MEMORANDUM

To: Joint Fiscal Committee members
From: Daniel Dickerson, Fiscal Analyst
Date: November 2, 2017
Subject: Grant Requests #2900 - #2901

Enclosed please find two (2) items, including one (1) limited-service position request, which the Joint Fiscal Office has received from the administration.

JFO #2900 — $241,888 from the U.S. Dept. of Education to the VT Agency of Education. The funding is a block grant for eligible states to support academic achievement in rural school districts, particularly districts that serve a large number of low-income students. The funding available through 9/30/2018 and will be distributed to eligible supervisory unions and/or supervisory districts by the Agency based on pre-determined eligibility criteria.

[JFO received 10/31/17]

JFO #2901 — $3,987,558 from the U.S. Dept. of Health and Human Services to the VT Dept. of Mental Health. One (1) limited-service position, titled Project Director, is associated with this request. The grant funds will be used to develop integration of pediatric care and mental health care in clinical practices and to improve integrated care models overall for primary care and mental health care, all to improve health and wellness outcomes for children with mental health needs. The position will serve to administer grant funding and coordinate activities between multiple demonstration sites. The funding will be utilized in State FY18 and FY19, with further grant funding (up to $10 million total) available in future years but subject to Congressional approval.

[JFO received 9/18/17]

Please review the enclosed materials and notify the Joint Fiscal Office (Daniel Dickerson at (802) 828-2472; ddickerson@leg.state.vt.us) if you have questions or would like an item held for legislative review. Unless we hear from you to the contrary by November 16, 2017 we will assume that you agree to consider as final the Governor's acceptance of these requests.
STATE OF VERMONT
FINANCE & MANAGEMENT GRANT REVIEW FORM

| Grant Summary: | To support academic achievement in rural schools, particularly supervisory unions that serve a large number of low-income students. |
| Date: | 10/18/2017 |
| Department: | Agency of Education |
| Legal Title of Grant: | Rural and Low-Income Schools |
| Federal Catalog #: | 84.358B |
| Grant/Donor Name and Address: | U.S. Department of Education, Washington, D.C. |
| Grant Period: | From: 7/1/2017 To: 9/30/2018 |
| Grant/Donation: | $241,888 |
| SFY 1 | SFY 2 | SFY 3 | Total | Comments |
| Grant Amount: | $241,888 | $ | $ | $241,888 |

Position Information: # Positions Explanation/Comments
0

Additional Comments: Has Vantage budget detail been reviewed and reconciled? □ Yes X No

Department of Finance & Management
Secretary of Administration
Sent To Joint Fiscal Office

(Initial)
(Initial)
10/24/17 Date
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE  (Form AA-1)

BASIC GRANT INFORMATION

1. Agency:  Education
2. Department: Federal Education & Support Programs
3. Program: Federal Programs
4. Legal Title of Grant: Rural and Low-Income Schools
5. Federal Catalog #: 84.358B

6. Grant/Donor Name and Address:
   U.S. Department of Education; 400 Maryland Avenue, SW; Washington D.C. 20202

7. Grant Period: From: 7/1/2017 To: 9/30/2018

8. Purpose of Grant:
   This block grant is intended to support academic achievement in rural schools; particularly Supervisory Unions that serve a large number of low-income students.

9. Impact on existing program if grant is not Accepted:
   This block grant was added during the reauthorization of the Elementary and Secondary Education Act. These funds will support existing programs and came about only as a result of the Federal Government reorganizing funding streams.

10. BUDGET INFORMATION

<table>
<thead>
<tr>
<th>Expenditures:</th>
<th>SFY 1 FY 2018</th>
<th>SFY 2 FY 2019</th>
<th>SFY 3 FY</th>
<th>Comments</th>
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<td>Operating Expenses</td>
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<td>Grants</td>
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<tr>
<td>Total</td>
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<table>
<thead>
<tr>
<th>Revenues:</th>
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<th>SFY 2 FY 2019</th>
<th>SFY 3 FY</th>
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<tr>
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<td>In-Kind</td>
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<tr>
<td>Federal Funds:</td>
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<td>(Direct Costs)</td>
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<td>(Statewide Indirect)</td>
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<td>(Departmental Indirect)</td>
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<td>Grant (source)</td>
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<tr>
<td>Total</td>
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</table>

Appropriation No:  5100070000
Amount:  $241,888
STATE OF VERMONT REQUEST FOR GRANT (*) ACCEPTANCE (Form AA-1)

Total $241,888

PERSONAL SERVICE INFORMATION

11. Will monies from this grant be used to fund one or more Personal Service Contracts? ☐ Yes ☒ No
If “Yes”, appointing authority must initial here to indicate intent to follow current competitive bidding process/policy.

