



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Daniel Dickerson, Fiscal Analyst
Date: November 18, 2014
Subject: Grant Request #2718, #2719, #2720, #2721, #2722, #2723

Enclosed please find six (6) items that the Joint Fiscal Office has received from the administration.

JFO #2718 – \$10,000 grant from the Consumers Union to the Vermont Department of Finance and Management. These funds will cover a portion of the costs associated with implementation and administration of the new law that requires labeling of food produced from genetic engineering. The donated funds will be deposited in the Vermont Food Fight Fund.
[JFO received 11/10/14]

JFO #2719 – \$15,000 grant from the Consumers Union Action Fund (separate from above) to the Vermont Department of Finance and Management. These funds will cover a portion of the costs associated with implementation and administration of the new law that requires labeling of food produced from genetic engineering. The donated funds will be deposited in the Vermont Food Fight Fund.
[JFO received 11/10/14]

JFO #2720 – \$250,000 grant from the Northern Border Regional Commission to the Vermont Agency of Transportation. These funds will be used to install a 2,500 foot rail siding for a new Washington County Railroad (WCRR) transload facility near the town of Barton, VT. The total project cost is estimated at \$382,120, of which, \$63,350 will be paid from in-kind contributions from the WCRR and \$68,770 will be derived from the value of state-owned rail assets, with the remainder being funded by the grant.
[JFO received 11/10/14]

JFO #2721 – \$95,126 grant from the U.S. Dept. of Health and Human Services to the Vermont Agency of Human Services. These funds will be applied to the Agency's Refugee Targeted Assistance Program to supplement services already provided to refugees in Vermont. These services are geared towards making refugees economically self-sufficient as soon as possible after arriving in the U.S. through English language training and employment services.
[JFO received 11/10/14]

JFO #2722 – \$39,978 donation from the Vermont National Guard to the State of Vermont. These funds were previously appropriated to the Military Department in 2004 and 2005 as part of a

larger funding package to assist military members in emergency situations. Since that time, the Vermont National Guard Charitable Foundation (VTNGCF) has been established with ample funding to support its mission and the remaining State funds are no longer needed. The donation will be deposited into the General Fund.

[JFO received 11/13/14]

JFO #2723 - \$13,500 grant from Keurig Green Mountain to the Vermont Agency of Human Services. This grant will fund a volunteer coordinator position in the SerVermont program to organize volunteers for projects to improve the water quality of Lake Champlain. The position will be funded for one year.

[JFO received 11/13/14]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by December 1 we will assume that you agree to consider as final the Governor's acceptance of these requests.

State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

[phone] 802-828-2376
 [fax] 802-828-2428

Agency of Administration

JFO 2720

STATE OF VERMONT FINANCE & MANAGEMENT GRANT REVIEW FORM

Grant Summary:	This grant is to create a 2,500 foot siding for a new transload facility on the state-owned right-of-way in Barton.				
Date:	10/20/2014				
Department:	AOT Rail 8100002300				
Legal Title of Grant:	Northern Border Regional Commission				
Federal Catalog #:	90.601				
Grant/Donor Name and Address:	Northern Border Regional Commission, Margeret Chase Smith FOB, Suite 21100, 202 Harlow Street, Bangor, ME 04401				
Grant Period:	From:	10/1/2014	To:	9/30/2015	
Grant/Donation	250,000.00				
	SFY 1	SFY 2	SFY 3	Total	Comments
Grant Amount:	\$250,000	\$0	\$0	\$0	
Position Information:	# Positions	Explanation/Comments			
	0				
Additional Comments:	No State funds; match requirements will be met by in-kind contributions from the New England Central Railroad (\$63,350) and state-owned rail on hand (\$68,770).				

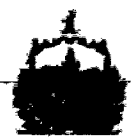
g/w/...

Department of Finance & Management	<i>[Signature]</i> 10/21/14	(Initial)
Secretary of Administration	<i>[Signature]</i> 10/21/14	(Initial)
Sent To Joint Fiscal Office	11/6/14	Date

RECEIVED

NOV 10 2014

JOINT FISCAL OFFICE



STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

1. Agency:	Vermont Agency of Transportation		
2. Department:	Policy, Planning & intermodal Development		
3. Program:	Rail Section		
4. Legal Title of Grant:	Northern Border Regional Commission		
5. Federal Catalog #:	90.601		
6. Grant/Donor Name and Address:	Northern Border Regional Commission, Margaret Chase Smith FOB, Suite 21100, 202 Harlow Street, Bangor, ME 04401		
7. Grant Period:	From:	10/1/2014	To: 9/30/2015
8. Purpose of Grant:	A 2,500 foot siding for a new transload facility on the state-owned right-of-way in Barton		
9. Impact on existing program if grant is not Accepted:	Federal grant funds would not be put to work for this rail siding and the project would not proceed.		

