07
 (Signature) (Date)

15. Secretary of Administration:

- Request to JFO
 Information to JFO

[Signature] 11/6/07
 (Signature) (Date)

16. Action by Joint Fiscal Committee:

(Dates)

- Request to be placed on JFC agenda
 Approved (not placed on agenda in 30 days)
 Approved by JFC
 Rejected by JFC
 Approved by Legislature

 (Signature) (Date)



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 24, 2007

Secretary Neale Lunderville
Vermont Agency of Transportation
120 State Street
Room 306A
Montpelier, VT 05603

Dear Secretary Lunderville:

On behalf of the Attorney General, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 2007 Byrne Discretionary: Facilitating Justice Information Sharing in the amount of \$447,115 for Vermont Agency of Transportation.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Flora Lawson, Program Manager at (202) 305-9216; and
- Financial Questions, the Office of the Comptroller, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.oc@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in cursive script that reads "Regina B. Schofield".

Regina B. Schofield
Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

PAGE 1 OF 3

| | | | |
|---|----------------------------|--|----------------------|
| 1. RECIPIENT NAME AND ADDRESS (Including Zip Code) Vermont Agency of Transportation 120 State Street Room 306A Montpelier, VT 05603 | | 4. AWARD NUMBER: 2007-DD-BX-0707 | |
| | | 5. PROJECT PERIOD: FROM 10/01/2007 TO 09/30/2008 BUDGET PERIOD: FROM 10/01/2007 TO 09/30/2008 | |
| | | 6. AWARD DATE 09/24/2007 | 7. ACTION Initial |
| 1A. GRANTEE IRS/VENDOR NO. 036000279 | 8. SUPPLEMENT NUMBER 00 | | |
| | | 9. PREVIOUS AWARD AMOUNT \$ 0 | |
| 3. PROJECT TITLE National Motor Vehicle Titling Information System Interface | | 10. AMOUNT OF THIS AWARD \$ 447,115 | |
| | | 11. TOTAL AWARD \$ 447,115 | |
| 12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S). | | | |
| 13. STATUTORY AUTHORITY FOR GRANT This project is supported under Pub. L. No. 110-5, embedded secs. 101-104; Pub. L. No. 109-108, 119 Stat. 2290, 2230; 42 U.S.C. 3760 -3762a as in effect on Sept. 30, 2006 (FY 2007 "Byrne Discretionary") | | | |
| 15. METHOD OF PAYMENT PAPRS | | | |
| AGENCY APPROVAL | | GRANTEE ACCEPTANCE | |
| 16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Regina B. Schofield Assistant Attorney General | | 18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Neale Lunderville Secretary | |
| 17. SIGNATURE OF APPROVING OFFICIAL | | 19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL | 19A. DATE |
| AGENCY USE ONLY | | | |
| 20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OFC. REG. SUB. POMS AMOUNT X B D6 80 00 00 447115 | | 21. D607U00242 | |

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



Department of Justice
Office of Justice Programs
**Bureau of Justice
Assistance**

**AWARD CONTINUATION
SHEET
Grant**

PAGE 2 OF 3

PROJECT NUMBER 2007-DD-BX-0707

AWARD DATE 09/24/2007

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, as further described in the current edition of the OJP Financial Guide, Chapter 19.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient agrees to submit to BJA for review and approval any curricula, training materials, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date.
6. The recipient agrees to track and report to BJA on its training and technical assistance activities and deliverables progress using the guidance and format provided by BJA.
7. To support public safety and justice information sharing, OJP requires the grantee to use the National Information Exchange Model (NIEM) specifications and guidelines for this particular grant. Grantee shall publish and make available without restriction all schemas generated as a result of this grant to the component registry as specified in the guidelines. For more information on compliance with this special condition, visit <http://www.niem.gov/implementationguide.php>.
8. The recipient agrees that any information technology system funded or supported by OJP funds will comply with 28 C.F.R. Part 23, Criminal Intelligence Systems Operating Policies, if OJP determines this regulation to be applicable. Should OJP determine 28 C.F.R. Part 23 to be applicable, OJP may, at its discretion, perform audits of the system, as per 28 C.F.R. 23.20(g). Should any violation of 28 C.F.R. Part 23 occur, the recipient may be fined as per 42 U.S.C. 3789g(c)-(d). Recipient may not satisfy such a fine with federal funds.
9. To avoid duplicating existing networks or IT systems in any initiatives funded by BJA for law enforcement information sharing systems which involve interstate connectivity between jurisdiction, such systems shall employ, to the extent possible, existing networks as the communication backbone to achieve interstate connectivity, unless the grantee can demonstrate to the satisfaction of BJA that this requirement would not be cost effective or would impair the functionality of an existing or proposed IT system.
10. The recipient agrees that all income generated as a direct result of this award shall be deemed program income. All program income must be accounted for and used for the purposes under the conditions applicable for the use of funds under this award, including the effective edition of the OJP Financial Guide and, as applicable, either (1) 28 C.F.R. part 66 or (2) 28 C.F.R part 70 and OMB Circular A-110. Further, the use of program income must be shown on the quarterly Financial Status Report, SF269.