Appointing Authority Name: ________________ Agreed by: ________________ (initial)

12. Limited Service Position Information:

<table>
<thead>
<tr>
<th># Positions</th>
<th>Title</th>
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</tbody>
</table>

Total Positions

12a. Equipment and space for these positions:

☐ Is presently available. ☐ Can be obtained with available funds.

13. AUTHORIZATION AGENCY/DEPARTMENT

I/we certify that no funds beyond basic application preparation and filing costs have been expended or committed in anticipation of Joint Fiscal Committee approval of this grant, unless previous notification was made on Form AA-1PN (if applicable):

Signature: ________________ Date: ________

Title: Rebecca Holcombe, Secretary

Signature: ________________ Date: ________

Title: Sec. Ed

14. SECRETARY OF ADMINISTRATION

☐ Approved: ________________ Date: ________

(Secretary or designee signature)

15. ACTION BY GOVERNOR

I Check One Box: Accepted

☐ Rejected

(Governor’s Signature)

Date: ________

16. DOCUMENTATION REQUIRED

Required GRANT Documentation

☐ Request Memo
☐ Dept. project approval (if applicable)
☐ Notice of Award
☐ Grant Agreement
☐ Grant Budget
☐ Notice of Donation (if any)
☐ Grant (Project) Timeline (if applicable)
☐ Request for Extension (if applicable)
☐ Form AA-1PN attached (if applicable)

End Form AA-1

(*) The term “grant” refers to any grant, gift, loan, or any sum of money or thing of value to be accepted by any agency, department, commission, board, or other part of state government (see 32 V.S.A. §5).
MEMORANDUM

TO: Rebecca Holcombe, Secretary of Education
THRU: Emily Byrne, CFO, Agency of Education
Kathy Flanagan, Financial Director
FROM: Jennifer Gresham, Education Division Director, Federal Programs
SUBJECT: Federal Formula Grant Award: Title V, Part B, Subpart 2— Rural Low-Income School Program (RLIS)
DATE: October 16, 2017

Through the reauthorization of the Every Student Succeeds Act, Vermont now qualifies for funds through Title V, Part B, Subpart 2, also known as the Rural Low-Income School Program (RLIS).

This program, one of two Rural Education Achievement Program (REAP) was designed to supplement funding to help rural LEAs increase student academic achievement. RLIS particularly targets rural LEAs that serve large numbers of low-income students. Under the ESEA, RLIS funds are distributed to states based on federal availability of REAP funds. States are allowed to reserve up to 5% for administration and technical assistance around the funds.

The Vermont Agency of Education (AOE) will allocate funds to the Supervisory Unions and/or Supervisory Districts (SUs/SDs). Allocation amounts to the SUs/SDs is determined by a formula.

Additional information about the grant can be found at:
https://www2.ed.gov/programs/reaprlisp/index.html
Due to the reauthorization of the Elementary & Secondary Education Act, the US Department of Education, Vermont now qualifies for RLIS funding for Local Education Agencies. This grant was awarded to all qualifying states and is to be used by school districts to support student academic achievement in rural schools, particularly in schools that serve large numbers of low-income students. Vermont’s allocation for Federal Fiscal Year 2017 is $241,888.

The Agency of Education will allocate funds to supervisory unions and supervisory districts determined eligible by the US department of Education in accordance with the grant formula.

Attached to this memo please find the AA-1, the grant award from the US Department of Education, and a memo detailing the purpose of the grant.

I recommend approval and acceptance of these funds.
U.S. Department of Education

Purpose

Program Office: Office of School Support and Rural Programs (/about/offices/list/oese/sst/)
CFDA Number: 84.358B
Program Type: Formula Grants
Also Known As: Rural Education Achievement Program, REAP, Rural and Low-Income School Grant Program

Program Description
The purpose of the Rural and Low-Income School (RLIS) grant program is to provide rural districts with financial assistance for initiatives aimed at improving student achievement. The grant is non-competitive, and eligibility is determined by statute.

Awards are issued annually to state education agencies (SEAs), which make sub-grants to local education agencies (LEAs) that meet the applicable requirements. Awards are made to all SEAs that apply and meet the applicable requirements of the act (see legislative citation above).