	SFY 1	SFY 2	SFY 3	Comments
Expenditures:	FY 2015	FY	FY	
Personal Services	\$5,000	\$	\$	
Operating Expenses	\$377,120	\$	\$	
Grants	\$	\$	\$	
Total	\$382,120	\$	\$	
Revenues:				
State Funds:	\$	\$	\$	
Cash	\$	\$	\$	
In-Kind	\$132,120	\$	\$	
Federal Funds:	\$	\$	\$	
(Direct Costs)	\$250,000	\$	\$	
(Statewide Indirect)	\$	\$	\$	
(Departmental Indirect)	\$	\$	\$	
Other Funds:	\$	\$	\$	
Grant (source)	\$	\$	\$	
Total	\$382,120	\$	\$	

Appropriation No:	8100002300 - 20165	Amount:	\$250,000
			\$
			\$
			\$
			\$
			\$
			\$
		Total	\$

OCT 15 2014

STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

Has current fiscal year budget detail been entered into Vantage? Yes No

11. Will monies from this grant be used to fund one or more Personal Service Contracts? Yes No
 If "Yes", appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: _____ Agreed by: _____ (initial)

12. Limited Service Position Information:	# Positions	Title
Total Positions		

12a. Equipment and space for these positions: Is presently available. Can be obtained with available funds.

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):	Signature: <i>R. Stearns</i>	Date: 10/13/14
	Title: Secretary of Transportation	
	Signature:	Date:
	Title:	

Approved: _____ (Secretary or designee signature) Date: 10/21/14

Check One Box: Accepted _____ (Governor's signature) Date: 11/5/14

Rejected

Required GRANT Documentation

- | | |
|---|---|
| <input type="checkbox"/> Request Memo | <input type="checkbox"/> Notice of Donation (if any) |
| <input type="checkbox"/> Dept. project approval (if applicable) | <input type="checkbox"/> Grant (Project) Timeline (if applicable) |
| <input type="checkbox"/> Notice of Award | <input type="checkbox"/> Request for Extension (if applicable) |
| <input type="checkbox"/> Grant Agreement | <input type="checkbox"/> Form AA-1PN attached (if applicable) |
| <input type="checkbox"/> Grant Budget | |

(*) The term "grant" refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).

STATE OF VERMONT GRANT SPENDING PRE-NOTICE (Form AA-1PN)

PURPOSE & INSTRUCTIONS:
This form is intended solely as notification to the Joint Fiscal Committee of the unavoidable need to spend State funds in advance of Joint Fiscal Committee approval of grant requests and with the intent of securing a federally or privately funded grant award. Pre-notification is required for expenditures of state funds beyond basic grant application preparation and filing costs. Expenditure of these state funds does not guarantee that a grant will be awarded to the State of Vermont, or that a future grant award will be accepted by the Joint Fiscal Committee. If a grant award is subsequently received, a completed Form AA-1 Request for Grant Acceptance must be submitted to the Joint Fiscal Committee for review and approval before spending or obligating additional funds.

BASIC GRANT INFORMATION


1. Agency:	Agency of Transportation		
2. Department:	Policy, Planning & Intermodal Division		
3. Program:	Rail Section		
4. Legal Title of Grant:	Northern Border Regional Commission		
5. Federal Catalog #:	90.601		
6. Grant/Donor Name and Address:	Northern Border Regional Commission, Margaret Chase Smith FOB, Suite 21100, 202 Harlow Street, Mangor, ME 04401		
7. Grant Period:	From:	10/1/2014	To: 9/30/2015

8. Purpose of Grant:
 A 2,500 foot siding for a new transload facility on the state-owned right-of-way in Barton

9. STATE FUNDS TO BE SPENT IN ADVANCE OF GRANT ACCEPTANCE BY JOINT FISCAL:

Expenditures:	FY 15	Required Explanation/Comments
Personal Services	\$1,000.00	(Include type of expenditures to be incurred, i.e. training, planning, proposal development, etc.) Administrative time to coordinate PE and partial construction costs. Given the grant period began October 1 st and construction season winter shutdown is upon us, movement on the project is essential.
Operating Expenses	\$60,000.00	
Grants	\$	
Total	\$61,000.00	

10. AUTHORIZATION AGENCY/DEPARTMENT

I/We certify that spending these State funds in advance of Joint Fiscal Approval of a Grant is unavoidable, and that a completed <i>Form AA-1 Request for Grant Acceptance</i> will be submitted for Joint Fiscal Committee approval if a grant award is received for this program:	Signature: 	Date: 10/13/14
	Title: Secretary of Transportation	
	Signature:	Date:
	Title:	

11. ATTACHMENTS: Attach relevant documentation that demonstrates the necessity of this expenditure. (example: funding opportunity guidelines require training, etc.)