Department of Justice
Office of Justice Programs
**Bureau of Justice
Assistance**

**AWARD CONTINUATION
SHEET
Grant**

PAGE 3 OF 3

PROJECT NUMBER 2007-DD-BX-0707

AWARD DATE 09/24/2007

SPECIAL CONDITIONS

11. All contracts under this award should be competitively awarded unless circumstances preclude competition. When a contract amount exceeds \$100,000 and there has been no competition for the award, the recipient must comply with rules governing sole source procurement found in the current edition of the OJP Financial Guide.
12. Approval of this award does not indicate approval of any consultant rate in excess of \$450 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.
13. The recipient shall submit to BJA one copy of all reports and proposed publications resulting from this agreement thirty (30) days prior to public release. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. 2007-DD-BX-0707 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. Points of view or opinions in this document are those of the author and do not represent the official position or policies of the United State Department of Justice." The current edition of the OJP Financial Guide provides guidance on allowable printing activities.
14. The applicant budget is pending review or approval. The recipient may not obligate, expend or draw down any grant funds until the Office of the Comptroller, Office of Justice Programs has issued clearance of the application budget, and a Grant Adjustment Notice has been issued removing this special condition.
15. No portion of these federal grant funds shall be used towards any part of the annual cash compensation of any employee of the grantee whose total annual cash compensation exceeds 110% of the maximum salary payable to a member of the Federal government's Senior Executive Service at an agency with a Certified SES Performance Appraisal System for that year.

From: "Stevens, JoAnn" <JoAnn.Stevens@state.vt.us>
To: "Rebecca Buck" <rbuck@leg.state.vt.us>
Date: 11/27/2007 3:48 PM
Subject: RE: DMV NMVTIS GRANT REQUEST

Becky,

I am sending this for you to take a look at and let me know if this would be sufficient enough to submit with our grant. Please review and advise.

Thank you!

Jo Ann

Development: Currently underway, scheduled to complete 1/31/08

System Testing: 1/7/08 - 1/22/08

User Acceptance Testing: 4/14/08 - 8/15/08 *

Pilot: 9/15/08 - 10/24/08 *

Implementation: Setup begins on 10/27/08 and GO LIVE is scheduled for 11/17/08

* This is for the entire VTDrives application, there is no specific timeline for NMVTIS

Naturally, these dates are subject to change as the project progresses.

Evan Fairchild

www.sabercorp.com <<http://www.sabercorp.com>>

Jo Ann Stevens

Business Manager A

State of Vermont DMV

Agency of Transportation

(802) 828-2023

joann.stevens@state.vt.us

-----Original Message-----

From: Rebecca Buck [<mailto:rbuck@leg.state.vt.us> <<mailto:rbuck@leg.state.vt.us>>]

Sent: Monday, November 19, 2007 2:43 PM

To: Stevens, JoAnn

Subject: Re: DMV Grant Request - NMVTIS

Jo Ann. It's not a problem for me at all. I'll just hold onto everything submitted so far and wait to hear back from you next Monday. Then once everything is here and looks to be complete I can log it in and begin the process. In the meantime have a safe and happy Thanksgiving holiday.
--Becky

>>> "Stevens, JoAnn" <JoAnn.Stevens@state.vt.us> 11/19/2007 2:33 PM >>>

Becky,

I have been trying to locate someone all day to obtain the information that you require. Unfortunately, the contact persons that are needed are on vacation. They will be back on Monday and that is the best that I can do at this point - I hope that will not cause a problem for you.

Thank you,

Jo Ann

Jo Ann Stevens

Business Manager A

State of Vermont DMV

Agency of Transportation

(802) 828-2023

joann.stevens@state.vt.us

Jo Ann Stevens
Business Manager A
State of Vermont DMV
Agency of Transportation
(802) 828-2023
joann.stevens@state.vt.us

From: "Stevens, JoAnn" <JoAnn.Stevens@state.vt.us>
To: "Rebecca Buck" <rbuck@leg.state.vt.us>
Date: 11/28/2007 8:26 AM
Subject: DMV Grant Request NMVTIS
Attachments: 200701_VT CCR 3 - NMVTIS_v1.doc

Becky,

There has been no money expended for this grant - this is the preliminary agreement that is going to be used but cannot be signed until there is grant approval. There will also be contract modifications and a review done but none of these things will occur until after the money has been approved. If you have any further questions regarding this grant - Ellen Hemond has returned from vacation and can be reached at 828-0188.