Uses of Funds
LEAs that receive RLIS grants may use the funds to carry out the following types of activities:

- Parental involvement activities
- Title I-A (http://www2.ed.gov/programs/titleiparta/legislation.html) (Improving Basic Programs Operated by local education agencies)

Example: A school district develops an entrepreneurial education program to supplement its civics curriculum.

- Title II-A (http://www2.ed.gov/programs/teacherqual/legislation.html) (Supporting Effective Instruction)

Example: A school district pays the stipend for a prospective teacher to work alongside an effective teacher, who is the teacher of record, for a full academic year.

- Title III (http://www2.ed.gov/programs/sfgp/legislation.html) (Language Instruction for English Learners)
and Immigrant Students)

Example: A school district offers an afterschool enrichment program for English learners.

- **Title IV-A** (/programs/ssae/legislation.html) (Student Support and Academic Enrichment)

Example: A school district purchases bully prevention materials for all schools.
Who is Eligible?

**New Fiscal Year 2017 REAP Master Eligibility List** (/programs/reapsrsa/fy17reapmastereligibilityspreadsheets.xlsx) - This spreadsheet has four tabs, each with specific information on the local education agencies (LEAs) nationwide that qualify for funding under both REAP formula grant programs – the Rural Low-Income School (RLIS) grant, and the Small, Rural School Achievement (SRSA) grant:

- The first tab shows, by state, the number of LEAs in each REAP grant (SRSA or RLIS) cohort.
- The second tab lists, by state, the REAP eligibility status for all LEAs nationally, and shows which grant each eligible will receive.
- The third tab lists, by state, the cohort of LEAs that are either only eligible to receive SRSA, or are dual eligible and have chosen the SRSA grant.
- The fourth tab lists, by state, the cohort of LEAs that are either:
  1. Only eligible to receive RLIS;
  2. Are dual eligible and have chosen the RLIS grant; or
  3. Are dual eligible and applied for the SRSA grant but have a $0 allocation under SRSA, and will therefore receive an RLIS grant award.

Who may receive an RLIS sub-grant?

The Department of Education awards grants to SEAs, which in turn make sub-grants to eligible LEAs.

An LEA is eligible to receive an RLIS sub-grant if:

- 20 percent or more of the children ages 5 through 17 years served by the LEA are from families with incomes below the poverty line; and
- All of the schools served by the LEA are designated with a school locale code of 32, 33, 41, 42, or 43.

**NOTE:** Dual eligibility occurs when an LEA meets the eligibility requirements for both the SRSA program and the RLIS program. Dual-eligible LEAs must choose one grant under which to receive funds each fiscal year.

To see how SRSA differs from RLIS, view the **SRSA-RLIS Comparison Table** (https://www2.ed.gov/programs/reapsrsa/rlisandsrsacomparisonsfordualeligibleleas.docx).
Eligibility Spreadsheets for Previous Years

These spreadsheets list, by state, all LEAs that have been eligible for the SRSA or RLIS grant awards in previous years.

### RECIPIENT NAME
Vermont Agency of Education  
219 North Main Street  
Barre, VT 05641

### AWARD INFORMATION
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<th>PR/AWARD NUMBER</th>
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### PROJECT STAFF
**RECIPIENT STATE DIRECTOR**  
Mary Mulloy  
(802) 479-1226  
mary.mulloy@vermont.gov

**EDUCATION PROGRAM CONTACT**  
Eric L. Schulz  
(202) 260-7349  
eric.schulz@ed.gov

**EDUCATION PAYMENT HOTLINE**  
G5 PAYEE  
888-336-8930  
HELPDESK  
edcaps.user@ed.gov

### PROJECT DESCRIPTION
84.358B  
Rural and Low-Income Schools

### KEY PERSONNEL
N/A

### AWARD PERIODS
**BUDGET PERIOD**  
07/01/2017 - 09/30/2018

**FEDERAL FUNDING PERIOD**  
07/01/2017 - 09/30/2018

### AUTHORIZED FUNDING
- **CURRENT AWARD AMOUNT**  
  $241,888.00
- **PREVIOUS CUMULATIVE AMOUNT**  
  $0.00
- **CUMULATIVE AMOUNT**  
  $241,888.00

### ADMINISTRATIVE INFORMATION
- **DUNS/SSN**  
  884902701
- **REGULATIONS**  
  CFR PART 76  
  EDGAR AS APPLICABLE  
  2 CFR AS APPLICABLE
- **ATTACHMENTS**  
  1, 3, 8, 9, 10, 11, 12, 13, 14, E-3, E4, E5, s