Distributions
 Original - Joint Fiscal Office;
 Copy 1 -- Department Grant File;
 Copy 2 -- Attach to Form AA-1 (if grant is subsequently received).

(End Form AA-1PN - Grant Spending Pre-Notice - Form AA-1PN)

Daniel Dickerson

From: Chadwick, Krista <Krista.Chadwick@state.vt.us>
Sent: Monday, November 17, 2014 3:27 PM
To: Chadwick, Krista; Daniel Dickerson
Cc: Delabruere, Daniel
Subject: RE: Northern Border Commission Grant

My mistake. It was due to necessary environmental permits, not winter shutdown. The amounts and timeline remain the same as noted below.

Krista Chadwick
VTrans - Rail Section
P: (802) 828-5750
F: (802) 828-2829

From: Chadwick, Krista
Sent: Monday, November 17, 2014 3:20 PM
To: 'ddickerson@leg.state.vt.us'
Cc: Delabruere, Daniel
Subject: Northern Border Commission Grant

Hi Dan,

The \$61,000 on the form was an original estimate in hopes that some of the work will be accomplished and billed prior to when Joint Fiscal would meet and approve. Due to winter shutdown, this did not occur. We do not expect to receive any bills prior to December 17th.

Let me or Dan Delabruere know if you have any questions.

Krista Chadwick
VTrans - Rail Section
P: (802) 828-5750
F: (802) 828-2829

**Grant Agreement
Between
Northern Border Regional Commission
And
Vermont Agency of Transportation**

NBRC Contract Number
NBRC-14-G-VT-00004

Page 1 of 2

Project Title: Barton Rail Siding Project

Grantee:
Vermont Agency of Transportation
1 National Life Drive
Montpelier, VT 05633-5001
Grantee's
Project Director: Krista Chadwick
Telephone No.: 802-828-5750

NBRC Project Coordinator
202-590-6650

State Liaison Officer
Ken Horseman
802-828-5236

Part I – Special Provisions

Statement of Purpose – Incorporation of Proposal: This agreement implements a grant made under the authorities of Public Law 110-246 (June 18, 2008), Subtitle V, Chapter 3 Financial Assistance, to provide funding to the Vermont Agency of Transportation for a new rail siding project in Barton, Vermont.

This project shall be carried out in general accord with Grantee's proposal, received at Northern Border Regional Commission (NBRC) on July 2, 2014. Grantee's proposal is incorporated by reference as Supplement A to Part I. To the extent the Articles of this agreement conflict with the incorporated proposal, the Articles shall control.

Order of Precedence: This grant agreement is subject to the provisions of Public law 110-246, the NBRC Federal Grant Program Eligibility and Clarification Notes, the Special Provisions (Part I), this Grant Agreement, General Provisions (Part II), and any incorporated Supplements. Any conflict among these provisions shall be resolved giving precedence to these authorities in the order in which they are listed above.

Vermont Agency of Transportation

SEP 25 2014

Rail Division

Reports: A progress report for each 120-day period and a final report are required under this agreement (see Part II)

Consideration and Method of Payment:

- A. **Total:** For the complete and satisfactory performance of this grant agreement, as determined by NBRC, Grantee shall be paid by NBRC a total not to exceed \$250,000, or 65% of actual, reasonable and eligible project costs, whichever is lower. Grantee shall pay, or cause to be paid, the non-NBRC share of \$132,120 in other grant funds, cash, contributed services, or in-kind contributions as approved by NBRC.
- B. **Method:** Progress and advance payments not to exceed 90% of total NBRC approved funds are authorized under this agreement. Upon Grantee's satisfactory completion of the Agreement, Grantee shall receive any balance of funds which may be due under this agreement (see Part II).

Budget: Costs will be determined in general accord with the budget (which is attached as Supplement B to Part I and hereby incorporated into this agreement), subject to the terms of this Grant Agreement and to the other provisions listed above in the Order of Precedence.

Period of Performance: The grant period of performance shall be between October 1, 2014 thru September 30, 2015.