Please review and advise.

Thank you!

Jo Ann Stevens


Jo Ann Stevens
Business Manager A
State of Vermont DMV
Agency of Transportation
(802) 828-2023
joann.stevens@state.vt.us

From: Pierson, Dave
Sent: Wednesday, November 28, 2007 7:55 AM
To: Stevens, JoAnn
Subject: NMVTIS change request

Attached

This describes in more detail what will be done for this interface.

David Pierson
IT Manager
VT Agency of Transportation
Dave.Pierson@state.vt.us <BLOCKED::mailto:Dave.Pierson@state.vt.us>
802.828.5162



System Modernization for the Vermont Department of Motor Vehicles

Change Control Request
CCR-003

Interface-NMVTIS

Version 1.0

Submitted to:
Vermont Department of
Motor Vehicles (VtDMV)

Submitted by:
Saber

January 16, 2007



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software products and services that
enable government to better serve citizens.*

Revision History

| 01/16/2007 | 1.0 | Document Creation | Lamar Strickland |
|------------|-----|-------------------|------------------|
| | | | |

**Vermont Department of Motor Vehicles
Systems Modernization Project
Change Control Request**

A. General Information

Information to be provided in this section gives a specific name to the project as well as pertinent information about the personnel involved.

| | | | |
|----------------------------|---|---|-----------------|
| Project Name: | Vermont DMV Systems Modernization Project | Date: | 01/16/07 |
| Controlling Agency: | DMV | VT Contract Modification Number: | 10046A |
| Prepared by: | Lamar Strickland | Control Number: (From Control Log) | 003 |

B. Requestor Information

Proposed Change Description and References:

The requestor will provide information concerning the requested change along with any supporting documentation.

Support for interfacing with the AAMVA NMVTIS application is proposed as a change to the Phase II scope. VT Drives will interface with NMVTIS for the following high-level Titling transactions:

- New Vehicle Inquiry
- Used Vehicle Inquiry
- Vehicle History Inquiry
- Brand Inquiry
- Add Title
- Add Brand
- In-State Title Transfer or Change
- Change State of Title

The integration with NMVTIS will be using the real-time mode. VT Drives transactions will programmatically issue the appropriate NMVTIS messages and process the responses. The integration will also support responding to Inquiry messages and Change State of Title messages from other jurisdictions.

Justification:

Development and implementation of the NMVTIS interface will allow VT to instantly and reliably verify the information on the paper title with the electronic data from the state that issued the title. Full, online participation in NMVTIS satisfies the system requirements for each state under the Anti-Car Act.

Impact of Not Implementing Proposed Change:

Vermont would be required to develop its own interface to NMVTIS.

Alternatives:

Vermont could implement its own interface to NMVTIS. Batch mode interface to NMVTIS is considered to be an interim step to full, online mode.

C. Initial Review Results of the Change Request

| | |
|---|--------------------------------------|
| Initial Review Date: 01/16/07 | Assigned to: Lamar Strickland |
| <input checked="" type="checkbox"/> Approve for Impact Analysis | |
| <input type="checkbox"/> Reject | |
| <input type="checkbox"/> Defer Until: | |
| Reason: All parties agree that the CCR should be further analyzed. | |

D. Initial Impact Analysis

| | |
|--|---|
| Baselines Affected: The Phase II VtDMV Project Plan will be modified to accommodate the development activities. | |
| Price / Schedule Impact Analysis Required? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Impact on Price: \$447,115 | |
| Impact on Schedule: The overall Phase II delivery milestone will not be extended. | |
| Impact on Resources: Additional 511 person days. | |
| Impact on Payment: Final Phase II payment will include CCR price. | |

| |
|---|
| Final Review Results: The impact analysis has determined an additional cost of \$447,115 to develop the fully functional, online NMVTIS interface. An additional resource allocation of 511 person days will |
|---|

be required for all phases of development and implementation of the interface. The overall Phase II milestone dates will not be changed.

Review Date: 01/16/07

Classification: **HIGH** **MEDIUM** **LOW**

Refer to NMVTIS System Specification for Online Processing V2.1.1 for detailed functional requirements of the interface.