### LEGISLATIVE AND FISCAL DATA
**AUTHORITY:**  
PL 107-110 X ELEMENTARY & SECONDARY EDUCATION ACT OF 1965,  
AS AMENDED 2001

**PROGRAM TITLE:**  
RURAL EDUCATION ACHIEVEMENT PROGRAM

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<th>ACTIVITY</th>
<th>CFDA</th>
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<td>358</td>
<td>4101A</td>
<td>$241,888.00</td>
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</table>
PR/AWARD NUMBER: S358B170045
RECIPIENT NAME: Vermont Agency of Education

TERMS AND CONDITIONS

(1) The Office of Management and Budget requires all Federal agencies to assign a Federal Award Identifying Number (FAIN) to each of their financial assistance awards. The PR/AWARD NUMBER identified in Block 2 is your FAIN.

If subawards are permitted under this grant, and you choose to make subawards, you must document the assigned PR/AWARD NUMBER (FAIN) identified in Block 2 of this Grant Award Notification on each subaward made under this grant. The term subaward means:

1. A legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

2. The term does not include your procurement of property and services needed to carry out the project or program (The payments received for goods or services provided as a contractor are not Federal awards, see 2 CFR 200.501(f) of the OMB Uniform Guidance: "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards").

3. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

(2) The negotiated indirect cost rate or the indirect cost allocation plan approved for the entity identified in Block 1 of this GAN applies to this grant award.

(3) (1) Grants under the REAP Rural and Low-Income School Program are made subject to the applicable acts and regulations.

This grant is issued in accordance with the provisions of Title V, Part B, Subpart 2 of the Elementary and Secondary Education Act (ESEA), as amended. This award is also subject to the provisions of the Department of Education General Administrative Regulations (EDGAR), 34 CFR Parts 76, 77, 79, 81, 82, 84, 86, 97, 98 and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR 3485; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR Part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

(2) Funds received under this program may be used to carry out:
Activities authorized under Part A of Title I (Improving Basic Programs Operated by Local Educational Agencies)
Activities authorized under Part A of Title II (Supporting Effective Instruction)
Activities authorized under Title III (Language Instruction for English Learners and Immigrant Students)
Activities authorized under Part A of Title IV (Student Support and Academic Enrichment Grants)
Parental involvement activities

(3) Under the "Tydings Amendment," Section 421(b) of the General Education Provisions Act, 20 U.S.C. 1225 (b), any funds that are not obligated at the end of the federal funding period specified in Block 6 shall remain available for obligation for an additional period of 12 months.
EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

1. RECIPIENT NAME - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.

2. AWARD INFORMATION - Unique items of information that identify this notification.
   PR/AWARD NUMBER - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
   ACTION NUMBER - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD".
   ACTION TYPE - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
   AWARD TYPE - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.

3. PROJECT STAFF - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
   *RECIPIENT PROJECT DIRECTOR - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
   EDUCATION PROGRAM CONTACT - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
   EDUCATION PAYMENT CONTACT - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.

4. PROJECT TITLE AND CFDA NUMBER - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.

5. *KEY PERSONNEL - Name, title and percentage (%) of effort the key personnel identified devotes to the project.

6. AWARD PERIODS - Project activities and funding are approved with respect to three different time periods, described below:
   BUDGET PERIOD - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
   PERFORMANCE PERIOD - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
   *FUTURE BUDGET PERIODS - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.

7. AUTHORIZED FUNDING - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
   *THIS ACTION - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
   *BUDGET PERIOD - The total amount of funds available for use by the grantee during the stated budget period to this date.
   *PERFORMANCE PERIOD - The amount of funds obligated from the start date of the first budget period to this date.
   RECIPIENT COST SHARE - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
   RECIPIENT NON-FEDERAL AMOUNT - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.

8. ADMINISTRATIVE INFORMATION - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.
DUNS/SSN - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

*REGULATIONS - Title 2 of the Code of Federal Regulations (CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

*ATTACHMENTS - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS - The fiscal information recorded by the U.S. Department of Education's Grants Management System (GMS) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

*PARTICIPANT NUMBER - The number of eligible participants the grantee is required to serve during the budget year.

*GRANTEE NAME - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

*PROGRAM INDIRECT COST TYPE - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

*PROJECT INDIRECT COST RATE - The indirect cost rate applicable to this grant.

*AUTHORIZING OFFICIAL - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award.

FOR FORMULA AND BLOCK GRANTS ONLY:
(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

    CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

    PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

    CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.