Sandford (Sandy) Blitz
Federal Co-Chair



Grantee's Authorized Representative

9/24/14

Date

9/30/14

Date

Vermont Agency of Transportation
SEP 25 2014
Rail Division

Vermont Rail System
 Washington County Railroad
 2,500 FT siding for new transload facility
 MP L 10.77
 Location: Orleans County, Barton, VT

Vermont Rail System
 Washington County Railroad
 2,500 FT siding for new transload facility
 MP L 10.77
 Location: Orleans County, Barton, VT

SCOPE OF WORK: Clear Brush and grub.
 Build 2,500 feet of track.
 Build and install three turnouts.
 Dump Ballast and surface siding and turnouts.

Item Specifications

ITEM

ITEM	Estimate of Materials			AMOUNT
	PRICE	QUANTITY		
1) COST OF RAIL	\$ 204.00	130	RAILS	\$ 26,520.00
2) TIE PLATES	\$ 5.00	3,000	PLATES	\$ 15,000.00
3) 105#DY JOINT BARS	\$ 25.00	130	PAIRS	\$ 3,250.00
4) COMPLETE TURNOUT	\$ 8,000.00	3	TURNOUT	\$ 24,000.00
5) BALLAST	\$ 18.00	2300	TONS	\$ 41,400.00
6) SURFACING	\$ 3,750.00	2	DAYS	\$ 7,500.00
7) RELAY CROSSTIES	\$ 26.00	750	TIES	\$ 19,500.00
NEW CROSSTIES	\$ 40.00	750	TIES	\$ 30,000.00
8) COMP BARS	\$ 800.00	6	PAIRS	\$ 4,800.00
9) NEW SWITCH TIMBERS	\$ 7,200.00	3	SET	\$ 21,600.00
10) TRACK BOLTS	\$ 225.00	8	KEGS	\$ 1,800.00
11) ANCHORS *ERP 6/8/14*	\$ 3.50	2,000	ANCHORS	\$ 7,000.00

- 1) **COST OF 105#DY RAIL**
 This cost includes the price of 130 ish pieces of 33- 36 foot, 105# DY relay rail to be installed on the new siding. From state inventory.
- 2) **TIE PLATES**
 This cost includes the price of relay tie plates. From State inventory.
- 3) **105#DY JOINT BARS**
 This cost includes the price for relay 105# DY joint bars. From state inventory.
- 4) **COMPLETE TURNOUT**
 This cost includes the price of three 100#RE relay turnouts. From state inventory.
- 5) **BALLAST**
 The cost for 2,300 tons of railroad ballast is \$18.00 per ton. This price includes loading of ballast cars and spreading of ballast cars with train locomotive, ballast cars, train crew, and MOW crew.
- 6) **SURFACING**
 This cost includes the tamper, ballast regulator, and two operators for two days of surfacing. The new siding will be surfaced as well as the mainline track through the new turnout.
- 7) **CROSSTIES**
 This cost includes the price of 1,500 cross ties for the siding.
- 8) **COMP BARS**
 This cost includes the price of comp bars to comp from the mainline to the turnouts.
- 9) **NEW SWITCH TIMBERS**
 This cost includes the price of three complete sets of switch timbers for a #10 turnout.
- 10) **TRACK BOLTS**
 This cost includes the price of 8 200# kegs of new track bolts and washers.
 ERP 6/8/14



12) SPIKES	\$ 75.00	90	KEGS	\$ 6,750.00
13) DERAIL	\$ 1,000.00	2		\$ 2,000.00
14) WHEEL STOPS	\$ 1,000.00		PAIR	\$ 1,000.00
15) BUILD 2,500 FT. OF TRACK	\$ 80,000.00			\$ 80,000.00
16) BUILD AND INSTALL 3 SWITCHES	\$ 20,000.00	3	PER SWITCH	\$ 60,000.00
17) DIRT WORK	\$ 30,000.00			\$ 30,000.00

- 11) ANCHORS
This cost includes the price of new anchors for the siding.
- 12) SPIKES
This cost includes the price of 90 100# kegs of spikes for the siding.
- 13) DERAIL
This cost includes a derail for the siding to prevent cars from rolling onto the mainline.
- 14) WHEEL STOPS
This cost includes wheel stops for the end of track to prevent cars from rolling off the siding.

- 15) BUILD 2,500 FT. OF TRACK
This cost includes building 2,500 feet of track for the new siding.
- 16) BUILD AND INSTALL SWITCH
This cost includes the building and installation of three 100# turnouts.
- 17) DIRT WORK
This cost includes the clearing of brush and grubbing for the new track bed.

TOTAL COST OF THE PROJECT	\$ 382,120.00
Material provided by the state of Vermont Inventory	\$ 68,770.00
Funds requested from the NBRC grant	\$ 250,000.00
Match provided by WACR	\$ 63,350.00
Total	<u>\$ 382,120.00</u>