E. Impact Analysis Results

Specific Requirements Definition:

NMVTIS functionality as specified in the NMVTIS System Specification for Online Processing V2.1.1 (March 2005) manual will be supported in the interface specification.

The following NMVTIS transactions will be supported (from System Specification for Online Processing):

| Tran | Tran Desc |
|-------------|---|
| NM01 | New Vehicle Inquiry |
| NM02 | Add Title |
| NM03 | Add Title Undo |
| NM04 | Used Vehicle Inquiry |
| NM05 | In-State Title Transfer or Change Data |
| NM06 | Change State of Title |
| NM07 | Change State of Title Undo |
| NM08 | Brand Inquiry |
| NM09 | Set Purge Indicator |
| NM10 | In-State Title Transfer / Change Title Undo |
| NM12 | Add Brand |
| NM13 | Brand Add Undo |
| NM14 | Vehicle History Inquiry |

Implementation of these NMVTIS transitions will require modification or extension of the corresponding VT Drives Titling transactions and screens.

The following NMVTIS message will be supported (from System Specification for Online Processing):

| Msg | Msg Desc |
|------------|---------------------------------|
| C1 | SET PURGE INDICATOR CONFIRM |
| C2 | ADD TITLE UNDO CONFIRMATION |
| C3 | RESTORE STATE OF TITLE CONFIRM |
| C4 | CHANGE STATE OF TITLE UNDO CONF |
| C7 | ADD BRAND UNDO CONFIRMATION |
| CB | CHANGE STATE OF TITLE CONFIRM |
| CE | IN-STATE TITLE XFER OR CHG CONF |
| CJ | ADD BRAND CONFIRMATION |
| CU | IN-STATE XFER OR CHG UNDO CONF |

DB ADD BRAND UNDO
 DM ADD TITLE UNDO
 DT CHANGE STATE OF TITLE UNDO
 DU IN-STATE XFER OR CHANGE UNDO
 DV SET PURGE INDICATOR
 H1 STATE VEH DATA VERIFY-OVERFLOW
 HC STATE VEHICLE DATA - VERIFY
 HD OLD STATE VEHICLE DATA TO VP
 HF OLD STATE VEHICLE DATA TO SOT
 HM ADD TITLE RESPONSE
 IB BRAND INQUIRY
 IH TITLE HISTORY INQUIRY
 IN NEW VEHICLE INQUIRY
 IT THEFT INQUIRY
 IU USED VEHICLE INQUIRY
 NA DUPLICATE CREATED NOTIFICATION
 NC MOVED TO CURRENT NOTIFICATION
 NE DUPLICATE RESOLVED NOTIFICATION
 NH MOVED TO HISTORY NOTIFICATION
 NT CHANGE STATE OF TITLE UNDO NOTF
 R2 DUPLICATE CREATED RESPONSE
 R3 STATE VEHICLE DATA ERROR RESP
 R4 STATE VEHICLE DATA UNAVAIL RESP
 RB BRAND RESPONSE
 RC MATCH COUNT RESPONSE
 RD BRAND RESPONSE - OVERFLOW
 RM MCO SYSTEM RESPONSE
 RT THEFT RESPONSE
 RV TITLE RESPONSE
 SC STATE VEHICLE DATA REQUEST - V
 SD OLD STATE VEHICLE DATA REQ-CSOT
 UA ADD TITLE
 UB ADD BRAND
 UT CHANGE STATE OF TITLE
 UV IN-STATE TITLE XFER OR CHANGE

Interface components will be developed to support generation and processing of the NMVTIS originating outbound /inbound expected response messages and the unsolicited inbound/outbound response messages.

Ancillary "help desk" screens and transitions will be developed for retrieving NMVTIS messages that have been routed to work queues for deficiency processing (for example, if the Add Title transaction failed).

| Additional Resource Requirements | Work Hours |
|----------------------------------|------------|
| Analysis | 481.1 |
| Design | 481.2 |
| Construction | 1443.6 |
| Testing | 962.4 |

| | |
|----------------------------|---------------|
| UAT/Training/Documentation | 481.2 |
| Implementation/Support | 240.6 |
| TOTAL | 4090.2 |

Impact of Not Implementing the Change:

Vermont would be required to develop its own interface to NMVTIS.

Alternatives to the Proposed Change:

Vermont could implement its own interface to NMVTIS. Batch mode interface to NMVTIS is considered to be an interim step to full, online mode.

Final Recommendation:

F. Signatures

Reviewing Body.

| Name/Title | Signature | Date |
|------------|-----------|------|
| | | |
| | | |
| | | |
| | | |
| | | |

From: "Stevens, JoAnn" <JoAnn.Stevens@state.vt.us>
To: <r buck@leg.state.vt.us>
Date: 11/19/2007 2:30 PM
Subject: DMV Grant Request - NMVTIS

CC: "Mullins, Jeri" <Jeri.Mullins@state.vt.us>

Becky,

I have been trying to locate someone all day to obtain the information that you require. Unfortunately, the contact persons that are needed are on vacation. They will be back on Monday and that is the best that I can do at this point - I hope that will not cause a problem for you.

Thank you,

Jo Ann

Jo Ann Stevens
Business Manager A
State of Vermont DMV
Agency of Transportation
(802) 828-2023
joann.stevens@state.vt.us

Specific Requirements Definition:

NMVTIS functionality as specified in the NMVTIS System Specification for Online Processing V2.1.1 (March 2005) manual will be supported in the interface specification.

The following NMVTIS transactions will be supported (from System Specification for Online Processing):

| Tran | Tran Desc |
|-------------|---|
| NM01 | New Vehicle Inquiry |
| NM02 | Add Title |
| NM03 | Add Title Undo |
| NM04 | Used Vehicle Inquiry |
| NM05 | In-State Title Transfer or Change Data |
| NM06 | Change State of Title |
| NM07 | Change State of Title Undo |
| NM08 | Brand Inquiry |
| NM09 | Set Purge Indicator |
| NM10 | In-State Title Transfer / Change Title Undo |
| NM12 | Add Brand |
| NM13 | Brand Add Undo |
| NM14 | Vehicle History Inquiry |

Implementation of these NMVTIS transitions will require modification or extension of the corresponding VT Drives Titling transactions and screens.

The following NMVTIS message will be supported (from System Specification for Online Processing):

| Msg | Msg Desc |
|------------|---------------------------------|
| C1 | SET PURGE INDICATOR CONFIRM |
| C2 | ADD TITLE UNDO CONFIRMATION |
| C3 | RESTORE STATE OF TITLE CONFIRM |
| C4 | CHANGE STATE OF TITLE UNDO CONF |
| C7 | ADD BRAND UNDO CONFIRMATION |
| CB | CHANGE STATE OF TITLE CONFIRM |
| CE | IN-STATE TITLE XFER OR CHG CONF |
| CJ | ADD BRAND CONFIRMATION |
| CU | IN-STATE XFER OR CHG UNDO CONF |
| DB | ADD BRAND UNDO |
| DM | ADD TITLE UNDO |
| DT | CHANGE STATE OF TITLE UNDO |
| DU | IN-STATE XFER OR CHANGE UNDO |
| DV | SET PURGE INDICATOR |
| H1 | STATE VEH DATA VERIFY-OVERFLOW |
| HC | STATE VEHICLE DATA - VERIFY |
| HD | OLD STATE VEHICLE DATA TO VP |
| HF | OLD STATE VEHICLE DATA TO SOT |
| HM | ADD TITLE RESPONSE |
| IB | BRAND INQUIRY |
| IH | TITLE HISTORY INQUIRY |
| IN | NEW VEHICLE INQUIRY |
| IT | THEFT INQUIRY |
| IU | USED VEHICLE INQUIRY |
| NA | DUPLICATE CREATED NOTIFICATION |
| NC | MOVED TO CURRENT NOTIFICATION |
| NE | DUPLICATE RESOLVED NOTIFICATION |

| | |
|----|---------------------------------|
| NH | MOVED TO HISTORY NOTIFICATION |
| NT | CHANGE STATE OF TITLE UNDO NOTF |
| R2 | DUPLICATE CREATED RESPONSE |
| R3 | STATE VEHICLE DATA ERROR RESP |
| R4 | STATE VEHICLE DATA UNAVAIL RESP |
| RB | BRAND RESPONSE |
| RC | MATCH COUNT RESPONSE |
| RD | BRAND RESPONSE - OVERFLOW |
| RM | MCO SYSTEM RESPONSE |
| RT | THEFT RESPONSE |
| RV | TITLE RESPONSE |
| SC | STATE VEHICLE DATA REQUEST - V |
| SD | OLD STATE VEHICLE DATA REQ-CSOT |
| UA | ADD TITLE |
| UB | ADD BRAND |
| UT | CHANGE STATE OF TITLE |
| UV | IN-STATE TITLE XFER OR CHANGE |

Interface components will be developed to support generation and processing of the NMVTIS originating outbound /inbound expected response messages and the unsolicited inbound/outbound response messages.

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